City Council Meeting Agenda
October 9, 2023 - 6:45 PM
City Council Chambers, City Hall, 3rd Floor, 1528 Third Avenue, Rock Island, IL

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1. **Call to Order**

2. **Roll Call**

3. **Pledge of Allegiance**

4. **Moment of Silence**

5. **Public Comment**

6. **Minutes**

   a. Minutes from the September 25, 2023 City Council Meeting.

Motion:	Motion whether or not to approve the minutes as printed.
VV:	Voice vote is needed.

7. **Update Rock Island**

8. **Ordinances**

   a. An Ordinance regarding a Speed Hump Policy and Application. (Second Reading)

Motion:	Motion whether or not to pass the ordinance.
RC	Roll Call vote is needed.

9. **Consent Agenda**

   All items under the Consent Agenda are considered to be routine in nature and will be enacted by a single motion and subsequent roll call vote. There will be no separate discussion of these items unless an Alderperson so requests, in which case, the item will be moved from the Consent Agenda and considered as the first item after approval of the Consent Agenda.

   a. Claims for the weeks of September 15, through September 21, 2023, in the amount of $971,569.31; for the weeks of September 22, through September 28, 2023, in the amount of

$2,085,335.63; and payroll for the weeks of September 04 through September 17, 2023 in the amount of $1,703,705.71.

b. International City/County Management Association (ICMA) claims for the week of September 09 through September 22, 2023, in the amount of $33,606.61.

c. ACH Payments for the month of August 2023 in the amount of $1,091,944.22.

d. Purchase Card Claims for the period of July 27 through August 28, 2023 in the amount of $82,618.30.

Motion:	Motion whether or not to approve Consent Agenda items a through d.
RC	Roll Call vote is needed.

10. Contracts / Agreements

a. Report from the Public Works Department regarding payment in the amount of $19,800.00 to CDM Smith, Chicago, Illinois, for a PFAS Planning Study.

Motion:	Motion whether or not to approve proceeding with the proposed study and payment to CDM Smith.
RC	Roll Call vote is needed.

b. Report from the Public Works Department regarding bids for the removal of Longview Park tennis courts, recommending the bid be awarded to H Coopman Trucking and Excavating, Moline, Illinois, in the amount of $31,774.52.

Motion:	Motion whether or not to award the bid and authorize the City Manager to execute the contract documents.
RC	Roll Call vote is needed.

c. Report from the Public Works Department regarding a contract award to Five Cities Construction Company, Coal Valley, Illinois, in the amount of $588,557.69 for the Augustana Sewer Relocation, Multi-Use Path Area project.

Motion:	Motion whether or not to award the contract and authorize the City Manager to execute the contract documents; and authorize the Public Works Department to execute the necessary change orders.
RC	Roll Call vote is needed.

d. Report from the Public Works Department regarding an agreement with the Illinois Department of Natural Resources (IDNR) to lease two parcels of land at the Hennepin Canal for the amount of $2,400.00.

Motion:	Motion whether or not to approve the lease agreement and authorize the City Manager to execute the agreement.
RC	Roll Call vote is needed.

11. Resolutions with Reports from Departments

a. Report from the Fire Department regarding a Resolution of an Intergovernmental agreement for participation in the Mutual Aid Box Alarm System (MABAS Master Agreement 2022) effective January 1, 2024.

Motion:	Motion whether or not to adopt the Resolution.
RC	Roll Call vote is needed.

12. Budget/Finance Items

a. Report from the Finance Department regarding an adjustment to the CY 2023 budget, increasing the Justice Agreement Grant (JAG) Fund (241) revenue and expenditures by $11,152.80.

Motion:	Motion whether or not to approve the budget adjustment.
RC	Roll Call vote is needed.

b. Report from the Finance Department regarding the Firefighters and Police Pension Funds Actuarial Valuations as of January 1, 2023.

Motion:	Motion whether or not to approve funding both pension funds at the statutory minimum required contribution.
RC	Roll Call vote is needed.

13. Department Reports

a. Report from the Community and Economic Development Department regarding the discontinuation of the Targeted Area Repair and Rehabilitation Program.

Motion:	Motion whether or not to discontinue the Targeted Area Repair and Rehabilitation Program as recommended.
RC	Roll Call vote is needed.

b. Report from the Community and Economic Development Department regarding the addition of appendices A (General Rehabilitation) and B (Emergency Rehabilitation) to the Community Development Block Grant (CDBG) Program Manual.

Motion: Motion whether or not to approve the addition of appendices A and B to the Community Development Manual.

RC Roll Call vote is needed.

c. Report from the Community & Economic Development Department regarding an amendment to the Community Development Block Grant (CDBG) Program Manual, Section 6: Subrecipient Application Process.

Motion: Motion whether or not to approve the amendment as recommended.

RC Roll Call vote is needed.

d. Report from the Community and Economic Development Department regarding the creation of a redevelopment agreement with Project NOW, Inc. for the purpose of providing renovation assistance to the Star Cres Building.

Motion: Motion whether or not to approve $250,000 in ARPA funds for Project NOW, Inc. to support the renovation of the Star Cres Building; and authorize staff to negotiate a redevelopment agreement for Council consideration.

RC Roll Call vote is needed.

14. Traffic Engineering Requests

a. Report from the Traffic Engineering Committee regarding a request to install residential parking (Type B) on the south side of 9th Avenue from 11th Street to the east of 1120 9th Avenue. (First Reading)

Motion: Motion whether or not to consider, suspend the rules, and pass the ordinance.

RC Roll Call vote is needed.

15. Appointments to Boards/Commissions/Committees

a. Report from the Mayor's Office regarding reappointments to the Ethics Commission, Housing Authority, and Police Community Relations Commission.

Motion: Motion or not whether to approve the reappointments as recommended.

RC Roll Call vote is needed.

16. Events/Misc Requests

a. Report from the Fire Department regarding a request from the Rock Island Firefighters Association, IAFF Local 25, regarding a request to utilize the intersection of 30th Street and 18th Avenue for their annual Muscular Dystrophy Association "Fill the Boot" fundraising campaign from Friday, October 13 through Sunday, October 15, 2023.

Motion: Motion whether or not to approve the request as recommended.

RC Roll Call vote is needed.

b. Report from the City Clerk's office regarding a request from Alderperson Robinson to close 9th Street between 6th and 7th Avenues on Tuesday, October 31, 2023 from 4:00 p.m. to 7:00 p.m. for a community event with sound amplification.

Motion: Motion whether or not to approve the requests as recommended.

RC Roll Call vote is needed.

17. Other Business/New Business

18. Closed Session

a. **5 ILCS 120/2(c)(2) Collective negotiating matters between the public body and its employees or their representatives.**

5 ILCS 120/2 (c)(11) Litigation, when an action against, affecting or on behalf of the particular public body has been filed and is pending before a court or administrative tribunal, or when the public body finds that an action is probable or imminent, in which case the basis for the finding must be recorded and entered into the minutes of the closed meeting.

Motion: Motion whether or not to enter Closed Session for the exceptions cited.

RC Roll Call vote is needed.

19. Adjourn

a. Motion to Adjourn to October 23, 2023.

Motion: Motion whether or not to adjourn.

VV: Voice Vote is needed.

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CITY OF ROCK ISLAND
CITY COUNCIL MEETING
City Council Chambers, City Hall, 3rd Floor,
1528 Third Avenue, Rock Island, IL

9/25/2023 - Minutes

1. Call to Order

Mayor Thoms called the meeting to order at 6:45 p.m. and welcomed everyone.

2. Roll Call

Mayor Thoms asked City Clerk Samantha Gange to call the roll.

Present: Alderpersons Jenni Swanson, Dylan Parker, Mark Poulos, Bill Healy, Moses Robinson, Randy Hurt, Judith Gilbert and Mayor MIke Thoms.

Absent: None.

Staff: City Manager Todd Thompson, Attorney Allison Wright, City Clerk Samantha Gange, and other City Staff.

3. Pledge of Allegiance

Mayor Thoms led in the reciting of the Pledge of Allegiance.

4. Moment of Silence

Mayor Thoms requested a moment of silence. A moment of silence was observed.

5. Public Comment

Haleigh Laud, a sophomore at Rock Island High School, approached Council regarding the Rock Island Public

Library. She noted that the library is close to her home and is convenient to walk to and spend time in over the summer. The library has never hesitated to deliver books and provide services within a few days. She advocated for public libraries.

Ann McGlynn founder of Tapestry Farms, spoke on the agenda measure regarding the Botanical Center, and asked for a yes vote for the variances to place a hydroponic unit at the Botanical Center. She complimented City staff that were amenable to making the project work. She said they delivered approximately 5,000 produce to a variety of pantries across the Quad Cities including Heart of Hope in Rock Island. The hydroponic unit will allow them to grow year-round to provide fresh produce to the community.

Adele Salinas said she was the applicant along with her father Juan for the Special Use Permit on the agenda, and that she accidentally signed the public comment sheet thinking it might be a check-in.

Alderperson Swanson commented on the perception of the accessibility of the agenda on the website. She expressed concern that residents were only able to view the agenda on the City Hall door as the website link indicates a preview and does not direct residents to the proper agenda. She noted perception and legality regarding the Open Meetings Act (OMA), and encouraged the public to ask questions as necessary.

6. Minutes

a. Minutes of the September 11, 2023 Study Session and regular Council Meeting.

Motion:	Motion whether or not to approve the minutes as printed.
VV	Voice vote is needed.

MOTION:

Alderperson Gilbert moved to approve the minutes as printed; Alderperson Parker seconded.

VOTE:

Motion PASSED on a 7-0-0 voice vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

7. Update Rock Island

Garbage collection pick up dates may be changing in your neighborhood

Starting the week of October 1st, your garbage collection pickup date may change, but recycling and yard waste collection days will remain unchanged. Find your updated refuse collection day at rigov.org/refuse.

Free leaf bag pickup

The City will pick up yard waste bags for free beginning October 16th through December 8th. The City is also giving out free yard waste bags starting Monday, October 9 at the Public Works office, located at 1309 Mill Street; Saukie Golf Course, 3101 38th Street; South Rock Island Township office and Rock Island Township office.

The City of Rock Island does not allow the burning of leaves or yard waste.

Free concerts continue at Schwiebert Riverfront Park

Thursday Night Groove continues this week with the band For Those About to Yacht. The fun begins at 6 p.m. with concessions from Happy Joe's Pizza and Bent River Brewery. The band starts playing at 7 p.m. Each concert is free and fun for all ages.

8. Ordinances

a. A Special Ordinance regarding a request from the First Financial Group to remove the handicapped parking space at 2024 33rd Street. (Second Reading)

Motion:	Motion whether or not to pass the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Poulos moved to pass the ordinance; Alderperson Healy seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

9. Consent Agenda

All items under the Consent Agenda are considered to be routine in nature and will be enacted by a single motion and subsequent roll call vote. There will be no separate discussion of these items unless an Alderperson so requests, in which case, the item will be moved from the Consent Agenda and considered as the first item after approval of the Consent Agenda.

a. Claims for the weeks of September 01, through September 07, 2023, in the amount of $702,243.28; for the weeks of September 08, through September 14, 2023, in the amount of $1,687,823.96; and payroll for the weeks of August 21 through September 03, 2023 in the amount of $1,781,081.59.

b. International City/County Management Association (ICMA) claims for the week of August 26 through September 08, 2023, in the amount of $34,083.01.

c. Report from the Public Works Department regarding payment in the amount of $50,450.00 to Hydro Klean, LLC, Des Moines, Iowa, for the Southwest Wastewater Treatment Plant lift station wet well clean out.

d. Report from the Public Works Department regarding payment in the amount of $44,334.00 to Kraft Power Corporation, Gaylord, Michigan, for the Wet Weather Treatment Pump Engine Maintenance Contract.

e. Report from the Public Works Department regarding payment in the amount of $19,103.11 to Edgewater Resources, Madison, Wisconsin, for engineering and design services for the Sunset Marina 400 dock replacement, electrical upgrades, and dredging analysis.

Motion:	Motion whether or not to approve Consent Agenda items a through e.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve claims a through d; Alderperson Robinson seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

10. Claims

a. Report from the Public Works Department regarding payment in the amount of $272,776.72 to Centennial Contractors of the Quad Cities, Moline, Illinois, for the Sidewalk and Pavement Patching Program.

b. Report from the Public Works Department regarding payment in the amount of $67,972.61 to McClintock Trucking and Excavating, Silvis, Illinois, for the Water Service Repair Program and the Sewer Lateral Repair Program repairs.

c. Report from the Public Works Department regarding a payment #4 in the amount of $846,285.95 to Langman Construction, Rock Island, Illinois, for the 11th Street Water Main Replacement Project.

d. Report from the Public Works Department regarding payment #7 in the amount of $162,516.93 to Langman Construction, Rock Island, Illinois, for the Downtown Parking Garage and Parking Lot.

Motion:	Motion whether or not to approve claims a through d.
RC	Roll Call vote is needed.

MOTION:

Alderperson Healy moved to approve claim items a through d; Alderperson Robinson seconded.

Alderperson Parker recused himself from the vote due to the nature of his employment.

VOTE:

Motion PASSED on a 6-0-0 roll call vote. Aye: Swanson, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

11. Contracts / Agreements

a. Report from the Public Works Department requesting an extension to the Water Tower Maintenance Agreement with Utility Service Co., Inc, Perry, GA.

Motion:	Motion whether or not to approve the agreement extension as recommended.
RC	Roll Call vote is needed.

MOTION:

Alderperson Gilbert moved to approve the agreement extension as recommended; Alderperson Poulos seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

b. Report from the Public Works Department to amend the original Intergovernmental Support Agreement (IGSA) with the Rock Island Arsenal.

Motion:	Motion whether or not to approve the amendment to the Intergovernmental Support Agreement as recommended.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve the amendment to the Intergovernmental Support Agreement as recommended; Alderperson Healy seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

c. Report from the Community & Economic Development Department regarding approval of an economic development agreement with Crawford Company.

Motion:	Motion whether or not to approve the development agreement and allow the City Manager to execute the agreement.
RC	Roll Call vote is needed.

MOTION:

Alderperson Robinson moved to approve the development agreement and allow the City Manager to execute the agreement; Alderperson Poulos seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

d. Report from the Community & Economic Development Department regarding approval of an economic development agreement with Friendship Manor.

Motion:	Motion whether or not to approve the development agreement and allow the City Manager to execute the agreement.
RC	Roll Call vote is needed.

MOTION:

Alderperson Gilbert moved to approve the development agreement and allow the City Manager to execute the agreement; Alderperson Healy seconded.

DISCUSSION:

Alderperson Swanson asked for confirmation that there had been $170,000 in funds already allocated to upgrade Friendship Manor's independent living areas. Community and Economic Development Director Miles Brainard replied that the funds were Community Development Block Grant (CDBG-CV) dollars remaining from previous COVID-19 relief funding. He said the funding went predominantly to Friendship Manor's phone and internet systems. She noted that the City is now being asked for over $200,000, and requested clarification that this was for a brick and mortar expansion to their building. Mr. Brainard said this was meant for modernization of independent living facilities, which they feel are necessary to remain competitive. She asked how long the City had been working on this agreement. Mr. Brainard said approximately seven months. Alderperson Swanson asked when the loan application deadline was. Mr. Brainard was unsure.

Alderperson Gilbert said Frienship Manor is closing on a bond with the Quad Cities Regional Economic Development Authority (QCREDA) on October 2, 2023, and a buyer for the the bond has been found as well. Mr. Brainard replied affirmatively. Alderperson Swanson noted that the staff were aware of this for some time, yet she just found out about it on Tuesday, with documentation on Friday. She asked if something of this nature could be delayed to the next meeting for the chance to ask appropriate questions. She added that she is frustrated on the timing of it, and that Council should not do harm to a non-profit. She asked if Council could be prompted several weeks earlier. Mr. Thompson said the City side of the measure only recently came together, and guidelines need to be changed in order to meet it, which is why it came to Coucil at the last minute. Mr. Brainard said competiveness is critical in the line of independent care, which is why staff support the assistance package as they do. Alderperson Parker asked for quarterly project updates based on their status within the Community and Economic Development Department. Mr. Thompson said with a new person on board serving as Economic Development Director, regular updates are more possible. He noted that as projects progress, most use code names and general descriptions to ensure not revealing sensitive information too early. Alderperson Robinson asked if there was a way Council could be provided information prior to the few days allowed once an agenda is posted. Alderperson Gilbert noted that past practice was items such as this would be presented in a Study Session. Mr. Thompson said providing materials to Council earlier was possible, and noted that some cities have a pre-meeting for every agenda. However, sometimes it is difficult for staff to gauge how much advanced notice of information Council needs. Alderperson Poulos echoed concerns from Alderpersons Robinson and Gilbert regarding timeliness of information. Mayor Thoms discussed the timing with regards to economic development, noting the faster pace of such happenings and that the Council also needs to be able to adapt to this.

VOTE:

Motion passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

12. Ordinances with Reports from Departments

a. Report from the Community & Economic Development Department Ordinance regarding the consolidation of the Planning Commission and Board of Zoning Appeals into the Planning & Zoning Commission. (First Reading)

Motion:	Motion whether or not to approve the consolidation of the Planning Commission and Board of Zoning Appeals into the Planning & Zoning Commission and consider the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Gilbert moved to table this item until the October 23, 2023 meeting; Alderperson Hurt seconded.

VOTE:

Motion passed on a 6-1-0 roll call vote. Aye: Swanson, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: Parker. Absent: None.

b. Report from Community & Economic Development Department Regarding the Sale of City-Owned Real Estate at 1830 32nd Street. (First Reading)

Motion:	Motion whether or not to consider, suspend the rules, and pass the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Poulos moved to consider, suspend the rules, and pass the ordinance; Alderperson Healy seconded.

DISCUSSION:

Alderperson Gilbert asked how many qualified applicants there were for this. Mr. Brainard said this was the first applicant, and they quickly cleared hurdles. Alderperson Gilbert asked what part of the Community Development Block Grant (CDBG) budget the proceeds would go to. Mr. Brainard the funds would go back into the administration pool. Alderperson Gilbert asked why the funds were not going toward rehabilitation, as she would prefer that. Mr. Brainard said it can go to any fund preferred by Council. Alderperson Poulos said the house was very well done. Mr. Brainard said this was attributable to this project being run in-house as opposed to through a general contractor, and taking lessons from the first rehabilitation house that was previously completed on 15th Avenue. Alderperson Robinson asked if there was another house identified as the next one in the program. Mr. Brainard said prior to working on the next house, staff will work on creating an Urban Homestead Program policies and procedures document to bring before Council for approval. He said until that approval takes place, the primary area for acquisition will be the Quad Cities Land Bank, which will allow for a variety of properties that staff can be strategic about. He said the goal is to ultimately inspire neighborhood revitalization. Alderperson Parker asked if there were plans to apply for home money from Housing and Urban Development (HUD). Mr. Brainard said discussions are ongoing with the City of Moline to determine the possibility of applying together as the City of Rock Island does not qualify to apply on its own.

VOTE:

Motion Passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

c. Report from the Community & Economic Development Department regarding a Special Use Permit request from Juan Salinas at 2626 7th Avenue. (First Reading)

Motion:	Motion whether or not to approve the Special Use Permit request and consider the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve the Special Use Permit request, consider, suspend the rules, and pass the ordinance; Alderperson Robinson seconded.

DISCUSSION:

Alderperson Gilbert asked if the store was still in operation. Mr. Brainard invited the applicants to speak on the matter. Ms. Salinas said the goal is to open a tire service shop in the section of the location which used to house a business that moved.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

d. Report from the Community & Economic Development Department regarding a Special Use Permit Request for Eugene Gaylord at 812 44th Street. (First Reading)

Motion:	Motion whether or not to deny the request.
RC	Roll Call vote is needed.

MOTION:

Alderperson Poulos moved to deny the request; Alderperson Healy seconded.

DISCUSSION:

Alderperson Poulos said he has received several complaints regarding this property, and respects the staff's expertise and opinion regarding their recommendation. Mr. Brainard directed Council members to the pictures of the property included in the agenda packet.

Alderperson Healy asked when operations would cease after a denial of the special use permit. Mr. Brainard responded that a two-week notice is the standard timeframe, and if that does not remedy the situation, they will follow the standard code enforcement process.

VOTE:

Motion to deny passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

13. Resolutions

a. Report from the Mayor's Office and General Administration regarding a Resolution issuing a moratorium on all new class A, B, C, D & E liquor license applications for a period of six (6) months, expiring March 31, 2024.

Motion:	Motion whether or not to adopt the Resolution.
RC	Roll Call vote is needed.

MOTION:

Alderperson Hurt moved to adopt the Resolution; Alderperson Poulos seconded.

DISCUSSION:

Alderperson Parker asked if he understood correctly that this moratorium was in place to allow staff to improve and update the liquor application process and ordinance. Mayor Thoms responded affirmatively and added this timeframe also corresponds well with the liquor license renewal deadlines.

Alderperson Parker remarked he was concerned this moratorium would be a burden on businesses that want to sell as they would not be able to do so. Mayor Thoms confirmed that was the case unless it is a restaurant. Alderperson Parked acknowledged that the liquor process needed to be improved. However, it's the same process that has been in place for decades and he didn't see the need for the moratorium.

Alderperson Healy asked if the extended 6-months would definitely guarantee an updated ordinance with an improved process. Mayor Thoms and Mr. Thompson responded affirmatively. Mayor Thoms said his goal would be to have it in place even earlier than 6 months, but that would be dependent on the new City Attorney and staff. Mr. Thompson added the extension is needed as a result of the City Attorney being in flux.

Alderperson Robinson asked if a draft was available for Council members to review. Mr. Thompson responded there wasn't anything substantive for review at this time as it consisted primarily of research. Mayor Thoms added that Council would be able to review the draft and provide input.

Alderperson Gilbert asked if the Council would have the ability to lift the moratorium earlier than 6 months if necessary. Mayor Thoms responded affirmatively. Alderperson Gilbert asked if the moratorium needed to be voted on tonight or if it could be tabled for further discussion. Clerk Gange responded that the current moratorium in place expires September 30, 2023.

Alderperson Parker remarked he was not in favor of the extension. Alderperson Robinson commented that the City could continue with the current process until a new one could be brought forth. Mayor Thoms indicated there has been very little interest in new liquor licenses recently. Clerk Gange remarked she recalls two inquiries recently related to opening a bar. She said it was the Mayor and staff's understanding that the direction of the Council was to not open up more bars in the City. Aldperson Hurt said one of his concerns is additional liquor stores opening up as there is already an overload of these in the City and not a need for more. He said he understood the moratorium as a way to prevent the City from being a corridor for liquor stores and vape shops.

Alderperson Parker asked about the moratorium that was previously in effect for just the downtown. Mayor Thoms indicated that the moratorium in the downtown is no longer in effect.

VOTE:

Motion PASSED on a 4-3-0 roll call vote. Aye: Swanson, Poulos, Healy, and Hurt. Nay: Parker, Robinson and Gilbert. Absent: None.

b. Report from the Community & Economic Development Department regarding a Resolution to create a High Impact Project Business Assistance Program.

Motion:	Motion whether or not to adopt the resolution.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to adopt the Resolution; Alderperson Swanson seconded.

DISCUSSION:

Alderperson Parker asked Mr. Brainard to elaborate upon the reasons for this measure and why it would be a good thing. Mr. Brainard replied that if a project will justify the size of impact on the community, the City's contribution to the project could proportionally be justified as well. Alderperson Parker asked if this measure would drown out smaller businesses wishing to participate. He added that applications are coming in slower than anticipated, and many projects staff thought were further along are at more early stages. Although there was interest, there were no projects far enough along with approving or providing funding for.

Alderperson Gilbert asked for clarification that the smaller projects were not ready to complete full applications, but larger projects were. Mr. Brainard replied affirmatively, noting that many applicants have a general sense of what is needed, but not more specific information, such as costs. Alderperson Poulos asked if this measure would eliminate the opportunity for applicants that were not high impact businesses. Mr. Brainard replied that it does not eliminate it, but rather adds another category of programming to the available funding process.

VOTE:

Motion Passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

c. Report from the Public Works Department regarding a resolution declaring support for the submittal of a Reconnecting Communities and Neighborhoods Grant Program Application for US 67 (11th Street) between 5th Avenue and Blackhawk Road and 5th Avenue between 11th and 15th Streets.

Motion:	Motion whether or not to approve the Resolution as recommended.
RC	Roll Call vote is needed.

MOTION:

Alderperson Robinson moved to adopt the Resolution; Alderperson Gilbert seconded.

DISCUSSION:

Alderperson Robinson asked for more details on the measure. Public Works Director Mike Bartels said this is a planning grant that would go through the beginning phases of public engagement and stakeholders through to the final design phase, ready for bids. Alderperson Gilbert said this is an example of something that could have come to Council sooner with additional background information. Mr. Bartels said staff will work on this for future items, and noted this was a fast-paced grant that needed a great deal of information, with a swift closing date for submittal. He added that feedback on previous grant applications noted the need for a more shovel-ready project.

Alderperson Parker said the downtown revitalization efforts were assisted by the existing 2015 downtown plan. He asked Mr. Brainard if this project fell under the 11th Street Tax Increment Financing (TIF). Mr. Brainard said the TIF plans are essentially economic development plans, which could drive plans for 11th Street, and added that the age of the plan does not necessarily mean it is irrelevant.

Alderperson Parker asked if there were currently any community plans for 11th Street. Mr. Brainard said the TIF plans are redevelopment plans, and therefore serve as a guideline for what to do. Alderperson Parker asked Mr. Brainard if he believed this project mirrored the 2015 downtown revitalization plans. Mr. Brainard replied that the 2015 plan goes further than that, as it addresses programming, development sites, and the need to provide resources to properties. It is not the same, but has similar thinking. Alderperson Parker asked what staff's thoughts would be on having a plan for the 11th Street Corridor similar to the downtown. Mr. Brainard said corridor development plans have been discussed in the department, but there has neither been direction from Council nor resources to develop them.

Alderperson Gilbert asked how the West End Revitalization Project figured into discussions about the 11th Street Corridor. Mr. Bartels replied that the representatives for that project have not yet been contacted, but that would be part of the next step in the process. Alderperson Parker said he is interested in developing a plan for 11th Street in a similar fashion to what was done with the downtown revitalization plan.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

14. Budget/Finance Items

a. Report from the Finance Department regarding an adjustment to the CY 2023 budget, increasing the Downtown TIF Fund (201) expenditure by $1,303,837.51.

b. Report from the Finance Department regarding an adjustment to the CY 2023 budget, increasing the TIF Locks Fund (208) expenditure by $40,000.00.

Motion:	Motion whether or not to approve budget adjustments a and b as recommended.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve budget adjustment items a and b as recommended; Alderperson Poulos seconded.

DISCUSSION:

Alderperson Healy asked about the plan for the Klass Buildings, and what is being done to protect the public. Mr. Brainard said the money spent was for the installation of fencing to put up around both buildings. He is meeting with legal counsel tomorrow to discuss next steps as we have moved into Civil Court, pending litigation. He added that the main concern was the safety of the public.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

15. Department Reports

a. Report from the Community & Economic Development Department regarding sign variance requests from Hammad Grewal of AOC Center LLC at 3009 18th Avenue.

Motion:	Motion whether or not to approve the recommended variance with stipulations.
RC	Roll Call vote is needed.

MOTION:

Alderperson Poulos moved to deny the original request and but approve variances recommended by staff; Alderperson Parker seconded.

DISCUSSION:

Alderperson Poulos said this represented an officer safety issue. Mr. Brainard said the window signs on the property's windows need to be removed to achieve compliance with the amended sign ordinance from 2019, which allows for first responders to see inside the premises and determine the situation. He noted that the City is already providing some grace, as their main signage is slightly larger than code, but the rest is into compliance.

Alderperson Gilbert asked for clarification on what signage was to be removed. Mr. Brainard replied that the ordinance requires a visibility strip of three to five feet which provides an unobstructed view into the building. Alderperson Robinson noted that a business next to this had curtains on the windows, not allowing any sort of inside visibility. Mr. Brainard reviewed reasons why the ordinance language is as established, and noted that courts have reaffirmed that municipalities can regulate window decorations or signs.

Police Chief Landi indicated agreement with Mr. Brainard's response, and discussed the safety issues for first responders, and the special stipulations for liquor license holders. He noted that businesses such as convenient stores, vape stores, and bars are more likely to be targets of crimes rather than restaurants. Alderperson Robinson said there should be language to identify whether or not drapes could be in the window as well. Mr. Brainard replied that the City does have the right to regulate signage per consistent case law, but there is no support for authority to regulate drapery. Attorney Wright concurred with Mr. Brainard's explanation. Alderperson Poulos remarked on his time as an officer, stating that occasionally, obtrusive signage provides for a tactical disadvantage for law enforcement.

In response to a question from Alderperson Gilbert regarding signage enforcement, Mr. Brainard said staff is currently working on signage enforcement throughout all of Rock Island.

Alderperson Gilbert asked Clerk Gange to repeat the motion. She did, and subsequently Mr. Brainard and Alderperson Poulos clarified the motion.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Parker. Nay: None. Absent: None.

b. Report from the Community & Economic Development Department regarding zoning variance requests from Ann McGlynn of Tapestry Farms at 2525 4th Avenue.

Motion:	Motion whether or not approve the zoning variance requests.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve the zoning variance requests; Alderperson Poulos seconded.

DISCUSSION:

Mayor Thoms remarked this was a great project, and the Quad Cities Botanical Center is excited to see it move forward.

Alderperson Gilbert said this is a project that could also help with the City's food desert.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Parker. Nay: None. Absent: None.

16. Traffic Engineering Requests

a. Report from the Traffic Engineering Committee regarding a request from the Rock Island Academy, 930 14th Street, to change traffic flow and parking. (First Reading)

Motion:	Motion whether or not to consider the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Robinson moved to deny the request; Alderperson Poulos seconded.

DISCUSSION:

Alderperson Robinson asked if there are traffic counts for this area. Mr. Bartels said there is data, but would need to provide it later. Alderperson Robinson asked how the planning for this measure came about. Mr. Bartels said the school came up with the plan and submitted it to the Traffic and Engineering Committee, which is a common practice. Alderperson Robinson stated concern that this is permanent, and not just during school hours. Mr. Bartels said they have had so much confusion, and the safest option was to permanently address the issue. Alderperson Robinson noted the lack of personnel to enforce this two-block area, stating this a huge concern, and will not solve the issue. Mayor Thoms noted Washington Middle School as a school that already has this in place. Mr. Bartels noted that the Traffic and Engineering Committee eventually overruled the original submittal to have this measure only enforceable on school days.

Fire Chief Bob Graff said he is part of the Traffic and Engineering Committee, expressing that flipping the signs would be less safe than having a permanent status in that area. It was his recommendation to make it a permanent solution, as it represents the least of all evils. He further reviewed the reasons behind his recommendation. Alderperson Robinson said he understood it was a difficult decision, but reiterated that changes may make this more dangerous. He said his constituents do not want the one-way.

Alderpersons Poulos and Robinson withdrew their motions to deny the request.

Alderperson Robinson moved to table the request until the November 13, 2023 City Council meeting; Alderperson Poulos seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Parker. Nay: None. Absent: None.

b. Report from the Traffic Engineering Committee regarding a request to ban parking on the west side of 16th Street from 6th Avenue north to the alley. (First Reading)

Motion:	Motion whether or not to consider the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to consider, suspend the rules, and pass the ordinance; Alderperson Poulos seconded.

VOTE:

Motion PASSED on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Parker. Nay: None. Absent: None.

c. Report from the Traffic and Engineering Committee regarding a Speed Hump Policy and Application. (First Reading)

Motion:	Motion whether or not to consider the ordinance.
RC	Roll Call vote is needed.

MOTION:

Alderperson Robinson moved to consider the ordinance; Alderperson Gilbert seconded.

DISCUSSION:

Alderperson Robinson asked how long residents who apply and remit payment can expect to wait to receive a response on the status of their application. Mr. Bartels responded that he was unsure at this time as this is a new program modeled after the City of Davenport. He said he would reach out to them to determine what a typical timeframe would be for approvals or denials. Alderperson Parker asked if the intent was to acquire serious inquiries from residents. Mr. Bartels replied affirmatively.

Alderperson Gilbert asked Mr. Bartels how the determination would be made on the number of necessary signatures on an application from those affected. Mr. Bartels said it would need to be a two-thirds majority of all home or property owners in the affected area of the proposed speed hump. Alderperson Gilbert asked if residents would be able to know immediately upon contacting Public Works whether or not they qualified for a speed hump review based on traffic counts. Mr. Bartels said the initial request would bring the residents that information.

VOTE:

Motion Passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt and Gilbert. Nay: None. Absent: None.

17. Appointments to Boards/Commissions/Committees

a. Report from the Mayor's Office regarding an appointment to the Inspections Commission and an appointment to the Human Rights Commission.

Motion:	Motion whether or not to approve the appointments as recommended.
RC	Roll Call vote is needed.

MOTION:

Alderperson Parker moved to approve the appointments as recommended; Alderperson Robinson seconded.

VOTE:

Motion passed on a 7-0-0 roll call vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

18. Other Business/New Business

Alderperson Hurt asked Police Chief Landi to provide an overview of the Flock License Plate Readers (LPR) system that was used to solve a recent theft at City Hall. Police Chief Landi said on September 12, 2023 he received an email from Public Works Superintendent Luke VanLandegen regarding a report of stolen grates behind City Hall in the courtyard. The grates were discovered missing by Council members on the evening of September 11, 2023. The cameras that are installed in the courtyard were able to capture a pickup on the evening of September 10, 2023 loading the grates. Chief Landi presented a brief video of how the license plate reader was able to identify the truck's license plate going over the bridge into Iowa. On September 13, 2023, the truck again entered the City of Rock Island and the Flock LPR system notified the police department, which resulted in a traffic stop and both suspects being arrested. The grates have been recovered.

Alderperson Robinson discussed the IML Conference that was held this past week in Chicago. He remarked on the Council roundtable discussion that was held and members throughout the state discussed various strategies that were working and not working throughout their communities. He said one of the conversations he had was with a member from the City of Bloomington regarding their Youth Council. Alderperson Robinson expressed interest in creating a Youth Council in Rock Island to get kids involved and educate them on local government. Mayor Thoms said he supported this type of program.

Mayor Thoms said himself as well as Alderperson Poulos and Mr. Brainard also attended the IML Conference. He remarked that the session tracks and speakers were very informative, as well as the social networking opportunities.

19. Adjourn

a. Motion to Adjourn.

Motion:	Motion whether or not to adjourn.
RC	Voice Vote is needed.

MOTION:

Alderperson Poulos moved to adjourn; Alderperson Parker seconded.

VOTE:

Motion passed on a 7-0-0 voice vote. Aye: Swanson, Parker, Poulos, Healy, Robinson, Hurt, and Gilbert. Nay: None. Absent: None.

The meeting adjourned at 8:25 p.m.

____
Samantha Gange, City Clerk

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: An Ordinance regarding a Speed Hump Policy and Application. (Second Reading)

Motion: Motion whether or not to pass the ordinance.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The City Council requested the Traffic Engineering Committee to develop a comprehensive Speed Hump Policy and Application.

In fulfilling this directive, we conducted a thorough review of speed hump policies and best practices in other municipalities, taking into account their successes and challenges. This effort has culminated in the attached Speed Hump Policy and Application, which we believe will address our local traffic safety concerns effectively.

The process to install speed humps in the City of Rock Island will include submission of a Speed Hump Policy and Application. This application requires endorsement from the City Council Alderperson from the Ward where the proposed speed hump will be located; a petition signed by two-thirds (2/3) of the property owners abutting the street proposed for the speed hump; and an application fee of $100 that will be returned if not approved. This policy is based on similar requirements as the Honorary Street Name Policy.

Upon receipt of the application and fee, the Traffic Engineering Committee will determine if the road meets the necessary criteria and if funding is available. The Engineering Division will conduct a thorough evaluation of the road, considering the factors such as traffic volume, speed data, and community support.

The Traffic Engineering Committee will forward a recommendation to the City Council for consideration and approval. The City Council will review the recommendation and the applicant's request, and if approved, will adopt an ordinance authorizing the installation of the speed hump.

Speed humps installed within the City of Rock Island will be constructed to a height of approximately 3 to 4 inches and a length of 12 to 14 feet; spaced at a minimum of 300 to 500 feet apart to ensure their effectiveness without causing excessive driver discomfort; clearly marked with pavement marking and appropriate signage to alert motorists in advance, and designed to accommodate emergency vehicles and ensure safe passage of bicycles.

Previous Council Action (if any):

Budget Impact:

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

The Traffic Engineering Committee recommends that the City Council approve the Speed Hump Policy and Application.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

City of Rock Island

Speed Hump Policy and Application

The City of Rock Island recognizes the importance of ensuring the safety and well-being of its residents, pedestrians, and motorists. Speed humps are an effective traffic calming measure that can help reduce vehicle speeds and enhance overall road safety. This policy outlines the guidelines and procedures for implementing speed humps within the City of Rock Island.

DATE: ________________________________

APPLICANT CONTACT INFORMATION

Applicant Contact Name: ____

Organization Name (if applicable): ____

Address: ____

Email Address: ____

Telephone Number: ________________________________

SPEED HUMP LOCATION DETAILS

Location of speed hump:

On ___________________ (street name) between ___________________ (bounding street) and

___________________ (bounding street).

REASON FOR SPEED HUMP

Use the space below (or provide an attachment) to explain why a speed hump is needed.

____

____

____

____

____

CITY COUNCIL ALDERPERSON ENDORSEMENT

This application must have the endorsement from the City Council Alderperson from the Ward where the proposed speed hump will be located.

Alderperson Signature: ________________________________ Date: __________________

Ward Number: ______________________________________

SPEED HUMP POLICY AND APPLICATION CHECK LIST

This application consists of the following items necessary for a complete application (please check):

- ☐ Applicant Contact Information

- ☐ Criteria for Speed Hump Installation

  Speed humps are typically installed where speed-related concerns have been identified.

  Speed humps may be considered for installation on roads with posted speed limits of 30 mph or lower.

  Speed humps may be installed on roads with moderate to low traffic volumes to ensure they are effective in reducing speeds without causing significant congestion.

  Traffic volumes shall be a minimum of 300 vehicles per day with a maximum of 5,000 vehicles per day.

- ☐ City Council Alderperson Endorsement (from the Ward where the proposed speed hump will be located)

- ☐ A Petition signed by two-thirds (2/3) of the property owners abutting the street proposed for the speed hump.

  The petition will let the Traffic Engineering Committee and the City Council know that the property owners in the proposed speed hump area also support the request.

- ☐ Application Fee of $100 (make check payable to City of Rock Island)

  An application fee of $100 is required with the application.

  If the application is not approved, the $100 application fee will be returned to the applicant contact.

Upon receipt of the application and fee, the Traffic Engineering Committee will determine if the road meets the necessary criteria and if funding is available for the installation of a speed hump.

The Traffic Engineering Committee will forward a recommendation to the City Council for consideration and approval. The City Council will review the recommendation and the applicant's request, and if approved, will adopt an ordinance authorizing the installation of the speed hump.

SIGNATURE OF APPLICANT

I have read and understand the information in this application and certify that the answers I have provided are correct to the best of my knowledge.

I also understand that this application will not be considered unless all items mentioned above are submitted to the:

Traffic Engineering Committee
City of Rock Island
Public Works Department
1309 Mill Street
Rock Island, IL 61201
309-732-2200
pubworksmail@rigov.org

Applicant Signature: ________________________________ Date: ____________________

City of Rock Island

Speed Hump Petition

I, the undersigned, support the installation of speed hump on ____________________ (street name)

between ____________________ (bounding street) and ____________________ (bounding street).

NAME	ADDRESS

Please feel free to make copies of the petition if additional names are needed to support the request.

CITY OF ROCK ISLAND
CHART OF ACCOUNTS

September 15, 2023 through
September 21, 2023
TOTAL: $ 971,569.31

FUNDS	FUND NAME	DEPT	DEPARTMENT NAME
101	General Fund	111	1st Ward
201	TIF #1 Downtown	112	2nd Ward
202	TIF #2 South 11th Street	113	3rd Ward
203	TIF #3 North 11th Street	114	4th Ward
204	TIF #4 Jumer's Casino Rock Island	115	5th Ward
205	TIF #5 Columbia Park	116	6th Ward
206	TIF #6 NE I280/Parkway	117	7th Ward
207	Community/Economic Development	118	Mayor
208	TIF #7 The Locks	119	Mayor & Council Administration
209	TIF #8 Watchtower	122	General Administration
210	Public Benefit	123	Legal Services
211	Martin Luther King Center	131	City Clerk
212	MLK Facility Improvement	155	Human Resources Administration
213	TIF #9 1st Street	156	Human Resources Services
221	Motor Fuel Tax	157	Insurance
222	Foreign Fire Insurance	211	Finance Administration
223	Riverboat Gaming	212	Customer Service
224	State Drug Prevention	213	Accounting Services
225	DUI Fine Law	214	Budget and Grants Management
226	Court Supervision	256	Information Technology
227	Crime Laboratory	311	Community/Economic Development Administration
241	US Dept of Justice Grant	312	Economic Development
242	Community Development Block Grant	313	Planning/Redevelopment
243	Federal Drug Prevention	314	Inspection
244	Neighborhood Stabilization ARRA	356	Martin Luther King Jr Community Center
245	Schwiebert Park Boat Dock	411	Police Administration
246	Ridgewood Business Park	412	Field Operations Bureau
251	Public Library	413	Criminal Investigation Bureau
252	Volunteers of the RI Library	414	Administration/Technical Services Bureau
271	Honor Guard Contributions	415	Tactical Operations
272	DARE	451	Fire Administration November 5, 2021 through
273	Police Contributions	452	Fire Emergency Services
274	Elderly Service Contributions	453	Fire Protective Inspect
275	RI Auxiliary Police	454	Ambulance
276	RI Labor Day Parade	611	Public Works Administration
277	ESO Christmas Tour	612	Motor Vehicle Parking System
278	Adopt A School	613	Water Meter Services
279	Fire Donations	615	Engineering
282	Lead Grant	616	Municipal Services
301	Capital Improvements	617	Fleet Services
302	Capital Improvements - Streets	618	Utilities Service
405	Debt Service	619	Utilities Maintenance
501	Water Operation & Maintenance	711	Library Administration
502	Water Capital 2010A BAB'S	712	Library Info Services
506	Wastewater Operations & Maintenance	713	Library Circulation
507	Stormwater Utility	714	Library Extension
508	Wastewater Capital 2010A BAB'S	811	Park/Recreation Administration
510	Solid Waste	813	Recreation
511	Centennial Bridge	818	Whitewater Aquatic Center
541	Sunset Marina	819	Schwiebert Riverfront Park
555	Parks & Recreation	822	Parks
581	CDBG Loan Programs	834	Highland Golf Maintenance
582	State Affordable Housing	835	Highland Clubhouse
583	Community/Economic Development Loans	846	Golf Pro Shop
584	CIRLF Loan Fund	854	Saukie Golf Maintenance
585	MPF Endowment Loans	855	Saukie Clubhouse
586	Brownfield Revolving Loan Fund	867	RIFAC
595	Public Housing	891	Donations P/R Admin
601	Fleet Services	892	Donations Parks
602	Fleet Amortization	893	Donations Recreation Programs
606	Engineering	894	Donations Golf Maintenance
609	Hydroelectric Plant	895	Donations Highland/Saukie Clubhouse
621	Self-Insurance	896	Donations Golf Pro Shop
626	Employee Health Plan	897	Donations RIFAC
701	Fire Pension	898	Donations Longview Aquatic Center
706	Police Pension	899	Donations Riverfront Park
711	Cafeteria Plan	910	Police Fire Commission
712	Loan Escrow	920	Fire Pension
831	GASB 34	930	Police Pension
901	MLK Activity	940	Cafeteria Plan
905	IL DCFS		
906	Dept of Human Services		
907	MLK Capital Contributions		
950	Public Library Foundation		

REPORT PARAMETERS

ORGANIZATION	: 010
BANK	: 1
PRINT BY	: CHECK DATE
SORT OPTION	: VENDOR NAME
PRINT DETAILS	: Y
BEGINNING CHECK DATE	: 09/21/23
ENDING CHECK DATE	: 09/21/23
ORG NAME FOR EXTRACT FILE	: APPP092123

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/21/23 - 09/21/23

BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV	CHECK STATUS / VEND
1	176013	$599.50	09/21/23	01181	2	ACUSHNET COMPANY		S	OUTSTANDING
		599.50	555-846721-52506-0000000			proshop titl tour soft			
1	46347	$37.54	09/21/23	00046	0	ADEL WHOLESALERS INC		T	CLEARED
		9.91	101-617111-52303-0000000			trcfd455			
		27.63	101-617111-52303-0000000			sloan handle repair kit/assemb			
1	176014	$428.00	09/21/23	17421	0	AIRWAYZ, INC		S	OUTSTANDING
		428.00	901-356941-53801-0000000			buildng and grounds			
1	176015	$2550.00	09/21/23	18082	0	ANDREW J DASSO		S	OUTSTANDING
		1350.00	248-867564-53806-8222671			rifac renovation project			
		1200.00	555-811041-53103-0000000			wwj spray park concept			
1	46348	$341.38	09/21/23	15725	0	ARNOLD MOTOR SUPPLY LLP		T	CLEARED
		84.06	555-834751-52305-0000000			highland mower battery			
		30.00	555-834751-52305-0000000			highland air filter			
		30.00	555-834751-52305-0000000			highland air filter			
		30.00	555-834751-52305-0000000			highland air filter			
		12.04	555-834751-52305-0000000			highland spark plugs			
		35.00	601-617364-52305-0000000			FLEET-2023-0002765			
		43.28	601-617364-52305-0000000			FLEET-2023-0002765			
		77.00	601-617364-52305-0000000			FLEET-2023-0002784			
1	46349	$2431.66	09/21/23	16222	0	ARTHUR CLESEN INC		T	CLEARED
		2431.66	555-854701-52303-0000000			saukie electric rotor			
1	175998	$36.27	09/21/23	01288	1	AT & T		S	OUTSTANDING
		36.27	101-452271-53803-0000000			MABAS WIRELESS LINE			
1	176016	$52.29	09/21/23	00010	1	AT & T CORP		S	OUTSTANDING
		52.29	101-414226-53207-0000000			AUGUST BILL			
1	176017	$36.00	09/21/23	18623	0	AUSTIN VANDEGEEST		S	OUTSTANDING
		36.00	555-813501-53112-6127000			soccer ref			
1	176018	$109.99	09/21/23	00109	0	AUTOZONE STORES LLC		S	OUTSTANDING
		109.99	601-617362-52401-0000000			Large Ball Joint Press Tool			
1	176019	$90.00	09/21/23	14934	0	BENJAMIN N CORLETT		S	OUTSTANDING
		90.00	555-813521-53112-0000000			slow pitch ump			
1	176020	$2489.00	09/21/23	10260	0	BI-STATE MASONRY		S	OUTSTANDING
		2489.00	621-157133-53602-0000000			Labor, Equipment, and material			
1	176021	$56.20	09/21/23	00288	0	BI-STATE REGIONAL COMMISSION		S	OUTSTANDING
		56.20	101-118041-54401-0000000			CEO/CAO Meeting Lunch			
1	176022	$75.98	09/21/23	02537	2	BLACKSTONE AUDIO INC		S	OUTSTANDING
		75.98	251-713451-54305-0000420			RIP AV			

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/21/23 - 09/21/23

BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV	CHECK STATUS / VEND
1	46350	$30577.88	09/21/23	00297	0	BLICK & BLICK OIL INC		T	CLEARED
		15530.68	601-617364-52203-0000000			4498 Gals Gasohol			
		14067.20	601-617364-52203-0000000			3500 Gals Diesel			
		930.00	555-854701-53801-0000000			fuel pump repairs			
		50.00	555-855702-53801-0000000			fuel pump repairs			
1	46351	$17848.47	09/21/23	18099	0	BMO HARRIS - FIRE PENSION		T	CLEARED
		17848.47	701-000000-10121-0000000			PAYROLL FOR - 092223			
1	46352	$22796.96	09/21/23	18100	0	BMO HARRIS - POLICE PENSION		T	CLEARED
		22796.96	706-000000-10121-0000000			PAYROLL FOR - 092223			
1	176023	$244.97	09/21/23	10543	0	BRANDON BAKER		S	OUTSTANDING
		244.97	101-452271-51502-0000000			WEEK 2-ROPE RESCUE TECH			
1	176024	$778.30	09/21/23	15731	0	BREAKTHRU BEVERAGE ILLINOIS, LLC		S	OUTSTANDING
		778.30	555-835753-52503-0000000			highland alcohol			
1	176025	$76.86	09/21/23	05754	0	BREEDLOVE'S SPORTING GOODS		S	OUTSTANDING
		76.86	555-813501-52212-6269000			mouthpieces			
1	46353	$301.00	09/21/23	01923	1	BRODART COMPANY		T	CLEARED
		301.00	251-712431-52210-0000000			TS PROCESSING			
1	176026	$273.82	09/21/23	12148	24	BROOKS LAW FIRM, P.C.		S	OUTSTANDING
		273.82	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	176027	$35.00	09/21/23	18636	0	BRYCE BOWERS		S	OUTSTANDING
		35.00	501-000000-44170-0000000			water charges refund			
1	176028	$1321.00	09/21/23	18426	0	CENTRAL POOL SUPPLY QC		S	OUTSTANDING
		1321.00	555-867562-52218-0000000			diaomaceous earth 25lb/chlorin			
1	176029	$1781.30	09/21/23	01141	0	CHC DBA D & K PRODUCTS		S	OUTSTANDING
		1512.50	555-854701-52220-0000000			saukie ignition			
		268.80	555-854701-52219-0000000			saukie quintessential			
1	46354	$12604.00	09/21/23	17328	0	CHEMWATER INC		T	CLEARED
		6302.00	501-618352-52217-0000000			Polymer			
		6302.00	501-618352-52217-0000000			Polymer			
1	176030	$651.28	09/21/23	15674	0	CINTAS CORPORATION NO. 2		S	OUTSTANDING
		602.39	211-356941-53801-0000000			building and grounds			
		48.89	211-356941-53801-0000000			building and grounds			
1	176031	$4200.00	09/21/23	01309	1	CITY OF DAVENPORT		S	OUTSTANDING
		4200.00	101-616301-52306-0000000			emulsion			
1	46355	$3126.26	09/21/23	03309	0	COE EQUIPMENT INC		T	CLEARED

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/21/23 - 09/21/23

BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV VEND	CHECK STATUS
		46.83	601-617364-52305-0000000			FLEET-2023-0002809			
		54.56	601-617364-52305-0000000			FLEET-2023-0002809			
		2951.04	601-617364-52305-0000000			FLEET-2023-0002813			
		73.83	601-617364-52305-0000000			FLEET-2023-0002818			
1	176032	$635.00	09/21/23	17745	0	CONCEPTUAL DESIGNS INC		S	OUTSTANDING
		635.00	906-356921-53110-5332304			youth programs supplies			
1	176033	$1123.50	09/21/23	00451	0	COOPMAN TRUCKING EXCAVATING		S	OUTSTANDING
		1123.50	555-834751-52201-0000000			highland top soil			
1	175999	$375.00	09/21/23	16915	0	CORRIE J MASON		S	OUTSTANDING
		375.00	510-000000-44177-0000000			trash pickup fee refund			
1	46356	$3141.97	09/21/23	00468	0	CRAWFORD HEATING & COOLING INC		T	CLEARED
		1328.49	555-867564-53801-0000000			replace drinking fountain			
		445.48	506-618341-53801-0000000			maint plan/ agreement 1328			
		250.00	501-618352-53801-0000000			AC is down chemical feed room			
		1118.00	501-618352-53801-0000000			service call ac			
1	176034	$72.00	09/21/23	18629	0	CURTIS ARCHIE		S	OUTSTANDING
		72.00	555-000000-21411-0000000			refund swim lessons			
1	46357	$47549.50	09/21/23	14239	0	CVS PHARMACY INC		T	CLEARED
		47549.50	626-157141-53602-0000000			CVS 09/08/23 to 09/15/23			
1	46358	$1137.32	09/21/23	00514	0	DAVENPORT ELECTRIC CONTRACT COMPANY		T	CLEARED
		141.22	101-000000-42202-0000000			1402 31st Avenue Refund			
		847.60	555-834751-53801-0000000			highland repair lift pumps			
		148.50	251-711401-53801-0000000			REPAIR RECV DOOR BUZZER SYSTEM			
1	46359	$28623.99	09/21/23	16929	0	DAVID G MORRISON ATTORNEY AT LAW PC		T	CLEARED
		28623.99	101-123041-53101-0000000			August Legal			
1	46360	$10636.60	09/21/23	00534	0	DELTA DENTAL PLAN OF ILLINOIS		T	CLEARED
		1657.98	626-157141-53601-0000000			Delta Dental Retired High			
		430.80	626-157141-53601-0000000			Delta Dental Retired Low			
		8547.82	626-157141-53601-0000000			Delta Dental Active Low Plan			
1	176000	$8313.84	09/21/23	18512	0	DNA ROOFING & GUTTER SOLUTIONS LLC		S	OUTSTANDING
		8313.84	242-313851-55220-6215230			4504 16th Avenue			
1	176035	$9810.17	09/21/23	10176	0	EDWARDS CREATIVE SERVICES LLC		S	OUTSTANDING
		9810.17	901-356941-56202-6391000			steam lab expansion			
1	46361	$3957.54	09/21/23	00602	0	ELLIOTT EQUIPMENT CO		T	CLEARED
		784.28	601-617364-52305-0000000			FLEET-2023-0002783			
		1767.45	601-617364-52305-0000000			FLEET-2023-0002783			
		176.20	601-617364-52305-0000000			FLEET-2023-0002783			
		258.84	601-617364-52305-0000000			FLEET-2023-0002783			

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		458.43	601-617364-52305-0000000			FLEET-2023-0002783			
		62.20	601-617364-52305-0000000			FLEET-2023-0002783			
		57.90	601-617364-52305-0000000			FLEET-2023-0002783			
		104.88	601-617364-52305-0000000			FLEET-2023-0002783			
		21.04	601-617364-52305-0000000			FLEET-2023-0002783			
		130.40	601-617364-52305-0000000			FLEET-2023-0002783			
		131.52	601-617364-52305-0000000			FLEET-2023-0002781			
		4.40	601-617364-52305-0000000			FLEET-2023-0002802			
1	46362	$26815.49	09/21/23	17917	0	ENTERPRISE FM TRUST		T	CLEARED
		1352.40	101-314881-53905-0000000			Enterprise Lease			
		901.60	101-314882-53905-0000000			Enterprise Lease			
		15731.51	101-412206-53905-0000000			Enterprise Lease			
		450.80	101-414222-53905-0000000			Enterprise Lease			
		796.66	101-452271-53905-0000000			Enterprise Lease			
		2034.41	101-616301-53905-0000000			Enterprise Lease			
		1267.98	101-617371-53905-0000000			Enterprise Lease			
		450.80	242-313851-53905-6197230			Enterprise Lease			
		500.54	251-711401-53905-0000000			Enterprise Lease			
		1525.59	501-619356-53905-0000000			Enterprise Lease			
		1352.40	555-813501-53905-0000000			Enterprise Lease			
		450.80	555-822621-53905-0000000			Enterprise Lease			
1	176001	$912.48	09/21/23	04866	0	ENVIRONMENTAL HAZARDS SERVICES LLC		S	OUTSTANDING
		486.24	242-311041-53112-6196230			1701 5th Street			
		426.24	242-311041-53112-6196230			1630 8th Avenue			
1	46363	$2496.25	09/21/23	18547	0	ESI ENVIRONMENTAL SERVICES LLC		T	CLEARED
		771.25	555-813501-53822-0000000			rec portapots			
		160.00	555-835753-53822-0000000			highland portapots			
		160.00	555-855702-53822-0000000			saukie portapots			
		80.00	555-813521-53822-0000000			plex portapots			
		925.00	555-822601-53822-0000000			rec portapots			
		160.00	555-835753-53822-0000000			highland portapots			
		160.00	555-855702-53822-0000000			saukie portapots			
		80.00	555-813521-53822-0000000			plex portapot			
1	176036	$851.95	09/21/23	09929	0	EUCLID BEVERAGE OF GALESBURG		S	OUTSTANDING
		231.10	555-855702-52503-0000000			saukie beer			
		620.85	555-835753-52503-0000000			highland beer			
1	46364	$1133.00	09/21/23	00692	0	FBG SERVICE CORP		T	CLEARED
		608.00	507-619041-53801-0000000			August 2023 monthly cleaning			
		525.00	501-618352-53801-0000000			water cleanup due to power sur			
1	46365	$14500.00	09/21/23	18592	0	FIFTH ASSET INC		T	CLEARED
		14500.00	101-211041-53822-0000000			Subscription Fee for Tier 3			
1	46366	$25285.72	09/21/23	14850	0	FINER FINISH GROUNDS CARE LLC		T	CLEARED
		7000.00	101-616322-53822-6224000			August 2023 city owned facilit			

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		6428.57	101-616322-53822-6224000			August 2023 City owned parcels			
		11857.15	507-616322-53822-6224000			2023 ROW MOWING CONTRACT			
1	46367	$163.14	09/21/23	17262	0	FIRE UNION SUPPORT FUND		T	CLEARED
		163.14	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46368	$429.96	09/21/23	17985	0	G & M DISTRIBUTORS INC		T	CLEARED
		429.96	555-835753-52503-0000000			highland alcohol			
1	46369	$17826.65	09/21/23	05125	0	GAS & ELECTRIC CREDIT UNION		T	CLEARED
		17826.65	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46370	$13451.83	09/21/23	15343	0	GENESIS HEALTH SYSTEM		T	CLEARED
		3362.96	621-157131-53602-0520000			25% In-Clinic July WC			
		10088.87	626-157141-53112-0520000			75% In-Clinic July EE			
1	176037	$551.97	09/21/23	15891	0	GENVENTURES		S	OUTSTANDING
		551.97	626-157141-53112-0520000			Genesis August Pharmacy			
1	176038	$277.90	09/21/23	00199	0	GIBSON LTD		S	OUTSTANDING
		206.00	555-867564-52304-0000000			sla1115 and 1105			
		28.20	101-616041-52103-0000000			Batteries			
		28.20	606-615041-52103-0000000			Batteries			
		15.50	601-617364-52103-0000000			Batteries			
1	176039	$3651.99	09/21/23	16204	0	GLOBAL WIRELESS LTD		S	OUTSTANDING
		3651.99	248-256041-53822-8521663			24TH ST & 17TH ST			
1	46371	$719.56	09/21/23	00365	0	GRAINGER		T	CLEARED
		37.83	555-867564-52304-0000000			pressure switch dpst			
		351.66	101-617112-52301-0000000			filter cartridge water cooler			
		184.14	601-617362-52401-0000000			C-Clamp tool			
		145.93	601-617362-52402-0000000			Handheld sprayer			
1	46372	$950.00	09/21/23	03057	0	GRAVES ENVIRONMENTAL & SAFETY INC		T	CLEARED
		700.00	242-314882-53801-6200230			Asbestos Inspection 708 7th Av			
		250.00	242-314882-53801-6200230			710 7th Avenue			
1	46373	$1882.30	09/21/23	06030	0	HAWKINS INC		T	CLEARED
		1882.30	555-867562-52218-0000000			Azone,deldrum			
1	46374	$226.64	09/21/23	04970	0	HD SUPPLY FACILITIES MAINTENANCE		T	CLEARED
		226.64	501-618352-52205-0000000			tss glass fiber filter 100/pk			
1	176040	$9891.55	09/21/23	18302	0	HDR ENGINEERING		S	OUTSTANDING
		9891.55	231-616316-56501-2719000			Prof services 7/2 thru 8/24/23			
1	176041	$801.27	09/21/23	02905	0	HIGHLAND PACKING CO INC		S	OUTSTANDING
		569.29	555-835753-52501-0000000			highland food			
		231.98	555-855702-52501-0000000			saukie food			

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1	176042	$72.36	09/21/23	01436	0	HORST-ZIMMERMAN INC		S	OUTSTANDING
		72.36	601-617364-52305-0000000			FLEET-2023-0002806			
1	176043	$9977.50	09/21/23	16977	0	HUTCHISON ENGINEERING INC		S	OUTSTANDING
		9977.50	231-616311-56501-2606000			Prof Serv thru 8/4/23			
1	176044	$69.00	09/21/23	02308	0	IA IL TERMITE & PEST CTL INC		S	OUTSTANDING
		69.00	507-619041-53801-0000000			Quarterly pest control service			
1	176045	$8192.83	09/21/23	00162	2	ILLINOIS DEPARTMENT OF EMPLOYMENT SECURITY		S	OUTSTANDING
		8192.83	621-157132-53602-0000000			IDES Balance paid by 09/30/23			
1	46375	$1785.00	09/21/23	01839	0	ILLINOIS FOP LABOR COUNCIL		T	CLEARED
		1785.00	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	176046	$56.50	09/21/23	00184	0	ILLINOIS STATE POLICE		S	OUTSTANDING
		56.50	101-414223-53112-6253000			AUGUST STATEMENT			
1	176047	$25.00	09/21/23	15668	0	INDUSTRIAL ORGANIZATIONAL SOLUTIONS INC		S	OUTSTANDING
		25.00	101-910041-53112-0000000			EXAM FORM 2			
1	176048	$1112.80	09/21/23	10783	0	INQUIREHIRE INC		S	OUTSTANDING
		524.57	101-155102-53112-0000000			Inquirehire Invoice			
		588.23	101-155102-53112-0000000			Inquirehire Charges			
1	176049	$1420.00	09/21/23	08664	0	INTEGRITY CLEANING SYSTEMS INC.		S	OUTSTANDING
		1420.00	555-867564-53801-0000000			rifac cleaning			
1	176050	$25.00	09/21/23	18313	0	INTERNATIONAL ASSOCIATION OF CRIME ANALYSTS		S	OUTSTANDING
		25.00	101-413215-53822-0000000			IACA MEMBERSHIP RENEWAL			
1	46376	$520.32	09/21/23	00201	0	INTERSTATE POWER SYSTEMS INC		T	CLEARED
		520.32	601-617364-52305-0000000			FLEET-2023-0002799			
1	176051	$200.00	09/21/23	17942	0	JAK ENTERPRISES INC		S	OUTSTANDING
		200.00	101-155102-53105-0000000			Bard Optical			
1	176052	$700.00	09/21/23	17975	0	JAY BOHNSACK		S	OUTSTANDING
		700.00	555-819614-52201-0000000			SRP mums			
1	176053	$54.00	09/21/23	17965	0	JAYLEN BROZOVICH		S	OUTSTANDING
		54.00	555-813501-53112-6269000			football ref			
1	176002	$3294.80	09/21/23	16383	0	JEFF WESTERFIELD		S	OUTSTANDING
		3294.80	207-312801-55109-0000000			2022-2023 Rebate			
1	46377	$450.00	09/21/23	14433	0	JEFFREY LEE LARUE		T	CLEARED
		450.00	555-835753-53112-0000000			highland golf teacher			

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1	176054	$120.00	09/21/23	18192	0	JEFFREY STOLZE		S	OUTSTANDING
		120.00	555-813521-53112-0000000			slow pitch ump			
1	46378	$1970.80	09/21/23	14515	0	JOHNSON CONTROLS		T	CLEARED
		1970.80	101-617111-53801-0000000			AHU #10 not cooling			
1	176055	$21.69	09/21/23	17923	0	JUDITH GILBERT		S	OUTSTANDING
		21.69	101-113041-54401-0000000			Nitro Software			
1	46379	$100.80	09/21/23	00488	0	K & S H2O INC		T	CLEARED
		100.80	501-618352-53804-0000000			di exchange service			
1	176056	$90.00	09/21/23	17104	0	KENNETH L CHARD		S	OUTSTANDING
		90.00	555-813521-53112-0000000			slow pitch ump			
1	176057	$120.00	09/21/23	15672	0	KENNEYS PEST CONTROL INC		S	OUTSTANDING
		55.00	101-451293-53801-0000000			Pest control			
		65.00	101-451295-53801-0000000			Pest control			
1	176058	$240.00	09/21/23	18628	0	KRISTA COLBERG		S	OUTSTANDING
		240.00	506-619359-53806-2564000			SLRP reimbursement			
1	176003	$15175.00	09/21/23	00309	0	LANDEROS & SONS CONSTRUCTION INC		S	OUTSTANDING
		15175.00	242-313851-55230-6355230			Metcalf 1701 5th Street			
1	46380	$89836.00	09/21/23	00411	0	LANGMAN CONSTRUCTION INC		T	CLEARED
		9836.00	242-314882-53801-6200230			710 & 708 7th Avenue Demo			
		80000.00	207-313851-55305-0000228			710 & 708 7th Avenue Demo			
1	176059	$1892.00	09/21/23	18633	0	LAW ENFORCEMENTS SYSTEMS INC		S	OUTSTANDING
		1892.00	101-414223-52213-0000000			IL-23 CITATIONS			
1	176060	$267.30	09/21/23	16401	5	LEE ENTERPRISES INCORPORATED		S	OUTSTANDING
		149.54	606-615041-53402-0000000			Pavement marking program 2749			
		117.76	606-615041-53402-0000000			Enterprise resource planning			
1	176004	$229.26	09/21/23	15243	0	LEGAL SHIELD		S	OUTSTANDING
		229.26	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46381	$125.47	09/21/23	00795	1	LINDE GAS & EQUIPMENT INC		T	CLEARED
		125.47	555-834751-53822-0000000			highland tank			
1	46382	$1487.80	09/21/23	00434	0	LOGAN CONTRACTORS SUPPLY INC		T	CLEARED
		1415.00	101-616301-52306-0000000			citrus foam release/soy solv a			
		72.80	101-616301-52401-0000000			18x3-1/2" canvas resin/snap co			
1	46383	$750.81	09/21/23	14679	0	LOGOPRO LLC		T	CLEARED
		750.81	251-711041-54501-0000000			PROMOTIONAL ITEMS 2023			
1	176005	$603.72	09/21/23	18574	0	LONNIE ROBINSON JR		S	OUTSTANDING

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		603.72	581-000000-11902-0000000	Rehabilitation Refund			
1	46384	$48.54	09/21/23 02217	0 LOVEWELL FENCING INC		T	CLEARED
		48.54	101-617120-52301-0000000	tension bands/bars			
1	176061	$127.15	09/21/23 00461	0 LOWES HOME CENTERS INC		S	OUTSTANDING
		127.15	101-616601-52301-0000000	supplies			
1	176062	$239.72	09/21/23 18638	0 LYNN DESPLINTER		S	OUTSTANDING
		239.72	510-000000-44177-0000000	trash pickup fee refund			
1	176063	$152.13	09/21/23 18639	0 LYNN HARRIS		S	OUTSTANDING
		152.13	510-000000-44177-0000000	trash pickup fee refund			
1	46385	$430.00	09/21/23 12959	0 M & M GOLF CARS LLC		T	CLEARED
		430.00	901-356911-53902-5328000	FAMILY FUN DAY			
1	176064	$2703.00	09/21/23 09291	0 MASTER DESIGN LANDSCAPE		S	OUTSTANDING
		901.00	901-356941-53801-0000000	building and grounds			
		901.00	901-356941-53801-0000000	building and grounds			
		901.00	901-356941-53801-0000000	building and grounds			
1	176006	$22120.00	09/21/23 18367	0 MCCLINTOCK PLUMBING INC		S	OUTSTANDING
		22120.00	242-313851-55204-6214810	Kuehnel 1524 27th Street			
1	176007	$4200.00	09/21/23 17031	0 MCGUIRE IGLESKI & ASSOCIATES INC		S	OUTSTANDING
		4200.00	207-313811-53112-0000062	Phase I & Phase II			
1	46386	$285.61	09/21/23 00528	0 MENARD INC		T	CLEARED
		285.61	101-616601-52301-0000000	supplies			
1	176065	$501.24	09/21/23 00816	1 MHC KENWORTH-QUAD CITIES		S	OUTSTANDING
		183.18	601-617364-52305-0000000	Filters for stock			
		318.06	601-617364-52305-0000000	Filter screens for stock			
1	46387	$271.00	09/21/23 01355	0 MICHAEL J KANE		T	CLEARED
		271.00	606-615041-54402-0000000	ASCE membershop dues			
1	46388	$57216.52	09/21/23 00560	0 MIDAMERICAN ENERGY CO		T	CLEARED
		30.49	101-312118-53703-0000000	Electric - RI Cent Bridge			
		19.94	101-312118-53702-0000000	Gas - RI Cent Bridge			
		59.12	242-313851-55305-6523910	1830 32nd Street			
		31.10	101-312801-53703-0000000	2300 3rd Avenue Sign			
		29.06	101-312801-53703-0000000	451 44th Street Welcome Sign			
		279.76	251-714403-53703-0000000	RIS ELEC SERV AUG 2023			
		32.73	501-618352-53703-0000000	RW RD Water Tower elec			
		15406.84	101-617372-53703-0000000	8/23 Street Light energy charg			
		9357.61	501-618352-53703-0000000	8/23 Pump Station elec			
		16480.60	506-618341-53703-0000000	8/23 WWTP elec			
		5537.54	501-618352-53703-0000000	Reservoir Domes elec			

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		100.00	555-811041-53703-0000000			admin elec			
		9851.73	555-867564-53703-0000000			rifac elec			
1	46389	$1751.58	09/21/23	02440	0	MIDWEST MAILWORKS INC		T	CLEARED
		633.34	101-155041-53203-0000000			Midwest Mailworks 08/21-08/25			
		597.06	101-155041-53203-0000000			Midwest Mailworks 08/14-08/18			
		521.18	101-155041-53203-0000000			Midwest M 08/28 to 09/01			
1	46390	$4112.98	09/21/23	17981	0	MIDWEST TAPE LLC		T	CLEARED
		2499.67	251-713451-54305-0000000			HOOPLA E-CONTENT			
		158.68	251-713451-54305-0000420			RIP AV			
		137.94	251-713451-54305-0000420			RIP AV			
		22.49	251-713451-54305-0000420			RIP AV			
		22.49	251-714403-54305-5645420			RIS AV			
		35.22	251-713451-54305-0000420			CR RIZ AV			
		12.74	251-714403-54305-5645420			RIS AV			
		20.24	251-713451-54305-0000420			RIP AV			
		20.24	251-714403-54305-5645420			RIS AV			
		74.97	251-713451-54305-0000420			RIP AV			
		101.29	251-714403-54305-5645420			RIS AV			
		50.96	251-713451-54305-0000420			CR RIZ AV			
		25.48	251-714403-54305-5645420			RIS AV			
		92.96	251-713451-54305-0000420			RIP AV			
		184.68	251-713451-54305-0000420			RIP AV			
		93.95	251-713451-54305-0000420			RIT RIZ AV			
		26.24	251-713451-54305-0000420			RIP AV			
		382.05	251-713451-54305-0000420			RIP AV			
		78.72	251-713451-54305-0000420			CR RIS RIZ AV			
		71.97	251-713451-54305-0000420			RIP AV			
1	176066	$36.00	09/21/23	17850	0	MIGUEL GONZALEZ		S	OUTSTANDING
		36.00	555-813501-53112-6127000			soccer ref			
1	46391	$1073.25	09/21/23	14928	0	MILL CREEK MINING INC		T	CLEARED
		210.44	510-616331-53805-0000000			CA6			
		109.71	510-616331-53805-0000000			CA6			
		753.10	507-619348-52306-0000000			CA6			
1	46392	$996.99	09/21/23	04206	0	MISSISSIPPI TRUCK AND TRAILER		T	CLEARED
		996.99	555-822611-53804-0000000			hort tire repair			
1	176067	$78.20	09/21/23	10089	0	MOSES ROBINSON		S	OUTSTANDING
		78.20	101-111041-54401-0000000			Labor Day Parade Candy			
1	46393	$519.50	09/21/23	15816	0	MOTOR PARTS AND EQUIPMENT CORPORATION		T	CLEARED
		42.00	601-617364-52305-0000000			FLEET-2023-0002794			
		3.55	601-617364-52305-0000000			FLEET-2023-0002796			
		56.20	601-617364-52305-0000000			FLEET-2023-0002796			
		13.19	601-617364-52305-0000000			FLEET-2023-0002796			
		29.09	601-617364-52305-0000000			FLEET-2023-0002796			

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		41.95	601-617364-52305-0000000			FLEET-2023-0002796			
		39.65	601-617364-52305-0000000			FLEET-2023-0002796			
		11.79	601-617364-52305-0000000			FLEET-2023-0002795			
		204.20	601-617364-52305-0000000			FLEET-2023-0002793			
		77.88	601-617364-52204-0000000			FLEET-2023-0002814			
1	46394	$406.41	09/21/23	12083	0	MTI DISTRIBUTING INC		T	CLEARED
		53.90	555-834751-52305-0000000			highland screw			
		51.17	555-834751-52305-0000000			highland pin asm			
		51.16	555-854701-52305-0000000			saukie pin asm			
		55.73	555-834751-52305-0000000			highland drum-brake			
		124.56	555-834751-52305-0000000			highland saddle-pivot, brake,			
		34.95	555-834751-52305-0000000			highland spider coupling			
		34.94	555-854701-52305-0000000			saukie spider coupling			
1	46395	$1704.74	09/21/23	17690	0	MULGREW OIL COMPANY		T	CLEARED
		106.25	555-835753-52203-0000000			highland fuel			
		1598.49	555-854701-52203-0000000			saukie fuel			
1	46396	$2291.50	09/21/23	00669	0	MUTUAL WHEEL COMPANY INC		T	CLEARED
		10.64	601-617364-52305-0000000			FLEET-2023-0002811			
		1336.90	601-617364-52305-0000000			FLEET-2023-0002811			
		162.64	601-617364-52305-0000000			FLEET-2023-0002811			
		22.08	601-617364-52305-0000000			FLEET-2023-0002811			
		75.12	601-617364-52305-0000000			FLEET-2023-0002763			
		75.12	601-617364-52305-0000000			FLEET-2023-0002763			
		268.08	601-617364-52305-0000000			FLEET-2023-0002763			
		170.64	601-617364-52305-0000000			FLEET-2023-0002785			
		134.28	601-617364-52305-0000000			FLEET-2023-0002789			
		36.00	601-617364-52305-0000000			FLEET-2023-0002789			
1	46397	$286.89	09/21/23	16105	0	NATALIE G RUSH		T	CLEARED
		286.89	905-356921-53303-0000340			mileage reimbursement			
1	46398	$322.95	09/21/23	00364	0	NCH CORPORATION		T	CLEARED
		322.95	501-618352-52206-0000000			hercules advanced, 2x2.5 gl,na			
1	176068	$2900.00	09/21/23	18014	0	NICHE ACADEMY LLC		S	OUTSTANDING
		2900.00	251-712421-53823-0000000			NICHE ACADEMY DB ANNL RENEW			
1	176069	$50.00	09/21/23	17851	0	NICOLAAS R VANDEGEEST		S	OUTSTANDING
		50.00	555-813501-53112-6127000			soccer ref			
1	46399	$9855.00	09/21/23	12725	1	NITRO SOFTWARE INC		T	CLEARED
		9855.00	101-256081-53822-0000000			NITRO ANNUAL RENEWAL			
1	46400	$4475.03	09/21/23	01240	0	OFFICE MACHINE CONSULTANTS INC		T	CLEARED
		1488.00	101-256081-52406-0000000			FIRE 4 - NEW COPIER			
		1488.00	101-256081-52406-0000000			FIRE 2 - NEW COPIER			
		1488.00	101-256081-52406-0000000			FIRE 3 - NEW COPIER			

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		2.93	101-451041-53505-0000000			COPY CHARGES 7/1/23-9/13/23			
		5.44	101-451041-53505-0000000			COPY CHARGES 7/1/23-9/13/23			
		2.66	101-451041-53505-0000000			COPY CHARGES 7/1/23-9/13/23			
1	176070	$1900.00	09/21/23	16815	0	ORANGEBOY INC		S	OUTSTANDING
		1900.00	251-711041-53401-0000000			MAILING CAMPAIGN			
1	46401	$391.08	09/21/23	04242	0	OREILLY AUTOMOTIVE STORES INC		T	CLEARED
		22.80	101-617111-52304-0000000			pwr trd belt			
		12.50	601-617364-52305-0000000			FLEET-2023-0002759			
		84.43	601-617364-52305-0000000			FLEET-2023-0002798			
		138.20	601-617364-52305-0000000			Caliper for #3391			
		47.00-	601-617364-52305-0000000			Core Credit			
		230.15	601-617364-52305-0000000			Starter for #118			
		50.00-	601-617364-52305-0000000			Core credit			
1	176008	$1298.00	09/21/23	18634	0	OTTOSEN DINOLFO HASENBALG AND CASTALDO LTD		S	OUTSTANDING
		145.75	215-312801-53101-0000000			August TIF Legal Fees			
		145.75	214-312801-53101-0000000			August TIF Legal Fees			
		145.75	201-312801-53101-0000000			August TIF Legal Fees			
		277.75	203-312801-53101-0000000			August TIF Legal Fees			
		145.75	204-312801-53101-0000000			August TIF Legal Fees			
		145.75	205-312801-53101-0000000			August TIF Legal Fees			
		145.75	208-312801-53101-0000000			August TIF Legal Fees			
		145.75	209-312801-53101-0000000			August TIF Legal Fees			
1	46402	$14.99	09/21/23	12871	0	OVERDRIVE INC		T	CLEARED
		14.99	251-713451-54305-0000420			OVERDRIVE E-CONTENT			
1	46403	$157.98	09/21/23	00753	0	PANTHER UNIFORMS INC		T	CLEARED
		157.98	101-413215-51405-0000000			SHAPPARD PANTS			
1	46404	$3743.66	09/21/23	18027	0	PARTS AUTHORITY LLC		T	CLEARED
		450.54	601-617364-52305-0000000			FLEET-2023-0002754			
		38.95	601-617364-52305-0000000			FLEET-2023-0002754			
		52.98	601-617364-52305-0000000			FLEET-2023-0002805			
		17.49	601-617364-52305-0000000			FLEET-2023-0002777			
		162.60	601-617364-52305-0000000			FLEET-2023-0002803			
		17.49	601-617364-52305-0000000			FLEET-2023-0002804			
		1665.78	601-617364-52305-0000000			Injectors for #8925			
		555.26	601-617364-52305-0000000			Injectors for #8925			
		33.27-	601-617364-52305-0000000			Credit/air cleaner element			
		1440.00-	601-617364-52305-0000000			Injector core credits			
		31.31-	601-617364-52305-0000000			Credit/speed sensor			
		555.26	601-617364-52305-0000000			Injector for #8925			
		34.80	601-617364-52305-0000000			Air cleaner (returned)			
		1665.78	601-617364-52305-0000000			Injectors for #8925			
		31.31	601-617364-52305-0000000			Speed sensor (returned)			
1	46405	$326.07	09/21/23	00783	0	PER MAR SECURITY & RESEARCH CORPORATION		T	CLEARED

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		326.07	901-356911-53902-5328000			family fun day			
1	176071	$205.81	09/21/23	01067	0	PERFORMANCE FOOD GROUP TPC		S	OUTSTANDING
		161.44	555-813501-52501-5288000			douglas popcorn kit			
		44.37	555-813501-52501-5288000			douglas sausage			
1	46406	$723.88	09/21/23	04257	0	PHELPS UNIFORM SPECIALISTS INC		T	CLEARED
		9.61	555-834751-53901-0000000			highland laundry			
		28.27	555-834751-53822-0000000			highland mats & towels			
		9.61	555-834751-53901-0000000			highland laundry			
		28.27	555-834751-53822-0000000			highland mats & towels			
		9.61	555-834751-53901-0000000			highland laundry			
		28.27	555-834751-53822-0000000			highland mats & towels			
		9.61	555-834751-53901-0000000			highland laundry			
		28.27	555-834751-53822-0000000			highland mats & towels			
		26.38	555-854701-53822-0000000			saukie towels			
		26.38	555-854701-53822-0000000			saukie towels and clothes			
		10.04	501-618121-53822-0000000			Mats,etc			
		21.78	501-618121-53901-0000000			Uniforms			
		10.04	501-618121-53822-0000000			Mats,etc			
		21.78	501-618121-53901-0000000			Uniforms			
		10.04	501-618121-53822-0000000			Mats,etc			
		21.78	501-618121-53901-0000000			Uniforms			
		10.04	501-618121-53822-0000000			Mats,etc			
		21.78	501-618121-53901-0000000			Uniforms			
		38.85	501-618352-53822-0000000			Mats,etc			
		59.23	501-618352-53901-0000000			Uniforms			
		38.85	501-618352-53822-0000000			Mats,etc			
		59.23	501-618352-53901-0000000			Uniforms			
		38.85	501-618352-53822-0000000			Mats,etc			
		59.23	501-618352-53901-0000000			Uniforms			
		38.85	501-618352-53822-0000000			Mats,etc			
		59.23	501-618352-53901-0000000			Uniforms			
1	176072	$827.30	09/21/23	10460	0	PLAYAWAY PRODUCTS LLC		S	OUTSTANDING
		827.30	251-713451-54305-0000420			RIZ J AV			
1	176009	$1710.88	09/21/23	01834	0	POLICE & FIREMENS INSURANCE ASSN		S	OUTSTANDING
		1710.88	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46407	$220.00	09/21/23	08643	0	POLICE BENEVOLENT		T	CLEARED
		220.00	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	176073	$1876.60	09/21/23	17061	0	POWER GRAFIX INC		S	OUTSTANDING
		610.00	555-813501-52212-6127000			names			
		100.00	555-813531-51401-0000000			camp shirts			
		901.61	555-813531-52212-0000000			camp shirts			
		264.99	555-867501-52212-6184000			camp shirts			
1	46408	$78.37	09/21/23	18097	2	PROFESSIONAL ACCOUNT MANAGEMENT LLC		T	CLEARED

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		78.37	101-454273-53106-0000000			Amb Billing Cntrct August 2023			
1	46409	$10172.40	09/21/23	07345	0	PROFESSIONAL BILLING SERVICE		T	CLEARED
		10172.40	101-454273-53106-0000000			Amb Billing Cntrct August 2023			
1	46410	$79.17	09/21/23	00584	0	PROFORMA XTREME		T	CLEARED
		79.17	248-356921-52101-8304662			West End Renassaince Coord.			
1	176074	$880.00	09/21/23	18065	0	PS3 ENTERPRISES INC		S	OUTSTANDING
		880.00	276-811041-53822-0000000			labor day parade portapots			
1	176075	$207.50	09/21/23	18183	0	QC CUSTOM TEES & MORE		S	OUTSTANDING
		66.00	555-813521-51401-6320000			staff shirts			
		141.50	555-813501-52212-6085000			adaptive baseball shirts			
1	176076	$100.00	09/21/23	17870	0	QC UNITED		S	OUTSTANDING
		100.00	901-356921-52102-5332000			after school programs			
1	46411	$104348.00	09/21/23	14717	1	QUAD CITIES CHAMBER OF COMMERCE INC		T	CLEARED
		6695.00	207-312801-54101-6521000			September Overhead Expense			
		77653.00	232-312801-53112-0000000			SSA - Property Tax			
		20000.00	207-312801-54101-6521000			Quarterly Support Downtown RI			
1	46412	$550.00	09/21/23	08272	0	QUAD CITY TOWING		T	CLEARED
		75.00	101-414222-53822-0000000			23-4288 TOY CAMRY			
		200.00	101-414222-53822-0000000			23-4575 HONDA ACCORD			
		125.00	101-414221-53822-0000000			23-4628 GMC SIENNA BONDED			
		150.00	101-414221-53822-0000000			23-8473 CHEV SILVERADO BONDED			
1	46413	$1927.61	09/21/23	09892	0	QUALITY CONTROLLED STAFFING		T	CLEARED
		627.46	501-618121-53111-0000000			Temp employee 9/3/ to 9/9/23			
		641.83	510-616332-53111-0000000			Seasonal 9/3 thru 9/9/23			
		658.32	101-616601-53111-0000000			Seasonal emp 9/3 thru 9/9/23			
1	176077	$36.00	09/21/23	18593	0	QUINCI BUMGARNER		S	OUTSTANDING
		36.00	555-867566-53112-6275000			volleyball league ref			
1	46414	$206.20	09/21/23	01168	0	R & R PRODUCTS INC		T	CLEARED
		206.20	555-834751-52305-0000000			highland tires			
1	176078	$306.21	09/21/23	18637	0	RACHEL HARRIS		S	OUTSTANDING
		306.21	510-000000-44177-0000000			trash pickup fee refund			
1	46415	$845.00	09/21/23	06729	0	RACOM CORPORATION		T	CLEARED
		19.91	101-414226-52403-0000000			EARPHONES			
		245.00	101-414229-52208-0000000			SPEAKER MIC			
		580.09	101-414229-53822-0000000			EARPHONES, SPEAKERS			
1	46416	$704.00	09/21/23	03063	0	RAGAN MECHANICAL		T	CLEARED
		605.00	101-617120-53801-0000000			support bldg not heating			

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		99.00	555-867564-53801-0000000			back flow test			
1	46417	$6375.91	09/21/23	00728	0	RAY OHERRON COMPANY INC		T	CLEARED
		312.00	101-414223-51401-0000000			PATCHES			
		500.00	101-414223-51401-0000000			PATCHES			
		19.98	101-414235-51401-0000000			SIKARDI NAME TAPE			
		124.95	101-412206-51404-0000000			MURPHY SHOES			
		49.99	101-412206-51401-0000000			BARRERA SHIRT			
		6284.86	101-412206-51401-0000000			MAVERICK W/MOLLE			
		2642.72	101-412206-51401-6455000			MAVERICK W/MOLLIE			
		1643.71-	101-412206-51401-0000000			CREDIT ORDER #5			
		161.98-	101-412206-51403-0000000			HONOR GUARD HOLSTERS			
		1622.00-	101-414223-52213-0000000			AMMO CREDIT			
		130.90-	101-412206-51401-6455000			CAULKINS UNIFORM			
1	176079	$992.50	09/21/23	02134	0	RAYNOR DOOR CO INC		S	OUTSTANDING
		992.50	101-617120-53801-0000000			Service call			
1	176080	$135.84	09/21/23	18036	1	RDO TRUCK CENTER CO		S	OUTSTANDING
		135.84	601-617364-52305-0000000			Filter elements			
1	46418	$459.04	09/21/23	00899	0	REPUBLIC PARENT LLC		T	CLEARED
		127.40	501-618352-52305-0000000			supplies			
		331.64	901-356911-52212-5328000			family fun day			
1	46419	$17.00	09/21/23	15528	0	RIPD COMMAND OFFICERS ASSOC		T	CLEARED
		17.00	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46420	$87.00	09/21/23	03166	0	RISM INC		T	CLEARED
		87.00	555-819615-52501-6295000			Thurs Groove food			
1	46421	$5582.94	09/21/23	17373	0	RIVER CITIES ENGINEERING INC		T	CLEARED
		2748.38	501-618352-53804-0000000			Tech support/ 7/31 - 8/13/23			
		2834.56	501-618352-53804-0000000			tech support 8/7 to 8/13/23			
1	46422	$1582.00	09/21/23	00607	0	RIVERSTONE GROUP INC		T	CLEARED
		1582.00	501-619356-52306-0000000			UPM Cold Mix			
1	176081	$250.00	09/21/23	10302	0	ROCK ISLAND COUNTY NAACP		S	OUTSTANDING
		250.00	901-356931-52207-5338080			active club			
1	176082	$2815.38	09/21/23	00957	0	ROCK ISLAND COUNTY SHERIFF'S OFFICE		S	OUTSTANDING
		2815.38	101-256081-54101-5392000			WANS/LEADS - SEPT 2023			
1	176083	$729.66	09/21/23	02275	0	ROCK ISLAND FITNESS AND ACTIVITY CENTER		S	OUTSTANDING
		729.66	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46423	$315.70	09/21/23	00992	0	SADLER POWER TRAIN INC		T	CLEARED
		118.12	601-617364-52305-0000000			FLEET-2023-0002766			
		197.58	601-617364-53804-0000000			FLEET-2023-0002816			

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1	46424	$2972.50	09/21/23	07881	0	SANDRY FIRE SUPPLY LLC		T	CLEARED
		2972.50	101-452271-51402-0000000			8 FF HELMETS			
1	176084	$2025.00	09/21/23	17337	0	SCHEBLER COMPANY		S	OUTSTANDING
		2025.00	501-618121-53822-0000000			rpz testing			
1	176085	$3.99	09/21/23	16220	0	SCHMALING MEMORIAL PUBLIC LIBRARY DISTRICT		S	OUTSTANDING
		3.99	251-000000-44187-5646000			REIMB FULG LOST ITEM			
1	46425	$240.00	09/21/23	06677	0	SCOTT CAULPETZER		T	CLEARED
		240.00	555-867565-53112-0000000			rifac tai chi teacher			
1	46426	$8511.61	09/21/23	01023	0	SENECA COMPANIES INC		T	CLEARED
		8511.61	506-618343-53804-0000000			8/11 to 16 Frac tank rental			
1	46427	$72.50	09/21/23	01034	0	SEXTON FORD		T	CLEARED
		72.50	601-617364-53804-0000000			FLEET-2023-0002769			
1	176086	$81175.17	09/21/23	18388	1	SHAWNEE MISSION TREE SERVICE INC		S	OUTSTANDING
		1669.50	555-867564-53801-0000000			RIFAC remove tree			
		126.00	101-616321-53802-0000000			2541 8 AVE stump grinding			
		79379.67	101-616321-53802-0000000			Tub grinding & hauling storm d			
1	176087	$125.94	09/21/23	15634	0	SHOTTENKIRK INC		S	OUTSTANDING
		8.88	601-617364-52305-0000000			FLEET-2023-0002489			
		117.06	601-617364-52305-0000000			FLEET-2023-0002755			
1	176088	$638.50	09/21/23	13387	0	SOURCE ONE GRAPHICS & MARKETING, INC.		S	OUTSTANDING
		638.50	276-811041-54501-0000000			labor day parade jerseys			
1	176089	$8877.50	09/21/23	10424	0	SPRING FORWARD LEARNING CENTER		S	OUTSTANDING
		8877.50	904-356921-54102-0000341			YOUTH PROGRAMS			
1	46428	$620.94	09/21/23	01119	0	STECKER GRAPHICS INC		T	CLEARED
		620.94	101-414223-52213-0000000			NEW NOTICE TO APPEAR #275			
1	46429	$1202.16	09/21/23	01174	0	STERN BEVERAGE INC		T	CLEARED
		450.00-	555-813521-52503-0000000			drft rental roto return			
		326.60	555-855702-52503-0000000			saukie beer			
		1325.56	555-835753-52503-0000000			highland beer			
1	176090	$45.21	09/21/23	17308	0	T-MOBILE USA INC		S	OUTSTANDING
		45.21	251-711401-53205-0000000			CELL PHONE SERV ML AUG 2023			
1	176091	$758.17	09/21/23	07046	0	T. STEELE CONSTRUCTION INC.		S	OUTSTANDING
		290.66	501-000000-44170-0000000			water charges refund			
		467.51	510-000000-44177-0000000			trash pickup fee refund			
1	176092	$1980.00	09/21/23	18589	0	TANIKA ZENTIC		S	OUTSTANDING

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BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV VEND	CHECK STATUS
		190.00	555-813501-52212-6127000			socks			
		900.00	555-813501-52212-6127000			soccer shirts			
		427.50	555-813501-52212-6269000			name and numbers on shirts			
		462.50	555-813501-52212-6269000			football shirts			
1	176093	$72.69	09/21/23	17327	0	TERRI J ELLIOTT		S	OUTSTANDING
		72.69	506-000000-44150-0000000			wastewater charges refund			
1	46430	$113.75	09/21/23	16371	0	THANOLIA H HUDSON		T	CLEARED
		100.00	901-356931-52207-5338080			active club reimbursement			
		13.75	901-356931-52207-5338080			active club reimbursement			
1	46431	$25.00	09/21/23	05600	0	THE PRINTERS MARK		T	CLEARED
		25.00	555-835753-52301-0000000			highland signs			
1	176094	$1534.75	09/21/23	17054	0	THOMPSON TIRE & RETREAD		S	OUTSTANDING
		471.90	601-617364-52305-0000000			FLEET-2023-0002775			
		90.00	601-617364-53804-0000000			FLEET-2023-0002775			
		98.00	601-617364-53804-0000000			FLEET-2023-0002775			
		305.95	601-617364-52305-0000000			FLEET-2023-0002775			
		466.90	601-617364-52305-0000000			FLEET-2023-0002775			
		45.00	601-617364-53804-0000000			FLEET-2023-0002775			
		12.00	601-617364-52305-0000000			FLEET-2023-0002771			
		45.00	601-617364-53804-0000000			FLEET-2023-0002771			
1	46432	$3495.76	09/21/23	15010	0	THOMPSON TRUCK & TRAILER LLC		T	CLEARED
		21.36	601-617364-52305-0000000			FLEET-2023-0002801			
		4029.96	601-617364-52305-0000000			Turbo kits, clamps for #8918			
		555.56-	601-617364-52305-0000000			Core credit			
1	176095	$500.00	09/21/23	16193	0	THREE DIMENSION STUDIOS		S	OUTSTANDING
		500.00	248-356921-52101-8304662			West End Renassaince Coord.			
1	46433	$518.84	09/21/23	04354	0	TICKLE ASPHALT CO LTD		T	CLEARED
		518.84	101-616301-52306-0000000			HMA S			
1	46434	$418.66	09/21/23	02631	0	TRUCK COUNTRY OF IOWA INC		T	CLEARED
		324.06	601-617364-52305-0000000			FLEET-2023-0002800			
		94.60	601-617364-52305-0000000			FLEET-2023-0002791			
1	176096	$3600.00	09/21/23	03686	0	TWIN STATES TECHNICAL SERVICE LTD		S	OUTSTANDING
		3600.00	101-256081-53822-0000000			UPGRADE ASSIST-ESXi4 TO 6.7			
1	46435	$1638.00	09/21/23	01185	0	TYLER ENTERPRISES OF ELWOOD INC		T	CLEARED
		1638.00	555-834751-52220-0000000			highland truprill			
1	176097	$370.00	09/21/23	08283	0	TYRONE P WOODRUM		S	OUTSTANDING
		125.00	506-619359-53806-2564000			2430 26 ST camera/locate			
		245.00	506-619359-53806-2564000			4016 7 AV camer/locate/clean			

010 CITY OF ROCK ISLAND
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BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV VEND	CHECK STATUS
1	46436	$365.82	09/21/23	02347	0	U A W LOCAL 2282		T	CLEARED
		365.82	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46437	$112.20	09/21/23	12293	0	UNIPAK CORPORATION		T	CLEARED
		112.20	501-618352-52301-0000000			supplies			
1	176098	$683.20	09/21/23	12809	0	UNITED LABORATORIES INC		S	OUTSTANDING
		683.20	601-617364-52302-0000000			Body shop supplies			
1	46438	$13656.26	09/21/23	08460	0	UNITED STATE ALUMINATE COMPANY INC		T	CLEARED
		4557.46	501-618352-52217-0000000			Liquid alum			
		4555.45	501-618352-52217-0000000			Liquid alum			
		4543.35	501-618352-52217-0000000			Liquid alum			
1	46439	$269.00	09/21/23	00802	0	UNITED WAY OF THE QUAD CITIES		T	CLEARED
		269.00	051-000000-21403-0000000			PAYROLL FOR - 092223			
1	46440	$689.70	09/21/23	00832	0	UTILITY EQUIPMENT COMPANY		T	CLEARED
		689.70	501-619356-52303-0000000			12x6' di mj tee l/acc			
1	176099	$5000.00	09/21/23	12584	0	UTILITY SERVICES CO., INC.		S	OUTSTANDING
		2500.00	501-618121-53822-0000000			Rov inspection			
		2500.00	501-618121-53822-0000000			INsp clearwell tank			
1	176010	$863.39	09/21/23	18632	0	VALERIE KIEL		S	OUTSTANDING
		863.39	207-312801-55109-0000000			2022-2023 Rebate			
1	176011	$45.00	09/21/23	09267	0	VALLEY SAFETY SERVICES ASSOCIATES INC		S	OUTSTANDING
		45.00	242-311041-53112-6196230			leak test			
1	176100	$2544.17	09/21/23	18130	1	VAN METER INC		S	OUTSTANDING
		2544.17	506-618341-52305-0000000			masterpt m708610,baldor 6c56h5			
1	176012	$107.30	09/21/23	15792	0	VOGUE MARKETING, INC		S	OUTSTANDING
		107.30	101-000000-42201-0000000			Permit #B231728			
1	46441	$287.27	09/21/23	00146	0	VOTOUBEK INVESTMENTS		T	CLEARED
		34.98	101-617112-52301-0000000			supplies			
		129.21	101-617112-52301-0000000			supplies			
		44.98	101-617111-52302-0000000			paint/sundries			
		19.17	101-617112-52301-0000000			supplies			
		35.97	501-619356-52303-0000000			1" galv merch coupling			
		5.49	501-619356-52301-0000000			foam sealant			
		7.49	601-617364-52305-0000000			FLEET-2023-0002779			
		9.98	555-813501-52212-6127000			tent peg, bulb			
1	176101	$4284.09	09/21/23	17980	0	WENDLING QUARRIES INC		S	OUTSTANDING
		1189.49	555-854701-52201-0000000			saukie USGA 35-60			
		3094.60	555-854701-52201-0000000			saukie USGA 35-60			

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/21/23 - 09/21/23

BANK / WO #	CHECK #	CHECK AMT / AMOUNT	CHECK DATE / G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME / DESCRIPTION	INVOICE #	TYPE / INV VEND	CHECK STATUS
1	176102	$55046.90	09/21/23	18467	0	WESTERN OILFIELDS SUPPLY COMPANY		S	OUTSTANDING
		27577.91	248-618343-53902-8518657			SWTP by-pass pump rental			
		27468.99	248-618343-53902-8518657			SWTP by-pass pump rental			
1	176103	$370.95	09/21/23	17703	0	WHITE CAP LP		S	OUTSTANDING
		109.52	101-616601-52301-0000000			concrete mix,18" standard wall			
		176.82-	507-619348-52401-0000000			Return			
		365.68	507-619348-52306-0000000			60lb concrete mix			
		41.98	507-619348-52401-0000000			5x2 margin trowel wood handle			
		30.59	101-616041-51402-0000000			vest's			
1	176104	$5397.62	09/21/23	18635	0	WILLIAM GORDON		S	OUTSTANDING
		5397.62	507-000000-44154-0000000			storm water charges refund			
1	46442	$1674.74	09/21/23	17008	1	WP BEVERAGE LLC		T	CLEARED
		656.66	555-835753-52502-0000000			highland drinks			
		961.05	555-835753-52502-0000000			highland drinks			
		57.03	555-867564-52502-6225000			drinks			
1	46443	$636.86	09/21/23	00945	0	ZIMMER & FRANCESCON INC		T	CLEARED
		79.00	555-834751-52303-6285000			2 1/2" SDR 26 Class 160 PVC Pi			
		557.86	506-619346-52303-0000000			pvc pipe, hymax			
1	46444	$529.84	09/21/23	16947	0	ZURCHER TIRE INC		T	CLEARED
		529.84	601-617364-52305-0000000			FLEET-2023-0002807			

TOTAL # OF ISSUED CHECKS:	107	TOTAL AMOUNT:	320,541.80
TOTAL # OF WIRES:	0	TOTAL AMOUNT:	0.00
TOTAL # OF VOIDED/REISSUED/UNCLAIMED CHECKS:	0	TOTAL AMOUNT:	0.00
TOTAL # OF ACH CHECKS:	98	TOTAL AMOUNT:	651,027.51
TOTAL # OF UNISSUED CHECKS:	0		

FUND TOTALS

FUND	FUND NAME	ISSUED TOTAL	VOIDED/REISSUED TOTAL
051	PAYROLL CLEARING FUND	23,590.23	0.00
101	GENERAL FUND	234,253.76	0.00
201	TIF #1 DOWNTOWN	145.75	0.00
203	TIF #3 NORTH 11TH STREET	277.75	0.00
204	TIF #4 Parkway I280 (Jumers)	145.75	0.00
205	TIF #5 COLUMBIA PARK	145.75	0.00
207	COMMUNITY/ECONOMIC DEVELOPMENT	115,053.19	0.00
208	TIF #6 The Locks	145.75	0.00
209	TIF #7 WATCHTOWER	145.75	0.00
211	M L KING CENTER	651.28	0.00
214	TIF #9 Century Woods	145.75	0.00
215	TIF #10 Heather Ridge	145.75	0.00
231	MFT Rebuild Illinois Grant	19,869.05	0.00
232	Downtown SSA	77,653.00	0.00
242	COMMDEVBLOCKGRANT CFDA 14.218	57,862.24	0.00
248	American Rescue Plan Act (ARPA	60,628.06	0.00
251	PUBLIC LIBRARY	11,861.06	0.00
276	RI LABOR DAY PARADE	1,518.50	0.00
501	WATER OPERATIONS/MAINTENANCE	61,890.54	0.00
506	WASTEWATER OPER & MAINTENANCE	29,222.41	0.00
507	STORMWATER UTILITY	18,915.71	0.00
510	SOLID WASTE	2,502.55	0.00
555	PARK & RECREATION	53,191.27	0.00
581	CDBG LOAN PROGRAMS	603.72	0.00
601	FLEET SERVICES	52,629.06	0.00
606	ENGINEERING	566.50	0.00
621	SELF-INSURANCE	14,044.79	0.00
626	EMPLOYEE HEALTH PLAN	68,826.94	0.00
701	FIRE PENSION	17,848.47	0.00
706	POLICE PENSION	22,796.96	0.00
901	MLK ACTIVITY	14,492.63	0.00
904	IL CJIA	8,877.50	0.00
905	IL DCFS	286.89	0.00
906	DEPT OF HUMAN SERVICES	635.00	0.00
	TOTAL -	971,569.31	0.00

Tameka L. Toney

CITY OF ROCK ISLAND
CHART OF ACCOUNTS

September 22, 2023 through
September 28, 2023
TOTAL: $ 2,085,335.63

FUNDS	FUND NAME
101	General Fund
201	TIF #1 Downtown
202	TIF #2 South 11th Street
203	TIF #3 North 11th Street
204	TIF #4 Jumer's Casino Rock Island
205	TIF #5 Columbia Park
206	TIF #6 NE I280/Parkway
207	Community/Economic Development
208	TIF #7 The Locks
209	TIF #8 Watchtower
210	Public Benefit
211	Martin Luther King Center
212	MLK Facility Improvement
213	TIF #9 1st Street
221	Motor Fuel Tax
222	Foreign Fire Insurance
223	Riverboat Gaming
224	State Drug Prevention
225	DUI Fine Law
226	Court Supervision
227	Crime Laboratory
241	US Dept of Justice Grant
242	Community Development Block Grant
243	Federal Drug Prevention
244	Neighborhood Stabilization ARRA
245	Schwiebert Park Boat Dock
246	Ridgewood Business Park
251	Public Library
252	Volunteers of the RI Library
271	Honor Guard Contributions
272	DARE
273	Police Contributions
274	Elderly Service Contributions
275	RI Auxiliary Police
276	RI Labor Day Parade
277	ESO Christmas Tour
278	Adopt A School
279	Fire Donations
282	Lead Grant
301	Capital Improvements
302	Capital Improvements - Streets
405	Debt Service
501	Water Operation & Maintenance
502	Water Capital 2010A BAB'S
506	Wastewater Operations & Maintenance
507	Stormwater Utility
508	Wastewater Capital 2010A BAB'S
510	Solid Waste
511	Centennial Bridge
541	Sunset Marina
555	Parks & Recreation
581	CDBG Loan Programs
582	State Affordable Housing
583	Community/Economic Development Loans
584	CIRLF Loan Fund
585	MPF Endowment Loans
586	Brownfield Revolving Loan Fund
595	Public Housing
601	Fleet Services
602	Fleet Amortization
606	Engineering
609	Hydroelectric Plant
621	Self-Insurance
626	Employee Health Plan
701	Fire Pension
706	Police Pension
711	Cafeteria Plan
712	Loan Escrow
831	GASB 34
901	MLK Activity
905	IL DCFS
906	Dept of Human Services
907	MLK Capital Contributions
950	Public Library Foundation

DEPT	DEPARTMENT NAME
111	1st Ward
112	2nd Ward
113	3rd Ward
114	4th Ward
115	5th Ward
116	6th Ward
117	7th Ward
118	Mayor
119	Mayor & Council Administration
122	General Administration
123	Legal Services
131	City Clerk
155	Human Resources Administration
156	Human Resources Services
157	Insurance
211	Finance Administration
212	Customer Service
213	Accounting Services
214	Budget and Grants Management
256	Information Technology
311	Community/Economic Development Administration
312	Economic Development
313	Planning/Redevelopment
314	Inspection
356	Martin Luther King Jr Community Center
411	Police Administration
412	Field Operations Bureau
413	Criminal Investigation Bureau
414	Administration/Technical Services Bureau
415	Tactical Operations
451	Fire Administration November 5, 2021 through
452	Fire Emergency Services
453	Fire Protective Inspect
454	Ambulance
611	Public Works Administration
612	Motor Vehicle Parking System
613	Water Meter Services
615	Engineering
616	Municipal Services
617	Fleet Services
618	Utilities Service
619	Utilities Maintenance
711	Library Administration
712	Library Info Services
713	Library Circulation
714	Library Extension
811	Park/Recreation Administration
813	Recreation
818	Whitewater Aquatic Center
819	Schwiebert Riverfront Park
822	Parks
834	Highland Golf Maintenance
835	Highland Clubhouse
846	Golf Pro Shop
854	Saukie Golf Maintenance
855	Saukie Clubhouse
867	RIFAC
891	Donations P/R Admin
892	Donations Parks
893	Donations Recreation Programs
894	Donations Golf Maintenance
895	Donations Highland/Saukie Clubhouse
896	Donations Golf Pro Shop
897	Donations RIFAC
898	Donations Longview Aquatic Center
899	Donations Riverfront Park
910	Police Fire Commission
920	Fire Pension
930	Police Pension
940	Cafeteria Plan

REPORT PARAMETERS

ORGANIZATION	:	010
BANK	:	1
PRINT BY	:	CHECK DATE
SORT OPTION	:	VENDOR NAME
PRINT DETAILS	:	Y
BEGINNING CHECK DATE	:	09/28/23
ENDING CHECK DATE	:	09/28/23
ORG NAME FOR EXTRACT FILE	:	APPP092823

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/28/23 - 09/28/23

BANK WO #	CHECK #	CHECK AMT AMOUNT	CHECK DATE G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME DESCRIPTION	INVOICE #	TYPE INV VEND	CHECK STATUS
1	176120	$112.49	09/28/23	01181	2	ACUSHNET COMPANY		S	OUTSTANDING
		112.49	555-846721-52506-0000000			proshop merch			
1	46445	$10892.60	09/28/23	14179	0	ALEXANDER CHEMICAL CORPORATION		T	CLEARED
		10892.60	501-618352-52217-0000000			Chlorine			
1	176105	$76.74	09/28/23	18648	0	AMALIE CALLAHAN		S	OUTSTANDING
		76.74	101-000000-44114-0000000			Amb Refund - A Callahan			
1	46446	$1741.76	09/28/23	04665	0	AMERICAN BANK & TRUST CO		T	CLEARED
		880.41	101-213064-53107-6138000			Banking Service - August 2023			
		514.70	405-616316-53107-6138000			Banking Service - August 2023			
		173.33	581-313851-53107-6217000			Banking Service - August 2023			
		173.32	584-312801-53107-6221000			Banking Service - August 2023			
1	176121	$200.00	09/28/23	18300	0	ANDREW EAGLE		S	OUTSTANDING
		200.00	101-414223-51502-0000000			FUGITIVE MISSION PLANNING & CE			
1	46447	$232.49	09/28/23	15725	0	ARNOLD MOTOR SUPPLY LLP		T	CLEARED
		159.26	601-617364-52305-0000000			FLEET-2023-0002837			
		42.57	601-617364-52305-0000000			FLEET-2023-0002842			
		30.66	555-854701-52305-0000000			saukie spark plugs			
1	176122	$461.43	09/28/23	00109	0	AUTOZONE STORES LLC		S	OUTSTANDING
		302.36	601-617364-52305-0000000			FLEET-2023-0002832			
		126.70	601-617364-52305-0000000			FLEET-2023-0002908			
		59.99-	601-617364-52305-0000000			Credit/returned tensioner			
		32.99	601-617364-52305-0000000			Wheel bearing for #1203			
		59.37	601-617364-52305-0000000			Air filters			
1	176123	$125000.00	09/28/23	18644	0	BACKWATER GAMBLERS WATERSKI CLUB INC		S	OUTSTANDING
		125000.00	101-122041-54101-0000297			Backwater Gamblers Agreement A			
1	176124	$90.00	09/28/23	14934	0	BENJAMIN N CORLETT		S	OUTSTANDING
		90.00	555-813521-53112-0000000			slow pitch ump			
1	46448	$2858.16	09/28/23	17651	0	BERKSHIRE HATHAWAY ENERGY COMPANY		T	CLEARED
		545.89	506-618341-53702-0000000			WWTP natural gas			
		107.02	501-618352-53702-0000000			WTP natural gas			
		129.22	501-618352-53702-0000000			WTP natural gas			
		1086.55	101-617120-53702-0000000			Police Dept nat gas			
		255.88	101-617111-53702-0000000			City Hall nat gas			
		100.00	555-811041-53702-0000000			gas admin			
		633.60	555-867564-53702-0000000			rifac admin			
1	176125	$18848.13	09/28/23	00288	0	BI-STATE REGIONAL COMMISSION		S	OUTSTANDING
		14788.13	101-414221-53822-0000000			NET SHARE OF MUNICES OPERATING			
		4060.00	101-000000-22204-0000000			CORA HEARING 9-1-23			
1	46449	$26381.28	09/28/23	00297	0	BLICK & BLICK OIL INC		T	CLEARED

010 CITY OF ROCK ISLAND
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		9223.20	601-617364-52203-0000000			3000 Gals Gasohol			
		7934.88	601-617364-52203-0000000			2000 Gals Diesel Fuel			
		9223.20	601-617364-52203-0000000			3000 Gals Gasohol			
1	176106	$1313.50	09/28/23	07981	0	BLUE CROSS BLUE SHIELD OF ILLINOIS		S	OUTSTANDING
		1313.50	101-000000-44114-0000000			Amb Refund - M Gentry			
1	176107	$917.33	09/28/23	07981	0	BLUE CROSS BLUE SHIELD OF ILLINOIS		S	OUTSTANDING
		917.33	101-000000-44114-0000000			Amb Refund - Z Costas			
1	176108	$79.91	09/28/23	07981	0	BLUE CROSS BLUE SHIELD OF ILLINOIS		S	OUTSTANDING
		79.91	101-000000-44114-0000000			Amb Refund - D Bolton			
1	176126	$377.00	09/28/23	15731	0	BREAKTHRU BEVERAGE ILLINOIS, LLC		S	OUTSTANDING
		377.00	555-835753-52503-0000000			highland alcohol			
1	46450	$117.90	09/28/23	18032	0	BRIAN SMITH II		T	CLEARED
		117.90	101-211041-51502-0000000			Amount Due (Returned)			
1	46451	$5472.17	09/28/23	16528	0	BROADSPIRE SERVICES INC		T	CLEARED
		104.00	621-157131-53602-5117000			Broadspire 03/26 to 04/01			
		2069.06	621-157131-53602-5117000			Broadspire 04/02 to 04/08			
		11.16	621-157131-53602-5117000			Broadspire 07/09 to 07/15			
		39.00	621-157131-53602-5117000			Broadspire 06/25 to 07/01			
		11.84	621-157131-53602-5117000			Broadspire 06/11 to 06/17			
		23.68	621-157131-53602-5117000			Broadspire 06/04 to 06/10			
		325.00	621-157131-53602-5117000			Broadspire 05/28 to 06/03			
		1055.30	621-157131-53602-5117000			Broadspire 05/21 to 05/27			
		130.00	621-157131-53602-5117000			Broadspire 04/23 to 04/23			
		123.30	621-157131-53602-5117000			Broadspire 04/09 to 04/15			
		1579.83	621-157131-53602-5117000			Broadspire Escrow fee 15-17			
1	46452	$120.00	09/28/23	06405	0	CENTENNIAL CONTRACTORS OF THE QUAD CITIES INC		T	CLEARED
		120.00	555-822601-52201-5288000			douglas topsoil			
1	176127	$166.27	09/28/23	01141	0	CHC DBA D & K PRODUCTS		S	OUTSTANDING
		103.75	555-822601-52219-5288000			douglas herbicide			
		62.52	555-822601-52212-5288000			douglas turface pro			
1	176128	$48.89	09/28/23	15674	0	CINTAS CORPORATION NO. 2		S	OUTSTANDING
		48.89	211-356941-53801-0000000			building and grounds			
1	176129	$28.00	09/28/23	13765	1	CLEAN SWEEP OF I & I LLC		S	OUTSTANDING
		28.00	555-813501-53822-0000000			car clean			
1	46453	$490.63	09/28/23	03309	0	COE EQUIPMENT INC		T	CLEARED
		73.25	601-617364-52305-0000000			FLEET-2023-0002824			
		64.98	601-617364-52305-0000000			FLEET-2023-0002824			
		9.52	601-617364-52305-0000000			FLEET-2023-0002824			
		30.12	601-617364-52305-0000000			FLEET-2023-0002824			

010 CITY OF ROCK ISLAND
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BANK WO #	CHECK #	CHECK AMT AMOUNT	CHECK DATE G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME DESCRIPTION	INVOICE #	TYPE INV VEND	CHECK STATUS
		305.40	601-617364-52305-0000000			FLEET-2023-0002824			
		7.36	601-617364-52305-0000000			FLEET-2023-0002824			
1	176130	$3486.52	09/28/23	13547	0	CUSTOM PRODUCTS CORPORATION		S	OUTSTANDING
		3486.52	101-616306-52306-0000000			supplies			
1	46454	$25236.31	09/28/23	14239	0	CVS PHARMACY INC		T	CLEARED
		25236.31	626-157141-53602-0000000			CVS 09/16/23 to 09/23/23			
1	46455	$1300.00	09/28/23	18642	0	DATA SHEET SOLUTIONS LLC		T	CLEARED
		1300.00	601-617041-54303-0000000			MSDS software			
1	176131	$25.00	09/28/23	18653	0	DEB THAYER		S	OUTSTANDING
		25.00	555-000000-21411-0000000			refund signup fee			
1	46456	$178.00	09/28/23	16670	0	DOCUMENT IMAGING SERVICES LLC		T	CLEARED
		178.00	101-314881-52101-0000000			TONER CARTRDGS-INSPECT COPIER			
1	46457	$7000.00	09/28/23	12608	0	DONNA & JOE ENTERPRISES		T	CLEARED
		7000.00	901-356911-52212-5328000			family fun day			
1	176109	$273.95	09/28/23	18647	0	DRAKE FISHER		S	OUTSTANDING
		273.95	101-000000-42201-0000000			Permit Refund #B232424			
1	46458	$319.50	09/28/23	07653	0	EASTERN IOWA TIRE		T	CLEARED
		319.50	601-617364-53804-0000000			FLEET-2023-0002850			
1	176132	$6043.80	09/28/23	17369	0	ENTERPRISE COMMUNITY PARTNERS INC		S	OUTSTANDING
		6043.80	901-356921-53112-0000041			program consultants			
1	46459	$3250.00	09/28/23	04713	0	ENVIRONET INC		T	CLEARED
		3250.00	248-314881-53801-8223673			Lump Sum Phase 1 ESA			
1	176133	$100.00	09/28/23	18409	0	ERIC D MALDONADO		S	OUTSTANDING
		100.00	211-000000-44407-0000000			rental deposit return			
1	176134	$18.00	09/28/23	18641	0	ERICA COYNE		S	OUTSTANDING
		18.00	555-867566-53112-6275000			volleyball ref			
1	176135	$279.40	09/28/23	09929	0	EUCLID BEVERAGE OF GALESBURG		S	OUTSTANDING
		279.40	555-855702-52503-0000000			saukie drinks			
1	176136	$2100.27	09/28/23	12239	0	EVERYCHILD		S	OUTSTANDING
		2100.27	904-356921-54102-0000341			asp program			
1	46460	$6.00	09/28/23	02528	2	FASTENAL COMPANY		T	CLEARED
		6.00	101-616306-52306-0000000			#25 orx security3IT			
1	176137	$400.00	09/28/23	18643	0	FORREST MCCURREN		S	OUTSTANDING
		400.00	251-713451-53110-0000000			AD PROG PERF MUSIC DUO			

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1	46461	$1275.66	09/28/23	02496	0	GASVODA & ASSOCIATES INC		T	CLEARED
		1275.66	501-618352-52305-0000000			Sensor's			
1	46462	$697.87	09/28/23	00347	0	GLASS SERVICE CENTER INC		T	CLEARED
		697.87	555-822601-53801-5288000			douglas units			
1	46463	$624.51	09/28/23	00365	0	GRAINGER		T	CLEARED
		69.00	101-616041-51402-0000000			gloves			
		39.90	555-867564-52304-0000000			rocker switch connections			
		202.60	101-616601-52301-0000000			supplies			
		96.87	501-618352-52305-0000000			fuses			
		16.20	501-618352-52305-0000000			filler plate flush			
		165.22	601-617364-52305-0000000			FLEET-2023-0002860			
		34.72	601-617364-52305-0000000			FLEET-2023-0002862			
1	46464	$250.00	09/28/23	03057	0	GRAVES ENVIRONMENTAL & SAFETY INC		T	CLEARED
		250.00	101-617125-53801-0000000			clearance air sampling 201 15			
1	176138	$20.92	09/28/23	17720	0	H BROS ENTERPRISES INC		S	OUTSTANDING
		20.92	555-854701-53804-0000000			saukie lawn tire repair			
1	46465	$270.00	09/28/23	05503	0	HAMPTON CRANES INC		T	CLEARED
		270.00	506-618341-53902-0000000			crane service/set gear box			
1	46466	$1338.96	09/28/23	04970	0	HD SUPPLY FACILITIES MAINTENANCE		T	CLEARED
		436.63	506-618121-52305-0000000			ejc-33 grease controls bacteri			
		434.44	501-618352-52205-0000000			T-ISAB !! 4 liters			
		467.89	501-618352-52205-0000000			free chlorine reagent set for			
1	176139	$1435.87	09/28/23	02905	0	HIGHLAND PACKING CO INC		S	OUTSTANDING
		372.30	555-855702-52501-0000000			saukie food			
		1063.57	555-835753-52501-0000000			highland food			
1	176140	$41324.56	09/28/23	16977	0	HUTCHISON ENGINEERING INC		S	OUTSTANDING
		21832.32	301-616311-53102-2831000			Engineering services project 2			
		19492.24	301-616311-53102-2831000			Engineering Services proj 2831			
1	176141	$480.58	09/28/23	00099	0	HY VEE FOOD STORES		S	OUTSTANDING
		480.58	555-867501-52216-0000000			preschool acct #82069			
1	176142	$219.47	09/28/23	00099	0	HY VEE FOOD STORES		S	OUTSTANDING
		219.47	555-818551-52501-0000000			act#82583 past dues			
1	176143	$50450.00	09/28/23	18625	0	HYDRO-KLEAN LLC		S	OUTSTANDING
		50450.00	506-618343-53804-0000000			Wet well clean out			
1	176144	$963.36	09/28/23	02308	0	IA IL TERMITE & PEST CTL INC		S	OUTSTANDING
		578.36	101-616601-53801-0000000			1625 17 ST/wildlife trap set			
		85.00	101-616601-53801-0000000			Cat removal/Longview PK house			

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		100.00	101-616601-53801-0000000			groundhog/Longview PK house			
		100.00	101-616601-53801-0000000			groundhog/Longview PK House			
		100.00	101-616601-53801-0000000			Opossum/Longview PK house			
1	176110	$48.35	09/28/23	01918	1	ILLINOIS DEPT OF PUBLIC AID		S	OUTSTANDING
		48.35	101-000000-44114-0000000			Amb Refund - First Initial Las			
1	176111	$397.42	09/28/23	01918	1	ILLINOIS DEPT OF PUBLIC AID		S	OUTSTANDING
		397.42	101-000000-44114-0000000			Amb Refund - D Lagerquiest			
1	176145	$146.10	09/28/23	18009	0	ILLINOIS TOOL WORKS INC		S	OUTSTANDING
		146.10	601-617364-52204-0000000			FLEET-2023-0002841			
1	176146	$2355.00	09/28/23	15668	0	INDUSTRIAL ORGANIZATIONAL SOLUTIONS INC		S	OUTSTANDING
		2355.00	101-910041-53112-0000000			FIRE OFFICER ESSENTIALS 1 & 2			
1	46467	$2390.49	09/28/23	01518	0	INGRAM INDUSTRIES INC		T	CLEARED
		255.41	251-713451-54305-0000420			RIP BOOK			
		3.63	251-712431-52210-0000000			TS PROCESSING			
		63.50	251-713451-54305-0000420			RIP RIS BOOK			
		101.69	251-713451-54305-0000420			RIP BOOK			
		16.94	251-713471-54305-0000421			CR J FND MEM			
		139.82	251-713451-54305-0000420			RIP CR BOOK			
		30.48	251-713471-54305-0000421			CR J FND MEM			
		66.36	251-713451-54305-0000420			RIP CR RIZ BOOK			
		1.32	251-712431-52210-0000000			TS PROCESSING			
		592.63	251-713451-54305-0000420			RIP CR RIS RIT RIZ BOOK			
		0.66	251-712431-52210-0000000			TS PROCESSING			
		30.69	251-712431-52210-0000000			TS PROCESSING			
		3.63	251-712431-52210-0000000			TS PROCESSING			
		22.08	251-713451-54305-5654000			RIP YA			
		1061.65	251-713451-54305-5654000			RIP YA			
1	176147	$6621.00	09/28/23	15049	1	INSIGHT PUBLIC SECTOR		S	OUTSTANDING
		6621.00	101-256081-52406-0000000			NAS SERVER & HARD DRIVES			
1	176148	$500.00	09/28/23	08664	0	INTEGRITY CLEANING SYSTEMS INC.		S	OUTSTANDING
		500.00	555-835753-53822-0000000			highland cleaning			
1	176149	$330.00	09/28/23	18254	0	INTERPRENET LTD		S	OUTSTANDING
		110.00	905-356921-53112-0000340			consultants			
		220.00	905-356921-53112-0000340			consultants			
1	176112	$1065.59	09/28/23	18652	0	JANA ROSE SUMMERS		S	OUTSTANDING
		488.16	501-000000-44170-0000000			Water Meters Flipped			
		577.43	506-000000-44150-0000000			Water Meters Flipped			
1	176150	$108.00	09/28/23	17965	0	JAYLEN BROZOVICH		S	OUTSTANDING
		108.00	555-813501-53112-6269000			flag football ref			

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1	46468	$450.00	09/28/23	14433	0	JEFFREY LEE LARUE		T	CLEARED
		450.00	555-835753-53112-0000000			highland golf teacher			
1	176151	$120.00	09/28/23	18192	0	JEFFREY STOLZE		S	OUTSTANDING
		120.00	555-813521-53112-0000000			slow pitch ump			
1	176152	$500.00	09/28/23	06727	0	JEFFREY WHITTEN		S	OUTSTANDING
		500.00	555-818551-53110-0000000			wwj adult swim dj			
1	176153	$90.00	09/28/23	17104	0	KENNETH L CHARD		S	OUTSTANDING
		90.00	555-813521-53112-0000000			slow pitch ump			
1	176154	$60.00	09/28/23	15672	0	KENNEYS PEST CONTROL INC		S	OUTSTANDING
		60.00	101-451292-53801-0000000			QTRLY Service			
1	176113	$70.00	09/28/23	18650	0	KIMBERLY PRESLEY		S	OUTSTANDING
		70.00	101-000000-44114-0000000			Amb Refund - F Kraklow			
1	176155	$819.44	09/28/23	01818	0	KOHLS DEPARTMENT STORES INC		S	OUTSTANDING
		199.99	101-413216-51405-0000000			GIRSKIS CLOTHING			
		619.45	101-413216-51405-0000000			MUMMA CLOTHING			
1	46469	$44334.00	09/28/23	16049	1	KRAFT POWER CORPORATION		T	CLEARED
		44334.00	506-618341-53804-0000000			Wet weather engine maitenance			
1	46470	$110.00	09/28/23	07348	0	KYMBYL KOMPLETE KARE INC		T	CLEARED
		110.00	251-711401-53805-0000000			SNOW REMOVAL			
1	176114	$33100.00	09/28/23	00309	0	LANDEROS & SONS CONSTRUCTION INC		S	OUTSTANDING
		33100.00	242-313851-55220-6215230			G.Carle 2015 11th Street			
1	46471	$846285.95	09/28/23	00411	0	LANGMAN CONSTRUCTION INC		T	CLEARED
		846285.95	501-619356-53806-2736000			11 ST Water Main Replacement P			
1	176115	$99.19	09/28/23	18651	0	LAURA LUNDBERG		S	OUTSTANDING
		99.19	101-000000-44114-0000000			Amb Refund - L Lundberg			
1	46472	$125.47	09/28/23	00795	1	LINDE GAS & EQUIPMENT INC		T	CLEARED
		125.47	555-834751-53822-0000000			highland tanks			
1	46473	$2475.00	09/28/23	00434	0	LOGAN CONTRACTORS SUPPLY INC		T	CLEARED
		2475.00	507-616322-53902-0000000			Rental 7/10 to 7/18/23			
1	176156	$185.00	09/28/23	00465	0	M & M HARDWARE		S	OUTSTANDING
		185.00	101-616301-52306-0000000			grass seed			
1	176157	$975.88	09/28/23	17388	0	MACQUEEN EQUIPMENT LLC		S	OUTSTANDING
		363.67	601-617364-52305-0000000			FLEET-2023-0002897			
		612.21	601-617364-52305-0000000			FLEET-2023-0002897			

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1	176158	$120.00	09/28/23	18372	0	MARK MALONEY		S	OUTSTANDING
		120.00	101-616041-51404-0000000			Boot reimbursement			
1	46474	$464.04	09/28/23	00482	0	MARTIN EQUIPMENT OF ILLINOIS INC		T	CLEARED
		10.44	601-617364-52305-0000000			FLEET-2023-0002907			
		1.43	601-617364-52305-0000000			FLEET-2023-0002907			
		21.39	601-617364-52305-0000000			FLEET-2023-0002907			
		11.40	601-617364-52305-0000000			FLEET-2023-0002907			
		72.45	601-617364-52305-0000000			FLEET-2023-0002907			
		4.01	601-617364-52305-0000000			FLEET-2023-0002907			
		11.88	601-617364-52305-0000000			FLEET-2023-0002907			
		331.04	555-854701-52305-0000000			saukie oring, hose, bulk hose			
1	176159	$862.39	09/28/23	01656	0	MARY CHAPPELL		S	OUTSTANDING
		862.39	276-811041-53822-0000000			labor day expenses			
1	176160	$180.37	09/28/23	09289	0	MBRINC		S	OUTSTANDING
		180.37	101-451291-53801-0000000			Station 1 ice machine repair			
1	46475	$67972.61	09/28/23	17063	0	MCCLINTOCK TRUCKING & EXCAVATING INC		T	CLEARED
		2651.70	501-619359-53806-2526000			WSRP 1527 8 ST Inv 1606			
		4208.07	506-619359-53806-2564000			SLRP 1527 8 ST Inv 1611			
		13193.42	506-619359-53806-2564000			SLRP 2104 35 ST Inv 1638			
		9403.76	506-619359-53806-2564000			SLRP 1837 30 ST Inv 1665			
		15135.48	506-619359-53806-2564000			SLRP 4001 31 AVE Inv 1668			
		9405.01	506-619359-53806-2564000			SLRP 1319 45 AVE Inv 1673			
		7743.51	506-619359-53806-2564000			SLRP 2430 26 ST Inv 1674			
		6231.66	506-619359-53806-2564000			SLRP 4016 7 AVE Inv 1675			
1	176161	$1435.00	09/28/23	11962	0	MEDIA LINK INC.		S	OUTSTANDING
		1435.00	101-122041-53112-0000000			Signage Circa, Lincoln Park			
1	176162	$75.00	09/28/23	18594	0	MEGHANNE FREEHILL		S	OUTSTANDING
		75.00	555-813501-53112-6127000			soccer ref			
1	46476	$414.00	09/28/23	00939	0	MELYX INC		T	CLEARED
		414.00	101-616601-52201-0000000			xylemmat B01-2500			
1	46477	$81.08	09/28/23	00528	0	MENARD INC		T	CLEARED
		53.58	101-616301-52401-0000000			smartside lap			
		27.50	101-616601-52301-0000000			rubber wall base			
1	176116	$391.82	09/28/23	16157	2	MERIDIAN HEALTH PLAN		S	OUTSTANDING
		391.82	101-000000-44114-0000000			Amb Refund - B Brodnax			
1	46478	$24902.34	09/28/23	00560	0	MIDAMERICAN ENERGY CO		T	CLEARED
		919.79	101-451291-53703-0000000			STATION 1 ELEC			
		80.71	101-451291-53702-0000000			STATION 1 NTRL GAS			
		347.73	101-451292-53703-0000000			STATION 2 ELEC			
		39.18	101-451292-53702-0000000			STATION 2 NTRL GAS			

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		22.27	101-451295-53702-0000000			STATION 2 NTRL GAS LIB			
		355.74	101-451293-53703-0000000			STATION 3 ELEC			
		9.61	101-451293-53703-0000000			STATION 3 ELEC			
		58.25	101-451293-53702-0000000			STATION 3 NTRL GAS			
		381.51	101-451295-53703-0000000			STATION 4 ELEC			
		31.15	101-451295-53702-0000000			STATION 4 NTRL GAS			
		35.13	101-452271-53703-0000000			31 AV SIREN			
		35.24	101-452271-53703-0000000			12 ST SIREN			
		35.13	101-452271-53703-0000000			15 AV SIREN			
		36.19	101-452271-53703-0000000			14 ST W SIREN			
		36.68	101-452271-53703-0000000			31 ST W SIREN			
		38.91	101-452271-53703-0000000			31 AV SIREN			
		27.08	251-711401-53703-0000000			RIP ELEC LIGHT AUG SEP 2023			
		7510.75	101-617120-53703-0000000			PD elec			
		32.35	506-619346-53703-0000000			31 Ave lift station			
		66.28	506-618341-53703-0000000			Farmall tank site			
		27.12	506-619346-53703-0000000			1708 Mill St rear			
		24.15	101-617114-53703-0000000			MSD security light			
		35.31	506-619346-53703-0000000			TPC Road lift station			
		1122.08	101-617120-53703-0000000			PD Support bldg			
		789.54	501-619356-53703-0000000			RW RD pump station			
		43.16	501-619356-53703-0000000			3620 14 Ave			
		3942.20	101-617111-53703-0000000			City Hall			
		1836.06	506-619346-53703-0000000			BH lift station			
		47.29	101-617114-53703-0000000			1407 Mill St (Flood Storage)			
		9.54	501-619356-53703-0000000			3101 38 St security light			
		53.40	501-618352-53702-0000000			2321 16 Ave pump station			
		151.25	501-618352-53703-0000000			2321 16 Ave pump station			
		65.29	501-618352-53702-0000000			1430 24 St Resv Tower			
		31.67	501-618352-53703-0000000			2313 16 Ave Resv Tower			
		75.85	501-618352-53702-0000000			200 24 St			
		163.33	506-619346-53703-0000000			30 St lift station			
		68.70	506-618343-53703-0000000			10 St W lift station			
		3482.35	506-618343-53703-0000000			SWTP			
		66.85	506-619346-53703-0000000			44 St lift station			
		48.27	101-617112-53702-0000000			PW			
		1288.82	101-617112-53703-0000000			PW			
		233.46	506-619346-53703-0000000			17 St & 30 Ave Basins			
		20.97	506-618341-53702-0000000			Dechlorination Bldg nat gas			
		54.50	506-618341-53703-0000000			Dechlorination Bldg elec			
		22.85	506-618341-53702-0000000			Chlorination Bldg nat gas			
		55.65	506-618341-53703-0000000			Chlorination Bldg elec			
		1043.00	101-617371-53703-0000000			IDOT elec charges			
1	46479	$150.00	09/28/23	17748	0	MIDWEST DOORS LLC		T	CLEARED
		150.00	101-451291-53801-0000000			Station one balanced door			
1	46480	$1073.90	09/28/23	02440	0	MIDWEST MAILWORKS INC		T	CLEARED
		564.60	101-155041-53203-0000000			Midwest Mailworks 09/11-09/15			
		509.30	101-155041-53203-0000000			Midwest Mailworks 09/04-09/08			

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1	46481	$677.12	09/28/23	00574	0	MIDWEST WHEEL COMPANIES INC		T	CLEARED
		191.96	601-617364-52305-0000000			FLEET-2023-0002831			
		485.16	601-617364-52305-0000000			FLEET-2023-0002909			
1	176163	$836.03	09/28/23	13395	0	MOLINE GLASS		S	OUTSTANDING
		836.03	555-867564-53801-0000000			labor to install mirror			
1	46482	$380.59	09/28/23	15816	0	MOTOR PARTS AND EQUIPMENT CORPORATION		T	CLEARED
		7.62	601-617364-52305-0000000			FLEET-2023-0002829			
		20.05	601-617364-52305-0000000			FLEET-2023-0002829			
		137.46	601-617364-52305-0000000			FLEET-2023-0002829			
		24.12	601-617364-52305-0000000			FLEET-2023-0002829			
		13.09	601-617364-52305-0000000			FLEET-2023-0002830			
		14.93	601-617364-52305-0000000			FLEET-2023-0002853			
		39.27	601-617364-52305-0000000			FLEET-2023-0002853			
		35.37	601-617364-52305-0000000			FLEET-2023-0002879			
		88.68	601-617364-52305-0000000			FLEET-2023-0002879			
1	46483	$1199.42	09/28/23	17690	0	MULGREW OIL COMPANY		T	CLEARED
		1199.42	555-835753-52203-0000000			highland pump meter			
1	46484	$217.53	09/28/23	00669	0	MUTUAL WHEEL COMPANY INC		T	CLEARED
		77.05	601-617364-52305-0000000			FLEET-2023-0002856			
		16.38	601-617364-52305-0000000			FLEET-2023-0002905			
		59.86	601-617364-52305-0000000			FLEET-2023-0002905			
		64.24	601-617364-52305-0000000			FLEET-2023-0002916			
1	46485	$1016.20	09/28/23	12284	0	MYERS COX CO		T	CLEARED
		138.44	555-855702-52509-0000000			saukie foam, towels			
		437.59	555-855702-52501-0000000			saukie food			
		97.95	555-835753-52509-0000000			highland foam, plates			
		342.22	555-835753-52501-0000000			highland food			
1	176117	$344.00	09/28/23	14757	1	NATIONAL GOVERNMENT SERVICES INC.		S	OUTSTANDING
		344.00	101-000000-44114-0000000			Amb Refund - J Kimbel			
1	176164	$141.82	09/28/23	18201	0	ODP BUSINESS SOLUTIONS LLC		S	OUTSTANDING
		77.20	101-451041-52101-0000000			admin office supplies			
		64.62	101-451041-52101-0000000			new hire binders			
1	46486	$35.10	09/28/23	04242	0	OREILLY AUTOMOTIVE STORES INC		T	CLEARED
		16.11	601-617364-52305-0000000			FLEET-2023-0002839			
		18.99	601-617364-52305-0000000			FLEET-2023-0002858			
1	176165	$816.00	09/28/23	10257	0	OUTDOOR INOVATIONS INC		S	OUTSTANDING
		816.00	555-819614-52201-0000000			SRP plants			
1	46487	$2049.80	09/28/23	12871	0	OVERDRIVE INC		T	CLEARED
		1119.15	251-713451-54305-0000421			RIP FND MEM			

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		565.69	251-713451-54305-0000421			RIP FND MEM			
		289.96	251-713451-54305-0000421			RIP FND MEM			
		75.00	251-714403-54305-5645420			OVERDRIVE E-CONTENT			
1	46488	$677.90	09/28/23	18027	0	PARTS AUTHORITY LLC		T	CLEARED
		51.78	601-617364-52305-0000000			FLEET-2023-0002834			
		179.10	601-617364-52305-0000000			FLEET-2023-0002833			
		17.90	601-617364-52305-0000000			FLEET-2023-0002835			
		108.07	601-617364-52305-0000000			FLEET-2023-0002836			
		321.05	601-617364-52305-0000000			FLEET-2023-0002852			
1	46489	$1026.26	09/28/23	04257	0	PHELPS UNIFORM SPECIALISTS INC		T	CLEARED
		30.85	101-616041-53822-0000000			Mats/etc			
		152.89	101-616041-53901-0000000			Uniforms			
		30.85	101-616041-53822-0000000			Mats/etc			
		439.09	101-616041-53901-0000000			Uniforms			
		30.85	101-616041-53822-0000000			Mats/etc			
		152.89	101-616041-53901-0000000			Uniforms			
		30.85	101-616041-53822-0000000			Mats/etc			
		157.99	101-616041-53901-0000000			Uniforms			
1	176166	$7879.50	09/28/23	12084	0	PLEASANT VALLEY REDI-MIX INC		S	OUTSTANDING
		1681.00	101-616301-52306-0000000			2352 26 ST			
		614.00	101-616301-52306-0000000			24 ST - 13 AVE			
		1218.00	101-616301-52306-0000000			24 AVE - 26 ST			
		709.00	101-616301-52306-0000000			26 ST - 24 AVE			
		463.00	101-616301-52306-0000000			15 Deere Run			
		3194.50	101-616301-52306-0000000			29 wildwood DR			
1	46490	$10000.00	09/28/23	18088	0	PROMOTION SUPPORT SERVICES INC		T	CLEARED
		10000.00	101-212051-53201-6518000			Postage Deposit			
1	46491	$2651.00	09/28/23	01400	0	QC ANALYTICAL SERVICES LLC		T	CLEARED
		1567.50	506-618121-53112-0000000			lab testing			
		945.00	506-618341-53112-0000000			lab testing			
		138.50	506-618341-53112-0000000			lab testing			
1	176167	$160.00	09/28/23	18429	0	QUAD CITY PRESS INC		S	OUTSTANDING
		160.00	101-119041-52101-0000000			Council Name Tags			
1	46492	$114.95	09/28/23	00834	2	QUAD CITY SAFETY INC		T	CLEARED
		114.95	501-618352-51404-0000000			Boots/Oldfield			
1	46493	$450.00	09/28/23	08272	0	QUAD CITY TOWING		T	CLEARED
		150.00	101-414222-53822-0000000			23-4462 05 HYUNDAI ELANTRA			
		250.00	101-414222-53822-0000000			23-4462 20 KAWASAKI 400			
		50.00	101-414222-53822-0000000			23-4575 HONDA ACCORD			
1	46494	$1442.19	09/28/23	09892	0	QUALITY CONTROLLED STAFFING		T	CLEARED
		783.87	510-616332-53111-0000000			Seasonals 9/10 to 9/16/23			

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		658.32	101-616601-53111-0000000			Seasonal 9/10 to 9/16/23			
1	176168	$36.00	09/28/23	18593	0	QUINCI BUMGARNER		S	OUTSTANDING
		36.00	555-867566-53112-6275000			volleyball ref			
1	46495	$111.00	09/28/23	06729	0	RACOM CORPORATION		T	CLEARED
		111.00	601-617364-53804-0000000			FLEET-2023-0002864			
1	46496	$2068.82	09/28/23	03063	0	RAGAN MECHANICAL		T	CLEARED
		99.00	555-813521-53822-0000000			plex rpz			
		147.00	555-822601-53822-5288000			douglas rpz			
		1668.61	251-711401-53801-0000000			PLUMBING REPAIRS			
		154.21	251-714403-53801-0000000			RIS REPL WATER HEATER			
1	176169	$100.00	09/28/23	14050	0	RANDY DEVOSS		S	OUTSTANDING
		100.00	555-000000-21411-0000000			refund deposit			
1	176170	$225.00	09/28/23	18311	0	RANJANI GOPIKRISHNA		S	OUTSTANDING
		225.00	251-713451-53110-0000000			AD PROG QUILLING CLASS			
1	46497	$342.15	09/28/23	00728	0	RAY OHERRON COMPANY INC		T	CLEARED
		212.20	101-414223-51401-0000000			HASHMARKS			
		129.95	101-412206-51404-0000000			SIKARDI BOOTS			
1	176171	$480.00	09/28/23	02134	0	RAYNOR DOOR CO INC		S	OUTSTANDING
		150.00	101-617119-53801-0000000			Service call			
		330.00	101-617120-53801-0000000			Serviced door and reinstalled			
1	176172	$552240.00	09/28/23	18036	1	RDO TRUCK CENTER CO		S	OUTSTANDING
		138060.00	602-617365-56406-0000000			(8) 37000 GVW SA Cab/Chassis			
		138060.00	602-617365-56406-0000000			(8) 37000 GVW SA Cab/Chassis			
		138060.00	602-617365-56406-0000000			(8) 37000 GVW SA Cab/Chassis			
		138060.00	602-617365-56406-0000000			(8) 37000 GVW SA Cab/Chassis			
1	46498	$837.97	09/28/23	00899	0	REPUBLIC PARENT LLC		T	CLEARED
		796.77	101-617121-52304-0000000			supplies			
		41.20	101-617121-52304-0000000			12 watt7" flush mount led fix			
1	46499	$87.00	09/28/23	03166	0	RISM INC		T	CLEARED
		87.00	555-819615-52501-6295000			thurs groove food			
1	46500	$7745.00	09/28/23	01402	0	RIVER ACTION INC		T	CLEARED
		7745.00	207-312801-54101-0000000			Catalyst for Change 2023			
1	46501	$474.71	09/28/23	00607	0	RIVERSTONE GROUP INC		T	CLEARED
		474.71	101-616301-52306-0000000			38 CL			
1	46502	$843.63	09/28/23	08470	0	RNOW INC.		T	CLEARED
		843.63	601-617364-52305-0000000			FLEET-2023-0002840			

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1	176173	$200.00	09/28/23	00979	0	ROCK ISLAND SCHOOL DISTRICT #41		S	OUTSTANDING
		200.00	901-356911-53110-5328000			RIHS FOOTBALL TEAM			
1	46503	$13479.38	09/28/23	00988	0	ROWELL CHEMICAL CORPORATION		T	CLEARED
		13479.38	501-618352-52217-0000000			Caustic			
1	176174	$90.00	09/28/23	18596	0	RYAN PARRISH		S	OUTSTANDING
		90.00	555-813521-53112-0000000			slow pitch ump			
1	176118	$824.50	09/28/23	18649	0	SAMUEL KELLY		S	OUTSTANDING
		824.50	101-000000-44114-0000000			Amb Refund - First Initial Las			
1	176119	$4500.00	09/28/23	14971	0	SELECT STRUCTURAL ENGINEERING LLC		S	OUTSTANDING
		4500.00	248-314881-53801-8223673			Structural Engineering - Bear			
1	176175	$2425.50	09/28/23	18388	1	SHAWNEE MISSION TREE SERVICE INC		S	OUTSTANDING
		2425.50	101-616321-53802-0000000			2900 5 1/2 AV, tree removal			
1	176176	$10965.89	09/28/23	15634	0	SHOTTENKIRK INC		S	OUTSTANDING
		347.60	601-617364-52305-0000000			FLEET-2023-0002887			
		64.88	601-617364-52305-0000000			FLEET-2023-0002868			
		218.97	601-617364-52305-0000000			FLEET-2023-0002868			
		217.24	601-617364-52305-0000000			FLEET-2023-0002868			
		22.43	601-617364-52305-0000000			FLEET-2023-0002868			
		33.39	601-617364-52305-0000000			FLEET-2023-0002868			
		61.53	601-617364-52305-0000000			FLEET-2023-0002868			
		60.95	601-617364-52305-0000000			FLEET-2023-0002868			
		1166.67	601-617364-52305-0000000			FLEET-2023-0002868			
		1166.67	601-617364-52305-0000000			FLEET-2023-0002868			
		411.61	601-617364-52305-0000000			FLEET-2023-0002868			
		759.39	601-617364-52305-0000000			FLEET-2023-0002868			
		466.15	601-617364-52305-0000000			FLEET-2023-0002868			
		14.58	601-617364-52305-0000000			FLEET-2023-0002868			
		72.72	601-617364-52305-0000000			FLEET-2023-0002868			
		81.68	601-617364-52305-0000000			FLEET-2023-0002868			
		13.77	601-617364-52305-0000000			FLEET-2023-0002868			
		427.36	601-617364-52305-0000000			FLEET-2023-0002868			
		371.85	601-617364-52305-0000000			FLEET-2023-0002868			
		201.75	601-617364-52305-0000000			FLEET-2023-0002868			
		665.31	601-617364-52305-0000000			FLEET-2023-0002866			
		3.84	601-617364-52305-0000000			FLEET-2023-0002878			
		126.69	601-617364-52305-0000000			FLEET-2023-0002878			
		64.88	601-617364-52305-0000000			FLEET-2023-0002878			
		218.97	601-617364-52305-0000000			FLEET-2023-0002878			
		1166.67	601-617364-52305-0000000			FLEET-2023-0002878			
		201.75	601-617364-52305-0000000			FLEET-2023-0002878			
		207.26	601-617364-52305-0000000			FLEET-2023-0002878			
		285.09	601-617364-52305-0000000			FLEET-2023-0002876			
		371.85	601-617364-52305-0000000			FLEET-2023-0002876			
		150.50	601-617364-52305-0000000			FLEET-2023-0002874			

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		466.15	601-617364-52305-0000000			FLEET-2023-0002870			
		416.70	601-617364-52305-0000000			FLEET-2023-0002885			
		27.16	601-617364-52305-0000000			FLEET-2023-0002901			
		87.81	601-617364-52305-0000000			FLEET-2023-0002872			
		117.06	601-617364-52305-0000000			FLEET-2023-0002890			
		129.84	601-617364-52305-0000000			FLEET-2023-0002891			
		77.17	601-617364-52305-0000000			FLEET-2023-0002899			
1	46504	$1464.53	09/28/23	00958	0	STANDARD BEARINGS OF DAVENPORT INC		T	CLEARED
		1464.53	506-618121-52305-0000000			supplies			
1	46505	$50.00	09/28/23	01119	0	STECKER GRAPHICS INC		T	CLEARED
		50.00	101-122041-53501-0000000			Business Cards - Skolrood			
1	46506	$556.90	09/28/23	01174	0	STERN BEVERAGE INC		T	CLEARED
		556.90	555-855702-52503-0000000			saukie beer			
1	176177	$2637.50	09/28/23	18589	0	TANIKA ZENTIC		S	OUTSTANDING
		2100.00	555-813501-52212-6127000			shirts			
		537.50	555-867566-52212-6275000			volleyball shirts			
1	46507	$4248.78	09/28/23	01074	1	TANNER INDUSTRIES INC		T	CLEARED
		1977.29	501-618352-52217-0000000			Ammonia			
		2271.49	501-618352-52217-0000000			Ammonia			
1	176178	$334.14	09/28/23	11410	1	TAYLOR MADE GOLF COMPANY INC		S	OUTSTANDING
		334.14	555-846721-52506-0000000			proshop merch			
1	46508	$74.00	09/28/23	01058	0	TERMINIX INTERNATIONAL		T	CLEARED
		74.00	901-356941-53801-0000000			building and grounds			
1	176179	$5709.74	09/28/23	17054	0	THOMPSON TIRE & RETREAD		S	OUTSTANDING
		245.45	601-617364-52305-0000000			FLEET-2023-0002848			
		90.00	601-617364-53804-0000000			FLEET-2023-0002848			
		1447.25	601-617364-52305-0000000			FLEET-2023-0002846			
		53.00	601-617364-53804-0000000			FLEET-2023-0002846			
		41.99	601-617364-52305-0000000			FLEET-2023-0002846			
		225.00	601-617364-53804-0000000			FLEET-2023-0002846			
		228.00	601-617364-53804-0000000			FLEET-2023-0002826			
		270.00	601-617364-53804-0000000			FLEET-2023-0002913			
		606.90	601-617364-52305-0000000			FLEET-2023-0002913			
		90.00	601-617364-53804-0000000			FLEET-2023-0002913			
		1820.70	601-617364-52305-0000000			FLEET-2023-0002913			
		546.45	601-617364-52305-0000000			FLEET-2023-0002911			
		45.00	601-617364-53804-0000000			FLEET-2023-0002911			
1	46509	$1474.98	09/28/23	15010	0	THOMPSON TRUCK & TRAILER LLC		T	CLEARED
		76.73	601-617364-52305-0000000			FLEET-2023-0002822			
		81.35	601-617364-52305-0000000			FLEET-2023-0002822			
		236.24	601-617364-52305-0000000			FLEET-2023-0002822			

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		819.66	601-617364-52305-0000000		FLEET-2023-0002820			
		60.98	601-617364-52305-0000000		FLEET-2023-0002820			
		8.80	601-617364-52305-0000000		FLEET-2023-0002820			
		8.96	601-617364-52305-0000000		FLEET-2023-0002820			
		131.20	601-617364-52305-0000000		FLEET-2023-0002820			
		34.06	601-617364-52305-0000000		FLEET-2023-0002820			
		17.00	601-617364-52305-0000000		FLEET-2023-0002820			
1	46510	$383.52	09/28/23 04354	0	TICKLE ASPHALT CO LTD		T	CLEARED
		159.80	101-616301-52306-0000000		84bit02c9			
		223.72	101-616301-52306-0000000		HMA S			
1	46511	$1408.00	09/28/23 00639	0	TRI STATE FIRE CONTROL INC		T	CLEARED
		1408.00	555-867564-53801-0000000		rifac fire ext maint			
1	46512	$156.60	09/28/23 02631	0	TRUCK COUNTRY OF IOWA INC		T	CLEARED
		156.60	601-617364-52305-0000000		FLEET-2023-0002843			
1	176180	$9268.44	09/28/23 12584	0	UTILITY SERVICES CO., INC.		S	OUTSTANDING
		9268.44	501-618352-53801-0000000		500,000 elevated treatment pla			
1	46513	$281.14	09/28/23 12965	0	VAN WALL EQUIPMENT INC		T	CLEARED
		71.98	101-616321-52204-0000000		airfilter/spark plug			
		209.16	555-822611-52305-0000000		hort mower blade			
1	176181	$652.84	09/28/23 11026	0	VERIZON WIRELESS		S	OUTSTANDING
		36.01	101-616041-53205-0000000		309-230-0176			
		36.01	224-413216-53205-0000000		309-428-0104			
		36.01	101-616041-53205-0000000		309-428-4839			
		36.01	101-616041-53205-0000000		309-428-5150			
		36.01	101-256081-53205-0000000		309-429-0086			
		36.01	101-411041-53205-0000000		309-429-0299			
		40.67	555-813501-53205-0000000		309-520-4520			
		36.01	606-615091-53205-0000000		309-781-0540			
		36.01	101-256081-53205-0000000		309-798-0286			
		36.01	101-411201-53205-0000000		309-798-0291			
		36.01	101-411041-53205-0000000		309-798-0298			
		36.01	101-414223-53205-0000000		309-798-0308			
		36.01	101-616041-53205-0000000		309-798-8519			
		11.88	501-619041-53205-0000000		309-428-5946 (33%)			
		11.88	506-619041-53205-0000000		309-428-5946 (33%)			
		12.25	507-619041-53205-0000000		309-428-5946 (34%)			
		11.88	501-619041-53205-0000000		309-429-8116 (33%)			
		11.88	506-619041-53205-0000000		309-429-8116 (33%)			
		12.25	507-619041-53205-0000000		309-429-8116 (34%)			
		11.88	501-619041-53205-0000000		309-592-0150 (33%)			
		11.88	506-619041-53205-0000000		309-592-0150 (33%)			
		12.25	507-619041-53205-0000000		309-592-0150 (34%)			
		11.88	501-619041-53205-0000000		309-592-0189 (33%)			
		11.88	506-619041-53205-0000000		309-592-0189 (33%)			

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		12.25	507-619041-53205-0000000			309-592-0189 (34%)			
		11.88	501-619041-53205-0000000			309-592-0245 (33%)			
		11.88	506-619041-53205-0000000			309-592-0245 (33%)			
		12.25	507-619041-53205-0000000			309-592-0245 (34%)			
1	46514	$278.78	09/28/23	00146	0	VOTOUBEK INVESTMENTS		T	CLEARED
		74.98	101-616322-52401-0000000			sledge hammer's			
		67.98	101-616601-52301-0000000			trash can/lid			
		18.98	101-616601-52301-0000000			Bit's			
		3.29	101-616601-52301-0000000			12pk 8" composite shim			
		113.55	101-616601-52304-0000000			conduit/circuit breaker/connec			
1	176182	$27468.99	09/28/23	18467	0	WESTERN OILFIELDS SUPPLY COMPANY		S	OUTSTANDING
		27468.99	248-618343-53902-8518657			Pump Rental			
1	176183	$106.69	09/28/23	17703	0	WHITE CAP LP		S	OUTSTANDING
		106.69	555-822611-52301-0000000			hort masterseal, caulk gun			
1	46515	$249.26	09/28/23	17008	1	WP BEVERAGE LLC		T	CLEARED
		249.26	555-855702-52502-0000000			saukie drinks			
1	176184	$8713.20	09/28/23	13074	0	YAMAHA MOTOR CORP USA		S	OUTSTANDING
		3572.41	555-855702-53905-0000000			saukie golf cart lease			
		5140.79	555-835753-53905-0000000			highland golf cart lease			
1	176185	$200.00	09/28/23	16934	0	ZACHARY COSTAS		S	OUTSTANDING
		200.00	101-414223-51502-0000000			FUGITIVE MISSION PLANNING & CE			
1	46516	$2146.02	09/28/23	16947	0	ZURCHER TIRE INC		T	CLEARED
		1169.76	601-617364-52305-0000000			FLEET-2023-0002828			
		976.26	601-617364-52305-0000000			FLEET-2023-0002844			

TOTAL # OF ISSUED CHECKS:	81	TOTAL AMOUNT:	943,352.72
TOTAL # OF WIRES:	0	TOTAL AMOUNT:	0.00
TOTAL # OF VOIDED/REISSUED/UNCLAIMED CHECKS:	0	TOTAL AMOUNT:	0.00
TOTAL # OF ACH CHECKS:	72	TOTAL AMOUNT:	1,141,982.91
TOTAL # OF UNISSUED CHECKS:	0		

FUND TOTALS

FUND	FUND NAME	ISSUED TOTAL	VOIDED/REISSUED TOTAL
101	GENERAL FUND	213,586.24	0.00
207	COMMUNITY/ECONOMIC DEVELOPMENT	7,745.00	0.00
211	M L KING CENTER	148.89	0.00
224	STATE DRUG PREVENTION	36.01	0.00
242	COMMDEVBLOCKGRANT CFDA 14.218	33,100.00	0.00
248	American Rescue Plan Act (ARPA	35,218.99	0.00
251	PUBLIC LIBRARY	7,025.19	0.00
276	RI LABOR DAY PARADE	862.39	0.00
301	CAPITAL IMPROVEMENTS	41,324.56	0.00
405	DEBT SERVICE	514.70	0.00
501	WATER OPERATIONS/MAINTENANCE	891,236.36	0.00
506	WASTEWATER OPER & MAINTENANCE	172,275.57	0.00
507	STORMWATER UTILITY	2,536.25	0.00
510	SOLID WASTE	783.87	0.00
555	PARK & RECREATION	25,856.71	0.00
581	CDBG LOAN PROGRAMS	173.33	0.00
584	CIRLF LOAN FUND	173.32	0.00
601	FLEET SERVICES	54,005.69	0.00
602	FLEET AMORTIZATION	552,240.00	0.00
606	ENGINEERING	36.01	0.00
621	SELF-INSURANCE	5,472.17	0.00
626	EMPLOYEE HEALTH PLAN	25,236.31	0.00
901	MLK ACTIVITY	13,317.80	0.00
904	IL CJIA	2,100.27	0.00
905	IL DCFS	330.00	0.00
	TOTAL -	2,085,335.63	0.00

Tameka L. Toney

Payroll Expense Posting Report
Period Covering: 09/04/23-09/17/23
Pay Date: 09/22/23

FUND	Fund Name		AMOUNT
101	General Fund	$	1,175,438.50
203	TIF District #3 N 11 St	$	1,970.59
204	TIF Jumers Casino RI	$	1,269.97
205	TIF Columbia Park	$	1,240.21
211	ML King Center	$	6,018.46
242	Comm Dev Block Grant	$	10,628.21
248	ARPA Program	$	8,834.96
251	Library	$	65,539.88
501	Water	$	76,989.46
506	Wastewater	$	69,214.37
507	Stormwater	$	20,051.68
510	Refuse	$	27,491.65
541	Sunset Marina	$	3,443.50
555	Park & Recreation	$	114,234.47
601	Fleet Services	$	42,175.36
606	Engineering	$	31,604.04
621	Self-Insurance	$	3,587.60
626	Employee Health Ins	$	2,265.50
901	MLK Activity Fund	$	10,913.88
903	MLK - IPHA Grant	$	1,956.59
904	MLK Youth Srv	$	2,904.22
905	MLK DCFS Fund	$	4,968.56
906	MLK DHS Fund	$	19,426.06
		$	1,703,705.71

Payroll Expense Posting Report
Period Covering: 08/21/23-09/03/23
Pay Date: 09/08/23

FUND	Fund Name	AMOUNT	
101	General Fund	$ 1,210,320.74	$ (34,882.24)
203	TIF District #3 N 11 St	$ 1,961.80	$ 8.79
204	TIF Jumers Casino RI	$ 1,261.19	$ 8.78
205	TIF Columbia Park	$ 1,227.01	$ 13.20
211	ML King Center	$ 6,018.47	$ (0.01)
242	Comm Dev Block Grant	$ 10,346.70	$ 281.51
248	ARPA Program	$ 8,834.96	$ -
251	Library	$ 65,654.88	$ (115.00)
501	Water	$ 77,423.31	$ (433.85)
506	Wastewater	$ 69,730.48	$ (516.11)
507	Stormwater	$ 20,642.66	$ (590.98)
510	Refuse	$ 25,143.63	$ 2,348.02
541	Sunset Marina	$ 3,424.04	$ 19.46
555	Park & Recreation	$ 130,009.91	$ (15,775.44)
601	Fleet Services	$ 39,659.92	$ 2,515.44
606	Engineering	$ 31,386.20	$ 217.84
621	Self-Insurance	$ 3,533.78	$ 53.82
626	Employee Health Ins	$ 2,212.79	$ 52.71
901	MLK Activity Fund	$ 11,659.28	$ (745.40)
903	MLK - IPHA Grant	$ 3,913.18	$ (1,956.59)
904	MLK Youth Srv	$ 5,808.44	$ (2,904.22)
905	MLK DCFS Fund	$ 9,937.12	$ (4,968.56)
906	MLK DHS Fund	$ 38,687.02	$ (19,260.96)
		$ 1,781,081.59	$ (77,375.88)

CITY OF ROCK ISLAND
CHART OF ACCOUNTS

September 09, 2023 through
September 22, 2023
TOTAL: $ 33,606.61

FUNDS	FUND NAME	DEPT	DEPARTMENT NAME
101	General Fund	111	1st Ward
201	TIF #1 Downtown	112	2nd Ward
202	TIF #2 South 11th Street	113	3rd Ward
203	TIF #3 North 11th Street	114	4th Ward
204	TIF #4 Jumer's Casino Rock Island	115	5th Ward
205	TIF #5 Columbia Park	116	6th Ward
206	TIF #6 NE I280/Parkway	117	7th Ward
207	Community/Economic Development	118	Mayor
208	TIF #7 The Locks	119	Mayor & Council Administration
209	TIF #8 Watchtower	122	General Administration
210	Public Benefit	123	Legal Services
211	Martin Luther King Center	131	City Clerk
212	MLK Facility Improvement	155	Human Resources Administration
213	TIF #9 1st Street	156	Human Resources Services
221	Motor Fuel Tax	157	Insurance
222	Foreign Fire Insurance	211	Finance Administration
223	Riverboat Gaming	212	Customer Service
224	State Drug Prevention	213	Accounting Services
225	DUI Fine Law	214	Budget and Grants Management
226	Court Supervision	256	Information Technology
227	Crime Laboratory	311	Community/Economic Development Administration
241	US Dept of Justice Grant	312	Economic Development
242	Community Development Block Grant	313	Planning/Redevelopment
243	Federal Drug Prevention	314	Inspection
244	Neighborhood Stabilization ARRA	356	Martin Luther King Jr Community Center
245	Schwiebert Park Boat Dock	411	Police Administration
246	Ridgewood Business Park	412	Field Operations Bureau
251	Public Library	413	Criminal Investigation Bureau
252	Volunteers of the RI Library	414	Administration/Technical Services Bureau
271	Honor Guard Contributions	415	Tactical Operations
272	DARE	451	Fire Administration November 5, 2021 through
273	Police Contributions	452	Fire Emergency Services
274	Elderly Service Contributions	453	Fire Protective Inspect
275	RI Auxiliary Police	454	Ambulance
276	RI Labor Day Parade	611	Public Works Administration
277	ESO Christmas Tour	612	Motor Vehicle Parking System
278	Adopt A School	613	Water Meter Services
279	Fire Donations	615	Engineering
282	Lead Grant	616	Municipal Services
301	Capital Improvements	617	Fleet Services
302	Capital Improvements - Streets	618	Utilities Service
405	Debt Service	619	Utilities Maintenance
501	Water Operation & Maintenance	711	Library Administration
502	Water Capital 2010A BAB'S	712	Library Info Services
506	Wastewater Operations & Maintenance	713	Library Circulation
507	Stormwater Utility	714	Library Extension
508	Wastewater Capital 2010A BAB'S	811	Park/Recreation Administration
510	Solid Waste	813	Recreation
511	Centennial Bridge	818	Whitewater Aquatic Center
541	Sunset Marina	819	Schwiebert Riverfront Park
555	Parks & Recreation	822	Parks
581	CDBG Loan Programs	834	Highland Golf Maintenance
582	State Affordable Housing	835	Highland Clubhouse
583	Community/Economic Development Loans	846	Golf Pro Shop
584	CIRLF Loan Fund	854	Saukie Golf Maintenance
585	MPF Endowment Loans	855	Saukie Clubhouse
586	Brownfield Revolving Loan Fund	867	RIFAC
595	Public Housing	891	Donations P/R Admin
601	Fleet Services	892	Donations Parks
602	Fleet Amortization	893	Donations Recreation Programs
606	Engineering	894	Donations Golf Maintenance
609	Hydroelectric Plant	895	Donations Highland/Saukie Clubhouse
621	Self-Insurance	896	Donations Golf Pro Shop
626	Employee Health Plan	897	Donations RIFAC
701	Fire Pension	898	Donations Longview Aquatic Center
706	Police Pension	899	Donations Riverfront Park
711	Cafeteria Plan	910	Police Fire Commission
712	Loan Escrow	920	Fire Pension
831	GASB 34	930	Police Pension
901	MLK Activity	940	Cafeteria Plan
905	IL DCFS		
906	Dept of Human Services		
907	MLK Capital Contributions		
950	Public Library Foundation		

REPORT PARAMETERS

ORGANIZATION	:	010
BANK	:	2
PRINT BY	:	CHECK DATE
SORT OPTION	:	VENDOR NAME
PRINT DETAILS	:	Y
BEGINNING CHECK DATE	:	09/22/23
ENDING CHECK DATE	:	09/22/23
ORG NAME FOR EXTRACT FILE	:	APPP092223

010 CITY OF ROCK ISLAND
CHECK REGISTER
DATE RANGE: 09/22/23 - 09/22/23

BANK WO #	CHECK #	CHECK AMT AMOUNT	CHECK DATE G/L ACCT #	VENDOR #	ADDRS #	VENDOR NAME DESCRIPTION	INVOICE #	TYPE INV VEND	CHECK STATUS
2	92223	$33606.61	09/22/23	07532	0	ICMA RETIREMENT CORP		M	OUTSTANDING
		33606.61	051-000000-21403-0000000			PAYROLL FOR - 092223			

TOTAL # OF ISSUED CHECKS:	1	TOTAL AMOUNT:	33,606.61
TOTAL # OF WIRES:	0	TOTAL AMOUNT:	0.00
TOTAL # OF VOIDED/REISSUED/UNCLAIMED CHECKS:	0	TOTAL AMOUNT:	0.00
TOTAL # OF ACH CHECKS:	0	TOTAL AMOUNT:	0.00
TOTAL # OF UNISSUED CHECKS:	0		

FUND TOTALS

FUND	FUND NAME	ISSUED TOTAL	VOIDED/REISSUED TOTAL
051	PAYROLL CLEARING FUND	33,606.61	0.00
	TOTAL -	33,606.61	0.00

Tameka L. Toney

City of Rock Island

ACH Report

08/01/2023 - 08/31/2023

Date	Vendor	Description	Amount
8/22/2023	G&M Distributors	Parks Concessions Payment	$91.00
	G&M Distributors Total		$91.00
8/15/2023	IL DEPT OF REVENUE	IL Sales Tax	$10,901.00
	IL DEPT OF REVENUE Total		$10,901.00
8/2/2023	IL EPA	IL EPA Loan Payment L17-4900	$344,084.69
	IL EPA Total		$344,084.69
8/1/2023	IPMG Claims	Check 4055 - Work Comp	$148.78
8/1/2023	IPMG Claims	Check 4066 - Work Comp	$1,956.22
8/1/2023	IPMG Claims	Check 4067 - Work Comp	$1,680.24
8/1/2023	IPMG Claims	Check 4077 - Work Comp	$156.00
8/1/2023	IPMG Claims	Check 4078 - Work Comp	$13.00
8/1/2023	IPMG Claims	Check 4079 - Work Comp	$156.00
8/1/2023	IPMG Claims	Check 4080 - Work Comp	$429.00
8/1/2023	IPMG Claims	Check 4081 - Work Comp	$188.50
8/1/2023	IPMG Claims	Check 4082 - Work Comp	$13.00
8/1/2023	IPMG Claims	Check 4084 - Work Comp	$100.00
8/2/2023	IPMG Claims	Check 4048 - Work Comp	$251.43
8/2/2023	IPMG Claims	Check 4053 - Work Comp	$223.66
8/2/2023	IPMG Claims	Check 4054 - Work Comp	$223.66
8/2/2023	IPMG Claims	Check 4062 - Work Comp	$114.40
8/2/2023	IPMG Claims	Check 4065 - Work Comp	$223.66
8/2/2023	IPMG Claims	Check 4070 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4071 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4072 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4073 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4074 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4075 - Work Comp	$169.18
8/2/2023	IPMG Claims	Check 4076 - Work Comp	$169.18
8/4/2023	IPMG Claims	Check 4049 - Work Comp	$4.27
8/4/2023	IPMG Claims	Check 4050 - Work Comp	$4.39
8/4/2023	IPMG Claims	Check 4051 - Work Comp	$99.49
8/4/2023	IPMG Claims	Check 4052 - Work Comp	$251.30
8/4/2023	IPMG Claims	Check 4064 - Work Comp	$51.29
8/4/2023	IPMG Claims	Check 4068 - Work Comp	$849.30
8/7/2023	IPMG Claims	Check 4102 - Work Comp	$1,217.28
8/8/2023	IPMG Claims	Check 4087 - Work Comp	$268.10
8/8/2023	IPMG Claims	Check 4088 - Work Comp	$169.18
8/8/2023	IPMG Claims	Check 4089 - Work Comp	$169.18
8/8/2023	IPMG Claims	Check 4090 - Work Comp	$169.18
8/8/2023	IPMG Claims	Check 4099 - Property Damage	$2,229.00
8/9/2023	IPMG Claims	Check 4092 - Work Comp	$190.00
8/9/2023	IPMG Claims	Check 4098 - Work Comp	$1,956.22
8/9/2023	IPMG Claims	Check 4100 - Work Comp	$1,680.24
8/10/2023	IPMG Claims	Check 4046 - Property Damage	$1,000.00
8/10/2023	IPMG Claims	Check 4083 - Work Comp	$93.45
8/10/2023	IPMG Claims	Check 4085 - Work Comp	$24.90
8/11/2023	IPMG Claims	Check 4063 - Work Comp	$105.50
8/11/2023	IPMG Claims	Check 4091 - Work Comp	$208.00
8/14/2023	IPMG Claims	Check 4095 - Work Comp	$223.66
8/14/2023	IPMG Claims	Check 4096 - Work Comp	$223.66
8/14/2023	IPMG Claims	Check 4097 - Work Comp	$223.66
8/14/2023	IPMG Claims	Check 4101 - Work Comp	$738.09
8/15/2023	IPMG Claims	Check 4086 - Work Comp	$112.97
8/15/2023	IPMG Claims	Check 4094 - Work Comp	$231.34
8/16/2023	IPMG Claims	Check 4093 - Work Comp	$47.62
8/22/2023	IPMG Claims	Check 4069 - Work Comp	$714.39
	IPMG Claims Total		$20,317.47

8/23/2023	JP MORGAN CHASE	Purchase Card Payment	$62,383.94
	JP MORGAN CHASE Total		$62,383.94
8/2/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$973.60
8/3/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$2,636.07
8/4/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$1,796.23
8/7/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$593.96
8/9/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$1,090.30
8/10/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$1,355.43
8/14/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$103.60
8/15/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$191.53
8/16/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$652.68
8/18/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$433.23
8/22/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$452.84
8/23/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$141.00
8/24/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$1,000.41
8/28/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$102.60
8/30/2023	PayFlex	Via Benefits - Retiree Health Care Payment	$194.20
	PayFlex Total		$11,717.68
8/2/2023	PAYMENTECH	Ambulance Credit Card & Processing Fees	$725.99
8/3/2023	PAYMENTECH	Credit Card & Processing Fees	$9,407.15
	PAYMENTECH Total		$10,133.14
8/11/2023	Payroll Related	CA Child Support	$483.69
8/11/2023	Payroll Related	IL Child Support	$1,240.44
8/14/2023	Payroll Related	IA Child Support	$230.76
8/15/2023	Payroll Related	Federal Withholding Tax	$210,462.50
8/17/2023	Payroll Related	IL Withholding Tax	$44,902.07
8/24/2023	Payroll Related	IL Child Support	$1,240.44
8/24/2023	Payroll Related	CA Child Support	$483.69
8/25/2023	Payroll Related	IA Child Support	$230.76
8/28/2023	Payroll Related	Federal Withholding Tax	$204,911.56
8/30/2023	Payroll Related	IL Withholding Tax	$43,508.55
	Payroll Related Total		$507,694.46
8/11/2023	Pension Related	IMRF Pension Transfer	$112,426.54
	Pension Related Total		$112,426.54
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$31.91
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$21.17
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$12.59
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$86.00
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$19.10
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$1,037.06
8/2/2023	Priority Payment Systems	Credit Card & Processing Fees	$908.44
	Priority Payment Systems Total		$2,116.27
8/2/2023	TRISTAR RISK MGT	Section 125 FSA Reimbursement	$2,447.86
8/9/2023	TRISTAR RISK MGT	Section 125 FSA Reimbursement	$2,282.57
8/16/2023	TRISTAR RISK MGT	Section 125 FSA Reimbursement	$1,247.88
8/23/2023	TRISTAR RISK MGT	Section 125 FSA Reimbursement	$1,708.28
8/30/2023	TRISTAR RISK MGT	Section 125 FSA Reimbursement	$1,632.11
	TRISTAR RISK MGT Total		$9,318.70
8/22/2023	Wells Fargo Bank	Parks Equipment Lease Payment	$759.33
	Wells Fargo Bank Total		$759.33
	Grand Total		$1,091,944.22

City of Rock Island
Purchase Card Report
07/27/2023 - 08/28/2023

Date	Merchant Name	Description	Account Number	Amount
08/01/2023	ADOBE STOCK	C Roelf - Parks - Stock Photos	555-813501-53503-0000000	29.99
	ADOBE STOCK Total			29.99
08/15/2023	AED SUPERSTORE	B Kennedy - Fire - Weighted Vest	222-451041-52410-0000000	1,593.53
	AED SUPERSTORE Total			1,593.53
08/03/2023	AMAZON WEB SERVICES	N Blair - IT - AWS-GIS, July 2023	101-256091-53822-0000000	39.33
	AMAZON WEB SERVICES Total			39.33
08/28/2023	AMAZON.COM	T Smith - Fire - Refund for Equipment Case	101-452271-52402-0000000	(29.99)
	AMAZON.COM Total			(29.99)
08/28/2023	AMAZON.COM*T357S6PW0	J Riner - PW - Refrigerator Water/Ice Filters	101-617111-52301-0000000	337.26
	AMAZON.COM*T357S6PW0 Total			337.26
08/07/2023	AMAZON.COM*TA09Y8TH2	M DeMarlie - Parks - Hort Shovel/Saw	555-822611-52401-0000000	96.08
	AMAZON.COM*TA09Y8TH2 Total			96.08
08/11/2023	AMAZON.COM*TA29Q0IT0	M Gonzalez - Parks - Preschool Kid Scissors	555-867501-52212-0000000	57.72
	AMAZON.COM*TA29Q0IT0 Total			57.72
08/07/2023	AMAZON.COM*TA6S28AV0	M Hogan - Parks - RIFAC Pool Storage Box	555-867562-52212-0000000	103.19
	AMAZON.COM*TA6S28AV0 Total			103.19
08/07/2023	AMAZON.COM*TA7572ZK0	B Kennedy - Fire - Speakers	222-451041-52405-0000000	86.98
	AMAZON.COM*TA7572ZK0 Total			86.98
08/07/2023	AMAZON.COM*TA8K67T70	M Hogan - Parks - RIFAC Pool Deck Storage Box	555-867562-52212-0000000	44.53
	AMAZON.COM*TA8K67T70 Total			44.53
08/03/2023	AMAZON.COM*TH3EQ9UH2	N Carr - Parks - WWJ Food	555-818551-52501-0000000	137.36
	AMAZON.COM*TH3EQ9UH2 Total			137.36
08/03/2023	AMAZON.COM*TH3IK3I90	T MUEHLER - POLICE - DOG CRATE	101-412207-52401-0000000	55.40
	AMAZON.COM*TH3IK3I90 Total			55.40
07/31/2023	AMAZON.COM*TH3KT6FW0	M Gonzalez - Parks - Preschool Service Carts	555-867501-52207-0000000	61.19
	AMAZON.COM*TH3KT6FW0 Total			61.19
08/21/2023	AMAZON.COM*TO3EE2UV1	N Carr - Parks - RIFAC Hand Towels	555-867564-52207-0000000	281.60
	AMAZON.COM*TO3EE2UV1 Total			281.60
08/18/2023	AMAZON.COM*TO4CQ5SZ1	M Gonzalez - Parks - Preschool Magnets	555-867501-52212-0000000	16.99
	AMAZON.COM*TO4CQ5SZ1 Total			16.99
08/18/2023	AMAZON.COM*TO5WD5R60	M Gonzalez - Parks - Preschool Doorbell	555-867501-52212-0000000	25.98
	AMAZON.COM*TO5WD5R60 Total			25.98
08/14/2023	AMAZON.COM*TO7BE8TR0	T Smith - Fire - Equipment Case	101-452271-52402-0000000	29.99
	AMAZON.COM*TO7BE8TR0 Total			29.99
08/23/2023	AMAZON.COM*TQ1BU8BF1	B Kennedy - Fire - Coffee Maker	222-451041-52405-0000000	66.98
	AMAZON.COM*TQ1BU8BF1 Total			66.98
08/21/2023	AMAZON.COM*TQ1NZ5G80	N Carr - Parks - RIFAC Snacks	555-867564-52501-0000000	6.78
	AMAZON.COM*TQ1NZ5G80 Total			6.78
08/21/2023	AMAZON.COM*TQ2GU3GW0	N Carr - Parks - RIFAC Cleaners	555-867564-52206-0000000	30.92
	AMAZON.COM*TQ2GU3GW0 Total			30.92
08/21/2023	AMAZON.COM*TQ38M3NM1	B Kennedy - Fire - Blender	222-451041-52405-0000000	104.68
	AMAZON.COM*TQ38M3NM1 Total			104.68
08/22/2023	AMAZON.COM*TQ3SI06N2	N Carr - Parks - RIFAC Snacks	555-867564-52501-0000000	14.44
	AMAZON.COM*TQ3SI06N2 Total			14.44
08/22/2023	AMAZON.COM*TQ4AQ8VU0	N Carr - Parks - WWJ Food	555-818551-52501-0000000	28.88

City of Rock Island
Purchase Card Report
07/27/2023 - 08/28/2023

Date	Merchant Name	Description	Account Number	Amount
	AMAZON.COM*TQ4AQ8VU0 Total			28.88
08/21/2023	AMAZON.COM*TQ4PA9AF0	T MUEHLER - POLICE - OFFICE SUPPLIES	101-411041-52101-0000000	29.07
	AMAZON.COM*TQ4PA9AF0 Total			29.07
08/21/2023	AMAZON.COM*TQ70V1QP2	N Carr - Parks - WWJ Food	555-818551-52501-0000000	12.55
	AMAZON.COM*TQ70V1QP2 Total			12.55
08/21/2023	AMAZON.COM*TQ70Y9QZ2	N Carr - Parks - WWJ Food	555-818551-52501-0000000	13.67
	AMAZON.COM*TQ70Y9QZ2 Total			13.67
07/31/2023	AMERICAN AIRLINES	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	30.00
08/03/2023	AMERICAN AIRLINES	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	30.00
08/23/2023	AMERICAN AIRLINES	T Thompson - Admin - Professional Development	101-122041-51502-0000000	145.94
08/28/2023	AMERICAN AIRLINES	T Thompson - Admin - Professional Development	101-122041-51502-0000000	695.40
	AMERICAN AIRLINES Total			901.34
07/31/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	42.00
07/31/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	42.00
07/31/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	42.00
08/01/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	41.00
08/01/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	123.00
08/11/2023	AMERICAN RED CROSS	M Hogan - Parks - RIFAC Lifeguard	555-867564-52212-6338000	84.00
	AMERICAN RED CROSS Total			374.00
08/14/2023	AMERICAN WATER WORKS A	T Matlick - PW - AWWA Dues	501-618352-54402-0000000	83.00
	AMERICAN WATER WORKS A Total			83.00
08/18/2023	AMZN MKTP US	N Carr - Parks - Return	555-867564-52206-0000000	(37.96)
	AMZN MKTP US Total			(37.96)
08/28/2023	AMZN MKTP US*T30UD6Z71	N Carr - Parks - RIFAC Resistance Bands	555-867563-52212-0000000	76.72
	AMZN MKTP US*T30UD6Z71 Total			76.72
08/28/2023	AMZN MKTP US*T31652GT2	Split - A RUIZ - MLK - FACILITY SUPPLIES (45.87%)	901-356941-53801-0000030	81.89
08/28/2023	AMZN MKTP US*T31652GT2	Split - A RUIZ - MLK - TP PREVENTION SUPPLIES (54.13%)	906-356921-52101-6163406	96.65
	AMZN MKTP US*T31652GT2 Total			178.54
08/28/2023	AMZN MKTP US*T328T8NW0	A RUIZ - MLK - FACILITY SUPPLIES	901-356941-53801-0000030	86.86
	AMZN MKTP US*T328T8NW0 Total			86.86
08/28/2023	AMZN MKTP US*T387320G0	Split - T MUEHLER - POLICE - PAPER (68.92%)	101-411041-52102-0000000	14.90
08/28/2023	AMZN MKTP US*T387320G0	Split - T MUEHLER - POLICE - OFFICE SUPPLIES (31.08%)	101-411041-52101-0000000	6.72
	AMZN MKTP US*T387320G0 Total			21.62
08/28/2023	AMZN MKTP US*T387A3EB2	C Jacobs - Fire - Ambulance Supplies	101-454273-52214-0000000	43.95
	AMZN MKTP US*T387A3EB2 Total			43.95
08/28/2023	AMZN MKTP US*T38BM7O31	Split - T MUEHLER - POLICE - KULHMAN CLOTHING (69.56%)	101-413215-51405-0000000	67.74
08/28/2023	AMZN MKTP US*T38BM7O31	Split - T MUEHLER - POLICE - OFFICE SUPPLIES (16.91%)	101-412206-51401-0000000	16.47
08/28/2023	AMZN MKTP US*T38BM7O31	Split - T MUEHLER - POLICE - KITCHEN SUPPLIES (13.53%)	101-411041-52207-0000000	13.18
	AMZN MKTP US*T38BM7O31 Total			97.39
08/28/2023	AMZN MKTP US*T397T7AA0	C Jacobs - Fire - Ambulance Supplies	101-454273-52214-0000000	41.99
	AMZN MKTP US*T397T7AA0 Total			41.99
07/31/2023	AMZN MKTP US*T61IG9YQ1	T MUEHLER - POLICE - OFFICE SUPPLIES	101-411041-52101-0000000	76.20
	AMZN MKTP US*T61IG9YQ1 Total			76.20
07/27/2023	AMZN MKTP US*T62MO9BN1	T MUEHLER - POLICE - TIME CLOCK RIBBON	101-411041-52101-0000000	8.58
	AMZN MKTP US*T62MO9BN1 Total			8.58

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Date	Merchant Name	Description	Account Number	Amount
07/27/2023	AMZN MKTP US*T66KF0HW1	T Matlick - PW - Keyboard/Mouse, Ring Binder	501-618352-52103-0000000	62.97
	AMZN MKTP US*T66KF0HW1 Total			62.97
07/28/2023	AMZN MKTP US*T69O93WT2	K Kuykendall - Library - Supplies - Lantern Workshops	251-713451-52211-0000000	98.41
	AMZN MKTP US*T69O93WT2 Total			98.41
08/08/2023	AMZN MKTP US*TA00O18U2	Split - A RUIZ - MLK - FACILITY SUPPLIES (67.6%)	901-356941-53801-0000030	175.02
08/08/2023	AMZN MKTP US*TA00O18U2	Split - A RUIZ - MLK - TP PREVENTION SUPPLIES (32.4%)	906-356921-52101-6163406	83.88
	AMZN MKTP US*TA00O18U2 Total			258.90
08/07/2023	AMZN MKTP US*TA1928HC2	M Hogan - Parks - RIFAC Pool Shoe Bench	555-867562-52212-0000000	89.98
	AMZN MKTP US*TA1928HC2 Total			89.98
08/07/2023	AMZN MKTP US*TA1KU9L41	T Smith - Fire - Radio Holder	101-452271-52401-0000000	95.22
	AMZN MKTP US*TA1KU9L41 Total			95.22
08/08/2023	AMZN MKTP US*TA1XQ8ME0	N Carr - Parks - RIFAC Cleaner	555-867564-52206-0000000	37.96
	AMZN MKTP US*TA1XQ8ME0 Total			37.96
08/07/2023	AMZN MKTP US*TA26363O1	C Doherty - Finance - Pop-Up Sticky Notes, Security Pen Refills	101-211041-52101-0000000	39.77
	AMZN MKTP US*TA26363O1 Total			39.77
08/07/2023	AMZN MKTP US*TA2MH6NR1	Split - M Holderfield - CED - Office Supplies (26.18%)	242-313851-52101-6197230	9.39
08/07/2023	AMZN MKTP US*TA2MH6NR1	Split - M Holderfield - CED - Office Supplies (73.82%)	101-311041-52101-0000000	26.48
	AMZN MKTP US*TA2MH6NR1 Total			35.87
08/07/2023	AMZN MKTP US*TA3CX2XA2	M Hogan - Parks - WWJ Key Tags	555-818551-52212-0000000	17.98
	AMZN MKTP US*TA3CX2XA2 Total			17.98
08/10/2023	AMZN MKTP US*TA3LC8DR1	D Driskill - Parks - Plex Saferacks	555-813521-52301-0000000	142.08
	AMZN MKTP US*TA3LC8DR1 Total			142.08
08/07/2023	AMZN MKTP US*TA4JZ6LT0	M Hogan - Parks - RIFAC Hangers	555-867562-52212-0000000	38.34
	AMZN MKTP US*TA4JZ6LT0 Total			38.34
08/11/2023	AMZN MKTP US*TA6Z49711	D Driskill - Parks - Douglas Flood Lights	555-822601-52304-5288000	356.97
	AMZN MKTP US*TA6Z49711 Total			356.97
08/07/2023	AMZN MKTP US*TA7442TH0	K Kuykendall - Library - Supplies - Library Card Signup Month	251-713415-52211-0000000	126.90
	AMZN MKTP US*TA7442TH0 Total			126.90
08/07/2023	AMZN MKTP US*TA8UJ4XH2	K Kuykendall - Library - Supplies	251-713451-52211-0000000	66.03
	AMZN MKTP US*TA8UJ4XH2 Total			66.03
08/09/2023	AMZN MKTP US*TA8VF27K2	N Hartman - PW - CLR Rust Remover	506-619346-52206-0000000	25.23
	AMZN MKTP US*TA8VF27K2 Total			25.23
08/11/2023	AMZN MKTP US*TA8W50KH1	N Carr - Parks - RIFAC Cleaners	555-867564-52206-0000000	48.37
	AMZN MKTP US*TA8W50KH1 Total			48.37
08/03/2023	AMZN MKTP US*TH06Q66C1	R Vidmar - PW - Eyeshields for Shop Tool	601-617364-52305-0000000	21.53
	AMZN MKTP US*TH06Q66C1 Total			21.53
08/04/2023	AMZN MKTP US*TH0767W30	M Hogan - Parks - WWJ Mermaid Backdrop	555-818551-52212-0000000	16.98
	AMZN MKTP US*TH0767W30 Total			16.98
08/03/2023	AMZN MKTP US*TH1058H51	N Carr - Parks - WWJ Swim Goggles, Sunglasses	555-818551-52508-0000000	245.89
	AMZN MKTP US*TH1058H51 Total			245.89
07/31/2023	AMZN MKTP US*TH13G2401	M Gonzalez - Parks - Preschool Safety Mirror	555-867501-52212-0000000	94.99
	AMZN MKTP US*TH13G2401 Total			94.99
08/01/2023	AMZN MKTP US*TH1HA7HL0	C Roelf - Parks - Brochure Display	555-813501-52101-0000000	34.98
	AMZN MKTP US*TH1HA7HL0 Total			34.98
07/31/2023	AMZN MKTP US*TH20S1LR1	M Gonzalez - Parks - Preschool Shoe Rack, Card Pockets, Board	555-867501-52212-0000000	83.84

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	AMZN MKTP US*TH20S1LR1 Total			83.84
08/07/2023	AMZN MKTP US*TH24R2UG1	T Smith - Fire - Plumbing Repair Kit	101-451291-52303-0000000	149.94
	AMZN MKTP US*TH24R2UG1 Total			149.94
07/31/2023	AMZN MKTP US*TH3FP8FX0	T MUEHLER - POLICE - PRINTER RIBBON	101-411041-52101-0000000	66.95
	AMZN MKTP US*TH3FP8FX0 Total			66.95
08/01/2023	AMZN MKTP US*TH54805L2	N Carr - Parks - WWJ Food	555-818551-52501-0000000	33.98
	AMZN MKTP US*TH54805L2 Total			33.98
07/28/2023	AMZN MKTP US*TH5VI0CY2	N Carr - Parks - RIFAC Wall Clocks, Trash Can	555-867564-52103-0000000	74.98
	AMZN MKTP US*TH5VI0CY2 Total			74.98
07/31/2023	AMZN MKTP US*TH69Z3TQ2	Split - N Blair - IT - USB Flash Drives (48.54%)	101-256081-52101-0000000	15.99
07/31/2023	AMZN MKTP US*TH69Z3TQ2	Split - N Blair - IT - USB-C Wall Adapters (51.46%)	101-256081-52304-0000000	16.95
	AMZN MKTP US*TH69Z3TQ2 Total			32.94
08/01/2023	AMZN MKTP US*TH6U08Q52	J Riner - PW - 6 Soaker Hoses	101-616601-52301-0000000	156.99
	AMZN MKTP US*TH6U08Q52 Total			156.99
07/31/2023	AMZN MKTP US*TH7NG2T50	K Kuykendall - Library - Supplies	251-713451-52211-0000000	82.25
	AMZN MKTP US*TH7NG2T50 Total			82.25
07/31/2023	AMZN MKTP US*TH7WI7LY1	M Gonzalez - Parks - Preschool Gloves, Cups, Crafts	555-867501-52212-0000000	76.83
	AMZN MKTP US*TH7WI7LY1 Total			76.83
08/02/2023	AMZN MKTP US*TH8YQ9272	N Carr - Parks - RIFAC Resistance Bands, Jump Box	555-867563-52212-0000000	139.99
	AMZN MKTP US*TH8YQ9272 Total			139.99
07/31/2023	AMZN MKTP US*TH92G8X02	A RUIZ - MLK - TPP SUPPLIES/ OFFICE SUPPLIES	906-356921-52101-6163306	43.58
	AMZN MKTP US*TH92G8X02 Total			43.58
08/01/2023	AMZN MKTP US*TH9G68XX0	J Riner - PW - Chair Mat	101-617111-52301-0000000	33.99
	AMZN MKTP US*TH9G68XX0 Total			33.99
08/18/2023	AMZN MKTP US*TO02Y8IW0	M Gonzalez - Parks - Preschool Fall Decor, Food Prep Gloves	555-867501-52212-0000000	18.82
	AMZN MKTP US*TO02Y8IW0 Total			18.82
08/14/2023	AMZN MKTP US*TO0GT56A2	B Kennedy - Fire - Gas Grill Parts	222-451041-52405-0000000	121.88
	AMZN MKTP US*TO0GT56A2 Total			121.88
08/18/2023	AMZN MKTP US*TO0WA2RK0	M Gonzalez - Parks - Preschool Pencil Grips	555-867501-52212-0000000	17.98
	AMZN MKTP US*TO0WA2RK0 Total			17.98
08/14/2023	AMZN MKTP US*TO1I43TR0	M Gonzalez - Parks - Preschool Snacks	555-867501-52216-0000000	99.31
	AMZN MKTP US*TO1I43TR0 Total			99.31
08/11/2023	AMZN MKTP US*TO1U283T2	M Gonzalez - Parks - Preschool Filter for Air Purifiers	555-867501-52212-0000000	17.98
	AMZN MKTP US*TO1U283T2 Total			17.98
08/17/2023	AMZN MKTP US*TO29F1KN0	I Pena - Fire - Nightstand FF Jacobs	101-451041-52405-0000000	71.96
	AMZN MKTP US*TO29F1KN0 Total			71.96
08/14/2023	AMZN MKTP US*TO2SK3B82	B Kennedy - Fire - Salt/Pepper Shakers	222-451041-52405-0000000	17.98
	AMZN MKTP US*TO2SK3B82 Total			17.98
08/21/2023	AMZN MKTP US*TO3EV3R81	T Matlick - PW - Two Survivair 5-min Escape Breathing Apparatus	501-618352-52305-0000000	2,317.97
	AMZN MKTP US*TO3EV3R81 Total			2,317.97
08/14/2023	AMZN MKTP US*TO3TT8AD2	M Hogan - Parks - WWJ Gloves, Mermaid Crafts, Lights	555-818551-52212-0000000	351.40
	AMZN MKTP US*TO3TT8AD2 Total			351.40
08/18/2023	AMZN MKTP US*TO4TF8RL0	T Matlick - PW - Dishpans, Respirator Case	501-618352-52305-0000000	169.68
	AMZN MKTP US*TO4TF8RL0 Total			169.68
08/16/2023	AMZN MKTP US*TO50W3G31	R Vidmar - PW - Wireless Mouse	601-617364-52103-0000000	22.98

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	AMZN MKTP US*TO50W3G31 Total			22.98
08/14/2023	AMZN MKTP US*TO5AJ75X2	M Gonzalez - Parks - Preschool Dots	555-867501-52212-0000000	8.97
	AMZN MKTP US*TO5AJ75X2 Total			8.97
08/16/2023	AMZN MKTP US*TO5EP35R1	I Pena - Fire - Mini Fridge	101-451041-52103-0000000	159.98
	AMZN MKTP US*TO5EP35R1 Total			159.98
08/17/2023	AMZN MKTP US*TO5G30SH0	R Vidmar - PW - Cooling Fan Clutch	601-617364-52305-0000000	244.78
	AMZN MKTP US*TO5G30SH0 Total			244.78
08/17/2023	AMZN MKTP US*TO5H60B01	I Pena - Fire - Microwave	101-451041-52103-0000000	79.99
	AMZN MKTP US*TO5H60B01 Total			79.99
08/15/2023	AMZN MKTP US*TO5NE4SR2	B Kennedy - Fire - Fitness Squat Box	222-451041-52410-0000000	316.76
	AMZN MKTP US*TO5NE4SR2 Total			316.76
08/15/2023	AMZN MKTP US*TO60Q36S2	B Kennedy - Fire - Fitness Equipment	222-451041-52410-0000000	339.80
	AMZN MKTP US*TO60Q36S2 Total			339.80
08/11/2023	AMZN MKTP US*TO67S2NG2	D Driskill - Parks - Plex Dog Waste Baggies	555-813521-52212-6321000	65.98
	AMZN MKTP US*TO67S2NG2 Total			65.98
08/17/2023	AMZN MKTP US*TO6M262L0	M Hogan - Parks - WWJ Mermaid Tail	555-818551-52212-0000000	35.09
	AMZN MKTP US*TO6M262L0 Total			35.09
08/17/2023	AMZN MKTP US*TO6X58HM1	T Winter - Parks - HS Fly Trap, Mouse Traps	555-835753-52101-0000000	42.95
	AMZN MKTP US*TO6X58HM1 Total			42.95
08/18/2023	AMZN MKTP US*TO88Q2WQ0	K Kuykendall - Library - Supplies	251-713451-52211-0000000	28.58
	AMZN MKTP US*TO88Q2WQ0 Total			28.58
08/11/2023	AMZN MKTP US*TO8QO7NZ2	Split - A RUIZ - MLK - FACILITY SUPPLIES (8.62%)	901-356941-53801-0000000	19.99
08/11/2023	AMZN MKTP US*TO8QO7NZ2	Split - A RUIZ - MLK - FAMILY FUN DAY SUPPLIES (91.38%)	901-356911-52212-5328000	211.97
	AMZN MKTP US*TO8QO7NZ2 Total			231.96
08/25/2023	AMZN MKTP US*TQ0A49I51	A RUIZ - MLK - TP PREVENTION SUPPLIES	906-356921-52101-6163406	77.99
	AMZN MKTP US*TQ0A49I51 Total			77.99
08/17/2023	AMZN MKTP US*TQ0ID7Z12	K Brozovich - Library - RIP Books, AV, Library of Things AV Materials	251-713451-54305-0000000	384.45
08/17/2023	AMZN MKTP US*TQ0ID7Z12	K Brozovich - Library - Technical Services Processing	251-712431-52210-0000000	27.58
	AMZN MKTP US*TQ0ID7Z12 Total			412.03
08/21/2023	AMZN MKTP US*TQ0T97AA0	T MUEHLER - POLICE - OFFICE SUPPLIES	101-411041-52101-0000000	45.69
	AMZN MKTP US*TQ0T97AA0 Total			45.69
08/21/2023	AMZN MKTP US*TQ1SD7CI1	T Smith - Fire - Nylon Rope	101-452271-52305-0000000	120.51
	AMZN MKTP US*TQ1SD7CI1 Total			120.51
08/18/2023	AMZN MKTP US*TQ29Y6T12	D Gleason - Parks - Tealights, Paper Bags	555-813501-52212-6524000	27.58
	AMZN MKTP US*TQ29Y6T12 Total			27.58
08/18/2023	AMZN MKTP US*TQ2FM73Z2	M Gonzalez - Parks - Preschool Step Stool	555-867501-52212-0000000	28.78
	AMZN MKTP US*TQ2FM73Z2 Total			28.78
08/21/2023	AMZN MKTP US*TQ2R27LK1	T MUEHLER - POLICE - CSI SUPPLIES	101-413227-52205-0000000	86.22
	AMZN MKTP US*TQ2R27LK1 Total			86.22
08/24/2023	AMZN MKTP US*TQ2Z05KN1	R Vidmar - PW - 12V DC Winch for #9254	601-617364-52305-0000000	202.43
	AMZN MKTP US*TQ2Z05KN1 Total			202.43
08/23/2023	AMZN MKTP US*TQ45R5YM2	N Carr - Parks - RIFAC Cleaners	555-867564-52206-0000000	44.35
	AMZN MKTP US*TQ45R5YM2 Total			44.35
08/21/2023	AMZN MKTP US*TQ4WP0AW0	T Smith - Fire - Elastic Cord	101-452271-52305-0000000	7.14
	AMZN MKTP US*TQ4WP0AW0 Total			7.14

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08/21/2023	AMZN MKTP US*TQ5287VM2	I Pena - Fire - Office Equipment	101-451041-52103-0000000	78.95
	AMZN MKTP US*TQ5287VM2 Total			78.95
08/23/2023	AMZN MKTP US*TQ53X5UI2	T Smith - Fire - Fog Machine Remote	101-452271-52305-0000000	70.90
	AMZN MKTP US*TQ53X5UI2 Total			70.90
08/28/2023	AMZN MKTP US*TQ6JA8RU1	T MUEHLER - POLICE - SHOOTING SUPPLIES	101-414223-52213-0000000	64.93
	AMZN MKTP US*TQ6JA8RU1 Total			64.93
08/24/2023	AMZN MKTP US*TQ8MF0D91	J Poulos - PW - Carburetorign Coil Air Filter Kit	506-618341-52305-0000000	35.98
	AMZN MKTP US*TQ8MF0D91 Total			35.98
08/14/2023	ANGELO S III	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	18.60
	ANGELO S III Total			18.60
08/28/2023	APPLE.COM/BILL	K KUYKENDALL - LIBRARY - Cloud Storage - iPad	251-712421-53823-0000000	9.99
	APPLE.COM/BILL Total			9.99
08/03/2023	ARMSTRONG SYSTEMS & CO	L Pannier - Parks - RIFAC Member Cards	555-867564-52101-0000000	118.76
	ARMSTRONG SYSTEMS & CO Total			118.76
08/08/2023	AUTOZONE #2623	K Syslo - PW - Turtle Wax, Towels, Carpet Brush, Cleaner	501-618121-52206-0000000	107.45
	AUTOZONE #2623 Total			107.45
08/14/2023	B AND B DO IT BEST HAR	C Steeber - Parks - HS Rain Gauge, Mice Station	555-834751-52219-0000000	45.97
08/21/2023	B AND B DO IT BEST HAR	A McDowell - Parks - Soccer Goals	555-813521-52212-6321000	9.36
08/23/2023	B AND B DO IT BEST HAR	Split - C Steeber - Parks - HS Bags (62.39%)	555-834751-52206-0000000	72.97
08/23/2023	B AND B DO IT BEST HAR	Split - C Steeber - Parks - HS Batteries (37.61%)	555-834751-52305-0000000	43.98
	B AND B DO IT BEST HAR Total			172.28
08/25/2023	BLOOMERANG	J PASSNO - MLK - RESOURCE DEVELOPMENT SUPPLIES	901-356921-53112-0000000	1,413.64
	BLOOMERANG Total			1,413.64
08/02/2023	BOUND TREE MEDICAL LLC	C Rogers - Fire - Ambulance Supplies	101-454273-52214-0000000	2,108.70
08/02/2023	BOUND TREE MEDICAL LLC	C Rogers - Fire - Ambulance Supplies	101-454273-52214-0000000	296.06
08/04/2023	BOUND TREE MEDICAL LLC	C Rogers - Fire - Ambulance Supplies	101-454273-52214-0000000	1,014.93
08/07/2023	BOUND TREE MEDICAL LLC	C Rogers - Fire - Ambulance Supplies	101-454273-52214-0000000	190.25
08/07/2023	BOUND TREE MEDICAL LLC	C Rogers - Fire - Ambulance Supplies	101-454273-52214-0000000	646.80
08/11/2023	BOUND TREE MEDICAL LLC	C Jacobs - Fire - Ambulance Supplies	101-454273-52214-0000000	1,293.85
08/17/2023	BOUND TREE MEDICAL LLC	C Jacobs - Fire - Ambulance Supplies	101-454273-52214-0000000	29.99
	BOUND TREE MEDICAL LLC Total			5,580.58
08/01/2023	BUBBA GUMP DENVER	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	29.83
	BUBBA GUMP DENVER Total			29.83
08/03/2023	BUDGET RENT-A-CAR	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	602.12
	BUDGET RENT-A-CAR Total			602.12
08/02/2023	BUY FIRE ALARM PARTS I	J Riner - PW - Weatherproof Multi-Candela Strobes	555-867564-52304-0000000	962.73
08/03/2023	BUY FIRE ALARM PARTS I	J Riner - PW - Credit for Returned Strobes	555-867564-52304-0000000	(962.73)
08/03/2023	BUY FIRE ALARM PARTS I	J Riner - PW - Horn Strobes	555-867564-52304-0000000	466.33
	BUY FIRE ALARM PARTS I Total			466.33
08/21/2023	CAMELOT CAMPGROUND QUA	T Smith - Fire - Propane	101-451295-52203-0000000	51.85
	CAMELOT CAMPGROUND QUA Total			51.85
08/14/2023	CASEYS #1969	R Hurt - Council - Sunset Marina Cleanup Food	101-112041-54401-0000000	44.17
08/14/2023	CASEYS #1969	R Hurt - Council - Sunset Marina Cleanup Food	101-112041-54401-0000000	11.89
	CASEYS #1969 Total			56.06
08/21/2023	COAST TO COAST BREAKER	J Poulos - PW - New Heater	506-618343-52305-0000000	229.94

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Date	Merchant Name	Description	Account Number	Amount
	COAST TO COAST BREAKER Total			229.94
08/18/2023	CRAFTMASTER HARDWARE,	T MUEHLER - POLICE - REPAIR KI	101-411041-53822-0000000	462.20
	CRAFTMASTER HARDWARE, Total			462.20
08/07/2023	CULVERS OF MORRIS IL	B Baker - Fire - School / Training	101-454273-51502-0000000	28.23
	CULVERS OF MORRIS IL Total			28.23
07/31/2023	DAZBOG 42 CONVETION C	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	8.13
08/01/2023	DAZBOG 42 CONVETION C	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	8.13
08/02/2023	DAZBOG 42 CONVETION C	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	8.13
	DAZBOG 42 CONVETION C Total			24.39
07/31/2023	DOLLAR TREE	H Gray - Parks - Preschool Foam Tape	555-867501-52212-0000000	3.75
08/18/2023	DOLLAR TREE	A RUIZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	22.50
	DOLLAR TREE Total			26.25
07/28/2023	DOLLARTREE	L TRICE - MLK - ASP SUPPLIES	904-356921-54102-0000341	28.75
07/28/2023	DOLLARTREE	K Kuykendall - Library - Supplies	251-713451-52211-0000000	55.50
08/04/2023	DOLLARTREE	K Kuykendall - Library - Supplies - Lantern Making Workshop	251-713451-52211-0000000	33.75
08/14/2023	DOLLARTREE	A Campbell - Library - Returned 4 Items	251-713451-52211-0000000	(4.29)
	DOLLARTREE Total			113.71
07/27/2023	DOUBLETREE	C FOREMAN - POLICE - TRAINING	101-414223-51502-0000000	193.80
	DOUBLETREE Total			193.80
08/02/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	25.64
08/03/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	29.58
08/04/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	6.23
08/07/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	6.12
08/11/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	13.15
08/14/2023	DUNKIN #351650 Q35	B Baker - Fire - School / Training	101-454273-51502-0000000	24.98
	DUNKIN #351650 Q35 Total			105.70
07/27/2023	EIG*CONSTANTCONTACT.CO	A RUIZ - MLK - RESOURCE DEVELOPMENT OFFICE SUBSCRIPTION	901-356941-52207-6302000	35.00
	EIG*CONSTANTCONTACT.CO Total			35.00
08/24/2023	ERIE COTTON PRODUCTS I	J Riner - PW - Hand Cleaner	101-617111-52206-0000000	133.15
	ERIE COTTON PRODUCTS I Total			133.15
08/14/2023	ETOLL BGT U680805996	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	12.86
	ETOLL BGT U680805996 Total			12.86
07/31/2023	ETR ASSOCIATES	C JIMENEZ - MLK - TEEN PREGNANCY CONFERENCE	906-356921-51502-6163306	219.21
	ETR ASSOCIATES Total			219.21
08/23/2023	EVIDENT INC	C FOREMAN - POLICE - CSI SUPPLIES	101-413227-52205-0000000	176.00
08/23/2023	EVIDENT INC	C FOREMAN - POLICE - CSI SUPPLIES SHIPPING	101-414223-53302-0000000	21.78
	EVIDENT INC Total			197.78
08/22/2023	EXPEDIA 72637474581898	T Thompson - Admin - Professional Development	101-122041-51502-0000000	1,342.60
	EXPEDIA 72637474581898 Total			1,342.60
08/22/2023	EXPEDIA 72637484371620	T Thompson - Admin - Professional Development	101-122041-51502-0000000	54.68
	EXPEDIA 72637484371620 Total			54.68
08/02/2023	FACEBK 7HS8YQF2C2	L Lockheart - Library - Event Advertising	251-711041-53401-0000000	4.37
	FACEBK 7HS8YQF2C2 Total			4.37
07/31/2023	FACEBK BHR3NR72C2	L Lockheart - Library - Event Advertising	251-711041-53401-0000000	50.00
	FACEBK BHR3NR72C2 Total			50.00

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08/18/2023	FAMILY DOLLAR #1452	T BROOKS - MLK - WEST END REVITALIZATION COORDINATOR -MEETING	248-356921-52101-8304662	44.20
08/21/2023	FAMILY DOLLAR #1452	T BROOKS - MLK - WEST END REVITALIZATION COORDINATOR -MEETING	248-356921-52101-8304662	36.00
	FAMILY DOLLAR #1452 Total			80.20
08/28/2023	FEDEX OFFIC64000006403	A RUIZ - MLK - MEMORIAL SERVICES	901-356911-52212-5327000	10.00
	FEDEX OFFIC64000006403 Total			10.00
08/28/2023	FEDEX773201781797	A RUIZ - MLK - MEMORIAL SERVICES	901-356911-52212-5327000	12.04
	FEDEX773201781797 Total			12.04
08/28/2023	FEDEX773201893381	A RUIZ - MLK - MEMORIAL SERVICES	901-356911-52212-5327000	12.04
	FEDEX773201893381 Total			12.04
08/28/2023	FEDEX773202042709	A RUIZ - MLK - MEMORIAL SERVICES	901-356911-52212-5327000	12.04
	FEDEX773202042709 Total			12.04
08/28/2023	FEDEX773202330298	A RUIZ - MLK - MEMORIAL SERVICES	901-356911-52212-5327000	12.04
	FEDEX773202330298 Total			12.04
08/21/2023	FEDEX782560561770	R Thompson - Parks - Saukie Ship Return	555-854701-53302-0000000	129.88
	FEDEX782560561770 Total			129.88
08/17/2023	FIDLAR TECHNOLOGIES	N Blair - It - Laredo, July 2023	101-256081-53822-0000000	21.33
	FIDLAR TECHNOLOGIES Total			21.33
08/18/2023	FIELD ENVIRONMENTAL IN	R Baugous - HR - Audio Testing PW and Fire.	621-157133-53602-0000000	563.75
	FIELD ENVIRONMENTAL IN Total			563.75
07/31/2023	FUN CITY RESTAURANTS	C JIMENEZ - MLK - FAMILY FUN DAY SUPPLIES	901-356931-52207-5338080	43.89
07/31/2023	FUN CITY RESTAURANTS	K TINSLEY - LESHOURE - MLK - SDC MEALS	904-356921-54102-0000341	588.00
07/31/2023	FUN CITY RESTAURANTS	K TINSLEY - LESHOURE - MLK - SDC MEALS	904-356921-54102-0000341	6.50
	FUN CITY RESTAURANTS Total			638.39
08/01/2023	GANNON POOL AND SPA	M Castaneda - PW - Chemicals	555-818551-52218-0000000	206.91
	GANNON POOL AND SPA Total			206.91
08/08/2023	GIH*GLOBALINDUSTRIALEQ	Split - N Hartman - PW - Air Spade Air Comp Hose (49.89%)	506-619346-52402-0000000	205.00
08/08/2023	GIH*GLOBALINDUSTRIALEQ	Split - N Hartman - PW - Air Spade Air Comp Hose (50.11%)	501-619356-52402-0000000	205.91
08/09/2023	GIH*GLOBALINDUSTRIALEQ	D Glidewell - PW - Replacement Water Filters	101-616601-52303-0000000	107.11
08/17/2023	GIH*GLOBALINDUSTRIALEQ	J Riner - PW - Double Robe Hooks	555-818551-52301-0000000	181.79
	GIH*GLOBALINDUSTRIALEQ Total			699.81
08/14/2023	GOOGLE ADS5976596107	Split - C Roelf - Parks - Saukie Ads (50%)	555-855702-53401-0000000	250.00
08/14/2023	GOOGLE ADS5976596107	Split - C Roelf - Parks - Highland Ads (50%)	555-835753-53401-0000000	250.00
08/28/2023	GOOGLE ADS5976596107	C Roelf - Parks - WWJ Ads	555-818551-53401-0000000	500.00
	GOOGLE ADS5976596107 Total			1,000.00
07/27/2023	GOOGLE*ADS5976596107	C Roelf - Parks - WWJ Ads	555-818551-53401-0000000	500.00
08/02/2023	GOOGLE*ADS5976596107	C Roelf - Parks - RIFAC Ads	555-867564-53401-0000000	221.01
	GOOGLE*ADS5976596107 Total			721.01
07/28/2023	GRAINGER	J Poulos - PW - Plug, Receptacle	506-618341-52304-0000000	529.60
08/02/2023	GRAINGER	N Hartman - PW - 2-Cycle Engine Oil	506-619346-52305-0000000	33.32
08/08/2023	GRAINGER	N Hartman - PW - Rubber Boots	501-619041-51404-0000000	128.64
	GRAINGER Total			691.56
07/27/2023	HANDY TRUE VALUE HARDW	A RUIZ - MLK - VEHICLE PANTRY PICK UP	901-356931-53903-5332030	(36.30)
07/27/2023	HANDY TRUE VALUE HARDW	Split - K Syslo - PW - Keys (42.75%)	501-618352-52103-0000000	5.96
07/27/2023	HANDY TRUE VALUE HARDW	Split - K Syslo - PW - Softsoap (57.25%)	501-618352-52206-0000000	7.98
07/28/2023	HANDY TRUE VALUE HARDW	D Driskill - Parks - Plex Plumbing, Manifold Parts	555-813521-52303-0000000	70.80

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07/28/2023	HANDY TRUE VALUE HARDW	M Weeks - PW - Insect Killer	506-618341-52219-0000000	41.94
07/28/2023	HANDY TRUE VALUE HARDW	N PAULEY - POLICE - FANS	101-411041-52207-0000000	71.98
07/31/2023	HANDY TRUE VALUE HARDW	K Syslo - PW - Fasteners	501-618352-52305-0000000	7.49
07/31/2023	HANDY TRUE VALUE HARDW	M Weeks - PW - Plugs, Connectors	506-618341-52304-0000000	41.45
08/02/2023	HANDY TRUE VALUE HARDW	M DeMarlie - Parks - Hort Clothesline, Upost	555-822611-52201-0000000	53.97
08/02/2023	HANDY TRUE VALUE HARDW	J Poulos - PW - Anchor Shackles	506-618341-52301-0000000	16.98
08/02/2023	HANDY TRUE VALUE HARDW	K Syslo - PW - Batteries, Masking Tape	501-618352-52103-0000000	74.74
08/03/2023	HANDY TRUE VALUE HARDW	A Pittard - PW - Mini Shovel	501-619356-52401-0000000	20.99
08/03/2023	HANDY TRUE VALUE HARDW	M DeMarlie - Parks - Hort Tubing, Dawn	555-822611-52201-0000000	35.07
08/03/2023	HANDY TRUE VALUE HARDW	M Hogan - Parks - WWJ Form, Wipes	555-818551-52206-0000000	16.48
08/04/2023	HANDY TRUE VALUE HARDW	K Syslo - PW - Fasteners	501-618352-52305-0000000	7.60
08/07/2023	HANDY TRUE VALUE HARDW	M Weeks - PW - Fly Traps	506-618341-52219-0000000	89.88
08/11/2023	HANDY TRUE VALUE HARDW	Split - J Poulos - PW - Bulbs (58.52%)	506-618341-52301-0000000	11.98
08/11/2023	HANDY TRUE VALUE HARDW	Split - J Poulos - PW - Hex Nuts (41.48%)	506-618341-52305-0000000	8.49
08/11/2023	HANDY TRUE VALUE HARDW	T Smith - Fire - Hardware	101-452271-52305-0000000	6.49
08/16/2023	HANDY TRUE VALUE HARDW	D Driskill - Parks - Douglas Hardware	555-822601-52301-5288000	10.16
08/16/2023	HANDY TRUE VALUE HARDW	Split - J Poulos - PW - Cleaner, Hand Soap (73.66%)	506-618341-52206-0000000	13.98
08/16/2023	HANDY TRUE VALUE HARDW	Split - J Poulos - PW - Fasteners (26.34%)	506-618341-52305-0000000	5.00
08/17/2023	HANDY TRUE VALUE HARDW	M DeMarlie - Parks - Hort Tubing, Hvac Pleated, Filter	555-822611-52301-0000000	65.27
08/17/2023	HANDY TRUE VALUE HARDW	K Syslo - PW - Ball Valve	501-618121-52305-0000000	14.99
08/21/2023	HANDY TRUE VALUE HARDW	T Matlick - PW - Shop Towels, Cleaning Supplies	501-618121-52206-0000000	37.21
08/21/2023	HANDY TRUE VALUE HARDW	T Nelson - PW - Tape Measures	507-619348-52401-0000000	22.98
08/23/2023	HANDY TRUE VALUE HARDW	D Driskill - Parks - Douglas Roller, Door Lube	555-822601-52301-5288000	18.78
08/24/2023	HANDY TRUE VALUE HARDW	M Weeks - PW - Block Heater	506-618121-52305-0000000	25.99
08/25/2023	HANDY TRUE VALUE HARDW	D Driskill - Parks - Plex Hardware	555-813521-52401-0000000	29.54
08/25/2023	HANDY TRUE VALUE HARDW	M Weeks - PW - Mop Refill, Cleaner, Mop	506-618121-52305-0000000	29.97
08/28/2023	HANDY TRUE VALUE HARDW	D Gleason - Parks - Thurs Groove Tank	555-819615-52212-6295000	53.00
08/28/2023	HANDY TRUE VALUE HARDW	T Smith - Fire - Station Keys	101-452271-52305-0000000	5.94
	HANDY TRUE VALUE HARDW Total			886.78
08/17/2023	HAPPY JOES PIZZA - 64	T BROOKS - MLK - WEST END REVITALIZATION COORDINATOR -MEETIN(	248-356921-52101-8304662	90.65
	HAPPY JOES PIZZA - 64 Total			90.65
08/08/2023	HARBOR FREIGHT TOOLS34	T Smith - Fire - Grinder Power Tool	222-451041-52402-0000000	160.98
08/24/2023	HARBOR FREIGHT TOOLS34	B Kennedy - Fire - Tool Stand	222-451041-52401-0000000	44.99
	HARBOR FREIGHT TOOLS34 Total			205.97
08/03/2023	HEMPEL PIPE & SUPPLY I	K Syslo - PW - Teflon Tape	501-618352-52303-0000000	18.84
	HEMPEL PIPE & SUPPLY I Total			18.84
08/23/2023	HGTV MAGAZINE	K Brozovich - Library - Magazine Renewal	251-712421-54305-0000000	69.94
	HGTV MAGAZINE Total			69.94
08/07/2023	HILTON GARDEN INN	B Baker - Fire - School / Training	101-454273-51502-0000000	700.60
08/14/2023	HILTON GARDEN INN	B Baker - Fire - School / Training	101-454273-51502-0000000	700.60
	HILTON GARDEN INN Total			1,401.20
08/21/2023	HOBBY-LOBBY #535	A RUIZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	27.02
08/25/2023	HOBBY-LOBBY #535	T MCCLOUD - POLICE - FRAMES	101-411041-54501-0000000	216.89
	HOBBY-LOBBY #535 Total			243.91
08/08/2023	HOGUE INC.	C FOREMAN - POLICE - LESS LETHAL STOCK AND FOREND	101-414223-52401-0000000	292.35

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	HOGUE INC. Total			292.35
08/04/2023	HYATT HOTELS	C FOREMAN - POLICE - TRAINING	101-414223-51502-0000000	1,013.96
08/04/2023	HYATT HOTELS	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	47.00
08/04/2023	HYATT HOTELS	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	(0.01)
08/04/2023	HYATT HOTELS	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	0.01
	HYATT HOTELS Total			1,060.96
08/01/2023	HYATT REG DENVER CC F&	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	32.76
08/02/2023	HYATT REG DENVER CC F&	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	33.08
08/03/2023	HYATT REG DENVER CC F&	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	33.08
	HYATT REG DENVER CC F& Total			98.92
07/27/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	65.60
07/28/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	157.50
07/31/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	71.91
08/04/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	157.50
08/08/2023	HY-VEE MILAN 1415	N Carr - Parks - WWJ Food	555-818551-52501-0000000	147.08
08/08/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	73.37
08/11/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	157.50
08/14/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	112.23
08/15/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	17.65
08/18/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	157.50
08/21/2023	HY-VEE MILAN 1415	N Carr - Parks - WWJ Food	555-818551-52501-0000000	62.81
08/22/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	72.24
08/25/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	130.00
08/25/2023	HY-VEE MILAN 1415	P Pena - Parks - Douglas Food	555-813501-52501-5288000	4.00
08/28/2023	HY-VEE MILAN 1415	N Carr - Parks - WWJ Food	555-818551-52501-0000000	85.75
08/28/2023	HY-VEE MILAN 1415	J Moore - Parks - HS Food	555-835753-52501-0000000	69.24
	HY-VEE MILAN 1415 Total			1,541.88
07/31/2023	HY-VEE MOLINE 1416	H Gray - Parks - Preschool Food	555-867501-52216-0000000	154.00
08/14/2023	HY-VEE MOLINE 1416	H Gray - Parks - Preschool Food	555-867501-52216-0000000	571.88
08/21/2023	HY-VEE MOLINE 1416	K Kuykendall - Library - Food Humans - Staff Development Day	251-711041-52216-0000000	720.00
08/21/2023	HY-VEE MOLINE 1416	H Gray - Parks - Preschool Food	555-867501-52216-0000000	571.88
08/28/2023	HY-VEE MOLINE 1416	H Gray - Parks - Preschool Food	555-867501-52216-0000000	565.94
	HY-VEE MOLINE 1416 Total			2,583.70
07/27/2023	HY-VEE ROCK ISLAND 154	Split - N Carr - Parks - Drinks (30.95%)	555-818551-52502-0000000	33.88
07/27/2023	HY-VEE ROCK ISLAND 154	Split - N Carr - Parks - WWJ Food (69.05%)	555-818551-52501-0000000	75.58
07/27/2023	HY-VEE ROCK ISLAND 154	Split - M Hogan - Parks - WWJ Drum Liners (61.29%)	555-818551-52207-0000000	44.97
07/27/2023	HY-VEE ROCK ISLAND 154	Split - M Hogan - Parks - WWJ Food (38.71%)	555-818551-52501-0000000	28.40
07/28/2023	HY-VEE ROCK ISLAND 154	N Simmons - Library - Food Humans - Program	251-711041-52216-0000000	9.97
07/28/2023	HY-VEE ROCK ISLAND 154	L Perry - PW - Popsicles	506-618041-52216-0000000	11.16
07/31/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	36.94
07/31/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	14.90
07/31/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	35.93
08/02/2023	HY-VEE ROCK ISLAND 154	D Gleason - Parks - Starlight Food	555-813501-52501-5680000	168.95
08/03/2023	HY-VEE ROCK ISLAND 154	N Carr - Parks - Adv Camp Snacks	555-813531-52216-0000000	39.45
08/04/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	80.57

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08/04/2023	HY-VEE ROCK ISLAND 154	P Pena - Parks - Douglas Food	555-813501-52501-5288000	19.23
08/07/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	44.99
08/07/2023	HY-VEE ROCK ISLAND 154	T Smith - Fire - Roger's Retirement Cake/Punch	101-451041-52216-0000000	84.87
08/08/2023	HY-VEE ROCK ISLAND 154	C JIMENEZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	26.96
08/09/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	30.65
08/09/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	24.09
08/11/2023	HY-VEE ROCK ISLAND 154	M Hogan - Parks - WWJ Food	555-818551-52501-0000000	137.52
08/14/2023	HY-VEE ROCK ISLAND 154	M Gonzalez - Parks - Preschool Snacks	555-867501-52216-0000000	14.97
08/14/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	146.50
08/14/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	14.91
08/14/2023	HY-VEE ROCK ISLAND 154	P Pena - Parks - Returned Food	555-818551-52501-0000000	(28.27)
08/14/2023	HY-VEE ROCK ISLAND 154	P Pena - Parks - WWJ Food	555-818551-52501-0000000	28.27
08/14/2023	HY-VEE ROCK ISLAND 154	P Pena - Parks - WWJ Food	555-818551-52501-0000000	27.99
08/15/2023	HY-VEE ROCK ISLAND 154	C FOREMAN - POLICE - CHIEF'S MEETING	101-411041-52216-0000000	20.29
08/15/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	23.73
08/16/2023	HY-VEE ROCK ISLAND 154	J PASSNO - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	23.73
08/17/2023	HY-VEE ROCK ISLAND 154	J PASSNO - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	76.37
08/21/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	40.03
08/22/2023	HY-VEE ROCK ISLAND 154	C FOREMAN - POLICE - CHIEF'S MEETING	101-411041-54401-0000000	57.31
08/23/2023	HY-VEE ROCK ISLAND 154	R Baugous - HR - Food for Pool Party	101-155101-52216-0000000	45.00
08/23/2023	HY-VEE ROCK ISLAND 154	R Baugous - HR - Food for Pool Party	101-155101-52216-0000000	75.95
08/25/2023	HY-VEE ROCK ISLAND 154	M Gonzalez - Parks - Preschool Snacks	555-867501-52216-0000000	19.29
08/28/2023	HY-VEE ROCK ISLAND 154	D Gleason - Parks - SRP Thurs Groove Food	555-819615-52501-6295000	25.93
08/28/2023	HY-VEE ROCK ISLAND 154	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	27.12
08/28/2023	HY-VEE ROCK ISLAND 154	Split - D Lesley - Parks - Saukie Cleaner (67.47%)	555-855702-52206-0000000	17.36
08/28/2023	HY-VEE ROCK ISLAND 154	Split - D Lesley - Parks - Saukie Food (32.53%)	555-855702-52501-0000000	8.37
	HY-VEE ROCK ISLAND 154 Total			1,613.86
08/22/2023	ICMA ONLINE	T Thompson - Admin - Professional Development	101-112041-51502-0000000	865.00
	ICMA ONLINE Total			865.00
08/03/2023	IL DPT PUB HEALTH EMS	C Rogers - Fire - Paramedic Renewal Fee	101-454273-54206-0000000	41.00
08/17/2023	IL DPT PUB HEALTH EMS	C Jacobs - Fire - Eric Bopes Paramedic Renew Fee	101-454273-54206-0000000	41.00
08/18/2023	IL DPT PUB HEALTH EMS	C Jacobs - Fire - Joe Duran Paramedic Renewal Fee	101-454273-54206-0000000	41.00
	IL DPT PUB HEALTH EMS Total			123.00
08/28/2023	IL MUNICIPAL LEAGUE	Split - S Gange - Admin - IML Conference - Hayden (cancelled) (32.63%)	101-122041-51502-0000000	310.00
08/28/2023	IL MUNICIPAL LEAGUE	Split - S Gange - Admin - IML Conference - Poulos (17.37%)	101-116041-51502-0000000	165.00
08/28/2023	IL MUNICIPAL LEAGUE	Split - S Gange - Admin - IML Conference - Robinson (17.37%)	101-111041-51502-0000000	165.00
08/28/2023	IL MUNICIPAL LEAGUE	Split - S Gange - Admin - IML Conference - Thoms (32.63%)	101-118041-54401-0000000	310.00
	IL MUNICIPAL LEAGUE Total			950.00
08/16/2023	ILLINOIS GOVERNMENT FI	B Smith - Finance - IGFOA Annual Conference Registration	101-211041-51502-0000000	375.00
08/16/2023	ILLINOIS GOVERNMENT FI	J Sager - Finance - IGFOA Annual Conference Registration	101-211041-51502-0000000	375.00
	ILLINOIS GOVERNMENT FI Total			750.00
08/03/2023	ILLINOIS LIBRARY ASSOC	L Lockheart - Library - Promotional Items - Banned Books Week	251-711041-54501-0000000	73.02
	ILLINOIS LIBRARY ASSOC Total			73.02
08/15/2023	IN *CITY LAWN CARE	L Vanlandegen - PW - Vegetation Kill	507-616322-53802-0000000	1,000.00
	IN *CITY LAWN CARE Total			1,000.00

City of Rock Island

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Date	Merchant Name	Description	Account Number	Amount
08/09/2023	IN *PANTHER UNIFORMS	I Pena - Fire - Bugle Pin	101-452271-51401-0000000	8.50
08/24/2023	IN *PANTHER UNIFORMS	T Smith - Fire - Promotional Uniform Badges	101-452271-51401-0000000	668.50
	IN *PANTHER UNIFORMS Total			677.00
08/18/2023	INLINE TUBE INC	R Vidmar - PW - Brake Lines	601-617364-52305-0000000	110.85
	INLINE TUBE INC Total			110.85
08/22/2023	INTERSTATE ALL BATTERY	M Weeks - PW - Batteries for VPS Units	506-618341-52305-0000000	508.00
	INTERSTATE ALL BATTERY Total			508.00
08/17/2023	IPROMO INC	R Baugous - HR - Supply for Clinic 90 IHealth Rapid Test 2 pack	101-155102-53403-0000000	454.10
	IPROMO INC Total			454.10
08/03/2023	JERSEY MIKES 27021	B Baker - Fire - School / Training	101-454273-51502-0000000	14.09
08/03/2023	JERSEY MIKES 27021	B Baker - Fire - School / Training	101-454273-51502-0000000	14.97
08/11/2023	JERSEY MIKES 27021	B Baker - Fire - School / Training	101-454273-51502-0000000	29.44
	JERSEY MIKES 27021 Total			58.50
08/08/2023	JEWEL OSCO 3192	B Baker - Fire - School / Training	101-454273-51502-0000000	81.55
	JEWEL OSCO 3192 Total			81.55
08/04/2023	JIMMY JOHNS - 0972	B Baker - Fire - School / Training	101-454273-51502-0000000	20.83
	JIMMY JOHNS - 0972 Total			20.83
08/02/2023	JOEYS RED HOTS ORLAND	B Baker - Fire - School / Training	101-454273-51502-0000000	30.61
	JOEYS RED HOTS ORLAND Total			30.61
08/07/2023	LEE NEWS SUBSCRIPTION	G JONES - MLK - OFFICE SUPPLIES	901-356921-52102-5332000	18.99
08/18/2023	LEE NEWS SUBSCRIPTION	C FOREMAN - POLICE - SUBSCRIPTION	101-411041-54301-0000000	163.45
	LEE NEWS SUBSCRIPTION Total			182.44
08/21/2023	LINDE GAS & EQUIPMENT	M Weeks - PW - Ground Clamp for Welder	506-618341-52402-0000000	88.75
	LINDE GAS & EQUIPMENT Total			88.75
07/31/2023	LITTLE CAESARS 3320-00	M Gonzalez - Parks - Preschool Camp Food	555-867501-52216-6184000	43.96
07/31/2023	LITTLE CAESARS 3320-00	L TRICE - MLK - ASP MEALS	904-356921-54102-0000341	73.92
08/23/2023	LITTLE CAESARS 3320-00	N RUSH - MLK - CLIENT MEAL	905-356921-52207-0000440	23.75
08/28/2023	LITTLE CAESARS 3320-00	K TINSLEY-LESHOURE - MLK - ASP/SDC MEALS	904-356921-54102-0000341	124.34
	LITTLE CAESARS 3320-00 Total			265.97
07/27/2023	LOWES #00104*	M Yeager - PW - Turbo Blades, Hoses	101-616601-52301-0000000	379.22
07/28/2023	LOWES #00104*	M Castaneda - PW - Cont. Turbos	101-617111-52402-0000000	65.94
07/31/2023	LOWES #00104*	D Glidewell - PW - Building Supplies	555-867564-52303-0000000	115.23
08/08/2023	LOWES #00104*	T Kavanaugh - PW - Building Supplies	101-617374-52304-0000000	205.96
08/10/2023	LOWES #00104*	B Kennedy - Fire - Tool Combo Set	222-451041-52401-0000000	268.98
08/11/2023	LOWES #00104*	L Vanlandegen - PW - Grout Sealer, Mosaic, Mapesil	101-616601-52301-0000000	131.36
08/18/2023	LOWES #00104*	T Kavanaugh - PW - Electrical Supplies	101-617374-52304-0000000	217.94
08/24/2023	LOWES #00104*	B Kennedy - Fire - Hand Tool	222-451041-52402-0000000	88.59
	LOWES #00104* Total			1,473.22
08/03/2023	LOWES #00907*	T MUEHLER - POLICE - DRILL BIT SET	101-412208-52401-0000000	221.32
	LOWES #00907* Total			221.32
08/17/2023	MAILBOXES AND PARCEL D	T Winter - Parks - Highland GPS Tech Repair	555-835753-53201-0000000	12.55
	MAILBOXES AND PARCEL D Total			12.55
08/21/2023	MARTIN EQUIPMENT INC 4	S Harker - PW - O'rings, Hose Fittings	555-834751-52305-0000000	68.03
	MARTIN EQUIPMENT INC 4 Total			68.03
07/27/2023	MC JOB POST	R Baugous - HR - Job Posting for Assistant City Manager/Economic Developmer	101-155102-53403-0000000	300.00

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Date	Merchant Name	Description	Account Number	Amount
	MC JOB POST Total			300.00
08/03/2023	MCDONALD'S F17275	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	16.65
	MCDONALD'S F17275 Total			16.65
08/11/2023	MCMASTER-CARR	J Poulos - PW - Cable, Accessory Kit	506-618341-52305-0000000	1,120.81
	MCMASTER-CARR Total			1,120.81
08/03/2023	MEDIACOM BRO*	B Kennedy - Fire - Cable Service	222-451041-53706-0000000	63.57
08/04/2023	MEDIACOM BRO*	B Kennedy - Fire - Cable Service	222-451041-53706-0000000	59.40
08/10/2023	MEDIACOM BRO*	B Kennedy - Fire - Cable Service	222-451041-53706-0000000	59.40
08/14/2023	MEDIACOM BRO*	B Kennedy - Fire - Cable Service	222-451041-53706-0000000	59.40
	MEDIACOM BRO* Total			241.77
08/24/2023	MELIN AGENCIES LLC - S	T Mendoza - PW - License Renewal for Vehicle #115	601-617364-54206-0000000	164.80
	MELIN AGENCIES LLC - S Total			164.80
08/01/2023	MELIN AGENCIES LLC - T	T Mendoza - PW - License Renewal for Vehicle #2476	601-617364-54206-0000000	164.80
08/24/2023	MELIN AGENCIES LLC - T	T Mendoza - PW - Replacement Plates for #4461	601-617364-54206-0000000	33.99
	MELIN AGENCIES LLC - T Total			198.79
07/27/2023	MENARDS MOLINE IL	K Syslo - PW - Mop Handle, Duct Tape, Runner	501-618121-52301-0000000	77.08
07/31/2023	MENARDS MOLINE IL	J Poulos - PW - 20 Gal Air Compressor	506-618341-52402-0000000	429.99
07/31/2023	MENARDS MOLINE IL	V SPINSBY - POLICE - COOLER	101-414223-52207-0000000	81.36
08/02/2023	MENARDS MOLINE IL	M Gonzalez - Parks - Preschool Paint, Googone, Brush	555-867501-52212-0000000	31.24
08/02/2023	MENARDS MOLINE IL	M Gonzalez - Parks - Preschool Snacks	555-867501-52216-0000000	20.97
08/07/2023	MENARDS MOLINE IL	M DeMarlie - Parks - Hort Burlap, Cedartone	555-822601-52301-0000000	518.20
08/10/2023	MENARDS MOLINE IL	M Weeks - PW - Wing Nuts, AC Part	506-618341-52305-0000000	20.15
08/11/2023	MENARDS MOLINE IL	D Driskill - Parks - Douglas Hardware	555-822601-52301-5288000	126.82
08/21/2023	MENARDS MOLINE IL	D Driskill - Parks - Plex Hybr Scurveramp	555-813521-52305-0000000	299.98
	MENARDS MOLINE IL Total			1,605.79
07/28/2023	MICHAELS STORES 2106	K Kuykendall - Library - Supplies	251-713451-52211-0000000	66.10
07/28/2023	MICHAELS STORES 2106	K Kuykendall - Library - Supplies	251-713451-52211-0000000	68.52
07/28/2023	MICHAELS STORES 2106	K Kuykendall - Library - Supplies Returns	251-713451-52211-0000000	(64.18)
	MICHAELS STORES 2106 Total			70.44
08/18/2023	MOBILE BEACON	Split - K Brozovich - Library - RIP Materials - 3 Hotspot Renewals (75%)	251-713451-54305-0000000	360.00
08/18/2023	MOBILE BEACON	Split - K Brozovich - Library - RIP Materials - 4 Hotspot Renewals (66.67%)	251-713451-54305-0000000	480.00
08/18/2023	MOBILE BEACON	Split - K Brozovich - Library - RIT Materials - 1 Hotpot Renewal (25%)	251-713451-54305-5655000	120.00
08/18/2023	MOBILE BEACON	Split - K Brozovich - Library - RIZ Materials - 2 Hotspot Renewals (33.33%)	251-714404-54305-0000000	240.00
	MOBILE BEACON Total			1,200.00
08/25/2023	MOLINE FARM & FLEET	M DeMarlie - Parks - Hort Edger, Brush Mower	555-822611-52407-0000000	2,949.98
08/25/2023	MOLINE FARM & FLEET	Split - E Hillyer - Parks - Hort Turf Builder (14.27%)	555-822611-52220-0000000	399.65
08/25/2023	MOLINE FARM & FLEET	Split - E Hillyer - Parks - Hort Turf Builder (42.86%)	555-822611-52219-0000000	1,200.00
08/25/2023	MOLINE FARM & FLEET	Split - E Hillyer - Parks - SRP Turf Builder (42.86%)	555-819614-52219-0000000	1,200.00
08/28/2023	MOLINE FARM & FLEET	R Thompson - Parks - Saukie Hitch Pins	555-854701-52305-0000000	20.57
	MOLINE FARM & FLEET Total			5,770.20
08/14/2023	NCL OF WISCONSIN INC	K Miles - PW - Chemicals	506-618341-52205-0000000	143.79
08/24/2023	NCL OF WISCONSIN INC	K Miles - PW - Chemicals, Filters	506-618341-52205-0000000	171.84
	NCL OF WISCONSIN INC Total			315.63
08/16/2023	NORTHEAST IA COMM COLL	C Jacobs - Fire - Responder Conference	101-454273-51502-0000000	189.00
	NORTHEAST IA COMM COLL Total			189.00

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Date	Merchant Name	Description	Account Number	Amount
08/07/2023	ODP BUS SOL LLC # 1010	L Pannier - Parks - RIFAC Dust, Envel, Paper Clips, Tape	555-867564-52101-0000000	19.99
	ODP BUS SOL LLC # 1010 Total			19.99
08/07/2023	ODP BUS SOL LLC# 10686	L Pannier - Parks - RIFAC Dust, Envel, Paper Clips, Tape	555-867564-52101-0000000	109.30
	ODP BUS SOL LLC# 10686 Total			109.30
08/14/2023	OFFICEMAX/DEPOT 6195	A RUIZ - MLK - ASP SUPPLIES	904-356921-54102-0000341	98.79
	OFFICEMAX/DEPOT 6195 Total			98.79
08/17/2023	OLIVE GARDEN 0026404	A RUIZ - MLK - TEAM MEETING MEAL	901-356911-52216-5331007	327.00
	OLIVE GARDEN 0026404 Total			327.00
08/04/2023	ONLINE PLC SUPP	J Poulos - PW - Training J Poulos - PW - Business Premise	506-618041-51502-0000000	97.00
	ONLINE PLC SUPP Total			97.00
07/27/2023	O'REILLY AUTO PARTS 75	J Poulos - PW - Air Hammer	506-618341-52402-0000000	90.04
07/27/2023	O'REILLY AUTO PARTS 75	J Poulos - PW - Air Hammer	506-618341-52402-0000000	82.99
07/27/2023	O'REILLY AUTO PARTS 75	J Poulos - PW - Credit (Air Hammer Invoice with Tax)	506-618341-52402-0000000	(90.04)
07/31/2023	O'REILLY AUTO PARTS 75	M Weeks - PW - Absorbent, Brake Cleaner	506-618341-52305-0000000	86.85
07/31/2023	O'REILLY AUTO PARTS 75	M Weeks - PW - Credit Due to Sales Tax Charged	506-618341-52305-0000000	(86.85)
08/04/2023	O'REILLY AUTO PARTS 75	M Weeks - PW - Absorbent, Brake Cleaner	506-618341-52305-0000000	86.85
08/04/2023	O'REILLY AUTO PARTS 75	M Weeks - PW - Credit Memo	506-618341-52305-0000000	(94.23)
	O'REILLY AUTO PARTS 75 Total			75.61
08/01/2023	ORKIN LLC 002	K Kruse - Parks - RIFAC Bug Spray	555-867564-53801-0000000	111.99
08/25/2023	ORKIN LLC 002	K Kruse - Parks - RIFAC Bug Spray	555-867564-53801-0000000	111.99
	ORKIN LLC 002 Total			223.98
08/08/2023	PANERA BREAD #203202 P	N PAULEY - POLICE - COMMAND AND CHEIF'S MEETING	101-411041-54401-0000000	40.42
	PANERA BREAD #203202 P Total			40.42
08/21/2023	PARTY CITY 5139	K TINSLEY - LESHOURE - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	90.16
	PARTY CITY 5139 Total			90.16
08/15/2023	PAYPAL *CLOWNAROUND	D Gleason - Parks - Face Painter	555-813501-53110-6524000	300.00
	PAYPAL *CLOWNAROUND Total			300.00
08/18/2023	PFS/ROMA TPC	D Gleason - Parks - SRP Thurs Groove Food	555-819615-52501-6295000	287.75
08/25/2023	PFS/ROMA TPC	D Gleason - Parks - Thurs Groove Food	555-819615-52501-6295000	105.69
	PFS/ROMA TPC Total			393.44
07/31/2023	PHELPS FASHION APPAREL	J PASSNO - MLK - FAMILY FUN DAY SUPPLIES	901-356921-52101-0000064	27.04
08/11/2023	PHELPS FASHION APPAREL	J PASSNO - MLK - FAMILY FUN DAY SUPPLIES	901-356921-52101-0000064	46.35
	PHELPS FASHION APPAREL Total			73.39
08/15/2023	PIZZA AND SUBS	S Gange - Admin - Council Meeting Meals 8/14/23	101-119041-53109-0000000	48.25
	PIZZA AND SUBS Total			48.25
08/03/2023	POKE BROS	B Baker - Fire - School / Training	101-454273-51502-0000000	53.12
	POKE BROS Total			53.12
07/28/2023	POLLARDWATER.COM #3326	Split - N Hartman - PW - 6 Measure Wheels (50.56%)	501-619121-52401-0000000	93.44
07/28/2023	POLLARDWATER.COM #3326	Split - N Hartman - PW - Discharge Hoses (32.61%)	501-619356-52306-0000000	60.26
07/28/2023	POLLARDWATER.COM #3326	Split - N Hartman - PW - Hard Hats (16.83%)	506-619041-51402-0000000	31.11
	POLLARDWATER.COM #3326 Total			184.81
08/09/2023	POTBELLY #37	B Baker - Fire - School / Training	101-454273-51502-0000000	15.77
	POTBELLY #37 Total			15.77
07/31/2023	QDOBA #775 QPS	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	15.95

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Date	Merchant Name	Description	Account Number	Amount
	QDOBA #775 QPS Total			15.95
08/04/2023	QUAD CITIES CHAMBER OF	S Gange - Admin - Mayor Annual Meeting @ QC Chamber	101-118041-54401-0000000	60.00
	QUAD CITIES CHAMBER OF Total			60.00
08/18/2023	QUAD CITY SAFETY INC	J Poulos - PW - CO Sensor Replacement/Recalibration	506-618341-53804-0000000	525.00
	QUAD CITY SAFETY INC Total			525.00
07/27/2023	RAVE 1090	M Gonzalez - Parks - Adv Camp Field Trip	555-813531-53110-0000000	23.25
	RAVE 1090 Total			23.25
08/10/2023	REGALIA MANUFACTURING	D Driskill - Parks - Douglas Flags	555-822601-52301-5288000	227.35
	REGALIA MANUFACTURING Total			227.35
08/07/2023	REPUBLIC SERVICES TRAS	L Vanlandegen - PW - Credit Memo Billing Error	510-616331-53805-0000000	(160.29)
08/07/2023	REPUBLIC SERVICES TRAS	L Vanlandegen - PW - Credit Memo Billing Error	510-616331-53805-0000000	(158.61)
08/07/2023	REPUBLIC SERVICES TRAS	L Vanlandegen - PW - Credit Memo Billing Error	510-616331-53805-0000000	(329.86)
08/07/2023	REPUBLIC SERVICES TRAS	L Vanlandegen - PW - Credit Memo Billing Error	510-616331-53805-0000000	(533.37)
08/10/2023	REPUBLIC SERVICES TRAS	L Vanlandegen - PW - Recycling Service	510-616333-53704-0000000	91.45
	REPUBLIC SERVICES TRAS Total			(1,090.68)
08/25/2023	ROBERT BROOKE & ASSOCI	J Riner - PW - Partition Aluminum Slide Bolt Latch Screws	555-818551-52301-0000000	73.11
	ROBERT BROOKE & ASSOCI Total			73.11
08/03/2023	ROCK BOTTOM 1093	B Baker - Fire - School / Training	101-454273-51502-0000000	63.23
	ROCK BOTTOM 1093 Total			63.23
08/17/2023	ROCK ISLAND COUNTY	Split - N Blair - IT - Laredo Copy Chgs, July 2023 (1.46%)	242-313851-53505-6197230	6.24
08/17/2023	ROCK ISLAND COUNTY	Split - N Blair - IT - Laredo Copy Chgs, July 2023 (1.55%)	101-314882-53505-0000000	6.63
08/17/2023	ROCK ISLAND COUNTY	Split - N Blair - IT - Laredo Copy Chgs, July 2023 (1.55%)	242-314882-53505-6199230	6.63
08/17/2023	ROCK ISLAND COUNTY	Split - N Blair - IT - Laredo, July 2023 (95.43%)	101-256081-53822-0000000	407.00
	ROCK ISLAND COUNTY Total			426.50
08/01/2023	ROCKISLAND	S Gange - Admin - Ald. Robinson Douglas Park Rental 7/31/23 through RIFAC	101-111041-54401-0000000	200.00
	ROCKISLAND Total			200.00
08/09/2023	ROSS MEDICAL SUPPLY	R Graff - Fire - Ambulance Supply	101-454273-52214-0000000	380.36
	ROSS MEDICAL SUPPLY Total			380.36
08/11/2023	SALSBURY INDUSTRIES	B Kennedy - Fire - Locker	222-451041-52405-0000000	1,136.86
	SALSBURY INDUSTRIES Total			1,136.86
08/02/2023	SAMS CLUB #8238	M Gonzalez - Parks - RIFAC Coffee	555-867564-52502-6225000	55.92
08/25/2023	SAMS CLUB #8238	D Gleason - Parks - Thurs Groove Food	555-819615-52501-6295000	144.80
08/28/2023	SAMS CLUB #8238	R Hurt - Council - Candy for Labor Day Parade	101-112041-54401-0000000	59.64
08/28/2023	SAMS CLUB #8238	Split - N PAULEY - POLICE - CLEANING SUPPLIES (36.43%)	101-411041-52207-0000000	78.02
08/28/2023	SAMS CLUB #8238	Split - N PAULEY - POLICE - SUPPLIES (63.57%)	101-411041-54401-0000000	136.16
	SAMS CLUB #8238 Total			474.54
08/02/2023	SAMSCLUB #8238	M Gonzalez - Parks - Preschool Address Labels	555-867501-52212-0000000	29.82
08/09/2023	SAMSCLUB #8238	R Hurt - Council - MLK Day Parade Supplies	101-112041-54401-0000000	217.25
08/09/2023	SAMSCLUB #8238	Split - D Gleason - Parks - SRP Ice (12.42%)	555-819615-52504-0000000	29.80
08/09/2023	SAMSCLUB #8238	Split - D Gleason - Parks - Thurs Groove Food (87.58%)	555-819615-52501-6295000	210.04
08/17/2023	SAMSCLUB #8238	N Carr - Parks - WWJ Food	555-818551-52501-0000000	88.16
08/17/2023	SAMSCLUB #8238	D Gleason - Parks - SRP Thurs Groove Food	555-819615-52501-6295000	127.18
08/18/2023	SAMSCLUB #8238	D Gleason - Parks - Thurs Groove Food	555-819615-52501-6295000	131.52
	SAMSCLUB #8238 Total			833.77
08/03/2023	SAMSCLUB.COM	M Gonzalez - Parks - Preschool Snacks	555-867501-52216-0000000	355.04

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Date	Merchant Name	Description	Account Number	Amount
	SAMSCLUB.COM Total			355.04
08/23/2023	SCHOLASTIC, INC.	H Gray - Parks - Preschool Crafts	555-867501-52212-0000000	6.91
	SCHOLASTIC, INC. Total			6.91
07/31/2023	SHEET GURUS SMS	G JONES - MLK - OFFICE SUPPLIES	901-356921-52102-5332000	8.00
08/11/2023	SHEET GURUS SMS	A RUIZ - MLK - ASP/SDC OFFICE SUPPLIES	904-356921-54102-0000341	236.12
08/24/2023	SHEET GURUS SMS	K TINSLEY - LESHOURE - MLK - ASP/SDC OFFICE SUPPLIES	904-356921-54102-0000341	89.68
	SHEET GURUS SMS Total			333.80
08/01/2023	SMARTSIGN	N Hartman - PW - Road Work Ahead Signs	501-619356-52306-0000000	675.80
	SMARTSIGN Total			675.80
07/31/2023	SMITH FILTER CORPORATI	T Smith - Fire - Filters	101-451291-52305-0000000	980.48
	SMITH FILTER CORPORATI Total			980.48
08/25/2023	SP BLAIR SUPPLY CORP	N Hartman - PW - Parts	501-613358-52303-0000000	112.21
	SP BLAIR SUPPLY CORP Total			112.21
08/14/2023	SP BRIDGE BUILT	B Kennedy - Fire - Fitness Equipment	222-451041-52410-0000000	1,935.05
	SP BRIDGE BUILT Total			1,935.05
08/03/2023	SP MENDEZ FOUNDATION	C JIMENEZ - MLK - TEEN PREGNANCY SUPPLIES	906-356921-51502-6163306	569.57
	SP MENDEZ FOUNDATION Total			569.57
08/25/2023	SP POOLWEB COM	J Riner - PW - Chlorine	555-867562-52218-0000000	51.16
	SP POOLWEB COM Total			51.16
08/04/2023	SP SWIMOUTLET.COM	M Hogan - Parks - RIFAC Suits	555-867562-51401-0000000	182.00
	SP SWIMOUTLET.COM Total			182.00
08/03/2023	SP THE POWER TOOL STOR	Split - N Hartman - PW - Tools (49.85%)	507-619348-52402-0000000	248.49
08/03/2023	SP THE POWER TOOL STOR	Split - N Hartman - PW - Tools (50.15%)	501-619356-52402-0000000	250.00
	SP THE POWER TOOL STOR Total			498.49
08/02/2023	SP WRISTBANDS.COM	M Hogan - Parks - WWJ Wristbands	555-818551-52212-0000000	319.26
	SP WRISTBANDS.COM Total			319.26
08/16/2023	SPECTRIO	C Roelf - Parks - RIFAC Muzak	555-867564-53822-0000000	113.99
	SPECTRIO Total			113.99
08/01/2023	SQ *APWA - ILLINOIS CH	R Hitchcock - PW - A Tysma Training IL Public Service Institute Registration	101-616041-51502-0000000	795.00
	SQ *APWA - ILLINOIS CH Total			795.00
08/24/2023	SQ *ILLINOIS PLUMBING	M Holderfield - CED - Professional Development - Tim DeLathower	101-314881-51502-0000000	100.00
	SQ *ILLINOIS PLUMBING Total			100.00
08/07/2023	SQ *MAILBOXES & PARCEL	V SPINSBY - POLICE - PACKAGE	101-413215-54201-0000000	97.37
08/25/2023	SQ *MAILBOXES & PARCEL	V SPINSBY - POLICE - PACKAGE	101-411041-53201-0000000	42.42
08/28/2023	SQ *MAILBOXES & PARCEL	V SPINSBY - POLICE - PACKAGE	101-411041-53201-0000000	19.14
	SQ *MAILBOXES & PARCEL Total			158.93
08/22/2023	SQ *QC COFFEE & PANCAK	R Baugous - HR - Food for New Hire	101-155101-52216-0000000	21.35
08/25/2023	SQ *QC COFFEE & PANCAK	R Baugous - HR - Food for Interview	101-155101-52216-0000000	90.17
	SQ *QC COFFEE & PANCAK Total			111.52
08/04/2023	SQ *QUALITY AWARDS & L	T Smith - Fire - Name Plate	101-451041-52101-0000000	43.50
08/04/2023	SQ *QUALITY AWARDS & L	T Smith - Fire - Name Plate/Plaque Mount	101-451041-52101-0000000	15.00
08/15/2023	SQ *QUALITY AWARDS & L	R Graff - Fire - Retirement Plaque	101-451041-52101-0000000	69.95
	SQ *QUALITY AWARDS & L Total			128.45
08/16/2023	SQ *THE HUNGRY HOBO	T BROOKS - MLK - WEST END REVITALIZATION COORDINATOR -MEETIN(	248-356921-52101-8304662	76.99
	SQ *THE HUNGRY HOBO Total			76.99

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Date	Merchant Name	Description	Account Number	Amount
08/08/2023	STARBUCKS STORE 66742	B Baker - Fire - School / Training	101-454273-51502-0000000	6.31
08/09/2023	STARBUCKS STORE 66742	B Baker - Fire - School / Training	101-454273-51502-0000000	9.88
08/10/2023	STARBUCKS STORE 66742	B Baker - Fire - School / Training	101-454273-51502-0000000	9.22
	STARBUCKS STORE 66742 Total			25.41
08/03/2023	STARBUCKS T3 CL ORD	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	6.48
	STARBUCKS T3 CL ORD Total			6.48
07/28/2023	SUPPLYHOUSE.COM	J Glidewell - PW - Sharkbite Reducing Ball Valve	101-616601-52303-0000000	29.72
07/31/2023	SUPPLYHOUSE.COM	J Glidewell - PW - Sharkbite Ball Valves	101-616601-52303-0000000	250.11
08/08/2023	SUPPLYHOUSE.COM	D Glidewell - PW - Pressure & Temp Gauge	101-616614-52303-0000000	49.61
	SUPPLYHOUSE.COM Total			329.44
08/18/2023	SWERVEPAY LLC SERVICE	R Baugous - HR - Holderfield, Copay for ER Service Charge	621-157131-53602-5117000	5.99
	SWERVEPAY LLC SERVICE Total			5.99
08/18/2023	SWP*GENESIS HEALTH SYS	R Baugous - HR - Welvaert WC Clinic Services	621-157131-53602-5117000	344.00
08/18/2023	SWP*GENESIS HEALTH SYS	R Baugous - HR - Welvaert WC Clinic Services	621-157131-53602-5117000	224.00
	SWP*GENESIS HEALTH SYS Total			568.00
08/18/2023	SWP*REVCO SOLUTIONS	R Baugous - HR - Holderfield, Copay for ER.	621-157131-53602-5117000	100.00
	SWP*REVCO SOLUTIONS Total			100.00
07/28/2023	TARGET 00009266	M Gonzalez - Parks - Preschool Camp Snacks	555-867501-52216-6184000	19.37
08/03/2023	TARGET 00009266	N Carr - Parks - WWJ Swim Pampers	555-818551-52508-0000000	55.95
08/04/2023	TARGET 00009266	D Lesley - Parks - Saukie Food	555-855702-52501-0000000	23.92
08/07/2023	TARGET 00009266	D Gleason - Parks - Btb Coding, Chckl 7 Roar	555-813501-52212-6289000	39.85
08/10/2023	TARGET 00009266	M Gonzalez - Parks - Preschool Brightroom	555-867501-52212-0000000	28.00
08/17/2023	TARGET 00009266	D Gleason - Parks - SRP	555-819615-52212-0000000	7.00
08/23/2023	TARGET 00009266	N Carr - Parks - RIFAC Daytrippers	555-867565-52212-6151000	70.38
08/25/2023	TARGET 00009266	Split - D Lesley - Parks - Saukie Clean (49.3%)	555-855702-52206-0000000	20.35
08/25/2023	TARGET 00009266	Split - D Lesley - Parks - Saukie Food (50.7%)	555-855702-52501-0000000	20.93
	TARGET 00009266 Total			285.75
07/31/2023	TEACHERS AIDE	H Gray - Parks - Preschool Nametags, Crafts	555-867501-52212-0000000	54.91
	TEACHERS AIDE Total			54.91
08/24/2023	TEACHERSPAYTEACHERS.CO	H Gray - Parks - Preschool Alphabet Mega Bundle	555-867501-52212-0000000	48.50
	TEACHERSPAYTEACHERS.CO Total			48.50
08/07/2023	TEXAS ROADHOUSE #2187	B Baker - Fire - School / Training	101-454273-51502-0000000	40.38
	TEXAS ROADHOUSE #2187 Total			40.38
08/02/2023	THE GARDEN GRILLE AND	B Baker - Fire - School / Training	101-454273-51502-0000000	32.22
	THE GARDEN GRILLE AND Total			32.22
08/03/2023	THE LIFEGUARD STORE, I	M Hogan - Parks - RIFAC Guard Suits	555-867562-51401-0000000	51.35
08/04/2023	THE LIFEGUARD STORE, I	M Hogan - Parks - RIFAC Credits	555-867562-52212-0000000	(3.45)
	THE LIFEGUARD STORE, I Total			47.90
08/25/2023	THE WEBSTAURANT STORE	D Gleason - Parks - Starlight Items	555-813501-52212-5680000	375.44
	THE WEBSTAURANT STORE Total			375.44
08/21/2023	TRELLO.COM* ATLASSIAN	T Thompson - Admin - Trello.com Subscription	101-122041-54301-0000000	12.50
	TRELLO.COM* ATLASSIAN Total			12.50
07/28/2023	TST* ARTHURS GARDEN DE	J Gripp - Parks - Staff Food	555-811041-52216-0000000	52.08
	TST* ARTHURS GARDEN DE Total			52.08
08/10/2023	TST* LEGENDS GRILL & B	B Baker - Fire - School / Training	101-454273-51502-0000000	23.27

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Date	Merchant Name	Description	Account Number	Amount
	TST* LEGENDS GRILL & B Total			23.27
08/07/2023	TST* Q-BBQ NAPERVILLE	N PAULEY - POLICE - TRAINING	101-414223-51502-0000000	52.08
	TST* Q-BBQ NAPERVILLE Total			52.08
08/09/2023	U.S. PLASTIC CORPORATI	D Glidewell - PW - Tubing, Connectors	101-616614-52303-0000000	275.56
	U.S. PLASTIC CORPORATI Total			275.56
07/31/2023	UNITED AIRLINES	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	108.90
08/04/2023	UNITED AIRLINES	T MCCLOUD - POLICE - TRAINING	101-414223-51502-0000000	35.00
	UNITED AIRLINES Total			143.90
07/27/2023	USPS PO 1651060464	L Pannier - Parks - RIFAC Stamps	555-867564-53201-0000000	7.94
08/10/2023	USPS PO 1651060464	A Campbell - Library - Postage - Board Packet Mailing	251-713451-53201-0000000	2.79
	USPS PO 1651060464 Total			10.73
07/31/2023	USPS PO 1667860402	D Gleason - Parks - Parade Stamps	276-811041-54501-0000000	351.00
07/31/2023	USPS PO 1667860402	A Campbell - Library - Postage	251-713451-53201-0000000	17.72
08/04/2023	USPS PO 1667860402	A Campbell - Library - Postage	251-713451-53201-0000000	11.87
08/11/2023	USPS PO 1667860402	J Upton - PW - Postage	501-619041-53201-0000000	52.80
08/24/2023	USPS PO 1667860402	J Upton - PW - Postage	501-619041-53201-0000000	68.64
08/28/2023	USPS PO 1667860402	A Campbell - Library - Postage	251-713451-53201-0000000	25.87
	USPS PO 1667860402 Total			527.90
08/21/2023	USPS PO 1667870401	A Campbell - Library - Postage	251-713451-53201-0000000	17.01
	USPS PO 1667870401 Total			17.01
08/23/2023	VEHICLE SAFETY SUPPLY	R Vidmar - PW - LED Brake/Tail/Turn & Backup Lightheads for #8923	601-617364-52305-0000000	396.97
	VEHICLE SAFETY SUPPLY Total			396.97
08/08/2023	WALGREENS #4332	R ARNOLD - MLK - OFFICE SUPPLIES	901-356941-52207-6302000	14.50
08/25/2023	WALGREENS #4332	T MCCLOUD - POLICE - PHOTOS	101-411041-54501-0000000	14.60
	WALGREENS #4332 Total			29.10
08/15/2023	WALGREENS #9267	L Pannier - Parks - RIFAC Cards	555-867564-52101-0000000	4.59
	WALGREENS #9267 Total			4.59
07/31/2023	WAL-MART #2231	C JIMENEZ - MLK - SDC TRIP STAFF MEAL	901-356911-52212-5328000	30.34
07/31/2023	WAL-MART #2231	H Gray - Parks - Preschool Rubber Band, Index Cards, Teachers	555-867501-52212-0000000	26.33
08/11/2023	WAL-MART #2231	C JIMENEZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	231.78
08/11/2023	WAL-MART #2231	C JIMENEZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	111.78
08/17/2023	WAL-MART #2231	C FOREMAN - POLICE - FRAME	101-411041-54501-0000000	13.29
08/18/2023	WAL-MART #2231	A RUIZ - MLK - FAMILY FUN DAY SUPPLIES	901-356911-52212-5328000	59.86
08/28/2023	WAL-MART #2231	C FOREMAN - POLICE - FRAME	101-411041-54501-0000000	5.00
	WAL-MART #2231 Total			478.38
08/21/2023	WALMART.COM	M Gonzalez - Parks - Preschool Supp	555-867501-52212-0000000	38.72
	WALMART.COM Total			38.72
08/24/2023	WALMART.COM 8009666546	M Gonzalez - Parks - Preschool Games, Dry Erase Markers	555-867501-52212-0000000	41.75
	WALMART.COM 8009666546 Total			41.75
08/11/2023	WALTER GARDENS	M DeMarlie - Parks - Hort Plants	555-822611-52201-0000000	198.97
	WALTER GARDENS Total			198.97
08/03/2023	WAYNE DALTON	C Steeber - Parks - HS	555-834751-53802-0000000	2,150.00
	WAYNE DALTON Total			2,150.00
08/08/2023	WCI*MWI	D Gleason - Parks - SRP RWB Trash Pickup	555-819615-53704-6139000	231.43
	WCI*MWI Total			231.43

City of Rock Island
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Date	Merchant Name	Description	Account Number	Amount
08/14/2023	WENDY'S #10	B Baker - Fire - School / Training	101-454273-51502-0000000	13.95
	WENDY'S #10 Total			13.95
07/27/2023	WETRANSFER	L Lockheart - Library - File Upload for LJ Architecture Issue	251-711041-53401-0000000	15.00
08/28/2023	WETRANSFER	L Lockheart - Library - Monthly File Transfer Plan	251-711041-53401-0000000	15.00
	WETRANSFER Total			30.00
07/27/2023	WHITE CAP #671	R Hitchcock - PW - Expansion Board	101-616301-52301-0000000	104.00
08/03/2023	WHITE CAP #671	R Hitchcock - PW - Saw Wheels, Metal Wheels	101-616301-52401-0000000	247.72
08/09/2023	WHITE CAP #671	R Hitchcock - PW - Expansion Board	101-616301-52306-0000000	52.00
08/15/2023	WHITE CAP #671	R Hitchcock - PW - Stringline, Caution Tape	101-616041-52401-0000000	40.59
08/17/2023	WHITE CAP #671	R Hitchcock - PW - Expansion Board	101-616041-52402-0000000	52.00
	WHITE CAP #671 Total			496.31
08/21/2023	WHITEPAGES	R ARNOLD - MLK - OFFICE SUPPLIES	901-356941-52207-6302000	29.99
	WHITEPAGES Total			29.99
08/04/2023	WM SUPERCENTER #2231	H Gray - Parks - Preschool Folders, Cork Board, ETC	555-867501-52212-0000000	73.79
08/25/2023	WM SUPERCENTER #2231	M Hogan - Parks - WWJ Bubbles	555-818551-52212-0000000	41.81
08/28/2023	WM SUPERCENTER #2231	C FOREMAN - POLICE - FRAME RETURN	101-411041-54501-0000000	(6.97)
	WM SUPERCENTER #2231 Total			108.63
08/03/2023	WWW.LEGACY-PRINTS.COM	C FOREMAN - POLICE - TRAINING	101-414223-51502-6455000	1,392.00
	WWW.LEGACY-PRINTS.COM Total			1,392.00
08/28/2023	ZOLL MEDICAL CORP	C Jacobs - Fire - Ambulance Supplies	101-454273-52214-0000000	702.00
	ZOLL MEDICAL CORP Total			702.00
	Grand Total			$ 82,618.30

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: Report from the Public Works Department regarding payment in the amount of $19,800.00 to CDM Smith, Chicago, Illinois, for a PFAS Planning Study.

Motion:	Motion whether or not to approve proceeding with the proposed study and payment to CDM Smith.
RC	Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

In March of 2023, the U.S. Environmental Protection Agency (EPA) drafted a primary drinking water standard to regulate the presence of per and polyfluoroalkyl substances (PFAS) in the drinking water of community water systems. This regulation is expected to be finalized late in 2023. In 2020, the Illinois Environmental Protection Agency (IL EPA) conducted its own investigation into the presence of PFAS in water systems throughout the state, and it was found that Rock Island participated and detected certain PFAS compounds.

Recently, Rock Island has engaged in discussions with utility leaders in East Moline and Moline regarding the implications of this new regulation. Given that we all draw from the same water source, it has been proposed the initiation of a joint planning study, to be conducted by an engineering firm with expertise in PFAS-related matters. Each community has received a proposal from CDM Smith for such a study, which is intended to be conducted individually for each community while also being part of an overarching Quad Cities PFAS Planning Study. CDM Smith is highly qualified for this work, and our counterparts are actively working to secure approval to proceed. This study will encompass the review of water quality data, a desktop PFAS evaluation, assistance with risk communication and outreach, and evaluation of funding options. The successful completion of this study will enhance Rock Island's chances of receiving public funding intended to mitigate the PFAS contaminant.

The proposed fee for this study is $19,800 and both East Moline and Moline have received proposals for the same fee.

Previous Council Action (if any):

Budget Impact:

Vendor:	CDM Smith, Chicago, Illinois
Payment Amount:	$19,800

Fund:	501	Water Operations & Maintenance
Department:	618	Utilities Services
Cost Center:	352	Water Treatment Plant
Object Code:	53112	Consultant Services

Purchase Order: P008082

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

The Public Works Department recommends that the City Council approve proceeding with the proposed plan and payment to CDM Smith, Chicago, Illinois, in the amount of $19,800.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

CDM Smith
125 South Wacker Drive, Suite 2510
Chicago, IL 60606
tel: (312) 346-5000

September 22, 2023

Mr. Jason Upton
Utilities Superintendent
City of Rock Island
Email: upton.jason@rigov.org

Subject: Letter Proposal - PFAS Planning Study

Dear Jason:

CDM Smith Inc. (CDM Smith) understands that the Quad Cities, in particular Moline, East Moline and Rock Island are detecting PFAS in their source water, the Mississippi River, which provides otherwise high-quality, reliable water supply to the Quad Cities Region.

The recent draft Federal EPA drinking water PFAS rule, the Illinois EPA health advisory level of 2 parts per trillion for PFOA, and general public health concerns related to PFAS are driving the need to commission an evaluation of PFAS treatment methods and development of a compliance plan to prepare for the final PFAS rule. The communities of Moline, East Moline and Rock Island wish to commission engineering services to evaluate PFAS treatment strategies such that the Quad Cities will be better prepared to execute next steps. CDM Smith is excited to partner with the cities of Moline, East Moline and Rock Island on this important initiative. We bring extensive local and national expertise in addressing PFAS concerns.

Mr. Jason Upton
September 22, 2023

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CDM Smith Comprehensive PFAS Services

Comprehensive PFAS Services that Span the Entire Project Lifecycle

Project Phase	CDM Smith Services	Benefit
RISK COMMUNICATION & EDUCATION	▪ Outreach strategy development ▪ Public-facing communications ▪ Sustainable risk management ▪ Community involvement ▪ Stakeholder facilitation	*CDM Smith works with stakeholders and communication specialists to develop effective risk communication strategies based on best practices.*
CONSULTING & ADVISORY	▪ Strategic planning ▪ Develop questions and answers ▪ Presentation content ▪ Media inquiries and talking points ▪ Stakeholder meetings ▪ Regulatory management	*CDM Smith's streamlined experience provides our clients with a one-stop, best-value experience for managing PFAS challenges.*
SAMPLING & ANALYSIS	▪ Preliminary assessment/site investigation ▪ Remedial investigation ▪ Conceptual site model development ▪ Analysis, including data methodology, validation, and QA/QC	*We advance the science of understanding PFAS nature, extent, and mobility, and develop guidance to evaluate PFAS impact in soils, sediment, groundwater, and surface water.*
FINGERPRINTING AND FATE & TRANSPORT	▪ Fate and transport modeling ▪ Source identification ▪ Liability allocation	*Our fingerprinting techniques combine modern data science tools with our world-class understanding of PFAS source fate and transport.*
EXPOSURE PATHWAY ASSESSMENT	▪ Human health risk assessment ▪ Ecological risk assessment	*PFAS risk assessment is an emerging field. CDM Smith focuses on putting exposure and risk in perspective.*
BENCH-SCALE/PILOT-SCALE TREATABILITY STUDIES, TECHNOLOGY OPTIMIZATION	▪ Remedial technology performance evaluation ▪ Site-specific design parameter development	*Our Environmental Research and Testing Laboratory in Denver, Colorado, combines PFAS research with studies for clients, advancing research to reality.*
FULL-SCALE REMEDIAL INVESTIGATION/ FEASIBILITY STUDY	▪ High-resolution site characterization ▪ Feasibility study ▪ Engineering cost estimates	*We have demonstrable experience executing federal, state, and municipal PFAS investigations through remedy selection.*
DESIGN, CONSTRUCTION & OPERATION	▪ Plans and specifications preparation ▪ Bid package development ▪ Design reviews and value engineering ▪ Sustainability incorporation ▪ Remedial action ▪ Full-scale PFAS treatment system construction, operation, and maintenance	*We have unmatched experience in applying available technologies, and we are leading the way in advancing emerging treatment, including PFAS destruction.*

CDM Smith has developed the following proposed scope of work, schedule, and compensation to perform the PFAS evaluation for the Quad Cities.

Scope of Work

Task 1 –Project Meetings and Project Management

- Facilitate an in-person kickoff meeting to discuss previously measured PFAS levels, evaluate the current water plant processes, available space, and discuss overall study objectives. Collect any pertinent historical data for the PFAS evaluation.
- CDM Smith proposes to prepare for and attend the following additional project meetings with the Quad Cities and their designated stakeholders:
  - Meet up to two times to review various deliverables, and discuss recommendations and next steps;
  - Meeting with Illinois EPA (virtually) during the study to review regulations and potential desktop treatment options.
- Project management including ongoing project communications; submittal of monthly invoices and progress reports, which summarize activities performed, progress and budget status; as well as perform project scheduling and team coordination.

<u>Deliverables:</u>

- Meeting agendas and summaries
- Data collection memorandum
- Monthly progress reports

Task 2 – Review Water Quality Data and Perform Desktop PFAS Evaluation

- Review historical water quality data for the water system, including PFAS testing data collected to date.
- Review of Federal and State current and proposed regulations related to PFAS in drinking water as well as a holistic consideration of additional anticipated future impacts (e.g., impact to biosolids and spent media disposal).
- Review and describe in a technical memorandum, PFAS removal technologies and their potential applicability at the WTP. Specifically, technologies to be reviewed are powdered

activated carbon (PAC), granular activated carbon (GAC), anion exchange (AIX), novel adsorbents such as Cetco's Fluorosorb and Cyclopure's DEXSORB products, and Low-Pressure Reverse Osmosis (LPRO).

- Review the findings of Task 2 in a review meeting.

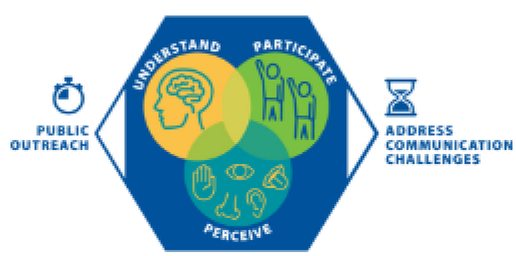
Deliverables:

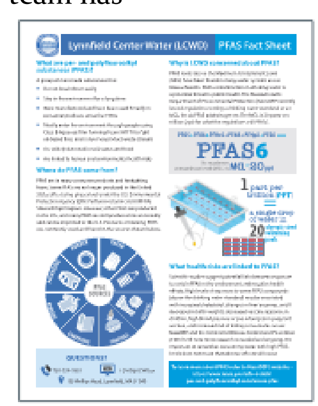
- Technical memorandum that summaries PFAS levels, water quality data, regulatory review, and review of available treatment technologies
- Agenda and minutes from review meeting to discuss the findings and review the Technical Memorandum

Task 3 – Assistance with Risk Communication and Outreach

An effective risk communication and outreach strategy can prevent PFAS from becoming a contentious issue among public stakeholders and local communities. Achieving a common understanding of risk management actions among all affected stakeholders is key to project success. CDM Smith can support the city with common risk communication and public outreach. Combining active public outreach and stakeholder engagement with platforms for two-way information exchange identifies the mosaic of needs and concerns considered during project activities. Addressing those needs and concerns head-on will help facilitate stakeholder acceptance and participation. CDM Smith has a robust risk communication and outreach team to facilitate developing public outreach plans and communication materials. Our team has expertise in:

- Human health risk assessment
- Public-facing communications
- Environmental justice
- Sustainable risk management
- Community involvement
- Stakeholder facilitation





Deliverables for Initial Study:

- Development of flyers or brochures describing steps each community is taking to address PFAS in its source water.

- Public education materials that can be posted on each public water system website.
- Additional communication and outreach support as required will be a time and materials basis, including public events, etc.

Task 4 – Evaluate Funding Options

As part of this Task, CDM Smith will evaluate funding options, including review of Federal EPA, Illinois EPA, WIIN (Water Infrastructure Improvements for the Nation), and other funding sources for the study, design and construction of PFAS removal or destruction technologies.

Since Illinois EPA has announced the availability of funds for PFAS removal, CDM Smith will assist with the preparation of project nomination forms.

<u>Deliverables:</u>

- Technical memorandum summarizing available funding options.
- IEPA SRF project nomination forms.

<u>**Optional or Future Task (Not included in fee at this time):**</u>

Optional/Future Task 1 – Bench Scale Testing of PFAS Treatment Technologies

Bench-scale treatability testing is a cost-effective tool to quickly and effectively evaluate site-specific performance of various PFAS treatment methods on actual source water from the Quad Cities water systems.

Absorptive media (GAC, AIX) treatment is the most commonly used strategy to remove PFAS from contaminated source waters. To determine the absorbent media that provides the highest PFAS treatment effectiveness for the specific water characteristics and PFAS mixture for each of the Moline, East Moline, and Rock Island water systems, CDM Smith proposes to utilize rapid small-scale column testing (RSSCT) that will be performed in CDM Smith's Research and Testing Laboratory on samples of finished water shipped from each Water Plant. While the exact details and conditions (e.g., treatment and/or pre-treatment options) of the proposed RSSCTs will be developed in coordination with each community, testing of three IX resins (for example, products from Calgon, Purolite, Lanxess, Evoqua, etc.), two GAC products (for example, products from Calgon, Cabot-Norit, Evoqua, etc.), and two novel absorbents (such as Cetco FLUORO-SORB or CycloPure DEXSORB) are proposed to be considered. Our approach in performing RSSCTs has proven to be invaluable in many drinking water design applications, often identifying critical issues early in the process and providing optimization for design of additional pilot- and/or full-scale design. The validity and reliability of CDM Smith's RSSCT approach has been verified in two recent peer-reviewed publications (1-Schaefer, C.E., Nguyen, D., Ho, P., Im, J. and LeBlanc, A. Assessing Rapid Small-Scale Column Tests for Treatment of Per-fluoroalkyl Acids by Anion Exchange Resin. Industrial & Engineering Chemistry Research, 58, 9701-9706, 2019; 2-Schaefer, C.E., Nguyen, D., Culina, V.M., Guelfo, J. and Kumar, N., "Application of Rapid Small-Scale Column Tests for Treatment of Perfluoroalkyl Acids Using Anion-Exchange Resins and Granular Activated Carbon in Groundwater with Elevated Organic Carbon" Industrial & Engin. Chem. Res. 59, 16832-16837, 2020.

In addition to the RSSCT testing described above, CDM Smith will evaluate the use of powdered activated carbon (PAC) for PFAS removal. This evaluation can be completed full scale if there is an existing PAC feed system in place.
In addition to different absorbent media, CDM Smith has successfully pilot tested NF and RO membranes for the removal of PFAS and other emerging contaminants (pharmaceuticals, 1,4 Dioxane, etc.) in several locations, including for the Brunswick County, NC WTP that is currently under construction. The removal mechanisms for NF and RO membranes are well-understood and are a matter of simple size exclusion based upon the compounds and the molecular weight cutoff rating for the membrane. Therefore, bench-scale testing provides a reliable confirmation of full-scale treatment removal efficiencies. For this project, we propose to conduct verification testing of NF and RO membranes using a bench-scale membrane test unit at the CDM Smith Research and Testing Laboratory on samples of finished water shipped from each Water Plant.

CDM Smith will take advantage of industry research related to PFAS removal and destruction technologies.

Specific tasks include:

- Develop bench-scale testing protocols that will be reviewed with each water system and Illinois EPA.
- Coordinate shipping water from each Water Plant to CDM Smith's Research and Testing Laboratory.

Over the last five years alone, CDM Smith has been actively participating in nearly 50 critical research projects on PFAS in the water and environment sectors. Our thought leadership has overarching goals to help address the challenges of PFAS for our clients and our communities, including developing high-quality communications, and providing technical solutions that are efficient, sustainable, and accountable for characterizing, treating, and destroying PFAS. Our first-hand knowledge, project experience and exceptional staff will help you understand the complex PFAS issues in water and lead you to appropriate decisions. Recent projects include:

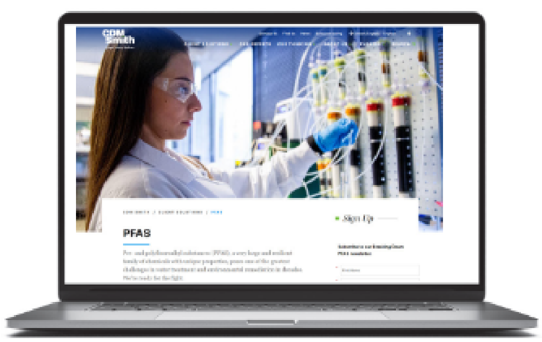
- WRF-5031: Occurrence of PFAS in US Wastewater Treatment Plants (ongoing).
- WRF-5042: Assessing Per and Polyflouroalkyl Substance Release from Finished Biosolids (October 2021).
- WRF-5082: Investigation of Alternative Management Strategies to prevent PFAS from Entering Drinking Water Supplies and Wastewater Influent (ongoing)
- NEBRA/WEF/NACWA: Cost Analysis of the Impacts on Municipal Utilities and Biosolids Management to Address PFAS Contamination (October 2020).
- Nantucket, MA: Island-Wide PFAS Assessment, WW Sampling program, and Sludge Technology evaluation. (ongoing).
- California Association of Sanitations Agencies (CASA) PFAS data evaluation for wastewater utilities across the state (ongoing).

Lysimeter testing PFAS Leachability from soil to groundwater.

Precursor transformation study.

- Conduct bench scale testing as described above for GAC, ion exchange, and novel adsorbents. Bench scale testing of membranes is also available and can be completed as part of this study as an optional task.
- Conduct full scale testing for use of powdered activated carbon at the Moline, East Moline, and Rock Island systems (if feed systems are already in place).
- Prepare a technical memorandum summarizing the results of the bench scale testing.

CDM Smith maintains three in-house laboratories with the capabilities to complete bench-scale studies, specialized analysis, and advanced research.



Troubled Waters: The Fight Against PFAS

CDM Smith develops high-quality technical solutions that are efficient, sustainable, and accountable for characterizing and treating PFAS. We have the staff and project experience to tackle complex PFAS projects.

https://www.cdmsmith.com/en/Video/PFAS-Documentary

<u>Deliverables:</u>

- Development of bench-scale testing protocol
- Coordination with Illinois EPA and three water systems
- Development of PFAS bench scale results memorandum

Optional/Future Task 2 – Development of Conceptual Design of Recommended Alternative and Development of Cost Estimate

- Develop a concept-level design for the Moline, East Moline, and Rock Island water plants consisting of a conceptual treatment system layout and design criteria with one PFAS removal technology. Additional considerations in this concept will include the following:

- Review of increased head to convey water through PFAS treatment systems.
- Consideration of the need for additional pretreatment upstream of the PFAS removal system, as certain organics and other compounds that can foul PFAS removal media causing accelerated headloss accumulation, increased backwashing, and/or voiding of resin warranties.
- Review of impact of treatment process change on finished water quality (such as pH changes or shift in chloride to sulfate mass ratio), including corrosion of lead, copper and iron pipes.
- Flexibility in pressure vessel design to accommodate GAC, anion exchange resin, and/or novel adsorbents in the future, as PFAS removal technologies evolve.
- Permanent and temporary provisions to backwash newly delivered or fouled media, including backwash supply and spent filter backwash handling.

- Provide Opinion of Probable Construction Costs (OPCC) for the conceptually designed PFAS treatment facility. Allowances for final design and engineering services during construction will also be included, to indicate the Opinion of Probable Project Cost (OPCC). Project life cycle costs and/or media longevity will be provided for the PFAS removal treatment technology in a subsequent phase of bench- and/or pilot-scale testing on this site's water. Within this conceptual design scope of work, a range of anticipated media longevity projections will be included.
- Issue a report that summarizes the basis of conceptual design for the treatment processes and the associated OPCC. In addition, the report will indicate the need for future onsite pilot-scale testing program and schedule for permitting, design, bidding, construction, startup, and commissioning.
- The report will also provide order of magnitude estimates for the project cost associated with permitting, bench/pilot testing and design services that would be needed to advance a PFAS treatment option to the bidding phase.
- Perform quality control and quality assurance (QA/QC) procedures including project execution plan, Technical Specialist reviews, final deliverables review, and project close-out documentation. Deliverables shall also be reviewed by subject matter experts independent of the project team. QA/QC activities will follow CDM Smith's quality management requirements.

- Incorporate all written and received comments and finalize the memorandum.

Deliverables:

- Conceptual design of recommended PFAS treatment technology summarized in a Draft Report
- Review meeting with all three water systems and Illinois EPA
- Final Report incorporating comments and edits.

Schedule

Services shall commence upon receipt of a signed Agreement. The draft PFAS Evaluation Report shall be submitted no later than three months after the execution of the Agreement.

Fee

For the services listed in the above base Scope of Work, CDM Smith shall be paid on a time and material basis with an upper limit not to exceed cost of $19,800. This is each community's share of the cost. Optional/future tasks are not included in the fee. This fee is based on all three communities participating in this Study and thus having the efficiencies in regulatory review, project meetings, outreach material preparation, etc. Work shall be invoiced to monthly for actual work completed.

Next Steps

We appreciate this opportunity to support the communities of Moline, East Moline and Rock Island to perform PFAS Planning Services. Should you have any questions or suggested edits to the scope, please contact me at (312) 780-7706 or AtassiA@cdmsmith.com.

Additional qualifications or resumes of key staff are available upon request.

Sincerely,

Amrou Atassi, P.E., PMP, BCEE
Senior Vice President
CDM Smith Inc.

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: Report from the Public Works Department regarding bids for the removal of Longview Park tennis courts, recommending the bid be awarded to H Coopman Trucking and Excavating, Moline, Illinois, in the amount of $31,774.52.

Motion: Motion whether or not to award the bid and authorize the City Manager to execute the contract documents.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The City of Rock Island Public Works requested sealed bids for a cost schedule of removing the Longview Park tennis courts.

Bids were opened for the above project on August 22, 2023 at 10:01 AM. A copy of the bid tabulation is attached.

The low bid was from H Coopman Trucking and Excavating., Moline, IL, in the amount of $31,774.52.

Previous Council Action (if any):

Budget Impact:

Vendor: H Coopman Trucking and Excavating., Moline, IL
Contract Amount: $31,774.52

$26,774.52

Fund:	101	General Fund
Department:	616	Municipal Services
Cost Center:	601	Grounds
Object Code:	53801	Building & Grounds S/C

$5,000.00

Fund:	555	Parks & Recreation
Department:	822	Parks
Cost Center:	601	Grounds
Object Code:	53806	Infrastructure Maintenance

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

The Public Works Department recommends that the City Council award the bid to H Coopman Trucking and Excavating in the amount of $31,774.52 and authorize the City Manager to execute the contract.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

City of Rock Island Public Works Department -Engineering Division
Bid Tabulation

Project: Longview Park Demo & Regrading
Job No. 2872
Bid Date: August 22, 2023 at 10:00 A.M.

Item No.	Item Description	Plan Quantity	Units	Engineer's Estimate Unit Price	Engineer's Estimate Amount	H Coopman Trucking & Exc, 4921 41st Street, Moline, IL 61265 Unit Price	Amount	Valley Construction, 3610 78th Avenue W, Rock Island, IL 61201 Unit Price	Amount	McClintock Trucking & Exc, 1701 1st Avenue, Silvis, IL 61282 Unit Price	Amount
1	Pavement Removal	738	SY	$15.00	$11,070.00	$7.50	$5,535.00	$19.00	$14,022.00	$9.00	$6,642.00
2	Regrading/shaping of tennis courts/volleyball field	3,412	SY	$4.00	$13,648.00	$4.21	$14,364.52	$2.50	$8,530.00	$6.10	$20,813.20
3	Nitrogen Fertilizer Nutrient	90	LB	$4.00	$360.00	$1.50	$135.00	$10.00	$900.00	$10.25	$922.50
4	Phosphorous Fertilzer Nutrient	54	LB	$4.00	$216.00	$1.50	$81.00	$10.00	$540.00	$10.25	$553.50
5	Potassium Fertilizer Nutrient	36	LB	$4.00	$144.00	$1.50	$54.00	$10.00	$360.00	$10.25	$369.00
6	Seeding, Class III	1	ACRE	$6,000.00	$6,000.00	$9,680.00	$9,680.00	$6,000.00	$6,000.00	$6,250.00	$6,250.00
7	Inlet and Pip Protection	3	EACH	$100.00	$300.00	$175.00	$525.00	$200.00	$600.00	$250.00	$750.00
8	Perimter Erosion Control Barrier	300	FT	$5.00	$1,500.00	$2.00	$600.00	$4.00	$1,200.00	$4.50	$1,350.00
9	Traffic Control and Protection	1	LS	$2,000.00	$2,000.00	$8.00	$800.00	$2,750.00	$2,750.00	$2,125.00	$2,125.00
				Bidder's Proposal for Project:	$35,238.00		$31,774.52		$34,902.00		$39,775.20

Item No.	Item Description	Plan Quantity	Units	Miller Truck & Exc, 3303 John Deere Rd, Silvis, IL 61282 Unit Price	Amount	Langman Construction, 220 34th Avenue, Rock Island, IL 61201 Unit Price	Amount	McCarthy Improvement, 5401 Victoria Avenue, Davenport, IA 52807 Unit Price	Amount
1	Pavement Removal	738	SY	$15.00	$11,070.00	$29.85	$22,029.30	$56.00	$41,328.00
2	Regrading/shaping of tennis courts/volleyball field	3,412	SY	$7.50	$25,590.00	$3.00	$10,236.00	$2.50	$8,530.00
3	Nitrogen Fertilizer Nutrient	90	LB	$10.00	$900.00	$1.00	$90.00	$10.00	$900.00
4	Phosphorous Fertilzer Nutrient	54	LB	$10.00	$540.00	$1.00	$54.00	$10.00	$540.00
5	Potassium Fertilizer Nutrient	36	LB	$10.00	$360.00	$1.00	$36.00	$10.00	$360.00
6	Seeding, Class III	1	ACRE	$5,000.00	$5,000.00	$2,000.00	$2,000.00	$6,000.00	$6,000.00
7	Inlet and Pip Protection	3	EACH	$350.00	$1,050.00	$50.00	$150.00	$225.00	$675.00
8	Perimter Erosion Control Barrier	300	FT	$3.50	$1,050.00	$1.00	$300.00	$5.00	$1,500.00
9	Traffic Control and Protection	1	LS	$4,500.00	$4,500.00	$25,000.00	$25,000.00	$2,300.00	$2,300.00
			Bidder's Proposal for Project:		$50,060.00		$59,895.30		$62,133.00

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: Report from the Public Works Department regarding a contract award to Five Cities Construction Company, Coal Valley, Illinois, in the amount of $588,557.69 for the Augustana Sewer Relocation, Multi-Use Path Area project.

Motion:	Motion whether or not to award the contract and authorize the City Manager to execute the contract documents; and authorize the Public Works Department to execute the necessary change orders.
RC	Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The Engineering Division opened bids for the above referenced project on Tuesday, September 26, 2023. A copy of the bid tabulation is attached.

The invitation to bid was advertised in the Rock Island Argus on September 12, 2023 and September 13, 2023 and was also posted on the City website on September 11, 2023. The following contractors were contacted on September 14, 2023:

Brandt Construction	McClintock Trucking and Excavating
Centennial Contractors	Miller Trucking and Excavating
Langman Construction	Valley Construction
Walter D. Laud, Inc.	

The low bid was from Five Cities Construction Company, Coal Valley, Illinois, in the amount of $588,557.69, which is 47.1% higher than the engineer's estimate of $400,000. Five Cities Construction Company has a 2023 City of Rock Island Responsible Bidder Statement on file and met the requirements to bid.

Per Resolution No. 18 that was passed on October 10, 2022 and approved October 11, 2022, the "ARPA Funding Recommendations" included the Augustana Slough Sewer Replacement at an estimated cost of $400,000. In negotiations with the college, additional items were needed for relocating the sewer to the north shore of the slough while also protecting a sycamore tree utilizing winter construction when it would be dormant. The 30-foot to 35-foot trench-depths near the sycamore, winter construction, rising material-labor-costs, and the relatively short timeline to complete work around several holidays between November 27, 2023 and January 5, 2024 all needed contractor assessment for the compensation they would require as part of their bids.   For the above reasons, the bids for work have a higher cost than what is budgeted.

Previous Council Action (if any):

Budget Impact:

Vendor: Five Cities Construction Company, Coal Valley, Illinois
Contract Amount: $588,557.69

Fund:	506	Wastewater Operation & Maintenance	($188,557.69)
Department:	619	Utilities Maintenance	
Cost Center:	346	Wastewater Collection Maintenance	
Object Code:	53806	Infrastructure Maintenance	
Project:	2869	Augustana Sewer Relocation	

Fund:	248	ARPA	($400,000.00)
Department:	619	Utilities Maintenance	
Cost Center:	346	Wastewater Collection Maintenance	
Object Code:	53806	Infrastructure Maintenance	
Project:	XXXX		

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

It is recommended that the City Council award the contract to Five Cities Construction Company, Coal Valley, Illinois, in the amount of $588,557.69 and authorize the City Manager to execute the contract documents and the Public Works Department to execute the necessary change orders.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

Bid Tabulation

ROCK ISLAND ILLINOIS

Job Name: Augustana Sewer Relocation, Multi-Use Path Area
City of Rock Island Job No. 2869
Bid Date: Tuesday September 26, 2023 at 9:00 a.m.
(For complete information covering these pay items, see plans and specifications)

				Engineer's Estimate		**Five Cities Construction, Inc.** **P.O. Box 18** **Coal Valley, IL 61240**		Langman Construction, Inc. 220 34th Avenue Rock Island, IL 61201		Valley Construction Co. 3610 78th Avenue West Rock Island, IL 61201		Central Excavating, Inc. 1805 State Street, Suite 104 Bettendorf, IA 52804 (2023 RBO documents not on-file)		Miller Trucking & Excavating 3303 John Deere Road Silvis, IL 61282		McCarthy Improvement Co. 5401 Victoria Avenue Davenport, IA 52807 (2023 RBO documents not on-file)	
Item No.	***Description***	***Approx. Quantity***	***Units***	***Unit Price***	***Total***	***Unit Price***	***Total***	***Unit Price***	***Total***	***Unit Price***	***Total***	***Unit Price***	***Total***	***Unit Price***	***Total***	***Unit Price***	***Total***
1	Sewer Removal	107.9	Foot	$50.00	$5,395.00	$20.00	$2,158.00	$10.00	$1,079.00	$65.00	$7,013.50	$34.50	$3,722.55	$100.00	$10,790.00	$33.00	$3,560.70
2	Removing Manholes To Maintain Flow	2	Each	$3,000.00	$6,000.00	$17,333.00	$34,666.00	$5,000.00	$10,000.00	$20,000.00	$40,000.00	$2,100.90	$4,201.80	$12,500.00	$25,000.00	$1,600.00	$3,200.00
3	Manholes With New Frame And Lid (Type A, 6' Diameter)	2	Each	$12,000.00	$24,000.00	$27,250.00	$54,500.00	$16,000.00	$32,000.00	$35,000.00	$70,000.00	$26,219.70	$52,439.40	$40,000.00	$80,000.00	$24,000.00	$48,000.00
4	Manholes With New Frame And Lid (Type A, 8' Diameter)	1	Each	$35,000.00	$35,000.00	$73,176.00	$73,176.00	$291,520.00	$291,520.00	$227,500.00	$227,500.00	$297,771.50	$297,771.50	$150,000.00	$150,000.00	$260,000.00	$260,000.00
5	Sanitary Sewer, 36", DIP, CL, TC, Class 250	38.5	Foot	$550.00	$21,175.00	$1,160.00	$44,660.00	$860.00	$33,110.00	$1,250.00	$48,125.00	$658.40	$25,348.40	$1,150.00	$44,275.00	$825.00	$31,762.50
6	Sanitary Sewer, 36", PVC (A-2000 or Equivalent)	369.6	Foot	$240.00	$88,704.00	$340.00	$125,664.00	$560.00	$206,976.00	$750.00	$277,200.00	$313.50	$115,869.60	$875.00	$323,400.00	$1,500.00	$554,400.00
7	Connection of 36" Wye Wth 12" Drop, Plus Abandonment Existing	1	L SUM	$8,000.00	$8,000.00	$20,734.00	$20,734.00	$5,000.00	$5,000.00	$30,000.00	$30,000.00	$28,966.10	$28,966.10	$30,000.00	$30,000.00	$22,000.00	$22,000.00
8	Sanitary Sewer, 6" (Vertical Pipe For Outside Drop At MH A)	60.0	Foot	$80.00	$4,800.00	$130.00	$7,800.00	$30.00	$1,800.00	$5.75	$345.00	$42.70	$2,562.00	$60.00	$3,600.00	$100.00	$6,000.00
9	Sanitary Sewer, 8" (Vertical Pipe For Outside Drop At MH A)	20.0	Foot	$90.00	$1,800.00	$130.00	$2,600.00	$50.00	$1,000.00	$9.75	$195.00	$46.60	$932.00	$175.00	$3,500.00	$110.00	$2,200.00
10	Sanitary Sewer, 24" (Connection At MH A Invert)	10.0	Foot	$130.00	$1,300.00	$330.00	$3,300.00	$200.00	$2,000.00	$250.00	$2,500.00	$485.10	$4,851.00	$675.00	$6,750.00	$340.00	$3,400.00
11	Drop Manhole Connection (Fittings/Material/Connections)	4	Each	$750.00	$3,000.00	$1,000.00	$4,000.00	$6,500.00	$26,000.00	$1,500.00	$6,000.00	$8,011.70	$32,046.80	$3,000.00	$12,000.00	$5,500.00	$22,000.00
12	Storm Sewer, 12"	59.9	Foot	$82.00	$4,911.80	$130.00	$7,787.00	$75.00	$4,492.50	$90.00	$5,391.00	$111.00	$6,648.90	$185.00	$11,081.50	$75.00	$4,492.50
13	Trench Backfill, CA-6 (Multi-Use Path Area)	719.8	CY	$34.00	$24,473.20	$30.00	$21,594.00	$25.00	$17,995.00	$80.00	$57,584.00	$78.20	$56,288.36	$55.00	$39,589.00	$80.00	$57,584.00
14	Pavement Removal	428.0	SY	$15.00	$6,420.00	$10.00	$4,280.00	$10.00	$4,280.00	$17.50	$7,490.00	$18.20	$7,789.60	$18.00	$7,704.00	$12.00	$5,136.00
15	Earth Excavation	208.5	CY	$40.00	$8,340.00	$10.00	$2,085.00	$75.00	$15,637.50	$35.00	$7,297.50	$46.20	$9,632.70	$110.00	$22,935.00	$20.00	$4,170.00
16	Geotechnical Fabric For Ground Stabilization	1043.3	SY	$2.50	$2,608.25	$6.00	$6,259.80	$1.00	$1,043.30	$1.75	$1,825.78	$2.30	$2,399.59	$5.00	$5,216.50	$2.00	$2,086.60
17	Aggregate Base Course, Type A, 6"	201.5	Ton	$45.00	$9,067.50	$25.00	$5,037.50	$20.00	$4,030.00	$40.00	$8,060.00	$96.40	$19,424.60	$45.00	$9,067.50	$30.00	$6,045.00
18	High Early Strength Portland Cement Concrete Pavement (Jointed), 7"	433.1	SY	$128.00	$55,436.80	$130.00	$56,303.00	$85.00	$36,813.50	$130.00	$56,303.00	$228.20	$98,833.42	$130.00	$56,303.00	$116.00	$50,239.60
19	Protective Coat	433.1	SY	$3.00	$1,299.30	$6.90	$2,988.39	$0.01	$4.33	$0.01	$4.33	$11.70	$5,067.27	$3.00	$1,299.30	$4.00	$1,732.40
20	Pipe Underdrains, Perforated, Corrugated, Polyethylene, 4"	656.7	Foot	$17.00	$11,163.90	$15.00	$9,850.50	$6.00	$3,940.20	$30.00	$19,701.00	$33.40	$21,933.78	$35.00	$22,984.50	$13.00	$8,537.10
21	Pipe Underdrains (Special), Non-Perforated Thru Gabion	10.5	Foot	$25.00	$262.50	$40.00	$420.00	$10.00	$105.00	$175.00	$1,837.50	$386.50	$4,058.25	$475.00	$4,987.50	$22.00	$231.00
22	Aggregate Shoulders, Type A, CA 10	45.3	Ton	$50.00	$2,265.00	$35.00	$1,585.50	$20.00	$906.00	$65.00	$2,944.50	$66.80	$3,026.04	$65.00	$2,944.50	$120.00	$5,436.00
23	Filter Fabric, Gabion Back Edge To Earth	147.8	SY	$8.00	$1,182.40	$10.00	$1,478.00	$2.00	$295.60	$6.50	$960.70	$8.30	$1,226.74	$10.00	$1,478.00	$2.00	$295.60
24	Gabions, 9 Gauge, Welded Wire, 3'x3'x3' Cells	126.7	CY	$140.00	$17,738.00	$400.00	$50,680.00	$250.00	$31,675.00	$485.00	$61,449.50	$464.70	$58,877.49	$575.00	$72,852.50	$850.00	$107,695.00
25	Tree Root Barrier (24" Depth)	40.0	Foot	$18.00	$720.00	$100.00	$4,000.00	$20.00	$800.00	$40.00	$1,600.00	$213.40	$8,536.00	$100.00	$4,000.00	$19.00	$760.00
26	Temporary Fence, Tree Protection	241.5	Foot	$12.00	$2,898.00	$10.00	$2,415.00	$1.00	$241.50	$2.50	$603.75	$9.40	$2,270.10	$5.00	$1,207.50	$4.00	$966.00
27	Topsoil, Furnish and Place, 4"	2386.6	SY	$8.00	$19,092.80	$3.50	$8,353.10	$6.00	$14,319.60	$8.00	$19,092.80	$3.90	$9,307.74	$20.00	$47,732.00	$11.00	$26,252.60
28	Nitrogen Fertilizer Nutrient	4.9	LB	$5.00	$24.50	$100.00	$490.00	$20.00	$98.00	$1.00	$4.90	$1.30	$6.37	$50.00	$245.00	$30.00	$147.00
29	Phosphorus Fertilizer Nutrient	4.9	LB	$5.00	$24.50	$100.00	$490.00	$20.00	$98.00	$1.00	$4.90	$1.30	$6.37	$50.00	$245.00	$30.00	$147.00
30	Potassium Fertilizer Nutrient	4.9	LB	$5.00	$24.50	$100.00	$490.00	$20.00	$98.00	$1.00	$4.90	$1.30	$6.37	$50.00	$245.00	$30.00	$147.00
31	Seeding, Class 3 (Northern Slope Mixture)	2386.6	SY	$7.00	$16,706.20	$1.50	$3,579.90	$1.00	$2,386.60	$1.10	$2,625.26	$1.50	$3,579.90	$4.00	$9,546.40	$4.00	$9,546.40
32	Erosion Control Blanket, Excelsior Type	2386.6	SY	$2.50	$5,966.50	$5.00	$11,933.00	$1.00	$2,386.60	$1.75	$4,176.55	$2.30	$5,489.18	$3.50	$8,353.10	$3.00	$7,159.80
33	Relocate PCC Monument (Near MH-A under Sycamore Tree)	1	Each	$1,000.00	$1,000.00	$100.00	$100.00	$200.00	$200.00	$675.00	$675.00	$1,937.00	$1,937.00	$2,000.00	$2,000.00	$3,200.00	$3,200.00
34	Relocate Small Plaque At Bench (Near Edge of Slough)	1	Each	$500.00	$500.00	$100.00	$100.00	$200.00	$200.00	$675.00	$675.00	$1,937.00	$1,937.00	$450.00	$450.00	$2,000.00	$2,000.00
35	Remove and Replace Existing Bench, or Relocate Existing Bench To New Location	2	Each	$700.00	$1,400.00	$250.00	$500.00	$200.00	$400.00	$850.00	$1,700.00	$1,937.00	$3,874.00	$1,500.00	$3,000.00	$250.00	$500.00
36	Project Signs	2	Each	$500.00	$1,000.00	$250.00	$500.00	$350.00	$700.00	$700.00	$1,400.00	$17,550.00	$35,100.00	$750.00	$1,500.00	$1,800.00	$3,600.00
37	Traffic Control And Protection	1	L SUM	$2,000.00	$2,000.00	$6,000.00	$6,000.00	$2,000.00	$2,000.00	$85,000.00	$85,000.00	$174,997.50	$174,997.50	$200,000.00	$200,000.00	$141,000.00	$141,000.00
38	Median Inlets (Special)	2	Each	$2,150.00	$4,300.00	$3,000.00	$6,000.00	$2,000.00	$4,000.00	$3,750.00	$7,500.00	$4,719.70	$9,439.40	$3,500.00	$7,000.00	$4,100.00	$8,200.00
	Bidder's Base Proposal:				$399,999.65		**$588,557.69**		$759,631.23		$1,064,790.37		$1,120,404.82		$1,233,281.80		$1,413,829.80

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: Report from the Public Works Department regarding an agreement with the Illinois Department of Natural Resources (IDNR) to lease two parcels of land at the Hennepin Canal for the amount of $2,400.00.

Motion: Motion whether or not to approve the lease agreement and authorize the City Manager to execute the agreement.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

Attached is an agreement with the Illinois Department of Natural Resources (IDNR) for leases of two parcels of land at Hennepin Canal.

One of the parcels is leased in order to maintain existing street lighting. The other parcel is for an easement to house one of our water mains that feeds Southwest Rock Island.

The agreement is for 10 years beginning on October 27, 2023.

Previous Council Action (if any):

Budget Impact:

Vendor: Illinois Department of Natural Resources, Springfield, IL
Payment Amount: $2,400.00

Fund:	501	Water Operation and Maintenance
Department:	618	Utilities Services
Cost Center:	041	Administration
Object Code:	53904	Buildings/Facilities Rental

Requisition Number: R009998

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

The Public Works Department recommends that the City Council approve the lease payment of $2,400 to the Illinois Department of Natural Resources and that the City Manager be authorized to sign the agreement on behalf of the City of Rock Island.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

Agreement Number: 3098
Site Name: Hennepin Canal
Location Code: 50-2761-1

STATE OF ILLINOIS
DEPARTMENT OF NATURAL RESOURCES

LICENSE AGREEMENT

THIS AGREEMENT is entered into the _____ day of _________________, 20__, by and between the STATE OF ILLINOIS, DEPARTMENT OF NATURAL RESOURCES, hereinafter referred to as "IDNR," and CITY OF ROCK ISLAND, hereinafter referred to as "LICENSEE";

WITNESSETH:

WHEREAS, IDNR has title and jurisdiction over the real estate hereinafter described; and

WHEREAS, the premises is not otherwise needed immediately or in the near or foreseeable future by IDNR or development by IDNR; and

WHEREAS, IDNR is authorized and empowered to enter into this Agreement pursuant to the Department of Natural Resources Law, 20 ILCS 805/805-260; and

WHEREAS, LICENSEE is authorized and empowered to enter into this Agreement and to perform the covenants herein undertaken by virtue of the signature authorization attached hereto as Exhibit A; and

NOW THEREFORE: For and in consideration of the mutual covenant and undertakings contained herein, the sufficiency of which is hereby acknowledged, IDNR and LICENSEE agree to the following:

1. <u>PREMISES DEFINED</u>: Subject to all terms and condition of this Agreement, IDNR grants to LICENSEE a license to do the particular acts stated in paragraph 5 below on the property owned by the State of Illinois known as Hennepin Canal, shown on the attached Exhibits <u>B1,B2</u> (hereinafter "Premises"), and legally described as follows:

Exhibit B1: A tract of land located in the Southeast ¼ of Section 15, Township 17 North, Range 2 East of the 4th Principal Meridian, Rock Island County Illinois. Aid easement extends 10 feet left and 5 feet right of the following described line:

Commencing at the Southeast corner of Section 15, thence North 89 degrees, thirty minutes west along the south line of said Section 15 a distance of 497.64 feet to a point on the north right of way line of the Illinois and Mississippi Canal; thence continuing 89 degrees 30 minutes west on the south line of section 15 a distance of 327.36 feet to a point on the south right of way line of said canal; thence north 43 degrees 30 minutes west along the right of way line of said canal a distance of 314.16 feet; thence north 47 degrees 06 minutes west along the right of way line of said canal a distance of 331.77 feet to the point of beginning on the south right of way line of said canal; thence north 49 degrees 23 minutes 20 seconds east a distance of 167.88 feet; thence north 49 degrees 56 minutes 20 seconds east a distance of 54.62 feet to the point of termination on the north Right of way line of the Illinois and Mississippi canal, containing .08 acre, more or less.

Exhibit B2: An overhang space for a street light located on the west side of 34th, and overhanging tract IM-299-2. Section 13 Township 17 North, Range 2 west of the 4th P.M. in Rock Island, Illinois

It is understood and agreed that IDNR makes no representations with respect to the condition of the title or boundaries of the Premises and shall not be held liable for any damages or liabilities resulting from any actions or adverse claims concerning the same. It is further agreed that licensed activities authorized herein shall not be carried on outside the boundaries of the Premises without the prior written consent of IDNR.

2. TERM: The term of this Agreement shall be for a period of ten years and four days, beginning on the 27th day of October, 2023, ("Effective Date") and ending on the 31st day of October, 2033, ("Expiration date") unless otherwise renewed, terminated or amended as provided for herein.

3. FEE: LICENSEE, for the use of the Premises for a particular purpose, does hereby agree to pay a license fee of Two Thousand Four Hundred Twenty and no/100 Dollars ($2,420) per ten (10) years, payable five (5) days in advance of the Effective Date of this Agreement. All payments shall be made by check payable to "Illinois Department of Natural Resources" and remitted to "Department of Natural Resources, Division of Concession and Lease Management, One Natural Resources Way, Springfield, Illinois 62702-1271". Any late payments made after December 1 of any year shall be subject to an additional fee of fifteen

percent (15%) of the current yearly fee. A default in the payment of any fee due is a material breach of this Agreement, and may result in termination pursuant to Section 16(B) herein.

4 NON-EXCLUSIVE LICENSE: DNR hereby grants to LICENSEE a non-exclusive license, subject to all rights, interests and estates of third parties in and near the license Premises, including, without limitation, any leases, licenses, easements, liens, ownership interests or encumbrances in existence as of the date of this grant, and upon the terms and conditions set forth in this Agreement, to enter upon the license Premises for the applicable license purpose

5. PURPOSE: IDNR gives permission to LICENSEE to enter on the Premises for the purpose of using it for operation and maintenance of a water main (depicted on Exhibit B1) and operation and maintenance of a street light (depicted on Exhibit B2) only, and such use is subject to the terms and conditions set forth in this Agreement. Any uses of the Premises not specified in this Agreement shall be subject to the prior written approval of IDNR. An unauthorized or impermissible use of the Premises under this Section is a material breach of this Agreement, and may result in termination pursuant to Section 16(B) herein.

6. RESTRICTIONS ON USE: LICENSEE shall not remove any coal or any other material or oil lying on or under the Premises.

It is agreed that the Premises shall not be used for the storage, disposition, disposal, processing or burning of refuse, waste or debris, or for any unsanitary or unhealthful purposes by LICENSEE. LICENSEE shall conduct its operation on the Premises in compliance with all applicable Environmental Laws (as hereinafter defined) and further covenants that LICENSEE shall not transport, store, keep or cause or allow the discharge, spill or release (or allow a threatened release) in each case of any Hazardous Materials (as hereinafter defined) in, on, under or from the Premises. Without limiting any other indemnification obligations of LICENSEE contained herein, LICENSEE agrees to protect, indemnify, defend and hold harmless the IDNR from and against any and all losses and claims (including without limitation, (i) reasonable attorneys' fees, (ii) liability to third parties for toxic torts and/or personal injury claims, (iii) fines, penalties and/or assessments levied or raised by any governmental authority or court, and (iv) assessment, remediation and mitigation costs and expenses and natural resource damage claims) arising out of, resulting from or connected with any Hazardous Materials used, brought upon transported, stored, kept, discharged, spilled or released by LICENSEE in, on, under or from the Premises. For purposes of this License, the term "Hazardous Materials", shall mean all toxic or hazardous substances, materials or waste, petroleum or petroleum products, petroleum additives or constituents or any other waste, contaminant or pollutant regulated under for which liability may be imposed by any Environmental Law, "Environmental Laws" shall mean all federal, provincial, state and local environmental laws (including common law) regulating or imposing standards of care with respect to the handling, storage, use, emitting, discharge, disposal or other release of Hazardous Materials, including, but not limited to, the Resource Conservation and Recovery Act of 1976, 42 U.S.C. §§ 6901 et seq., the Clean Air Act, 42 U.S.C. §§7401, et seq., the Federal Water Pollution Control Act, 33 U.S.C. §§ 1251, et seq., the Emergency Planning and Community Right to Know Act, 42 U.S.C. §§ 1101, et seq., the Comprehensive Environmental Response, Compensation and Liability Act of 1980, 42 U.S.C. §§ 9601 et seq., the Toxic Substances Control Act, 15 U.S.C. §§ 2601, et seq., the Oil Pollution Control Act, 33 U.S.C. §§ 2701, et seq., any successor statutes to the foregoing, or any other comparable local, state or federal statute or ordinance pertaining to protection of human health, the environment or natural resources, including without limitation the preservation of wetlands, and all regulations pertaining thereto, as well as applicable judicial or administrative decrees, orders or decisions, authorizations or permits.

7. COMPLIANCE WITH LAWS: It is agreed that LICENSEE, in the authorized use of the Premises, shall observe and comply with all applicable local, state or Federal rules, regulations and laws, and indemnify IDNR for any costs, expenses and damage caused by the violation of any such rules, regulations or laws. Nothing herein shall be construed to place responsibility for compliance with applicable law on IDNR. Licensee shall bear all costs and fees and responsibility to comply with all applicable laws, ordinances, rules and regulations that may govern the proposed or authorized use of the Premises.

8. PROHIBITION ON ENCUMBRANCE: LICENSEE shall not allow or permit or give authority or power to place, incur or permit any lien, encumbrance or mortgage upon the Premises. LICENSEE shall not record a copy of this or any subsequent Agreement with the IDNR involving the Premises. If any license, lien, encumbrance or mortgage is placed on the Premises as a result of LICENSEE's activity, LICENSEE shall immediately take all actions and pay all costs or fees to have the lien, encumbrance or mortgage removed and released.

9. <u>MODIFYING THE PREMISES</u>: LICENSEE shall not modify or alter the Premises or any improvement located on the Premises without prior written approval of IDNR. If LICENSEE wishes to make alterations or modifications to the Premises, LICENSEE shall contact the IDNR Office of Realty and Capital Planning to ensure compliance with applicable statutes and regulations including, but not limited to, consultation requirements of the Illinois Endangered Species Protection Act, 520 ILCS 10/11 and the Illinois Natural Areas Preservation Act, 525 ILCS 30/17, the consultation, mitigation and compensation provisions of the Interagency Wetland Policy Act of 1989, 20 ILCS 830/1-1 et seq., and the Illinois State Historic Resources Preservation Act, 20 ILCS 3420/1 et seq.

10. <u>RESERVED RIGHTS</u>: IDNR reserves the right of ingress, egress and usage of the Premises, and the right to grant any third party a lease, license or right-of-way on the Premises. IDNR reserves the right to require LICENSEE to remove, relocate or modify any structure, equipment, activity or facility upon, under or across the Premises, at LICENSEE's expense, if IDNR determines that such actions are appropriate and necessary to preserve the integrity, character, function or use of the Premises by IDNR.

11. <u>MAINTENANCE, ALTERATIONS AND OPERATION</u>

A. IDNR makes no representations, warranties or assurances with respect to the condition of the Premises or any improvements situated thereon. It is agreed that LICENSEE has inspected the Premises prior to the execution of this Agreement and accepts the same in its present condition.

B. This Agreement is considered "a net agreement." All operating costs will be paid by LICENSEE. LICENSEE shall be responsible for the prompt payment of all utility bills, including, but not limited to trash removal, electricity, gas, water and sewer, telephone, cable television, and internet service furnished or supplied to all or any part of the Premises.

C. LICENSEE acknowledges that it has inspected the Premises for transmission of utilities and all other lines running within the Premises, including but not limited to oil, gas, electricity, water or sewer, and is accepting liability for LICENSEE'S harm to such transmissions running within, across or above the Premises. IDNR makes no representation or warranty as to the condition of prior or existing use of said transmissions. During any trench or other installation or relocation of any underground utility line, LICENSEE shall install marking tape at least twelve (12) inches above and directly over the utility and not more than twenty-four (24) inches below normal grade. Said tape shall be identified by permanent lettering and color coding as follows: Red - electric power; Yellow - gas, oil, hazardous materials; Orange - telecommunications, signals; Blue - water; and Green – sewer. Such markers, except as otherwise agreed or specified herein, shall meet applicable standards of the American Public Works Association.

D. LICENSEE shall keep Premises in a safe, sanitary and sightly condition, and in good repair. LICENSEE shall maintain the Premises and repair and pay for any damages caused by the LICENSEE or their customers, invitees, agents or guests. If LICENSEE fails to perform any maintenance function required by IDNR within ten days after notice to do so, IDNR shall have the right to enter upon the Premises and perform the maintenance necessary to restore the Premises and LICENSEE shall reimburse IDNR for the cost thereof.

E. Requests for LICENSEE improvements within or for the benefit of the space(s) allocated to LICENSEE shall be submitted to IDNR for approval in a timely manner. Payment of LICENSEE improvements shall solely be paid for by the LICENSEE and subject to the reasonable direction and approval of IDNR.

F. Except when any maintenance or repairs are necessitated by LICENSEE activities, IDNR shall provide necessary maintenance and repairs to HVAC, plumbing, foundation, roofing, or other structural elements.

G. Any maintenance activities of LICENSEE, including all excavation or vegetation management activities, shall be preceded by written notice to IDNR pursuant to Section 23 herein, and shall be done in a manner which complies with any special concerns of IDNR. Such concerns may include, but are not limited to, requiring the scheduling of such activities to be compatible with anticipated activities of IDNR or its invitees or licensees, and restricting the seasons, types, extent and methods of vegetation control employed by LICENSEE.

12. PUBLIC SAFETY: IDNR may determine that a particular use of the Premises by LICENSEE is, or will be, hazardous to the public or the property, or is incompatible with IDNR purposes or State ownership of the Premises. LICENSEE, at its own expense, may be required

to install safety devices, make modifications, or cease LICENSEE's operation to render the Premises safe for, and compatible with, public use.

13. TAXES: If applicable, upon notice to LICENSEE of the amount(s) due, LICENSEE shall timely pay and discharge LICENSEE's proportionate share of any real estate taxes, assessments, and other governmental charges which may be levied or assessed upon the Premises or any part thereof, and any taxes and licenses growing out of or in connection with LICENSEE's operation of its facilities upon the Premises during the term of this Agreement with respect to any tax year, or any portion thereof. LICENSEE shall, at any time upon request of IDNR, provide to IDNR for examination receipts of payments of all such taxes, assessments and charges.

14 INSURANCE: LICENSEE shall, at all times during the term and any renewals, maintain and provide a Certificate of Insurance naming the State of Illinois as additional insured for all required bonds and insurance. Certificates may not be modified or canceled until at least 30 day notice has been provided to the State. LICENSEE shall provide: (a) General Commercial Liability-occurrence form in amount of $1,000,000 per occurrence (Combined Single Limit Bodily Injury and Property Damage) and $2,000,000 Annual Aggregate; (b) Auto Liability, including Hired Auto and Non-owned Auto, (Combined Single Limit Bodily Injury and Property Damage) in amount of $1,000,000 per occurrence; and (c) Worker's Compensation Insurance in amount required by law. Insurance shall not limit LICENSEE's obligation to indemnify, defend, or settle any claims.

15. INDEMNIFICATION: LICENSEE agrees to assume all risk of loss and to indemnify and hold IDNR, its officers, agents, employees harmless from and against any and all liabilities, demands, expenses, losses, claims, damages, liabilities, settlements and judgments, including costs, attorneys' fees, and expenses incident thereto, for injuries to persons and for loss of, damage to or destruction of property due to LICENSEE's use and occupation of the Premises and for the negligent or intentional acts and omissions of LICENSEE, its officers, agents, guests and invitees.

16. TERMINATION: This Agreement may be terminated at any time pursuant to this Section.

(A) IDNR shall have the right to terminate this Agreement at any time if it determines that the Premises is required to be used for public purposes incompatible with this Agreement. In such an event, IDNR shall give LICENSEE ninety (90) days' written notice of its intent to terminate, and LICENSEE shall cease its use of the Premises and remove LICENSEE's personal property therefrom, prior to the expiration of said notification period. If this Agreement is terminated pursuant to this subsection, LICENSEE shall not be liable for any further payments, other than remaining taxes or fees, beyond the date of vacating the Premises.

(B) IDNR shall have the right to terminate this Agreement for noncompliance by LICENSEE of any of the terms and conditions contained herein, or in the event of LICENSEE's bankruptcy, tax lien, or receivership. In such an event, IDNR shall give LICENSEE written notification of such noncompliance and LICENSEE shall have thirty (30) days to cure or remedy the same. If LICENSEE fails to cure or remedy its noncompliance within said period of time, IDNR shall have the right to terminate this Agreement, and LICENSEE shall cease its use of the Premises as though the Agreement had expired at the end of its term, and restore the Premises in accordance with the terms of this Agreement. Should this Agreement be terminated pursuant to this subsection, LICENSEE shall remain liable for all remaining payments required by this Agreement.

(C) Both IDNR and LICENSEE shall have the right to terminate this Agreement prior to the expiration date by giving sixty (60) days' advance written notice in accordance with Section 23 herein.

17 <u>RESTORATION OF PREMISES</u>: Upon the termination or expiration of this Agreement, LICENSEE shall make such repairs and restorations as IDNR deems necessary. LICENSEE shall surrender the Premises to IDNR and restore any disturbances of the Premises caused by LICENSEE to the same or similar condition as prior to this Agreement, to the reasonable satisfaction of IDNR. If LICENSEE fails to restore the Premises, IDNR may restore the Premises, and require LICENSEE to pay the cost of such restoration.

18 <u>RENEWAL AND RATE ADJUSTMENT</u>: This Agreement may be renewed at the end of its term with written consent and approval of all parties hereto. LICENSEE shall provide IDNR with sixty (60) days' advance written notice of its interest in extension of the License. IDNR reserves the right to adjust rental rates on any renewal or extension to reflect current land values and/or conditions and circumstances. No holding over by LICENSEE shall

be permitted. If the Premises is not properly vacated as provided herein, LICENSEE shall be considered a trespasser, and appropriate legal action may be taken.

19. AMENDMENTS: This Agreement and its attached exhibits constitute the entire agreement between the parties, and no warranties, inducements, considerations, promises, or other inferences shall be implied or impressed upon this Agreement that are not otherwise set forth. No change, modification or amendment shall be valid and binding unless set forth in writing and signed by all parties.

20. ASSIGNMENT; SUBLICENSING: LICENSEE shall not assign this Agreement, or allow it to be assigned, in whole or in part, by operation of law or otherwise, or mortgage or pledge the same, or sublet the Premises, or any part thereof, without the prior written consent of IDNR, which may be withheld for any reason or for no reason, and in no event shall any such assignment or sublicense ever release LICENSEE from any obligation or liability hereunder.

No assignee or sublicense holder of the Premises or any portion thereof may assign or sublicense the Premises or any portion thereof. IDNR is not required to collect any license fees or other payments from any party other than LICENSEE; however, any collection by IDNR from any approved assignee or sublicense holder or any other party on behalf of LICENSEE's account is not construed to constitute a novation or a release of LICENSEE from further performance of its obligations under this Agreement.

21. SUPERSESSION: This Agreement supersedes all previous agreements between the parties hereto regarding the Premises and the subject matter hereof, and any such previous agreements shall be of no further force or effect, relative to the rights or privileges granted by IDNR therein, as of the effective date.

22. APPLICABILITY AND SEVERABILITY: IDNR and LICENSEE mutually acknowledge that various standard provisions of this Agreement may or may not be pertinent to the proposed purpose, and that each such provision shall be interpreted as it reasonably pertains to the Premises. Should any provision of this Agreement be found illegal, invalid or void by a court of competent jurisdiction, said provision shall be considered severable. The remaining provisions shall not be impaired and the Agreement shall be interpreted to the extent possible to give effect to the parties' intent.

23. NOTIFICATION: All notices required or provided for by this Agreement shall be addressed as follows, unless otherwise provided for herein:

IDNR:	LICENSEE:
Department of Natural Resources	City of Rock Island
Div. of Concession & Lease Management	Attn: Todd Thompson
One Natural Resources Way	1528 3rd Avenue
Springfield, IL 62702-1271	Rock Island, IL 61201
Telephone: 217/782-7940	Telephone: 309/732-2014
	Email Address: thompson.todd@rigov.org
Emergency Contact: Kyle Goetz	Emergency Contact: Michael Bartels
Location: Hennepin Canal	Location: Rock Island Public Works
Telephone: 815/454-2328	Telephone: 309/732-2200

24. FISCAL FUNDING: Financial obligations of IDNR shall cease immediately and without penalty or liability for damages if in any fiscal year the Illinois General Assembly, Federal funding source, or other funding source fails to appropriate or otherwise make available funds for the operation of the Premises. In such event, the parties hereto may agree to suspend the operation and effectiveness of this Agreement until such time as said funds become available.

25. WAIVER: The waiver by IDNR of any term, covenant or condition herein contained shall not be deemed to be a waiver of any other term, covenant or condition nor shall either party's consent to any breach of any term, covenant or condition be deemed to constitute or imply its consent to any subsequent breach of the same or other term, covenant or condition herein contained.

26. CERTIFICATIONS: LICENSEE'S certifications attached as Exhibit C are incorporated herein by reference thereto.

Agreement Number:3098
Site Name: Hennepin Canal
Location Code: 50-2761-1

IN WITNESS WHEREOF, the foregoing Agreement is hereby executed this ______ day of _______________, 20___.

LICENSEE:

City of Rock Island

BY: ___________________________

Title:__________________________

____
FEIN No.

STATE OF ILLINOIS:

DEPARTMENT OF NATURAL RESOURCES

APPROVED: DIRECTOR, IDNR
Title: Director

Date: ______________
By: Pam Gray, Division Manager
Division of Concession and Leases

BY: _______________________________

Agreement Number:3098
Site Name: Hennepin Canal
Location Code: 50-2761-1

EXHIBIT A

SIGNATURE AUTHORIZATION

As an official agent of ______City of Rock Island______________,
(Lessee or Licensee -Company / Corporation / Municipality)

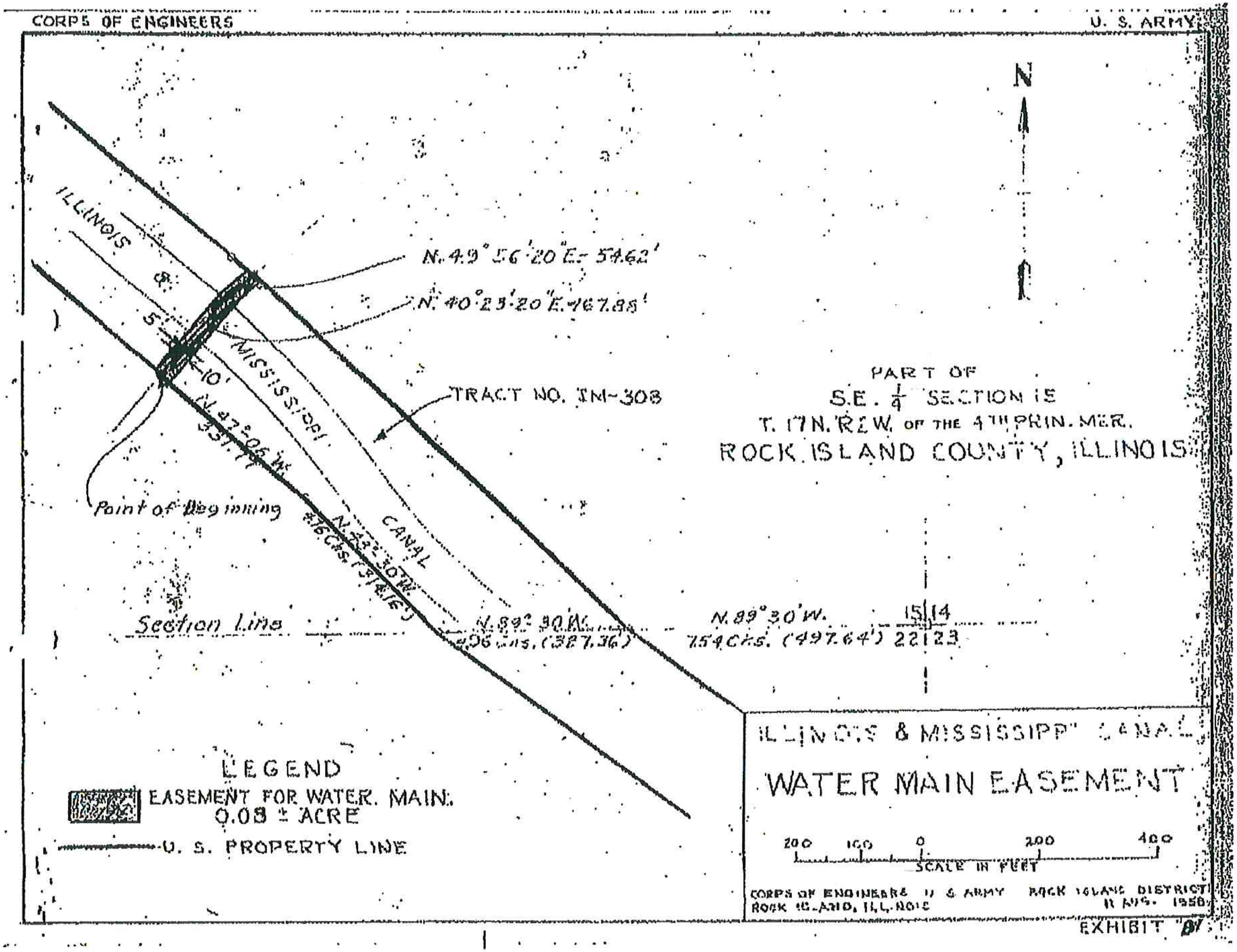
I certify that ____________________________________ is an authorized representative of said
(Name of executive of official who will sign the Agreement)

organization and is legally empowered to act on its behalf in executing this Agreement.

Signed: ________________________________
(Person affirming signature authority of above official; must not be the same individual)

Title: ________________________________

Date: ________________________________


CORPS OF ENGINEERS
U. S. ARMY
N
ILLINOIS
MISSISSIPPI
CANAL
N. 49° 56' 20" E.- 54.62'
N. 40° 23' 20" E.-467.85'
TRACT NO. IM-308
10'
5'
Point of Beginning
Section Line
N. 89° 30' W.
754 Chs. (497.64')
15|14
22|23
PART OF
S.E. 1/4 SECTION 15
T. 17N. R2W. OF THE 4TH PRIN. MER.
ROCK ISLAND COUNTY, ILLINOIS
LEGEND
EASEMENT FOR WATER. MAIN.
0.08 ± ACRE
U. S. PROPERTY LINE
ILLINOIS & MISSISSIPPI CANAL
WATER MAIN EASEMENT
200 100 0 200 400
SCALE IN FEET
CORPS OF ENGINEERS U. S. ARMY ROCK ISLAND DISTRICT
ROCK ISLAND, ILLINOIS
11 AUG. 1950
EXHIBIT

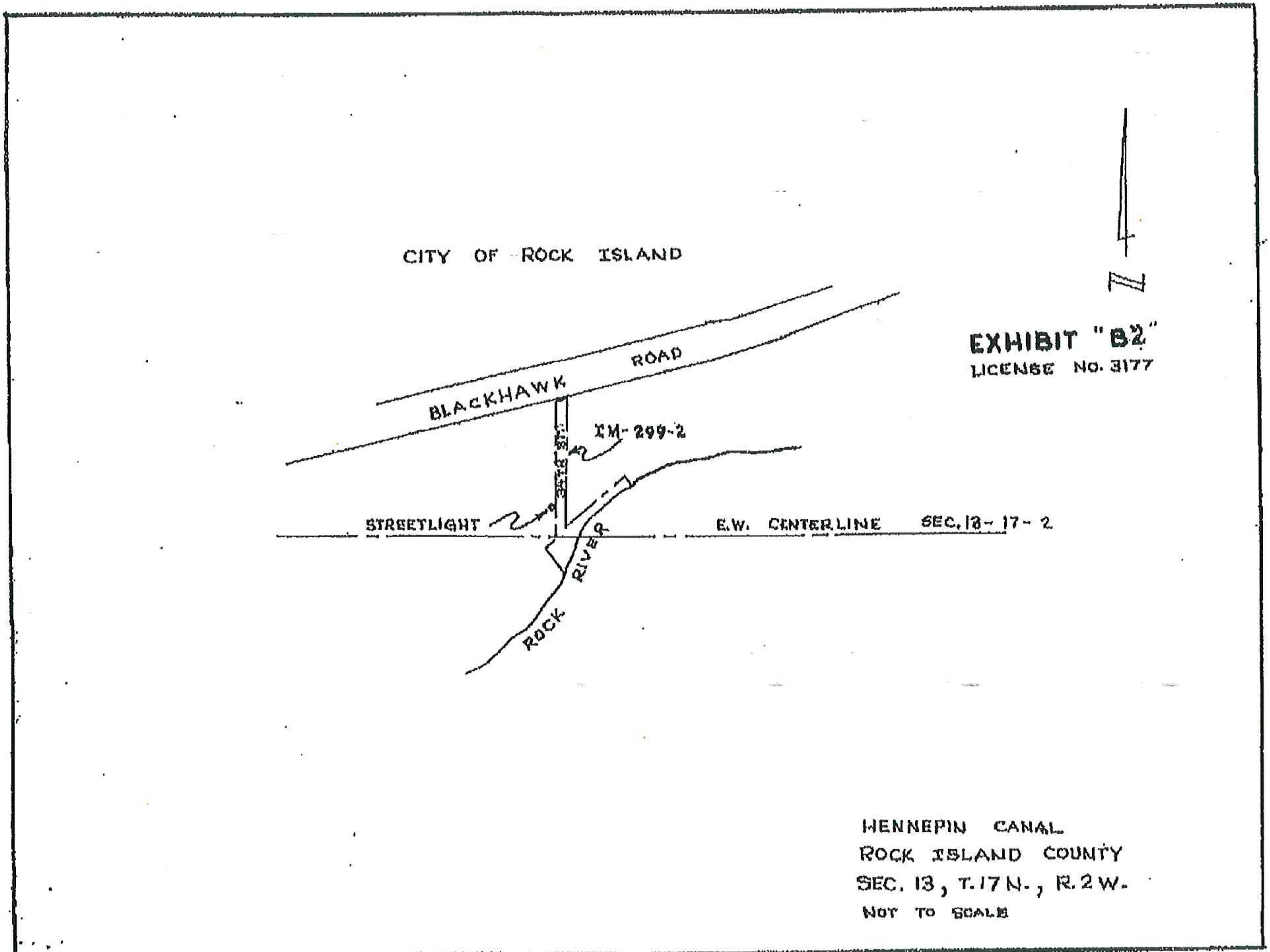


EXHIBIT "B2"
LICENSE No. 3177
N
CITY OF ROCK ISLAND
BLACKHAWK ROAD
34TH ST.
TM-299-2
STREETLIGHT
E.W. CENTERLINE SEC. 13-17-2
ROCK RIVER
HENNEPIN CANAL
ROCK ISLAND COUNTY
SEC. 13, T.17 N., R.2 W.
NOT TO SCALE

Public Agency acknowledges and agrees that compliance with this section and each subsection for the term of the contract and any renewals is a material requirement and condition of this contract. By executing this contract Public Agency certifies compliance with this section and each subsection and is under a continuing obligation to remain in compliance and report any non-compliance.

If this contract extends over multiple fiscal years including the initial term and all renewals, Public Agency shall confirm compliance with this section in the manner and format determined by the State by the date specified by the State and in no event later than July 1 of each year that this contract remains in effect.

If the Parties determine that any certification in this section is not applicable to this contract it may be stricken without affecting the remaining subsections.

1. As part of each certification, Public Agency acknowledges and agrees that should Public Agency provide false information, or fail to be or remain in compliance with the Standard Certification requirements, one or more of the following sanctions will apply:
   - the contract may be void by operation of law,
   - the State may void the contract, and
   - the Public Agency or its agents may be subject to one or more of the following: suspension, debarment, denial of payment, civil fine, or criminal penalty.

Identifying a sanction or failing to identify a sanction in relation to any of the specific certifications does not waive imposition of other sanctions or preclude application of sanctions not specifically identified.

2. Public Agency certifies it and its employees will comply with applicable provisions of the U.S. Civil Rights Act, Section 504 of the Federal Rehabilitation Act, the Americans with Disabilities Act (42 U.S.C. § 12101 et seq.) and applicable rules in performance under this contract.

3 If Public Agency employs 25 or more employees and this contract is worth more than $5000, Public Agency certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. (30 ILCS 580)

4. Public Agency certifies that the Public Agency is not participating or shall not participate in an international boycott in violation of the U.S. Export Administration Act of 1979 or the applicable regulations of the U.S. Department of Commerce. This applies to contracts that exceed $10,000 (30 ILCS 582).

5. Public Agency certifies it complies with the Illinois Department of Human Rights Act and rules applicable to public contracts, including equal employment opportunity, refraining from unlawful discrimination, and having written sexual harassment policies (775 ILCS 5/2-105).

6. Public Agency certifies it does not pay dues to or reimburse or subsidize payments by its employees for any dues or fees to any "discriminatory club" (775 ILCS 25/2).

7. Public Agency warrants and certifies that it and, to the best of its knowledge, its subcontractors have and will comply with Executive Order No. 1 (2007). The Order generally prohibits Contractors and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments if that procurement may result in a contract valued at over $25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.

8. Public Agency certifies that information technology, including electronic information, software, systems and equipment, developed or provided under this contract will comply with the applicable requirements of the Illinois Information Technology Accessibility Act Standards as published at www.dhs.state.il.us/iitaa. (30 ILCS 587)

AGENCY ______________	AGENCY ______________
SIGNATURE ______________	SIGNATURE ______________
PRINTED NAME ______________	PRINTED NAME ______________
TITLE ______________	TITLE ______________

Memorandum

To: Rock Island City Council

From: Bob Graff, Fire Chief

Subject: Report from the Fire Department regarding a Resolution of an Intergovernmental agreement for participation in the Mutual Aid Box Alarm System (MABAS Master Agreement 2022) effective January 1, 2024.

Motion: Motion whether or not to adopt the Resolution.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The Mutual Aid Box Alarm System (MABAS) purpose is to recognize and acknowledge that leveraging collective resources from other Units to provide effective, efficient response to an emergency, disasters, or serious threats to public safety is desired. Further, it is acknowledged that the closest, available Unit(s) that can render aid may be outside of a requesting Unit's or Chapter's jurisdiction. Accordingly, it is the express intent of the Parties that this agreement be in a standardized form which can be adopted by Units in different States, notwithstanding this Agreement may not specifically cite the applicable current legal authority for a particular State and its member Units to join MABAS, the lack of such citation herein shall not be construed in any manner as an impediment to or prohibition of Units within other States from joining MABAS, it being the express intent of the Parties that each Unit desiring to join MABAS may become additional Parties hereto by adopting this Intergovernmental Agreement without modification; In this fashion by way of this Agreement, the Parties will have created a mutual aid agreement that incorporates emergency response discipins from federal, state and local government units, as well as non-governmental organizations and corporations that provide emergency response functions and services that support the mission of MABAS and its member Units.

Previous Council Action (if any):

N/A

Budget Impact:

N/A

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

N/A

Recommendation:
Fire Department recommends that the City Council adopt the Resolution.

Submitted by: Isabel Pena, Office Assistant III

Approved by: Joshua Adams

MUTUAL AID BOX ALARM SYSTEM
MASTER AGREEMENT

As Approved by the MABAS Executive Board:
October 19, 2022

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This Agreement by and among the units of federal, state and local government, and other non-governmental emergency response organizations, subscribed hereto, hereafter referred to as “Units”, or “Parties” is made and entered into the date set forth next to the signatures of those authorized to execute this Agreement on behalf of the respective Parties, each Party having approved this Agreement and adopted same pursuant to their state’s constitutional and statutory authority and in a manner provided by law. In order to provide efficient and effective management of this Agreement, groups of the Parties may be established as “Chapters” on a state-by-state basis and Chapters may include Parties from adjoining states.

WHEREAS, the Mutual Aid Box Alarm System (MABAS) was formally organized beginning in 1968 in the northwest and western suburbs of Chicago, Illinois to coordinate and automate fire department mutual aid based roughly on the Chicago Fire Department’s box alarm system, whereby predetermined resources of personnel and fire equipment were assigned to respond to a specific incident or area; and

WHEREAS, MABAS has grown into a multi-state organization through prearranged mutual aid and dispatch agreements that coordinate responses to emergencies and disasters including fires, emergency medical calls, hazardous material incidents, water related rescues, and technical rescues, and MABAS is designed to facilitate all levels of mutual aid from day-to-day automatic aid responses to major incidents and disasters requiring significant deployment of resources; and

WHEREAS, since the last revision of the master MABAS intergovernmental agreement circa 1988, MABAS has grown exponentially to its current composition of almost 1,200 Illinois Units and 2,200 total Units in Illinois and several nearby States with Units ranging from all-volunteer fire departments to major cities like Chicago, Milwaukee, and St. Louis; and

WHEREAS, the Constitution of the State of Illinois, 1970, Article VII, Section 10, authorizes units of local government to contract or otherwise associate among themselves , with the State, with other States and their units of local government, and with the United States to obtain and share services and to exercise, combine, or transfer any power or function in any manner not prohibited by law or ordinance; and to further contract or otherwise associate with individuals, associations, and corporations in any manner not prohibited by law or ordinance; and to further contract or otherwise associate with individuals, associations, and corporations in any manner not prohibited by law; and

WHEREAS, the Illinois "Intergovernmental Cooperation Act", 5 ILCS 220/1 et seq., provides that any power or powers, privileges or authority exercised, or which may be exercised by a unit of local government may be exercised and enjoyed jointly with any other unit of local government including units of local government from another state; and

WHEREAS, Section 5 of the Illinois "Intergovernmental Cooperation Act", 5 ILCS 220/5, provides that any one or more public agencies may contract with any one or more public agencies to perform any governmental service, activity or undertaking which any of the public agencies entering into the contract is authorized by law to perform, provided that such contract shall be authorized by the governing body of each party to the contract; and

WHEREAS, the Indiana Code at Section 36-1-7 (IC 36-1-7) authorizes an Indiana political subdivision to enter into a mutual aid agreement with political subdivisions of states other than Indiana, provided the agreement contains the necessary terms and conditions set out in IC 36-7-3, is approved by the Indiana Attorney General as required under IC 36-1-7-4, is recorded with the county recorder and filed with the Indiana State Board of Accounts as required under IC 36-1-7-6; and

WHEREAS, for the purposes of Chapter 3 of Indiana Emergency Management and Disaster law, the term "political subdivision" means city, town, township, county, school corporation, library district, local housing authority, public transportation corporation, local building authority, local hospital or corporation, local airport authority or other separate local governmental entity that may sue and be sued. (See IC 10-14-3-6, IC 36-1-2-13, IC 36-1-2-10, IC 36-1-2-11, IC 36-1-2-18); and

WHEREAS, the Indiana Code at Section 10-14-6.5 (IC 10-14-6.5) authorizes the State of Indiana and local units of government to enter into agreements to provide interstate mutual aid for emergency responses that do not rise to the level requiring a state or local declaration of a state of emergency or disaster; and

WHEREAS, Chapter 28E of the State Code of Iowa provides that any powers, privileges or authority exercised or capable of exercise by a public agency of the State of Iowa may be exercised and enjoyed jointly with any public agency of any other state or of the United States to the extent that laws of such other state or of the United States permit such joint exercise or enjoyment (See 28E.3); and

WHEREAS, the State Code of Iowa, in Chapter 28E, authorizes any one or more public agencies to contract with any one or more other public agencies to perform any governmental service, activity, or undertaking which any of the public agencies entering into the contract is authorized by law to perform, provided that such contract shall be authorized by the governing body of each party to the contract (See 28E.12); and

WHEREAS, for the purposes of Chapter 28E of the State Code of Iowa, the term “public agency” means any political subdivision of the State of Iowa; any agency of Iowa’s government or of the United States; and any political subdivision of another state (See 28E.2); and

WHEREAS, the Constitution of the State of Michigan, 1963, Article VII, Section 28, authorizes units of local government to contract as provided by law; and

WHEREAS, the Urban Cooperation Act of 1967, 1967 PA 7, MCL 124.501, *et seq*., provides that any political subdivision of Michigan or of another state may enter into interlocal agreements for joint exercise of power, privilege, or authority that agencies share in common and might each exercise separately; and

WHEREAS, Minnesota Statute 471.59 authorizes two or more governmental units, by agreement entered into through action of their governing bodies, to jointly or cooperatively exercise any power common to the contracting parties or any similar powers, including those which are the same except for the territorial limits within which they may be exercised; and

WHEREAS, the term “governmental unit” in Minnesota Statute 471.59 includes every city, county, town, school district, and other political subdivision of this or another state; another state; the University of Minnesota; licensed nonprofit hospitals; and any agency of the state of Minnesota or the United States. The term also includes any instrumentality of a governmental unit if that unit has independent policy-making and appropriating authority; and

WHEREAS, Article VI, Section 16 of the Constitution of Missouri and Sections 70.210, 70.320, and 70.220.1, of the Revised Statutes of Missouri, provide that any municipality or political subdivision of the state of Missouri may contract and cooperate with other municipalities or political subdivisions thereof, or with other states or their municipalities or political subdivisions, or with the United States, to provide a common service as provided by law so long as the subject and purpose of such are within the scope of the powers of such municipality or political subdivision; and

WHEREAS, for the purposes of Sections 70.210, 70.320, and 70.220.1 of the Revised Statutes of Missouri, “municipality” means municipal corporations, political corporations, and other public corporations and agencies authorized to exercise governmental functions; and “political subdivision” means counties, townships, cities, towns, villages, school, county library, city library, city-county library, road, drainage, sewer, levee and fire districts, soil and water conservation districts, watershed subdistricts, county hospitals, and any board of control of an art museum, and any other public subdivision or public corporation having the power to tax; and

WHEREAS, Title LV, Section 5502.291 of the Ohio Revised Code authorizes the governor to enter into mutual aid arrangements for reciprocal emergency management aid and assistance with other states and to coordinate mutual aid plans between political subdivisions, between the State of Ohio and other states, or between the State of Ohio and the United States; and

WHEREAS, Sections 66.0301 and 66.0303, Wisconsin Statutes, authorize municipalities to contract with municipalities of another state for the receipt or furnishing of services or the joint exercise of any power or duty required or authorized by statute to the extent that laws of the other state or of the United States permit the joint exercise; and, jointly exercise powers delegated to them and, thereby, to make certain agreements concerning boundary lines between themselves; and

WHEREAS, for the purposes of Subchapter III of Chapter 66 of the Wisconsin Statutes, the term “municipality” includes political subdivisions, which refers to any city, village, town, or county in this state or any city, village, town, county, district, authority, agency, commission, or other similar governmental entity in another state; (See Wis. Stat. 66.0303(1), 66.0304(1)(f)); and

WHEREAS, similar provisions providing for intergovernmental cooperation exist in the other states in which any Party to this Agreement resides, and which provide legal authority for each respective Party to enter into the Agreement; and

WHEREAS, the Parties have determined that it is in their best interests to enter into this Agreement to secure to each the benefits of mutual aid in fire protection, suppression, provision of rescue and emergency medical assistance, hazardous materials control, technical rescue, training and any other emergency support for the protection of life and property in the event of an Emergency, Disaster, or other Serious Threat to Public Health and Safety, and to engage in Training and other preparedness activities in furtherance of the foregoing mutual aid activities; and

NOW, THEREFORE, in consideration of the mutual covenants and understandings set forth in this Agreement, and pursuant to the authority bestowed upon the Parties set forth above, it is agreed by, among and between the Parties as follows:

SECTION ONE - PURPOSE

It is recognized and acknowledged that leveraging collective resources from other Units to provide effective, efficient response to Emergencies, Disasters, or Serious Threats to Public Safety is desired. Further, it is acknowledged that the closest, available Unit(s) that can render aid may be outside of a requesting Unit’s or Chapter’s jurisdiction. Accordingly, it is the express intent of the

Parties that this agreement be in a standardized form which can be adopted by Units in different States, notwithstanding this Agreement may not specifically cite the applicable current legal authority for a particular State and its member Units to join MABAS, the lack of such citation herein shall not be construed in any manner as an impediment to or prohibition of Units within other States from joining MABAS, it being the express intent of the Parties that each Unit desiring to join MABAS may become additional Parties hereto by adopting this Intergovernmental Agreement without modification; In this fashion by way of this Agreement, the Parties will have created a mutual aid agreement that incorporates emergency response disciplines from federal, state and local governmental units, as well as non-governmental organizations and corporations that provide emergency response functions and services that support the mission of MABAS and its member Units;

SECTION TWO – RULES OF CONSTRUCTION AND DEFINITIONS

1. The language in this Agreement shall be interpreted in accordance with the following rules of construction: (a) The word "may" is permissive and the word "shall" is mandatory; and (b) except where the context reveals the contrary: The singular includes the plural and the plural includes the singular, and the masculine gender includes the feminine and neuter.

2. When the following words in bold font with the first letter in the upper case are used in this Agreement, such words shall have the meanings ascribed to them in this Subsection:
    A. "**Agreement**" means this Master Mutual Aid Box Alarm System Agreement.
    B. "**Aiding Unit**" means any Unit furnishing equipment, Emergency Responders, or Emergency Services to a Requesting Unit under this Agreement.
    C. "**Automatic Mutual Aid**" or "**Auto-Aid**" means the provision of mutual aid through a prearranged plan between Units whereby assistance is provided at the time of dispatch without a specific request from an Incident Commander.
    D. "**Box Alarm**" means a prearranged plan for an Emergency or Disaster that uses a defined process for implementation, dispatch and response.
    E. "**Chapter**" means a group of Divisions, established on a state-by-state basis, and whose members may include Units from other States.
    F. "**Chapter Governing Board**" means the governing body of a Chapter which is composed of a representative of each member Division or Region within a Chapter as provided by the Chapter's Bylaws.

G. "**Chapter President**" means a person elected as the President of each state Chapter;

H. "**Chief Officer**" means the Fire Chief or agency head of a Unit, or a designee of the Unit's Fire Chief or agency head.

I. "**Council of Chapter Presidents**" means the council or board whose members shall be the elected President of each State's Chapter, as set forth in this Agreement.

J. "**Disaster**" means an occurrence or threat of widespread or severe damage, injury, or loss of life or property resulting from a natural or human-made cause, including fire, severe weather event, environmental contamination, utility failure, radiological incident, structural collapse, explosion, transportation accident, hazardous materials incident, epidemic, pandemic, or similar calamity.

K. "**Division**" means geographically associated Units which have been grouped for operational efficiency and representation within a State and may include Units from adjoining States.

L. "**Emergency**" means any occurrence or condition which results in a situation where assistance is requested to supplement local efforts and capabilities to save lives, protect property and protect the public health and safety, or to lessen or avert the threat of a catastrophe or Disaster or other Serious Threat to Public Health and Safety.

M. "**Emergency Responder**" includes any person who is an employee or agent of an Unit. An Emergency Responder includes, without limitation, the following: firefighters (including full time, part time, volunteer, paid-on -call, paid on premises, and contracted personnel, as well as hazardous materials, specialized rescue, extrication, water rescue, and other specialized personnel), emergency medical services personnel, support personnel and authorized members of non-governmental response Units.

N. "**Emergency Services**" means provision of personnel and equipment for fire protection, suppression, provision of rescue and emergency medical services, hazardous materials response, technical rescue and recovery, and any other emergency support for the protection of life and property in the event of an Emergency, Disaster, or other Serious Threat to Public Health and Safety, and includes joint Training for the provision of any such services by the Units.

O. "**Incident Commander**" is the individual responsible for all incident activities, including the development of strategies and tactics and the ordering and the release of resources in the provision of Emergency Services, has overall authority and responsibility for conducting incident operations, and is responsible for the on-scene management of all incident operations.

P. "**Incident Command System**" means a standardized management system such as the National Incident Management System (NIMS), designed to enable effective and efficient incident management by integrating a combination of facilities, equipment, personnel, procedures, and communications operating within a common organizational structure.

Q. "**MABAS**" means the Mutual Aid Box Alarm System described in the Agreement, and is an intergovernmental agency formed pursuant to the authority of the Illinois Intergovernmental Cooperation Act and similar intergovernmental cooperation authority of other states in which Units reside.

R. "**Mutual Aid**" is assistance from an Aiding Unit to a Requesting Unit as the result of an Emergency or other event and may precede the request for a Box Alarm and includes Automatic Mutual Aid.

S. "**Requesting Unit**" means any Unit requesting assistance of another Unit under this Agreement.

T. "**Serious Threats to Public Health and Safety**" means threats, incidents or planned events of sufficient magnitude that the adequate public safety response requires mutual aid or other assistance.

U. "**Training**" means the instruction and/or assessment of Emergency Services during non-emergency drills and instruction whether in the field or classroom.

V. **"Unit"** (also "Member Unit") means components of federal, state or local government, or other non-governmental emergency response organizations who have become Parties to this Agreement.

SECTION THREE – AUTHORITY AND ACTION TO EFFECT MUTUAL AID

The Parties hereby authorize and direct their respective Chief Officer, or designee, to take reasonably necessary and proper action to render and request Mutual Aid to and from the other Parties to the Agreement, and to participate in Training activities, all in furtherance of effective and efficient provision of Mutual Aid pursuant to this Agreement.

In accordance with a Party's policies and within the authority provided to its Chief Officer, upon an Aiding Unit's receipt of a request from a Requesting Unit for Emergency Services, the Chief

Officer, or the Chief Officer's designee such as the ranking officer on duty, may commit the requested Mutual Aid in the form of equipment, Emergency Responders, and Emergency Services to the assistance of the Requesting Unit. All aid rendered shall be to the extent of available personnel and equipment taking into consideration the resources required for adequate protection of the territorial limits of the Aiding Unit. The decision of the Chief Officer, or designee, of the Aiding Unit as to the personnel and equipment available to render aid, if any, shall be final.

SECTION FOUR – JURISDICTION OVER PERSONNEL AND EQUIPMENT

Emergency Responders dispatched to aid a Requesting Unit pursuant to this Agreement shall, at all times, remain employees or agents of the Aiding Unit, and are entitled to receive any benefits and compensation to which they may otherwise be entitled under the laws, regulations, or ordinances of the United States of America, their respective States, and their respective political subdivisions. This includes, but is not limited to, benefits for pension, relief, disability, death, and workers' compensation. If an Emergency Responder is injured or killed while rendering assistance under this Agreement, benefits shall be afforded in the same manner and on the same terms as if the injury or death were sustained while the Emergency Responder was rendering assistance for or within the Aiding Unit's own jurisdiction.

Emergency Responders of the Aiding Unit will come under the operational control of the Requesting Unit's Incident Commander, or other appropriate authority, until released. The Aiding Unit shall, at all times, have the right to withdraw any and all aid upon the order of its Chief Officer, or designee. The Aiding Unit shall notify the Incident Commander of the extent of any withdrawal, and coordinate the withdrawal to minimize jeopardizing the safety of the operation or other Emergency Responders.

If for any reason an Aiding Unit determines that it cannot respond to a Requesting Unit, the Aiding Unit shall promptly notify the Requesting Unit of the Aiding Unit's inability to respond; however, failure to promptly notify the Requesting Party of such inability to respond shall not be deemed to be noncompliance with the terms of this Section and no liability may be assigned. No liability of any kind shall be attributed to or assumed by a Party, for failure or refusal to render aid, or for withdrawal of aid.

SECTION FIVE – COMPENSATION FOR AID

Nothing herein shall operate to bar any recovery of funds from any third party, state or federal agency under any existing statutes, or other authority. Each Aiding Unit is responsible for the compensation of its Emergency Responders providing Mutual Aid and for any additional costs incurred to ensure its jurisdiction has adequate resources during the rendering of Mutual Aid.

Day-to-day Mutual Aid should remain free of charge and the administrative requirements of reimbursement make it infeasible to charge for day-to-day Mutual Aid. However, the following exceptions may be applied:

1. Third Party Reimbursement - Expenses for Emergency Services recoverable from third parties shall be proportionally distributed to all participating Units by the Unit recovering such payment from a third party. The Unit responsible for seeking payment from a third party shall provide timely notice to Aiding Units of a date by which submission of a request for reimbursement must be received. Reimbursement shall be based on the accurate and timely submission of allowable costs and documentation attributable to the incident by each Aiding Unit. These costs include manpower, use of equipment and materials provided, and damage or loss of equipment. The Unit recovering payment from a third party shall notify Aiding Units that such payment has been made and will reimburse the other Units. If the third-party payment is less than the full amount of all Units' cost submittals, the funds shall be proportionally distributed based on each Unit's submitted costs compared to the total of all costs submitted. Intrastate Emergency Management Agency Tasking - Expenses recoverable related to a response to an emergency or disaster at the request of a state's emergency management agency or authority. Reimbursement shall be based on the accurate and timely submission of allowable costs and documentation attributable to the response by each Aiding Unit. These costs include manpower, use of equipment and materials provided, and damage or loss of equipment. The Unit recovering payment from a state shall notify Aiding Units that such payment has been made and will reimburse the other Units. If the payment is less than the full amount of all Units' cost submittals, the funds shall be proportionally distributed based on each Unit's submitted costs compared to the total of all costs submitted.
2. Interstate Emergency Management Assistance Compact ("EMAC") Response – Expenses recoverable related to a response to an emergency or disaster at the request of a state's emergency management agency or authority to another state. Reimbursement shall be

based on the accurate and timely submission of allowable costs and documentation attributable to the response by each Aiding Unit. These costs include manpower, use of equipment and materials provided, and damage or loss of equipment. If these payments are not made directly to the participating Units, the Unit recovering payment from a state shall notify Aiding Units that such payment has been made and will reimburse the other Units. If the payment is less than the full amount of all Units' cost submittals, the funds shall be proportionally distributed based on each Unit's submitted costs compared to the total of all costs submitted.

3. Emergency Medical Services Billing – Member Units providing Mutual Aid under this Agreement may bill patients for emergency medical services in accordance with applicable federal, state, and local ambulance billing regulations.

SECTION SIX - INSURANCE

Each Party shall procure and maintain, at its sole and exclusive expense, insurance coverage, including comprehensive liability, personal injury, property damage, workers' compensation, auto, and, if applicable, watercraft, aircraft, drones or, emergency medical service professional liability, with minimum policy limits of:

Auto liability: $1,000,000 combined single limit
General Liability: $1,000,000 per occurrence
Emergency Medical Service Professional Liability: $1,000,000 per occurrence
Workers' Compensation: Statutory limits

The obligations of this Section may be satisfied by a Party's membership in a self-insurance pool, a self-insurance plan, or arrangement with an insurance provider approved by the state of jurisdiction. To the extent permitted by governing law of the state in which a Party resides, each Party agrees to waive subrogation rights it may acquire, and to require any insurer to waive subrogation rights they may acquire, by virtue of the payment of claims, suits, or other loss arising out of this Agreement, and shall, as to any insurer, obtain any endorsement necessary to effectuate such waiver of subrogation.

SECTION SEVEN - LIABILITY

Each Party will be solely responsible for the acts of its own governing body, officers, employees, agents, and subcontractors, expressly including, but not limited to, all of its Emergency Responders, the costs associated with those acts, and the defense of those acts. No Party shall be responsible to another Party for any liability or costs arising from the act of an employee or agent of another Party. Each Party hereto shall hold other Parties hereto harmless for any liability or costs arising from the act of an employee or agent of another Party. The Provisions of this Section shall survive the termination of this Agreement by any Party.
Any Party responding under this Agreement to another state shall be considered agents of the Requesting Unit in the other state for tort liability and immunity purposes related to third-party claims to the extent permissible under the laws of both states. Nothing in this Section shall be deemed a waiver by any Party of its right to dispute any claim or assert statutory and common law immunities as to third parties.

SECTION EIGHT - CHAPTERS

For operational efficiency and representation of Units and Divisions, Chapters are hereby created on a state-by-state basis. Chapters shall elect a President to the Council of Chapter Presidents. When a Division forms within a state that does not have a Chapter, that Division will be affiliated with another state Chapter. When three Divisions within a state become organized, a Chapter for that state shall automatically be created, and Divisions within that state shall be transitioned to the new Chapter, unless prohibited by state statute(s).

Chapters shall have their own governing Board selected by the Units, Divisions, or Regions, and shall determine the number and role of Chapter officers. Chapters shall develop bylaws that provide for their governance and operations within the framework of this Agreement and the direction of the Council of Chapter Presidents. Chapters shall maintain authority to establish Divisions or Regions, to the assignment of Units to Divisions or Regions, and to establish emergency response procedures, protocols, resources, and training requirements. Chapters and their Divisions may fix and assess dues, secure appropriate insurance, own and maintain facilities, vehicles, apparatus and equipment, employ and provide benefits for personnel, operate specialized response teams, participate in EMAC activities, enter into agreements with other governmental and non-governmental entities, and administer the affairs of their Chapter, to facilitate the purposes of MABAS.

SECTION NINE – COUNCIL OF CHAPTER PRESIDENTS

A Council of Chapter Presidents is hereby created that consists of the elected President of each state Chapter. The Council of Chapter Presidents shall facilitate coordination among state Chapters, adopt bylaws for the operation of the Council of Presidents, ensure compliance with this Agreement, recommend common operating procedures and practices, recommend changes to this Agreement, and promote unity to facilitate the purposes of MABAS. MABAS and the Council of Chapter Presidents shall be hosted by the founding Chapter of MABAS, Illinois, and shall be based therein. As the Council is hosted in Illinois, all issues and questions concerning the construction, validity, enforcement and interpretation of this Agreement shall be governed by, and construed in accordance with, the laws of the State of Illinois.

SECTION TEN - DIVISIONS

For operational efficiency and representation of Member Units, Divisions are hereby authorized on a Chapter-by-Chapter basis in accordance with procedures established by their Chapter. When a Division forms within a state that does not have a Chapter, that Division will be affiliated with another state Chapter in accordance with procedures established by that other state's Chapter.

Divisions shall have their own governing Board, shall determine the number and role of Division officers, and shall develop bylaws that govern their operations within the framework of this Agreement and direction of the Chapter and Council of Chapter Presidents. Divisions shall maintain authority to establish emergency response procedures, protocols, resources, and training requirements within the framework of this Agreement and the direction of the Chapter and Council of Chapter Presidents. Divisions may fix and assess dues, secure appropriate insurance, own and maintain facilities, vehicles, apparatus and equipment, employ and provide benefits for personnel, operate specialized response teams, enter into agreements with other governmental and non-governmental entities, and administer the affairs of their Division, to facilitate the purposes of MABAS.

SECTION ELEVEN - TERM

This Agreement shall be in effect for a term of one year from the date of signature hereof and shall automatically renew for successive one-year terms unless terminated in accordance with this Section. Any Party may terminate their participation within this Agreement, at any time, for any reason, or for no reason at all, upon ninety (90) days written notice to the applicable state Chapter. A Unit that terminates this Agreement must return any asset that is owned by, or provided from, a Chapter or its Divisions prior to the termination of the Agreement, unless agreed to otherwise in writing by the Chapter or Division. Costs associated with the recovery or replacement of said asset if it is not voluntarily returned after written notice has been given shall be borne by the departing Unit, including reasonable legal fees.

SECTION TWELVE - MISCELLANEOUS

A. Entire Agreement. This Agreement sets forth the entire agreement between the Parties. The language of this Agreement shall be construed as a whole according to its fair meaning and not construed strictly for or against any Party. By signing this agreement, each of the Parties affirm that they have taken all actions and secured all local approvals necessary to authorize and sign this Agreement.

B. Binding Effect. This Agreement shall be binding upon and inure to the benefit of any successor entity which may assume the obligations of any Party hereto.

C. Severability of Provisions. If a Court of competent jurisdiction finds any provision of this Agreement invalid or unenforceable, then that provision shall be deemed severed from this Agreement. The remainder of this Agreement shall remain in full force.

D. Captions. The captions, headings, and titles in this Agreement are intended for the convenience of the reader and not intended to have any substantive meaning and are not to be interpreted as part of this Agreement.

E. Terminology. All terms and words used in this Agreement, regardless of the numbers or gender in which they are used, are deemed to include any other number and any other gender as the context may require.

F. Recitals. The Recitals shall be considered an integral part of this Agreement.

G. No Third-Party Beneficiaries. Except as expressly provided herein, this Agreement does not create, by implication or otherwise, any direct or indirect obligation, duty, promise, benefit, right of indemnification (i.e., contractual, legal, equitable, or by implication), right of subrogation as to any Party's rights in this Agreement, or any other right of any kind in favor of any individual or legal entity.

H. Counterpart Signatures. This Agreement may be signed in multiple counterparts. The counterparts taken together shall constitute one (1) agreement.

I. Permits and Licenses. Each Party shall be responsible for obtaining and maintaining, throughout the term of this Agreement, licenses, permits, certificates, and governmental authorizations for its employees and/or agents necessary to perform their obligations under this Agreement.

J. No Implied Waiver. Absent a written waiver, no fact, failure, or delay by a Party to pursue or enforce any rights or remedies under this Agreement shall constitute a waiver of those rights with regard to any existing or subsequent breach of this Agreement. No waiver of any term, condition, or provision of this Agreement, whether by conduct or otherwise, in one or more instances shall be deemed or construed as a continuing waiver of any term, condition, or provision of this Agreement. No waiver by either Party shall subsequently affect its right to require strict performance of this Agreement.

K. Notices. Notices given under this Agreement shall be in writing and shall be delivered by one or more of the following processes: personally delivered, sent by express delivery service, certified mail, or first-class U.S. mail postage prepaid to the head of the governing body of the participating agency.

SECTION THIRTEEN - AMENDMENT

An amendment may be proposed by any Party, Division or Chapter, and be presented to the Council of Chapter Presidents for review, comment, and modification. The Council of Chapter Presidents shall, after consideration, recommend final amendatory language to all Parties for adoption and execution. The Agreement may be amended only upon written agreement and approval of the governing bodies of two-thirds (2/3) of the Parties. All Amendments to this Agreement shall comply with the applicable laws of the respective states.

SECTION FOURTEEN – REVOCATION OF PRIOR AGREEMENTS

This Agreement shall replace all prior Mutual Aid Box Alarm System agreements effective at 12:01 a.m. Central Standard Time on January 1, 2024, and in accordance with the laws of their respective states. Any member Unit that has not become a Party to this Agreement by 12:01 a.m. Central Standard Time on January 1, 2024, shall no longer be affiliated with MABAS in any capacity, shall not continue to benefit from its prior association with MABAS, and shall not rely on the MABAS system for emergency responses, until subsequently rejoining MABAS by the adoption of an approving ordinance or resolution and entering into this Agreement, as may be amended from time to time. The effective date for any new Member Unit joining after January 1, 2024, shall be the date set forth next to the signature of that new Member Unit.

Any MABAS owned assets in the possession of a Unit that fails to execute this Agreement shall return said assets to MABAS no later than January 31, 2024. Costs associated with the recovery or replacement of said asset shall be borne by the Unit failing to execute this Agreement, including reasonable legal fees.

SECTION FIFTEEN - APPROVAL

This Agreement may be executed in multiple originals. The undersigned attests that they have the authority to execute this Agreement which has been approved by appropriate ordinance, resolution or authority and is hereby adopted by the ____________________________________ __________________, (Unit) this ___ day of __________________, 202__. A certified copy of approving ordinance, resolution or authority, along with the executed Agreement shall be forwarded to the applicable state Chapter, and a master list of Parties shall be kept by the Council of Chapter Presidents.

By:_________________________________

Title:_______________________________

Attest:______________________________

Title:_______________________________

RESOLUTION NO. ___________

A RESOLUTION

AUTHORIZING the Mayor and City Clerk to execute and attest to an intergovernmental agreement for participation in the Mutual Aid Box Alarm System (MABAS MASTER AGREEMENT 2022) effective January 1, 2024.

WHEREAS, the Mutual Aid Box Alarm System (MABAS) was organized beginning in 1968 in the northwest and western suburbs of Chicago, Illinois to coordinate and automate fire department mutual aid, based roughly on the Chicago Fire Department's box alarm system of predetermined resources assigned to respond to a specific incident or area. Since 1968, MABAS has grown into a multi-state organization to coordinate responses to fires, emergency medical calls, hazardous material, technical rescue and other emergencies and disasters through prearranged mutual aid and dispatch agreements. The system is designed to facilitate all levels of mutual aid from day-to-day automatic aid responses to major incidents and disasters requiring significant deployment of resources. MABAS member Units include the gambit from all-volunteer fire departments to major cities like Chicago, Milwaukee and St. Louis; and

WHEREAS, since the last revision of the master MABAS intergovernmental agreement in about 1988, MABAS has grown exponentially to its current composition of almost 1200 Illinois Units and 2200 total Units in Illinois and several adjoining States; and

WHEREAS, it is the express intent of member Units that the MABAS Agreement be in a form which can be adopted by Units in different States where Units may lawfully enter into agreements providing for their mutual aid and protection. Thus, even if the MABAS Agreement does not specifically cite the applicable current legal authority for a particular State and its member Units, the lack of such citation shall not be construed in any manner as an impediment to or prohibition of Units from other States from joining MABAS. It is also the express intent of the member Units that all Units adopt this same Intergovernmental Agreement without modification; and

WHEREAS, the Constitution of the State of Illinois, 1970, Article VII, Section 10, authorizes units of local government to contract or otherwise associate among themselves, with the State, with other States and their units of local government, and with the United States to obtain and share services and to exercise, combine, or transfer any power or function in any manner not prohibited by law or ordinance; and to further contract or otherwise associate with individuals, associations, and corporations in any manner not prohibited by law or ordinance; and

WHEREAS, the "Intergovernmental Cooperation Act", 5 ILCS 220/1 et seq., provides that any power or powers, privileges or authority exercised or which may be exercised by a unit of local government may be exercised and enjoyed jointly with any other unit of local government including units of local government from another state; and

RESOLUTION NO. ___________

WHEREAS, Section 5 of the "Intergovernmental Cooperation Act", 5 ILCS 220/5, provides that any one or more public agencies may contract with any one or more public agencies to perform any governmental service, activity or undertaking which any of the public agencies entering into the contract is authorized by law to perform, provided that such contract shall be authorized by the governing body of each party to the contract; and

WHEREAS, The Mayor and City Council of the City of Rock Island, Illinois have determined that it is in the best interests of this unit of local government and its residents to enter into a Mutual Aid Box Alarm System Agreement to secure to each the benefits of mutual aid in fire protection, firefighting, rescue, emergency medical services and other activities for the protection of life and property from an emergency or disaster and to provide for communications procedures, training and other necessary functions to further the provision of said protection of life and property from an emergency or disaster.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF ROCK ISLAND, ILLINOIS as follows:

That the Mayor and City Clerk are hereby authorized to execute and attest to the Mutual Aid Box Alarm System Master Agreement (approved by the MABAS Executive Board October 19, 2022); provided, however that said Agreement is in substantially similar form and content to that attached hereto and incorporated by this reference thereto as Exhibit A.

CITY OF ROCK ISLAND, ILLINOIS

____
Mayor

____
Date

Passed: ______________________________

Approved: ____________________________

Attest: _______________________________
City Clerk

Memorandum

To: Rock Island City Council

From: Linda Barnes, Director

Subject: Report from the Finance Department regarding an adjustment to the CY 2023 budget, increasing the Justice Agreement Grant (JAG) Fund (241) revenue and expenditures by $11,152.80.

Motion: Motion whether or not to approve the budget adjustment.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The Police Department is requesting a budget adjustment in the amount of $11,152.80 due to a grant award from the U.S. Department of Justice, Edward Byrne Memorial Justice Assistance Grant (JAG). The federal reporting period for this grant is October 1, 2023 to September 30, 2024. All funds are expected to be expended in 2023.

Previous Council Action (if any):

Budget Impact:

Account Number	Type	Amount
241-000000-43102-0000424	Revenue	$11,152.80
241-412206-52305-0000424	Expense	$11,152.80

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

Council approve the budget adjustment, increasing the Justice Assistance Grant Fund (241) revenue and expense budgets for CY2023 by $11,152.80.

Submitted by: Linda Barnes, Director

Approved by: Joshua Adams

Memorandum
Police Department
To: Linda Barnes, Finance Director
Subject: Budget Adjustment
Date: September 21st, 2023

The Police Department is requesting an increase to the revenue and expenditure accounts associated with the 2023 Edward Byrne Memorial Justice Assistance Grant (JAG Grant) in the amount of $11,152.80. The grant award was provided after the close of the CY23 budget preparation. The funding in the amount of $11,152.80 was recently paid to the police department and we are requesting this adjustment in order to expend the funding.

Revenue Account

241-000000-43102-0000424

Expenditure Account

241-412206-52305-0000424

The total adjustment amount is $11,152.80.

Recommendation:

The Police Department recommends that the Finance Department present the above requested adjustment to Council for approval.

Submitted by: Lieutenant Tim Muehler, Police Department

Approved by: Linda Barnes, Finance Director

MEMORANDUM
Police Department

TO: Todd Thompson, City Manager

SUBJECT: 2022 Justice Assistance Grant (JAG) **NUMBER:** 22-049
RE: Approval of Memorandum of Understanding **DATE:** 09-29-22

The Rock Island Police Department recently received notification that the Office of Justice Programs (OJP), U.S. Department of Justice, was accepting applications for the Edward Byrne Memorial Justice Assistance Grant (JAG) Program which allows local governments to support a broad range of activities to prevent and control crime based on their own local needs and conditions. The Justice Assistance Grant Program blends the previous Byrne Formula and Local Law Enforcement Block Grant (LLEBG) Programs to provide agencies with the flexibility to prioritize and place justice funds where they are needed most.

Information received from the Office of Justice Programs indicates that Justice Assistance Grant funds may be used for the same purpose as funds previously received for the Local Law Enforcement Block Grant. In 2019, the Rock Island Police Department received $10,349.30 from the Local Law Enforcement Block Grant. These grant funds have historically been designated for personnel costs associated with the hiring and subsequent retention of additional police officers. These funds were utilized towards the salaries of two current officers.

As with the Local Law Enforcement Block Grant, the Justice Assistance Grant involves a disparate allocation of funds. A disparate allocation occurs when multiple units of local government qualify to receive funds and another unit of local government bears more than 50% of the costs of prosecution or incarceration that arise for Part I violent crimes. In the case of this Justice Assistance Grant, both the City of Moline and the City of Rock Island have qualified for funding and Rock Island County is then included due to their bearing the majority of the costs for incarceration and prosecution. Additionally, jurisdictions certified as disparate must submit a joint application for the aggregate funds allocated to them. For 2022, this total amount equals $30,0.00. Included as part of the joint application process is the requirement that a Memorandum of Understanding be completed and signed by the participating jurisdictions designating which jurisdiction will act as the fiscal agent for the joint funds, the amount each jurisdiction will receive, and the purpose for which the funds will be allocated.

Following a meeting with the Moline Police Department and the Rock Island County Sheriff's Department, the attached Memorandum of Understanding has been developed and subsequently reviewed by the Rock Island City Attorney.

This memorandum designates Moline Police Department as the fiscal agent for the joint funds and specifies that the City of Rock Island will receive $11,152.80 from this grant for its Emergency Response Vehicle Modification and Investigative Tool Projects. Acceptance of this Memorandum of Understanding must be completed before application can be made for the Justice Assistance Grant funds.

RECOMMENDATION:

I recommend that the City Council approve this Memorandum of Understanding and authorize the Mayor and City Clerk to sign this agreement.

SUBMITTED BY: Chief Richard T. Landi

APPROVED: Mr. Todd Thompson
City Manager

FUNDING OPPORTUNITY NUMBER: O-BJA-2022-171322

MEMORANDUM OF UNDERSTANDING
2022 EDWARD BYRNE JUSTICE ASSISTANCE GRANT (JAG)

THIS AGREEMENT is made and entered into this ___ day of October, 2022, by and between **THE COUNTY OF ROCK ISLAND, ILLINOIS ("County, THE CITY OF MOLINE, ILLINOIS ("Moline")**, and **THE CITY OF ROCK ISLAND, ILLINOIS ("Rock Island")**, in regard to the joint application for funding available from the U. S. Department of Justice.

WITNESSETH:

WHEREAS, County, Moline, and Rock Island are eligible to submit a joint application for the aggregate of funds allocated to them under the 2022 Edward Byrne Memorial Justice Assistance Grant (JAG) Program award; and

WHEREAS, the grant requires that one agency serve as the Fiscal Agent for the funds and administer the financial and programmic requirements; and

WHEREAS, Moline will serve in the capacity of Fiscal Agent for the 2022 JAG grant, and as such will make application for the joint funding and comply with the subsequent reporting requirements; and

WHEREAS, this agreement is made subject to and enabled by Article VII, Section 10 of the Illinois Constitution of 1970 and the Intergovernmental Cooperation Act, 5 ILCS 220/1 *et seq.*

NOW THEREFORE, the participating agencies agree as follows:

Grant Administration. Moline will complete the application process, based on the agreed upon allocation of funding and the individual projects as identified below for each of the participating agencies.

A. Upon receipt of the JAG funding, Moline will pay Rock Island County the sum of $3,030.90 as its portion of the grant for its Computer Replacement Project.

B. Upon receipt of the JAG funding, Moline will pay Rock Island the sum of $11,152.80 for its Emergency Response Vehicle Modification and Investigative Tool Projects.

C. Upon receipt of the JAG funding, Moline will retain the sum of $16,125.30 for its Less Lethal Weapon Deployment Project.

D. Each participating agency will establish a trust fund account in which its portion of the JAG funding will be deposited.

E. Each participating agency will retain documentation of all expenditures made from the JAG funding during the course of the grant period.

F Upon request by Moline, Rock Island County and Rock Island will provide financial and program data from their respective individual JAG funded projects for preparation of the appropriate quarterly and semi-annual reports required under the grant.

G. Moline, as the Fiscal Agent, will prepare and submit the required quarterly financial and program reports required under the grant.

Term: The term of this agreement shall be for the two-year grant period, or until final close-out of the grant has been approved by the U. S. Department of Justice, whichever occurs first.

Miscellaneous: Each party to this agreement will be responsible for its own actions in providing services under this agreement and shall not be liable for any civil liability that may arise from the furnishing of services by the other parties.

CITY OF MOLINE, ILLINOIS

By: ______________________________
Mayor

Attest:

____
City Clerk

CITY OF ROCK ISLAND, ILLINOIS

By: ______________________________
Mayor

Attest:

____
City Clerk

COUNTY OF ROCK ISLAND, ILLINOIS

By: ______________________________
Mayor

Attest:

____
City Clerk

COUNTY OF ROCK ISLAND, ILLINOIS

By: ______________________________
County Board Chairman

Attest:

____

MEMORANDUM
FINANCE DEPARTMENT

TO:	Todd Thompson, City Manager	**Number:**	049-23
SUBJECT:	Firefighters Pension Fund and Police Pension Fund Actuarial Valuations	**Date:**	09-27-2023

Discussion:
At the August 14, 2023 City Council Study Session, Robert Rietz Jr. of Lauterbach & Amen, LLP (L&A), presented the actuarial valuation results of the Rock Island Firefighters' Pension Fund and Police Pension Funds for the Contribution Year January 1, 2023 to December 31, 2023. The following were noted in the presentation:

- The rate of return on investments on a fair value of assets basis for the fund was approximately negative 12.93 for Fire and negative 11.02 for Police net of administrative expense. The expected rate of return was 7% for both funds.
- The total recommended contribution has increased by $1,817,413 or 19.73% from the prior valuation.
- The funding policy was changed from the prior valuation of 19-year level % payroll amortization targeting 90% funded to 18-year level % payroll amortization targeting 100% funded.
- Benefit payments are anticipated to increase 20% in the next five (5) years and 39% in the next 10 years.

In the chart below are the recommended contributions and the alternative contributions presented by L&A. Also listed are the actuarial valuation results from the Illinois Firefighters' Pension Investment Fund and the Illinois Police Officers' Pension Investment Fund for the statutory minimum requirements.

		Lauterbach & Amen Actuarial Methods		**Consolidated Pension Funds**
Pension Funds	Prior Year Valuation*	Recommended Contribution 100% Funding Method	Alternative Contribution 90% Funding Method	Statutory Minimum 90% Required Contribution
Fire Pension Contribution	4,510,003	5,128,598	4,471,145	5,039,929
Police Pension Contribution	4,701,935	5,900,753	5,050,271	6,059,065
TOTAL	9,211,938	11,029,351	9,521,416	11,098,994
*Performed by Nyhart & Associates				

Assumptions:
As noted in the report, different actuarial funding methods may achieve funding goals with differing levels of success. Below are some assumptions used by both the consolidated funds (IFPIF, IPOPIF) and the private actuary (L&A).

Assumptions	IFPIF - Fire	L&A - Fire	IPOPIF - Police	L&A - Police
Expected Rate of Return	7.13%	7.00%	6.80%	7.00%
Total Payroll Increases	2.75%	3.50%	3.00%	3.50%
Individual Pay Increases	4.00% - 12.50% (based on service)	3.75% - 7.25% (based on service)	3.50% - 11.00% (based on service)	3.75% - 7.25% (based on service)
Administrative Expenses	2% of Total Normal Cost	Not Explicitly Included	2% of Total Normal Cost	Not Explicitly Included

Other assumptions not listed in the chart includes retirement rates, termination rates, disability rates, mortality improvement rates, duty disability, duty death, consumer price index and inflation rate.

Unfunded Liability:
Unfunded liability represents the financial shortfall of the Actuarial Value of Assets compared to the Actuarial Accrued Liability. To the extent that unfunded liability exists, the Plan is losing potential investment earnings due to the financial shortfall. Contributions towards unfunded liability pay for the lost investment earnings, as well as the outstanding unfunded amount. If payments towards unfunded liability are not made, the unfunded liability will grow (L&A, Management Summary). The chart below depicts the current valuation percent funded by both the private actuary and consolidated funds actuaries:

	IFPIF - Fire	L&A - Fire	IPOPIF - Police	L&A - Police
Current Valuation Percent Funded Actuarial Valuation Assets	32.40%	32.26%	38.40%	39.14%

It would be recommended that additional contributions continue to be considered annually during the City's budget process.

Recommendation: City Council Fund both pension funds at the statutory minimum required contribution for a total amount of $11,098,994.

Submitted by: Linda Barnes, Finance Director

Approved: Todd Thompson, City Manager

ROCK ISLAND POLICE PENSION FUND

ACTUARIAL VALUATION
AS OF JANUARY 1, 2023

STATUTORY MINIMUM REQUIRED CONTRIBUTION

FOSTER & FOSTER
ACTUARIES AND CONSULTANTS

August 28, 2023

Rock Island Police Pension Fund
Re: Actuarial Valuation Report for Statutory Minimum Required Contribution

Dear Board:

We are pleased to present to the Board this report of the annual actuarial valuation of the Rock Island Police Pension Fund. The funding valuation was performed to determine whether the assets and contributions are sufficient to provide the prescribed benefits and to develop the appropriate funding requirements for the applicable plan year. Use of the results for other purposes may not be applicable and could produce significantly different results.

The valuation has been conducted in accordance with generally accepted actuarial principles and practices, including the applicable Actuarial Standards of Practice as issued by the Actuarial Standards Board, and reflects laws and regulations issued to date pursuant to the provisions of Article 3, Illinois Pension Code, as well as applicable federal laws and regulations. In our opinion, the assumptions used in this valuation, as adopted by the Police Officers' Pension Investment Fund Board of Trustees, represent reasonable expectations of anticipated plan experience. Future actuarial measurements may differ significantly from the current measurements presented in this report for a variety of reasons including: changes in applicable laws, changes in plan provisions, changes in assumptions, or plan experience differing from expectations. Due to the limited scope of the valuation, we did not perform an analysis of the potential range of such future measurements.

The funding percentages and unfunded accrued liability as measured based on the actuarial value of assets will differ from similar measures based on the market value of assets. These measures, as provided, are appropriate for determining the adequacy of future contributions, but may not be appropriate for the purpose of settling a portion or all of its liabilities.

In conducting the valuation, we have relied on personnel information supplied by the local Board, asset information and financial reports prepared by the auditors for the Police Officers' Pension Investment Fund, plan design information as defined in Article 3 of the Illinois Pension Code, and the actuarial assumptions and methods described in the Actuarial Assumptions section of this report. While we cannot verify the accuracy of all this information, the supplied information was reviewed for consistency and reasonableness. As a result of this review, we have no reason to doubt the substantial accuracy of the information and believe that it has produced appropriate results. This information, along with any adjustments or modifications, is summarized in various sections of this report.

In performing the analysis, we used third-party software to model (calculate) the underlying liabilities and costs. These results are reviewed in the aggregate and for individual sample lives. The output from the software is either used directly or input into internally developed models to generate the costs. All internally developed models are reviewed as part of the process. As a result of this review, we believe that the models have produced reasonable results. We do not believe there are any material inconsistencies among assumptions or unreasonable output produced due to the aggregation of assumptions.

The undersigned are familiar with the immediate and long-term aspects of pension valuations and meet the Qualification Standards of the American Academy of Actuaries necessary to render the actuarial opinions contained herein. All of the sections of this report are considered an integral part of the actuarial opinions.

To our knowledge, no associate of Foster & Foster, Inc. working on valuations of the program has any direct financial interest or indirect material interest in the plan sponsor, nor does anyone at Foster & Foster, Inc. act as a member of the Board of Trustees of the Rock Island Police Pension Fund. Thus, there is no relationship existing that might affect our capacity to prepare and certify this actuarial report.

Respectfully submitted,

By: Jason L. Franken

Jason L. Franken, FSA, EA, MAAA
Foster & Foster, Inc.

By: Paul M. Baugher

Paul M. Baugher, FSA, EA, MAAA
Foster & Foster, Inc.

FN3255BN20221201

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SUMMARY OF REPORT

The regular annual actuarial valuation of the Rock Island Police Pension Fund, performed as of January 1, 2023, has been completed and the results are presented in this report. The contribution requirements are as follows:

Valuation Date	January 1, 2023
Total Statutory Contribution	6,204,388
Member Contributions (Est.)	(583,275)
Statutory Minimum Contribution	5,621,113
Phase In of 2022 Assumption Changes Impact	437,952
Statutory Minimum Required Contribution (after phase-in) [1]	6,059,065

[1] This calculation is determined in accordance with Section 3-125 of the Illinois Pension Code. This report should not be relied upon for purposes other than determining the current tax levy required under the Illinois Pension Code. The assumptions have been set based on expectations for all Article 3 funds in the State of Illinois. The actuarial methods are prescribed by the Illinois Pension Code and do not necessarily represent the approach recommended by either the actuary or the Police Officers' Pension Investment Fund.

CHANGES SINCE PRIOR VALUATION

Plan Changes Since Prior Valuation

There were no plan changes since the prior valuation.

Actuarial Assumption/Method Changes Since Prior Valuation

The actuarial assumptions have been updated from the prior valuation based on an experience study performed for the Police Officers' Pension Investment Fund dated March 4, 2022 and adopted by the Board of Trustees on September 9, 2022.

There were no method changes since the prior valuation.

SUMMARY OF PRINCIPAL VALUATION RESULTS

A. Participant data

Actives	70
Service Retirees	72
Beneficiaries	22
Disability Retirees	15
Terminated Vested Due Future Annuity	7
Terminated with Accumulated Contributions in Fund	17
Total	203
Total Annual Payroll	5,510,977
Annual Rate of Payments to:	
Service Retirees	4,895,873
Beneficiaries	725,043
Disability Retirees	573,661
Terminated Vested Due Future Annuity	255,449

B. Assets

Actuarial Value (AVA)	43,777,298
Market Value (MVA)	39,818,931

C. Liabilities

Present Value of Benefits (PVB)	
Actives	
Retirement Benefits	32,437,473
Death Benefits	363,251
Disability Benefits	2,708,981
Terminated Vested Benefits	1,872,781
Service Retirees	74,930,568
Beneficiaries	5,398,865
Disability Retirees	8,216,026
Terminated Vested Due Future Annuity	2,914,061
Terminated with Accumulated Contributions in Fund	98,354
Total	128,940,360

SUMMARY OF PRINCIPAL VALUATION RESULTS

C. Liabilities (continued)

Accrued Liability (AL)	
Actives	
Retirement Benefits	19,714,438
Death Benefits	195,148
Disability Benefits	1,511,832
Terminated Vested Benefits	1,123,726
Service Retirees	74,930,568
Beneficiaries	5,398,865
Disability Retirees	8,216,026
Terminated Vested Due Future Annuity	2,914,061
Terminated with Accumulated Contributions in Fund	98,354
Total	114,103,018
Normal Cost	
Normal Cost (Retirement)	1,111,648
Normal Cost (Death)	24,280
Normal Cost (Disability)	149,548
Normal Cost (Terminated Vested)	120,029
Total	1,405,505
Unfunded Actuarial Accrued Liability (UAAL = AL - AVA)	70,325,720
Funded Ratio (AVA / AL)	38.4%

D. Amortization Payment

Total Accrued Liability	114,103,018
90% Funded Ratio Target	102,692,716
Actuarial Value of Assets	43,777,298
Liabilities Subject to Amortization over 18 Years	58,915,418
Amortization Payment, Beginning of Year	4,375,737

SUMMARY OF PRINCIPAL VALUATION RESULTS

E. Statutory Minimum Required Contribution [1]

Normal Cost, Including Expense Load [2]	1,531,101
Payment Required to Amortize UAAL Over 18 Years [2]	4,673,287
Total Statutory Contribution	6,204,388
Expected Member Contributions [2]	(583,275)
Statutory Minimum Required Contribution (before phase in)	5,621,113
Phase In of 2022 Assumption Changes Impact	437,952
Statutory Minimum Required Contribution (after phase in) [3]	6,059,065

[1] This calculation is determined in accordance with Section 3-125 of the Illinois Pension Code. This report should not be relied upon for purposes other than determining the current tax levy required under the Illinois Pension Code. The assumptions have been set based on expectations for all Article 3 funds in the State of Illinois. The actuarial methods are prescribed by the Illinois Pension Code and do not necessarily represent the approach recommended by either the actuary or the Police Officers' Pension Investment Fund.

[2] Includes one year of interest.

[3] Under Public Act 101-0610, the impact of any assumption changes shall be implemented in equal annual amounts over the 3 year period beginning in the fiscal year of the pension fund in which such change first occurs.

PROJECTION OF BENEFIT PAYMENTS [1]

Year Ending	Payments for Current Actives	Payments for Current Non-Actives	Total Payments
2023	90,612	6,234,667	6,325,279
2024	201,526	6,241,269	6,442,795
2025	319,205	6,416,782	6,735,987
2026	446,238	6,505,047	6,951,285
2027	583,183	6,588,324	7,171,507
2028	703,322	6,708,356	7,411,678
2029	846,202	6,811,721	7,657,923
2030	1,015,177	6,902,465	7,917,642
2031	1,187,870	6,958,935	8,146,805
2032	1,380,422	7,075,490	8,455,912
2033	1,642,591	7,112,285	8,754,876
2034	1,916,318	7,135,626	9,051,944
2035	2,188,034	7,144,028	9,332,062
2036	2,440,471	7,136,041	9,576,512
2037	2,687,090	7,146,383	9,833,473
2038	2,920,565	7,123,628	10,044,193
2039	3,150,817	7,060,239	10,211,056
2040	3,377,937	6,998,512	10,376,449
2041	3,605,436	6,894,126	10,499,562
2042	3,860,589	6,768,979	10,629,568
2043	4,142,142	6,624,005	10,766,147
2044	4,414,212	6,460,161	10,874,373
2045	4,658,988	6,296,355	10,955,343
2046	4,894,131	6,101,137	10,995,268
2047	5,142,943	5,894,013	11,036,956
2048	5,391,136	5,677,917	11,069,053
2049	5,676,338	5,455,815	11,132,153
2050	5,935,894	5,230,427	11,166,321
2051	6,190,082	5,003,887	11,193,969
2052	6,427,484	4,778,281	11,205,765
2053	6,665,081	4,553,805	11,218,886
2054	6,877,701	4,330,388	11,208,089
2055	7,076,791	4,107,309	11,184,100
2056	7,251,305	3,883,323	11,134,628
2057	7,381,741	3,657,024	11,038,765
2058	7,479,894	3,427,225	10,907,119
2059	7,551,137	3,193,208	10,744,345
2060	7,597,220	2,955,069	10,552,289
2061	7,618,137	2,713,897	10,332,034
2062	7,613,810	2,471,775	10,085,585

[1] This illustrates the projection of future benefit payments for the population as it exists on the valuation date without consideration for future hires.

ACTUARIAL ASSUMPTIONS AND METHODS

The assumptions shown below were adopted by the Board September 9, 2022 following a 2022 review of plan experience.

Interest Rate	6.80% per year compounded annually, net of investment related expenses.
Mortality Rate	***Active Lives:*** PubS-2010 Employee mortality, unadjusted, with generational improvements with most recent projection scale (currently Scale MP-2021). 10% of active deaths are assumed to be in the line of duty. ***Inactive Lives:*** PubS-2010 Healthy Retiree mortality, adjusted by a factor of 1.150 for male retirees and unadjusted for female retirees, with generational improvements with most recent projection scale (currently Scale MP-2021). ***Beneficiaries:*** PubS-2010 Survivor mortality, unadjusted for male beneficiaries and adjusted by a factor of 1.150 for female beneficiaries, with generational improvements with most recent projection scale (currently Scale MP-2021). ***Disabled Lives:*** PubS-2010 Disabled mortality, adjusted by a factor of 1.080 for male disabled members and unadjusted for female disabled members, with generational improvements with most recent projection scale (currently Scale MP-2021). The mortality assumptions sufficiently accommodate anticipated future mortality improvements.
Retirement Age	See table at the end of this section.
Disability Rate	See table at the end of this section. 60% of the disabilities are assumed to be in the line of duty.
Termination Rate	See table at the end of this section.

Salary Increases | See table below.

Salary Scale	
Service	Rate
0	11.00%
1	9.50%
2	8.00%
3	7.50%
4	7.00%
5	6.00%
6	5.00%
7 - 11	4.00%
12 - 29	3.75%
30+	3.50%

Inflation | 2.50%.

Cost-of-Living Adjustment | Tier 1: 3.00% per year after age 55. Those that retire prior to age 55 receive an increase of 1/12 of 3.00% for each full month since benefit commencement upon reaching age 55.

Tier 2: 1.25% per year after the later of attainment of age 60 or first anniversary of retirement.

Marital Status | 80% of Members are assumed to be married.

Spouse's Age | Males are assumed to be three years older than females.

Funding Method | Projected Unit Credit Cost Method.

Actuarial Asset Method | Investment gains and losses are smoothed over a 5-year period. In the first year, 20% of the gain or loss is recognized. In the second year 40%, in the third year 60%, in the fourth year 80%, and in the fifth year 100% of the gain or loss is recognized. The actuarial investment gain or loss is defined as the actual return on investments minus the actuarial assumed investment return.

Funding Policy Amortization Method | The UAAL is amortized according to a Level Percentage of Payroll method over a period ending in 2040. The initial amortization amount is 90% of the Accrued Liability less the Actuarial Value of Assets.

Payroll Growth | 3.00% per year.

Administrative Expenses | Administrative expenses will be estimated as 2% of the fund's total normal cost.

Decrement Tables

% Terminating During the Year	
Service	Rate
0	13.00%
1	8.00%
2	7.00%
3	6.00%
4	5.00%
5	4.50%
6	4.00%
7	3.50%
8	3.00%
9	2.50%
10	2.25%
11	2.00%
12	1.75%
13	1.50%
14+	1.25%

% Becoming Disabled During the Year	
Age	Rate
20	0.000%
25	0.029%
30	0.133%
35	0.247%
40	0.399%
45	0.561%
50	0.675%
55	0.855%
60	1.093%

% Retiring During the Year (Tier 1)	
Age	Rate
50 - 54	20%
55 - 62	25%
63	33%
64	40%
65 - 69	55%
70+	100%

% Retiring During the Year (Tier 2)	
Age	Rate
50 - 54	5%
55	40%
56 - 62	25%
63	33%
64	40%
65 - 69	55%
70+	100%

GLOSSARY

Total Annual Payroll is the projected annual rate of pay for the fiscal year following the valuation date of all covered members.

Present Value of Benefits is the single sum value on the valuation date of all future benefits to be paid to current Members, Retirees, Beneficiaries, Disability Retirees and Vested Terminations.

Accrued Actuarial Liability is determined according to the plan's actuarial cost method. This amount represents the portion of the anticipated future benefits allocated to years prior to the valuation date.

Normal (Current Year's) Cost is the current year's cost for benefits yet to be funded.

Market Value of Assets is the fair market value of plan assets as of the valuation date. This amount may be adjusted to produce an Actuarial Value of Assets for plan funding purposes.

Actuarial Value of Assets is the asset value used in the valuation to determine contribution requirements. It represents the plan's Market Value of Assets, with adjustments according to the Actuarial Asset Method. These adjustments produce a "smoothed" value that is likely to be less volatile from year to year than the Market Value of Assets.

Unfunded Accrued Liability is the excess of the Accrued Actuarial Liability over the Actuarial Value of Assets.

Statutory Minimum Required Contribution is equal to the Normal Cost plus an amount sufficient to amortize the Unfunded Accrued Liability to achieve a 90% funding target by 2040. The required amount is adjusted for interest to year-end.

Projected Unit Credit Actuarial Cost Method (Level Percent of Compensation) is the method used to determine statutory minimum required contributions under the Plan. The use of this method involves the systematic funding of the Normal Cost (described above) and the Unfunded Accrued (Past Service) Liability. The actuarial accrued liability is the present value of accrued benefits, utilizing projected salary for active Plan Participants.

DISCUSSION OF RISK

Actuarial Standard of Practice No. 51, Assessment and Disclosure of Risk Associated with Measuring Pension Obligations and Determining Pension Plan Contributions, states that the actuary should identify risks that, in the actuary's professional judgment, may reasonably be anticipated to significantly affect the plan's future financial condition.

Throughout this report, actuarial results are determined under various assumption scenarios. These results are based on the premise that all future plan experience will align with the plan's actuarial assumptions; however, there is no guarantee that actual plan experience will align with the plan's assumptions. It is possible that actual plan experience will differ from anticipated experience in an unfavorable manner that will negatively impact the plan's funded position.

Below are examples of ways in which plan experience can deviate from assumptions and the potential impact of that deviation. Typically, this results in an actuarial gain or loss representing the current-year financial impact on the plan's unfunded liability of the experience differing from assumptions; this gain or loss is amortized over a period of time determined by the plan's amortization method. When assumptions are selected that adequately reflect plan experience, gains and losses typically offset one another in the long term, resulting in a relatively low impact on the plan's contribution requirements associated with plan experience. When assumptions are too optimistic, losses can accumulate over time and the plan's amortization payment could potentially grow to an unmanageable level.

- Investment Return: When the rate of return on the Actuarial Value of Assets falls short of the assumption, this produces a loss representing assumed investment earnings that were not realized. Further, it is unlikely that the plan will experience a scenario that matches the assumed return in each year as capital markets can be volatile from year to year. Therefore, contribution amounts can vary in the future.

- Salary Increases: When a plan participant experiences a salary increase that was greater than assumed, this produces a loss representing the cost of an increase in anticipated plan benefits for the participant as compared to the previous year. The total gain or loss associated with salary increases for the plan is the sum of salary gains and losses for all active participants.

- Payroll Growth: The plan's payroll growth assumption causes a predictable annual increase in the plan's amortization payment in order to produce an amortization payment that remains constant as a percentage of payroll if all assumptions are realized. If payroll does not increase according to the plan's payroll growth assumption, the plan's amortization payment can increase significantly as a percentage of payroll even if all assumptions other than the payroll growth assumption are realized.

- Demographic Assumptions: Actuarial results take into account various potential events that could happen to a plan participant, such as retirement, termination, disability, and death. Each of these potential events is assigned a liability based on the likelihood of the event and the financial consequence of the event for the plan. Accordingly, actuarial liabilities reflect a blend of financial consequences associated with various possible outcomes (such as retirement at one of various possible ages). Once the outcome is known (e.g. the participant retires) the liability is adjusted to reflect the known outcome. This adjustment produces a gain or loss depending on whether the outcome was more or less favorable than other outcomes that could have occurred.

- Contribution Risk: This risk results from the potential that actual employer contributions may deviate from actuarially determined contributions. Contribution deficits, particularly large deficits and those that occur repeatedly, increase future contribution requirements and put the plan at risk for not being able to pay plan benefits when due.

Impact of Plan Maturity on Risk

For newer pension plans, most of the participants and associated liabilities are related to active members who have not yet reached retirement age. As pension plans continue in operation and active members reach retirement ages, liabilities begin to shift from being primarily related to active members to being shared amongst active and retired members. Plan maturity is a measure of the extent to which this shift has occurred. It is important to understand that plan maturity can have an impact on risk tolerance and the overall risk characteristics of the plan. For example, plans with a large amount of retired liability do not have as long of a time horizon to recover from losses (such as losses on investments due to lower than expected investment returns) as plans where the majority of the liability is attributable to active members. Similarly, mature plans paying substantial retirement benefits resulting in a small positive or net negative cash flow can be more sensitive to near term investment volatility, particularly if the size of the fund is shrinking, which can result in less assets being available for investment in the market.

Metrics to Help Assess Risk

Below are descriptions of some metrics that can be used to help assess risk. To assist with determining the maturity of the plan, we have provided some relevant metrics in the table at the end of this section provides these metrics for the fund.

- Support Ratio: The support ratio is determined as the ratio of active to inactive members. This metric speaks to the maturity of the plan, with a low ratio indicating a more mature plan.

- Asset Volatility Ratio: The asset volatility ratio is determined as the ratio of the Market Value of Assets to Total Payroll. It is a measure of the impact of investment volatility on employer contributions which are paid as a percentage of payroll. Although Market Value of Asset growth that exceeds payroll growth may contribute to the financial stability of the plan, the amortization of changes in these higher asset values have a greater impact on contribution volatility as this ratio increases.

- Accrued Liability (AL) Ratio: The accrued liability ratio is the proportion of Total Accrued Liability attributable to inactive members. A higher ratio indicates a more mature plan. Mature plans will see increased risk since losses due to lower than expected investment returns or demographic factors will need to be made up for over a shorter time horizon than would be needed for a less mature plan.

- Funded Ratio: The funded ratio is determined as the ratio of the Actuarial Value of Assets to the Total Accrued Liability. This ratio generally reflects the financial health of the plan but should not be considered in isolation since it is very sensitive to changes in actuarial methods and assumptions.

- Net Cash Flow Ratio: The net cash flow ratio is determined as the ratio of the Net Cash Flow (contributions minus benefit payments and administrative expenses) to the Market Value of Assets. Mature plans paying substantial retirement benefits resulting in small positive or negative cash flows may be more sensitive to near term investment volatility.

It is important to note that the actuary has identified the risks above as the most significant risks based on the characteristics of the plan and the nature of the project, however, it is not an exhaustive list of potential risks that could be considered. Additional advanced modeling, as well as the identification of additional risks may be helpful in some situations.

RISK METRICS

Support Ratio

Total Actives	70
Total Inactives	133
Actives / Inactives	52.6%

Asset Volatility Ratio

Market Value of Assets (MVA)	39,818,931
Total Annual Payroll	5,510,977
MVA / Total Annual Payroll	722.5%

Accrued Liability (AL) Ratio

Inactive Accrued Liability	91,557,874
Total Accrued Liability	114,103,018
Inactive AL / Total AL	80.2%

Funded Ratio

Actuarial Value of Assets (AVA)	43,777,298
Total Accrued Liability	114,103,018
AVA / Total Accrued Liability	38.4%

Net Cash Flow Ratio

Net Cash Flow [1]	(1,073,182)
Market Value of Assets (MVA)	39,818,931
Ratio	-2.7%

[1] Determined as total contributions minus benefit payments and administrative expenses.

ASSETS

Changes in Market Value of Assets

Market Value of Assets as of December 31, 2021	45,813,863
Benefit payments during fiscal year 2022	(5,991,408)
Administrative expense during fiscal year 2022	(71,733)
Total contributions during fiscal year 2022	4,989,959
Contributions Less Benefit Payments & Administrative Expenses	(1,073,182)
Actual Net Investment Earnings	(4,921,750)
Market Value of Assets as of December 31, 2022	39,818,931

Development of Investment Gain/Loss

Expected Investment Earnings[1]	2,829,830
Actual Net Investment Earnings	(4,921,750)
Actuarial Investment Gain/(Loss)	(7,751,580)

[1] Expected Investment Earnings = 6.25% x (45,813,863 + 0.5 x -1,073,182)

Gains/(Losses) Not Yet Recognized

Fiscal Year Ending	Gain/(Loss)	Amounts Not Yet Recognized by Valuation Year			
		2022	2023	2024	2025
2019	3,350,828	670,166	0	0	0
2020	837,937	335,175	167,587	0	0
2021	2,062,593	1,237,556	825,037	412,519	0
2022	(7,751,580)	(6,201,264)	(4,650,948)	(3,100,632)	(1,550,316)
Total		(3,958,367)	(3,658,324)	(2,688,113)	(1,550,316)

Development of Actuarial Value of Assets

Market Value of Assets as of December 31, 2022	39,818,931
(Gains)/Losses Not Yet Recognized	3,958,367
Actuarial Value of Assets as of December 31, 2022	43,777,298

SUMMARY OF CURRENT PLAN

Article 3 Pension Fund	The Plan is established and administered as prescribed by "Article 3. Police Pension Fund – Municipalities 500,000 and Under" of the Illinois Pension Code.
Plan Administration	The Plan is a single employer defined benefit pension plan administered by a Board of Trustees comprised of: a.) Two members appointed by the Municipality, b.) Two active Members of the Police Department elected by the Membership, and c.) One retired Member of the Police Department elected by the Membership.
Credited Service	Complete years of service as a sworn police officer employed by the Municipality.
Normal Retirement	
Date	**Tier 1**: Age 50 and 20 years of Credited Service. **Tier 2:** Age 55 with 10 years of Credited Service.
Benefit	**Tier 1:** 50% of annual salary attached to rank on last day of service plus 2.50% of annual salary for each year of service over 20 years, up to a maximum of 75% of salary. The minimum monthly benefit is $1,000 per month. **Tier 2**: 2.50% per year of service times the average salary for the 48 consecutive months of service within the last 60 months of service in which the total salary was the highest prior to retirement times the number of years of service, up to a maximum of 75% of average salary. The minimum monthly benefit is $1,000 per month. For Tier 2 participants, the salary is capped at a rate of $106,800 as of 2011, indexed annually at a rate of CPI-U, but not to exceed 3.00%.
Form of Benefit	**Tier 1:** For married retirees, an annuity payable for the life of the Member; upon the death of the member, 100% of the Member's benefit payable to the spouse until death. For unmarried retirees, the normal form is a Single Life Annuity. **Tier 2**: Same as above, but with 66 2/3% of benefit continued to spouse.

Early Retirement

Date	**Tier 1**: Age 60 and 8 years of Credited Service. **Tier 2:** Age 50 with 10 years of Credited Service.
Benefit	**Tier 1:** Normal Retirement benefit with no minimum. **Tier 2**: Normal Retirement benefit, reduced 6.00% each year before age 55, with no minimum benefit.
Form of Benefit	Same as Normal Retirement

Disability Benefit

Eligibility: Total and permanent as determined by the Board of Trustees.

Benefit Amount: A maximum of:

a.) 65% of salary attached to the rank held by Member on last day of service, and;
b.) The monthly retirement pension that the Member is entitled to receive if he or she retired immediately.

For non-service connected disabilities, a benefit of 50% of salary attached to rank held by Member on last day of service.

Cost-of-Living Adjustment

Tier 1:

Retirees: An annual increase equal to 3.00% per year after age 55. Those that retire prior to age 55 receive an increase of 1/12 of 3.00% for each full month since benefit commencement upon reaching age 55.

Disabled Retirees: An annual increase equal to 3.00% per year of the original benefit amount beginning at age 60. Those that become disabled prior to age 60 receive an increase of 3.00% of the original benefit amount for each year since benefit commencement upon reaching age 60.

Tier 2: An annual increase each January 1 equal to 3.00% per year or one-half of the annual unadjusted percentage increase in the consumer price index-u for the 12 months ending with the September preceding each November 1, whichever is less, of the original pension after the attainment of age 60 or first anniversary of pension start date whichever is later.

Pre-Retirement Death Benefit

Service Incurred	100% of salary attached to rank held by Member on last day of service.
Non-Service Incurred	A maximum of: a.) 54% of salary attached to the rank held by Member on last day of service, and; b.) The monthly retirement pension earned by the deceased Member at the time of death, regardless of whether death occurs before or after age 50. For non-service deaths with less than 10 years of service, a refund of member contributions is provided.

Vesting (Termination)

Vesting Service Requirement	**Tier 1:** 8 years. **Tier 2**: 10 years.
Non-Vested Benefit	Refund of Member Contributions.
Vested Benefit	Either the termination benefit, payable upon reaching age 60 (55 for Tier 2), provided contributions are not withdrawn, or a refund of member contributions. The termination benefit is 2.50% of annual salary held in the year prior to termination (4-year final average salary for Tier 2) times creditable service.

Contributions

Employee	9.91% of Salary.
Municipality	Remaining amount necessary for payment of Normal (current year's) Cost and amortization of the accrued past service liability.

Funding Actuarial Valuation
as of January 1, 2023

ROCK ISLAND FIREFIGHTERS' PENSION FUND

For the Contribution Year
January 1, 2023 to December 31, 2023

LAUTERBACH & AMEN, LLP

Actuarial Funding Report

Lauterbach & Amen, LLP

CERTIFIED PUBLIC ACCOUNTANTS

ROCK ISLAND FIREFIGHTERS' PENSION FUND

Contribution Year Ending: December 31, 2023
Actuarial Valuation Date: January 1, 2023
Data Date: December 31, 2022

Submitted by:

Lauterbach & Amen, LLP
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Contact:

Todd A. Schroeder
Partner
April 25, 2023

LAUTERBACH & AMEN, LLP

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ACTUARIAL CERTIFICATION

This report documents the results of the Actuarial Valuation for the Rock Island Firefighters' Pension Fund. The information was prepared for use by the Rock Island Firefighters' Pension Fund and the City of Rock Island, Illinois for determining the Recommended Contribution, under the selected Funding Policy, and the Alternative Contribution for the Contribution Year January 1, 2023 to December 31, 2023. It is not intended or suitable for other purposes. Determinations for purposes other than the Employer's Actuarial Recommended Contribution may be significantly different from the results herein.

The results in this report are based on the demographic data and financial information submitted by the City of Rock Island, Illinois, and may include results from the prior Actuary. We did not prepare the Actuarial Valuations for the years prior to January 1, 2023. Those valuations were prepared by the prior Actuary whose reports have been furnished to us, and our disclosures are based on those reports. An audit of the prior Actuary's results was not performed, but high-level reviews were completed for general reasonableness, as appropriate, based on the purpose of this valuation. The accuracy of the results is dependent on the precision and completeness of the underlying information.

In addition, the results of the Actuarial Valuation involve certain risks and uncertainty as they are based on future assumptions, market conditions, and events that may never materialize as assumed. For this reason, certain assumptions and future results may be materially different than those presented in this report. See the *Management Summary* section of this report for a more detailed discussion of the Defined Benefit Plan Risks, as well as the limitations of this Actuarial Valuation on assessing those risks. We are not aware of any known events subsequent to the Actuarial Valuation Date, which are not reflected in this report but should be valued, that may materially impact the results.

The valuation results summarized in this report involve actuarial calculations that require assumptions about future events. The City of Rock Island, Illinois selected certain assumptions, while others were the result of guidance and/or judgment from the Plan's Actuary or Advisors. We believe that the assumptions used in this valuation are reasonable and appropriate for the purposes for which they have been used. The selected assumptions represent our best estimate of the anticipated long-term experience of the Plan, and meet the guidelines set forth in the Actuarial Standards of Practice.

In preparing the results, our Actuaries used commercially available software (ProVal) developed by Winklevoss Technologies, LLC. This software is widely used for the purpose of performing Actuarial Valuations. Our Actuaries coded the plan provisions, assumptions, methods, and demographic data summarized in this report, and reviewed the liability and cost outputs for reasonableness. We are not aware of any material weaknesses or limitations in the software, and have determined it is appropriate for performing this valuation.

To the best of our knowledge, all calculations are in accordance with the applicable funding requirements, and the procedures followed and presentation of results conform to generally accepted actuarial principles and practices as prescribed by the Actuarial Standards Board. The undersigned consultants of Lauterbach & Amen, LLP, with actuarial credentials, meet the Qualification Standards of the American Academy of Actuaries to render this Actuarial Certification. There is no relationship between the City of Rock Island, Illinois and Lauterbach & Amen, LLP that impairs our objectivity.

Respectfully Submitted,

LAUTERBACH & AMEN, LLP

Todd A. Schroeder

Todd A. Schroeder, ASA, FCA, EA, MAAA

Robert L. Rietz, Jr., FCA, EA, MAAA

MANAGEMENT SUMMARY

Recommended Contribution
Funded Status
Management Summary – Comments and Analysis
Actuarial Recommended Contribution – Reconciliation

RECOMMENDED CONTRIBUTION

	Prior Valuation*	Current Valuation
Recommended Contribution	$4,510,003	$5,128,598
Expected Payroll	$4,894,909	$5,128,676
Recommended Contribution as a Percent of Expected Payroll	92.14%	100.00%

The Recommended Contribution has Increased by $618,595 from the Prior Valuation.

FUNDED STATUS

	Prior Valuation*	Current Valuation
Normal Cost	$1,143,748	$1,154,437
Fair Value of Assets	$28,567,210	$24,542,864
Actuarial Value of Assets	$27,441,544	$26,997,150
Actuarial Accrued Liability	$85,870,319	$83,685,290
Unfunded Actuarial Accrued Liability/(Surplus)	$58,428,775	$56,688,140
Percent Funded		
Actuarial Value of Assets	31.96%	32.26%
Fair Value of Assets	33.27%	29.33%

The Percent Funded has Increased by 0.30% on an Actuarial Value of Assets Basis.

*The prior valuation was completed by Nyhart.

MANAGEMENT SUMMARY – COMMENTS AND ANALYSIS

Contribution Results

The Recommended Contribution is based on the selected Funding Policy and methods that are outlined in the *Actuarial Funding Policies* section of this report.

"Contribution Risk" is defined by the Actuarial Standards of Practice as the potential for actual future contributions to deviate from expected future contributions. For example, when actual contributions are not made in accordance to the Plan's Funding Policy, or when future experience deviates materially from assumed. While it is essential for the Actuary and Plan Sponsor to collaborate on implementing a sound and financially feasible Funding Policy, it is important to note that the Actuary is not required, and is not in the position to, evaluate the ability or willingness of the Plan Sponsor to make the Recommended Contribution under the selected Funding Policy.

As a result, while Contribution Risk may be a significant source of risk for the Plan, this Actuarial Valuation makes no attempt to assess the impact of future contributions falling short of those recommended under the selected Funding Policy. Notwithstanding the above, see the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact on the current Recommended Contribution of any contribution shortfalls or excesses from the prior year.

Defined Benefit Plan Risks

Asset Growth:

Pension funding involves preparing Fund assets to pay for benefits when Members retire. During their working careers, assets grow with contributions and investment earnings; and then, the Pension Fund distributes assets in retirement. Based on the Plan's current mix of Members and Funded Status, the Plan should experience positive asset growth, on average, if the Recommended Contributions are made and expected investment earnings come in. In the current year, the Fund asset growth was negative by approximately $4,024,300.

Asset growth is important in the long-term. Long-term cash flow out of the Pension Fund is primarily benefit payments, and expenses are a smaller portion. The Plan should monitor the impact of expected benefit payments on future asset growth. We assess and project all future benefit payments as part of the determination of liability. The assessment is made on all current Members of the Fund, both active and inactive. For active Members, the assessment includes the probability that Members terminate or retire and begin receiving benefits. In the next 5 years, benefit payments are anticipated to increase 15-20%, or approximately $940,800. In the next 10 years, the expected increase in benefit payments is 35-40%, or approximately $1,816,400. The estimated increase in benefit payments is being compared against the benefits paid to inactive Members during the fiscal year, excluding any refunds of Member Contributions.

Furthermore, plans with a large number of inactive Members have an increased "Longevity Risk". Longevity Risk is the possibility that inactive Members may live longer than projected by the Plan's mortality assumption. As shown in the previous paragraph, benefit payments are expected to increase over the next 5-year and 10-year horizons. The projected increases assume that current inactive Members pass away according to the Plan's mortality assumption. To the extent that current inactive Members live longer than expected, the future 5-year and 10-year benefit projections may be larger than the amounts disclosed in the previous paragraph. Higher levels of benefit payments, payable for a longer period of time, may cause a significant strain on the Plan's cash flow, future Recommended Contributions, and may lead to Plan insolvency.

Unfunded Liability:
Unfunded Liability represents the financial shortfall of the Actuarial Value of Assets compared to the Actuarial Accrued Liability. To the extent that Unfunded Liability exists, the Plan is losing potential investment earnings due to the financial shortfall. Contributions towards Unfunded Liability pay for the lost investment earnings, as well as the outstanding unfunded amount. If payments towards Unfunded Liability are not made, the Unfunded Liability will grow.

In the early 1990s, many Pension Funds in Illinois adopted an increasing payment towards Unfunded Liability due to a change in legislation. The initial payment decreased, and future payments are anticipated to increase annually after that. In many situations, payments early on were less than the interest on Unfunded Liability, which means that Unfunded Liability increased even though contributions were made at the recommended level.

The current Recommended Contribution includes a payment towards Unfunded Liability that is approximately $436,600 greater than the interest on Unfunded Liability. All else being equal, and contributions being made, Unfunded Liability is expected to decrease. The Employer and Fund should anticipate improvement in the current Percent Funded in the short-term. The Employer and Fund should understand this impact as we progress forward to manage expectations.

Actuarial Value of Assets:
The Pension Fund smooths investment returns that vary from expectations over a 5-year period. The intention is that investment returns for purposes of recommended funding are a combination of several years. The impact is intended to smooth out the volatility of Recommended Contributions over time, but not necessarily increase or decrease the level of contributions over the long-term.

When investment returns are smoothed, there are always gains or losses on the Fair Value of Assets that are going to be deferred for current funding purposes, and recognized in future years. Currently, the Pension Fund is deferring approximately $2,454,300 in losses on the Fair Value of Assets. These are asset losses that will be recognized in upcoming periods, independent of the future performance of the Fair Value of Assets.

In the current valuation, we have recognized approximately $1,044,300 in additional asset losses from the past five years in order to keep the Actuarial Value of Assets within 10% of the Fair Value of Assets. See the *Development of the Actuarial Value of Assets* section of this report for more details.

Cash Flow Risk:
Assets, liabilities, and Funded Status are good metrics to monitor over time to assess the progress of the Funding Policy. However, these metrics may provide limited forward-looking insights. Specifically, the maturity of a Pension Fund can pose certain risks that often cannot be assessed with a point-in-time metric such as Percent Funded.

For example, two different Pension Funds could have the same Percent Funded, but have completely different risk profiles. One Fund might mostly cover active Members with little to no Members in pay status, whereas a second Fund might mostly cover inactive Members with a significant level of annual benefit payments. The latter Fund has a greater "Cash Flow Risk", i.e. a more significant chance that negative cash flows could lead to a deteriorating, rather than improving, Percent Funded over time.

It is important to note that, in general, positive net cash flows are good, but also need to be sufficient to cover the growth in the liabilities (i.e. the Normal Cost as well as interest on the Actuarial Accrued Liability). Typically, when cash flows are assumed to be insufficient to cover the growth in liabilities, the Percent Funded will decline, while future Recommended Contributions will increase.

For this Plan, the Fair Value of Assets is less than the Actuarial Accrued Liability for inactive Members. The Fund assets and anticipated investment earnings are not sufficient to cover the benefits payable to the current inactive Members. In addition, there is currently no money set aside for active Member liability. There are two consequences. First, we are limiting the impact of investment earnings on accruing money for the active Members due to utilizing those dollars to pay for the current inactive Members. Second, there is Cash Flow Risk that exists in that a higher portion of the assets is needed to keep up with cash flow out for benefit payments, and a higher relative investment return is required to keep cash flow positive in any given year.

Benefit Payment Risk:
Ideally, plans in a sound financial position will have the ratio of annual benefits payments to the Fair Value of Assets to be less than the Expected Rate of Return on Investments assumption (i.e. 7.00%). Theoretically, in this case it can be considered that investment returns will fully cover the annual benefit payments, and therefore, all Employer and Member Contributions made to the Fund will be used to pay for future benefit accruals and pay down the existing Unfunded Liability. To the extent that the ratio of the annual benefit payments to the Fair Value of Assets increases to above the Expected Rate of Return on Investments assumption, the Plan may experience some additional risks, such as the need to keep assets in more liquid investments, inability to pay down Unfunded Liability, and may lead to Plan insolvency.

As of the Valuation Date, the Rock Island Firefighters' Pension Fund has a ratio of benefit payments to the Fair Value of Assets of 19.93%. In this case, there is considerable concern about the long-term sustainability of the Plan. Significant changes to the Funding Policy should be considered in order for the Plan Sponsor to have the ability to pay all future benefit obligations.

Fund Assets

The results in this report are based on the assets held in the Pension Fund. Assets consist of funds held for investment and for benefit payments as of the Actuarial Valuation Date. In addition, assets may be adjusted for other events representing dollars that are reasonably expected to be paid out from the Pension Fund or deposited into the Pension Fund after the Actuarial Valuation Date as well.

The current Fund assets are audited.

The Fund Assets Used in this Report are Audited.

The Actuarial Value of Assets under the Funding Policy is equal to the Fair Value of Assets, with unexpected gains and losses smoothed over 5 years. More detail on the Actuarial Value of Assets can be found in the *Actuarial Funding Policies* section of this report.

<u>Demographic Data</u>
Demographic factors can change from year to year within the Pension Fund. Changes in this category include hiring new Members, Members retiring or becoming disabled, inactive Members passing away, and other changes. Demographic changes can cause an actuarial gain (contribution that is less than expected compared to the prior year) or an actuarial loss (contribution that is greater than expected compared to the prior year).

Demographic gains and losses occur when the assumptions over the one-year period for Member changes do not meet our long-term expectation. For example, if no Members become disabled during the year, we would expect a liability gain. If more Members become disabled than anticipated during the year, we would expect a liability loss. Generally, we expect short-term fluctuations in demographic experience to create gains or losses of 1%-3% of the Actuarial Accrued Liability in any given year, but to balance out in the long-term.

"Demographic Risk" occurs when Plan demographic experience differs significantly from expected. Similar to Longevity Risk discussed previously, additional risk is created when demographic experience differs from the assumed rates of disability, retirement, or termination. Under the chosen assumptions, actuarial gains and/or losses will always occur, as the assumptions will never be exactly realized. However, the magnitude of the gain and/or loss and its influence on the Recommended Contribution largely depends on the size of the Plan.

A key Demographic Risk is mortality improvement differing from expected. While the actuarial assumptions reflect small, continuous improvements in mortality experience and these assumptions are refined upon the completion of each actuarial experience study, the risk arises because there is a possibility of a sudden shift in mortality experience. This report reflects the impact of COVID-19 experience that has been accounted for in the underlying demographic data. This report does not reflect the ongoing impact of COVID-19, which is likely to influence demographic and economic experience, at least in the short-term. We will continue to monitor these developments and their impact on the Plan. Actual future experience will be reflected in each subsequent Actuarial Valuation, as experience emerges.

Based on the number of active Members in the Plan, the Recommended Contribution has a low risk of having a significant increase due to demographic experience. For example, 1 new disabled Member would typically generate a substantial increase to the Actuarial Accrued Liability. However, due to the size of the Plan, there is an appropriate means to absorb demographic losses without causing a significant increase to the Recommended Contribution.

In the current report, the key demographic changes were as follows:

New Hires: There were 3 Members of the Fund who were hired during the year. When a Member is admitted to the Pension Fund, the Employer Contribution will increase to reflect the new Member. The increase in the Recommended Contribution in the current year due to the new Member experience is approximately $16,100.

Mortality: There were 2 retirees who passed away during the year, both of whom had an eligible surviving spouse. Also, there were 3 surviving spouses who passed away during the year. When a retiree passes away, the Fund liability will decrease as the Pension Fund will no longer make future payments to the retiree. If there is an eligible surviving spouse, the Fund liability will increase to represent the value of the expected payments that will be made to the spouse. When a surviving spouse passes away, the Fund liability will decrease as the Pension Fund will no longer make future payments to the surviving spouse.

As inactive Members age and continue to collect benefits, the Fund liability will also increase. In the current year, there were 87 inactive Members who maintained their benefit collection status throughout the year. The net decrease in the Recommended Contribution in the current year due to the mortality experience is approximately $18,300.

Salary Increases: Salary increases were less than anticipated in the current year. This caused a decrease in the Recommended Contribution in the current year of approximately $1,600.

Assumption Changes

In the current valuation, the actuarial assumptions were changed to the rates shown in the *Actuarial Assumptions* section of this report. The changes were made based on a study of Firefighters and Firefighter Pension Funds in Illinois. The assumptions impacted include:

- Retirement Rates
- Termination Rates
- Disability Rates
- Mortality Rates
- Mortality Improvement Rates

Also, we have updated the mortality assumption to include mortality improvements as stated in the MP-2019 table. In addition, the rates are being applied on a fully generational basis. See the *Actuarial Assumptions* section of this report for more details on the specific mortality updates made. Also, we have updated the duty disability and duty death assumptions.

In the current valuation, the individual pay increases assumption has been updated based on a review of the Agreement between the City of Rock Island, Illinois and Local #26, International Association of Firefighters, AFL-CIO, CLC for the period January 1, 2019 through December 31, 2023.

The assumption changes stated above were made to better reflect the future anticipated experience of the Fund. See the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of these changes on the current valuation.

Plan Changes

Late in 2022, the IDOI Public Pension Division issued an unofficial opinion that Tier II disabled Members are entitled to an initial COLA increase on the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary equal to the lesser of 3% of the original benefit or ½ CPI-U. The prior interpretation from the IDOI Public Pension Division was that Tier II disabled members were entitled to an initial COLA increase on the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary equal to 3% of the original monthly benefit for each full year that has passed since the pension began. In accordance with the new opinion, we have included a change in liability due to a change in the substantive plan, which includes written provisions as well as administrative interpretations. See the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of this change on the current valuation.

Funding Policy Changes

The Funding Policy was changed from the prior valuation. The payments towards Unfunded Liability in the prior valuation were being amortized over a 19-year period as a level percentage of payroll targeting 90% funded. In the current valuation, we have updated the Funding Policy so that the payments towards Unfunded Liability are being amortized over an 18-year period as a level percent of payroll targeting 100% funded. See the *Actuarial Funding Policies* section of this report for more details on the current Funding Policy the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of this change on the current valuation.

Output Smoothing

Contributions are determined annually by allocating dollars over a specified period of time. Procedures that are used to allocate contributions over a period of time may include asset smoothing, amortization period, and output smoothing. Each procedure becomes part of the Actuarial Methodology. Output smoothing involves measuring the impact of a specific result on a contribution and recognizing the result. The final contribution should maintain a reasonable relationship to the full Actuarially Determined Contribution.

The current results shown throughout the report reflect the full Actuarially Determined Contribution.

ACTUARIAL RECOMMENDED CONTRIBUTION – RECONCILIATION

Actuarial Accrued Liability is expected to increase each year for both interest for the year and as active Members earn additional service years towards retirement. Similarly, Actuarial Accrued Liability is expected to decrease when the Fund pays benefits to inactive Members.

Contributions are expected to increase as expected pay increases under the Funding Policy for the Fund.

Other increases or decreases in Actuarial Accrued Liability (key changes noted below) will increase or decrease the amount of Unfunded Liability in the Plan. To the extent that Unfunded Liability increases or decreases unexpectedly, the contribution towards Unfunded Liability will also change unexpectedly.

	Actuarial Liability	Recommended Contribution
Salary Increases Less than Expected	$ 5,075	$ (1,636)
Actuarial Experience	(259,458)	(17,223)
Assumption Changes	(3,934,082)	(490,294)
Plan Changes	(31,828)	(8,804)
Funding Policy Changes	-	650,254
Investment Return Less than Expected*	-	123,628
Contributions Less than Expected	-	25,124
Total Increase/(Decrease)	$ (4,220,293)	$ 281,049
Current Valuation	$ 83,685,290	$ 5,128,598

*Impact on the Recommended Contribution due to investment return is on an Actuarial Value of Assets basis.

The Total Increase/(Decrease) shown above is based on several factors for the current year but does not include expected changes. See the *Recommended Contribution* section of this report for the increase in the Recommended Contribution from the prior valuation.

The Actuarial Experience can be attributable to several factors including, but not limited to, demographic changes and benefit payment experience compared to expectation. Key demographic changes were discussed in the *Demographic Data* section of this report.

VALUATION OF FUND ASSETS

Fair Value of Assets
Fair Value of Assets (Gain)/Loss
Development of the Actuarial Value of Assets
Actuarial Value of Assets (Gain)/Loss
Historical Asset Performance

Fair Value of Assets

Statement of Assets

	Prior Valuation	Current Valuation
Cash and Cash Equivalents	$ 3,331,067	$ 563,442
Fixed Income	8,449,842	-
Insurance Contracts - Separate	1,098,275	-
Pooled Investment Accounts	-	23,899,678
Mutual Funds	15,532,006	-
Receivables (Net of Payables)	156,020	79,744
Total Fair Value of Assets	$ 28,567,210	$ 24,542,864

The Total Fair Value of Assets has Decreased by Approximately $4,024,300 from the Prior Valuation.

Statement of Changes in Assets

Total Fair Value of Assets - Prior Valuation	$ 28,567,210
Plus - Employer Contributions	4,080,438
Plus - Member Contributions	457,822
Plus - Return on Investments	(3,611,370)
Less - Benefit Payments and Refunds	(4,891,558)
Less - Other Expenses	(59,678)
Total Fair Value of Assets - Current Valuation	$ 24,542,864

The Rate of Return on Investments on a Fair Value of Assets Basis for the Fund was Approximately (12.93%) Net of Administrative Expense.

The Rate of Return on Investments shown above has been determined as a percent of the average of the prior and current Fair Value of Assets on the Statement of Changes in Assets. The Return on Investments is net of Other Expenses, and has been excluded from the Total Fair Value of Assets at the end of the Fiscal Year for this calculation.

FAIR VALUE OF ASSETS (GAIN)/LOSS

Current Year (Gain)/Loss on Fair Value of Assets

Total Fair Value of Assets - Prior Valuation	$ 28,567,210
Employer and Member Contributions	4,538,260
Benefit Payments and Refunds	(4,891,558)
Expected Return on Investments	1,987,339
Expected Total Fair Value of Assets - Current Valuation	30,201,251
Actual Total Fair Value of Assets - Current Valuation	24,542,864
Current Fair Value of Assets (Gain)/Loss	$ 5,658,387

The Actual Return on Investments on a Fair Value of Assets Basis was Less than Expected for the Current Year.

Expected Return on Investments	$ 1,987,339
Actual Return on Investments (Net of Expenses)	(3,671,048)
Current Fair Value of Assets (Gain)/Loss	$ 5,658,387

The (Gain)/Loss on the current Fair Value of Assets has been determined based on the Expected Rate of Return on Investments as shown in the *Actuarial Assumptions* section of this report.

DEVELOPMENT OF THE ACTUARIAL VALUE OF ASSETS

Total Fair Value of Assets - Current Valuation		$ 24,542,864
Adjustment for Prior (Gains)/Losses		
	Full Amount	Deferral
FYE 12/31/2022	$ 5,658,387	4,526,710
FYE 12/31/2021	(679,283)	(407,570)
FYE 12/31/2020	(527,333)	(210,933)
FYE 12/31/2019	(2,048,039)	(409,608)
Total Deferred (Gain)/Loss		3,498,599
Initial Actuarial Value of Assets - Current Valuation		$ 28,041,463
Less Contributions for the Current Year and Interest		-
Adjustment for the Corridor		(1,044,313)
Total Actuarial Value of Assets - Current Valuation		$ 26,997,150

The Actuarial Value of Assets is Equal to the Fair Value of Assets with Unanticipated (Gains)/Losses Recognized Over 5 Years. The Actuarial Value of Assets is 110.00% of the Fair Value of Assets.

In the current valuation, we have recognized $1,044,313 in additional asset losses from the past five years in order to keep the Actuarial Value of Assets within 10% of the Fair Value of Assets.

ACTUARIAL VALUE OF ASSETS (GAIN)/LOSS

Total Actuarial Value of Assets - Prior Valuation	$ 27,441,544
Plus - Employer Contributions	4,080,438
Plus - Member Contributions	457,822
Plus - Return on Investments	(31,418)
Less - Benefit Payments and Refund	(4,891,558)
Less - Other Expenses	(59,678)
Total Actuarial Value of Assets - Current Valuation	$ 26,997,150

The Rate of Return on Investments on an Actuarial Value of Assets Basis for the Fund was Approximately (0.33%) Net of Administrative Expense.

The Actuarial Value of Assets incorporates portions of gains and losses over multiple years.

HISTORICAL ASSET PERFORMANCE

The chart below shows the historical Rates of Return on Investments for both Fair Value of Assets and Actuarial Value of Assets.

	Fair Value of Assets	Actuarial Value of Assets
FYE 12/31/2022	(12.93%)	(0.33%)

The historical Rates of Return on Investments shown above were calculated based on the annual Return on Investments, as a percentage of the average value of the assets for the year. The historical Rates of Return on Investments shown above may not reflect the current investment allocation of the Pension Fund.

For purposes of determining the average value of assets for the year, the ending Fair Value of Assets has been adjusted to net out to the portion related to the Return on Investments themselves. All other cash flows are included.

For purposes of determining the annual Return on Investments we have adjusted the figures shown on the preceding pages. The figures shown on the preceding pages are net of Investment Expenses. We have made an additional adjustment to net out Administrative Expenses. Netting out Administrative Expenses allows us to capture returns for the year that can be used to make benefit payments as part of the ongoing actuarial process.

The adjustments we made are for actuarial reporting purposes only. By netting out Administrative Expenses and capturing Return on Investments that are available to pay benefits, it provides us a comparison to the Expected Rate of Return on Investments, but does not provide a figure that would be consistent with the rates of return that are determined by other parties. Therefore, this calculated Return on Investments should not be used to analyze investment performance of the Fund or the performance of the investment professionals.

Expected Rate of Return on Investments Assumption

The Expected Rate of Return on Investments for this valuation is 7.00%. Lauterbach & Amen, LLP does not provide investment advice. We look at a variety of factors when reviewing the Expected Rate of Return on Investments assumption selected by the client. These factors include: historical Rates of Return on Investments, capital market projections performed by the Consolidated Board's investment advisors, the Consolidated Board's investment policy, capital market forward-looking benchmark expected returns by independent investment companies, rates used by comparable pension systems, and other factors identified in the Actuarial Standards of Practice.

Generally speaking, the ideal assumption for Expected Rate of Return on Investments is one that has a 50% chance of being met over the long-term. Recently, we have observed the following factors that impact Expected Rate of Return on Investments:

- Volatility in the market has been high which drags down long-term geometric returns.

- Similar pension systems are looking to reduce future expectations. We generally see about 95% of similar pension systems using an Expected Rate of Return on Investments that is between 6.25% and 7.50%.

- We have reviewed studies conducted by Firms who gather information from multiple investment advisors who provide models and opinions on capital market returns. Those studies help guide us to see if the assumption is expected to have a 50% chance of being met over the long-term. Plans are generally aiming towards 40^{th} to 60^{th} percentile returns, which can help define a range of reasonableness.

- We have reviewed an index of high-quality fixed income rates that takes into consideration the pattern of your benefit payments. The purpose of the review is to provide additional disclosure in Funding Actuarial Valuations for the Low-Default-Risk Obligation Measure. The rates in this measure are low-risk and are being used as an approximate for risk-free rates. Investment funds that incorporate diversified investments which build in more risk would be expected to earn a positive risk premium, over and above the risk-free rates.

If actual returns going forward come in less than expected, the pension system risks deferring contributions to the future that should be made today and creating additional contribution volatility. Below is a chart detailing the impact on the Recommended Contribution by decreasing or increasing the Expected Rate of Return on Investments by 25 basis points:

	0.25% Decrease (6.75%)	**Current Expected Rate of Return on Investments (7.00%)**	**0.25% Increase (7.25%)**
Recommended Contribution	\$5,303,990	\$5,128,598	\$4,960,764

Currently, the client has selected an Expected Rate of Return assumption that falls within a reasonable range. We recommend the client review the Expected Rate of Return on Investments annually to ensure the selected rate remains within a reasonable range as market conditions change year-to-year.

"Investment Risk" is the potential that the actual Return on Investments will be different from what is expected. The selected Expected Rate of Return on Investments assumption is chosen to be a long-term assumption, producing a return that, on average, would produce a stable rate of return over a long-term horizon. Actual investment returns in the short-term may deviate from this long-term assumption due to current market conditions. Furthermore, establishing the Expected Rate of Return on Investments assumption may be dependent on the Illinois State Statutes pertaining to the limitations on types of investments Plan Sponsors may use. If the actual annual rates of return are less than the Expected Rate of Return on Investments, actuarial losses will be produced, thus increasing the Plan's Unfunded Liability and, subsequently, future Recommended Contributions.

"Asset/Liability Mismatch" risk is a similar concept as Investment Risk, as it relates to setting the Expected Rate of Return on Investments assumption compared to the actual Return on Investments achieved. The Interest Rate used to discount future Plan liabilities is set equal to the Expected Rate of Return on Investments. It is expected that the selected Interest Rate be a rate that is reasonably expected to be achieved over the long-term. To the extent that the selected Interest Rate to value Plan liabilities is unreasonable, or significantly different than the actual Return on Investments earned over an extended period of time, additional Interest Rate risk is created. For example, determining Plan liabilities at an Interest Rate higher than what is expected to be achieved through investment returns results in Unfunded Liability that is not a true representation of the Plan's condition and Percent Funded. As a result, the Actuarial Accrued Liability determined is an amount smaller than the liability that would be produced with an Interest Rate more indicative of future Expected Rate of Return on Investments. Therefore, the Recommended Contributions under the established Funding Policy may not be sufficient to appropriately meet the true pension obligations.

RECOMMENDED CONTRIBUTION DETAIL

Actuarial Accrued Liability
Funded Status
Development of the Employer Normal Cost
Normal Cost as a Percentage of Expected Payroll
Recommended Contribution Breakdown
Schedule of Amortization – Unfunded Actuarial Accrued Liability
Actuarial Methods – Recommended Contribution

ACTUARIAL ACCRUED LIABILITY

	Current Valuation
Active Members	$ 25,983,671
Inactive Members	
Terminated Members	127,529
Retired Members	43,085,816
Disabled Members	5,638,088
Other Beneficiaries	8,850,186
Total Inactive Members	57,701,619
Total Actuarial Accrued Liability	$ 83,685,290

The Total Actuarial Accrued Liability has Decreased from the Prior Valuation (See Management Summary).

FUNDED STATUS

	Current Valuation
Total Actuarial Accrued Liability	$ 83,685,290
Total Actuarial Value of Assets	26,997,150
Unfunded Actuarial Accrued Liability	$ 56,688,140
Total Fair Value of Assets	$ 24,542,864
Percent Funded	
Actuarial Value of Assets	32.26%
Fair Value of Assets	29.33%

The Percent Funded as of the Actuarial Valuation Date is Subject to Volatility on Assets and Liability in the Short-Term.

DEVELOPMENT OF THE EMPLOYER NORMAL COST

	Current Valuation
Total Normal Cost	$ 1,154,437
Estimated Member Contributions	(477,999)
Employer Normal Cost	$ 676,438

At a 100% Funding Level, the Normal Cost Contribution is Still Required.

NORMAL COST AS A PERCENTAGE OF EXPECTED PAYROLL

	Current Valuation
Expected Payroll	$ 5,128,676
Member Normal Cost Rate	9.455%
Employer Normal Cost Rate	13.05%
Total Normal Cost Rate	22.51%

Ideally, the Employer Normal Cost Rate will Remain Stable.

RECOMMENDED CONTRIBUTION BREAKDOWN

	Current Valuation
Employer Normal Cost*	$ 723,789
Amortization of Unfunded Accrued Liability/(Surplus)	4,404,809
Recommended Contribution	$ 5,128,598

The Recommended Contribution has Increased from the Prior Valuation (See Management Summary).

*Employer Normal Cost Contribution includes interest through the end of the Fiscal Year.

SCHEDULE OF AMORTIZATION – UNFUNDED ACTUARIAL ACCRUED LIABILITY

Below is the schedule of remaining amortization balances for the Unfunded Liability.

Unfunded Liability Base	Initial Balance	Date Established	Current Balance	Years Remaining	Payment
Investment (Gain)/Loss	$ 1,866,595	12/31/2022	$ 1,866,595	18	$ 145,039
Actuarial (Gain)/Loss	(6,684)	12/31/2022	(6,684)	18	(520)
Contribution Experience	(450)	12/31/2022	(450)	18	(35)
Assumption Changes	(3,934,082)	12/31/2022	(3,934,082)	18	(305,688)
Plan Changes	(31,828)	12/31/2022	(31,828)	18	(2,473)
Initial Unfunded Liability	$ 58,428,774	12/31/2021	$ 58,794,589	18	$ 4,568,486
Total	**$ 56,322,325**		**$ 56,688,140**		**$ 4,404,809**

The Actuarial (Gain)/Loss can be attributable to several factors including, but not limited to, demographic changes, Employer Contribution timing, Member Contribution experience, benefit payment experience, and salary increase experience compared to expectation.

ACTUARIAL METHODS – RECOMMENDED CONTRIBUTION

Actuarial Valuation Date	January 1, 2023
Data Collection Date	December 31, 2022
Actuarial Cost Method	Entry Age Normal (Level % Pay)
Amortization Method	Level % Pay (Closed)
Amortization Target	100% Funded Over 18 Years
Asset Valuation Method	5-Year Smoothed Fair Value

The above methods constitute a sound Actuarially Determined Contribution under the parameters of Actuarial Standards of Practice.

The contributions and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described. The Actuarial Cost and Amortization Methods allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

The Recommended Contribution amount shown in this report is based on the methods summarized above. The *Actuarial Funding Policies* section of this report includes a more detailed description of the Actuarial Funding Methods being used.

The Actuarial Funding Methods are meant to provide a systematic process for determining contributions on an annual basis. The methods do not impact the expectation of future benefit payments. The methods only impact the way contributions are made towards future benefit payments.

Different Actuarial Funding Methods may achieve funding goals with differing levels of success. Certain methods are more efficient and more stable on an annual basis.

In the current valuation, the Plan Sponsor has elected to use a 10% corridor in the determination of the Actuarial Value of Assets for both the Recommended and Alternative Contributions. In the event that the Actuarial Value of Assets exceeds 110% of the Fair Value of Assets or falls below 90% of the Fair Value of Assets, the excess gains or losses will be recognized immediately.

ALTERNATIVE CONTRIBUTION

Alternative Contribution
Funded Status – Alternative Contribution
Actuarial Methods – Alternative Contribution

ALTERNATIVE CONTRIBUTION

	Current Valuation
Alternative Contribution	$4,471,145
Expected Payroll	$5,128,676
Alternative Contribution as a Percent of Expected Payroll	87.18%

FUNDED STATUS – ALTERNATIVE CONTRIBUTION

	Current Valuation
Normal Cost	$1,065,011
Fair Value of Assets	$24,542,864
Actuarial Value of Assets	$26,997,150
Actuarial Accrued Liability	$84,950,790
Unfunded Actuarial Accrued Liability/(Surplus)	$57,953,640
Percent Funded	
Actuarial Value of Assets	31.78%
Fair Value of Assets	28.89%

ALTERNATIVE CONTRIBUTION

The Alternative Contribution is based on Actuarial Funding Methods and funding parameters outlined in the Illinois State Statutes for pension funding. The resulting contribution is lower than the Recommended Contribution for the current year. The Alternative Contribution amount is not recommended because it represents only a deferral of contributions when compared to the Recommended Contribution method.

Actuarial Funding Methods for pensions are best applied to provide a balance between the long-term goals of a variety of stakeholders:

1. Members – the Members are interested in benefit security and having the funds available to pay benefits when retired

2. Employers – cost control and cost stability over the long-term

3. Taxpayers – paying for the services they are receiving from active Members

The Alternative Contribution methods are not intended to provide a better system in any of the above categories long-term. The parameters are not recommended for a long-term funding strategy.

The funding methods and parameters put into place in the Illinois State Statutes in 2011 were intended to provide short-term budget relief for Employer Contributions. An Employer using the parameters outlined in the Illinois State Statutes for current funding should view the contributions as short-term relief. Our recommendation in this situation is for a Pension Fund and an Employer to work towards a long-term funding strategy that better achieves the long-term funding goals, over a period that does not exceed 3-5 years.

The Securities and Exchange Commission in 2013 used the phrase “Statutory Underfunding” to describe situations where contributions appear to be more manageable in the short-term, but set up future Recommended Contributions that are less likely to be manageable.

ACTUARIAL METHODS – ALTERNATIVE CONTRIBUTION

Actuarial Valuation Date	January 1, 2023
Data Collection Date	December 31, 2022
Actuarial Cost Method	Projected Unit Credit
Amortization Method	Level % Pay (Closed)
Amortization Target	90% Funded Over 18 Years
Asset Valuation Method	5-Year Smoothed Fair Value

The contribution and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described. The Actuarial Cost and Amortization methods allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

The Actuarial Funding Methods are meant to provide a systematic process for determining contributions on an annual basis. The methods do not impact the expectation of future benefit payments. The methods only impact the way contributions are made towards future benefit payments.

Different Actuarial Funding Methods may achieve funding goals with differing levels of success. Certain methods are more efficient and more stable on an annual basis.

The guidelines in the Illinois State Statutes for pension funding are silent on the use of a corridor on the Fair Value of Assets in determination of the Actuarial Value of Assets. In the current valuation, the Plan Sponsor has elected to use a 10% corridor in the determination of the Actuarial Value of Assets for both the Recommended and Alternative Contributions. In the event that the Actuarial Value of Assets exceeds 110% of the Fair Value of Assets or falls below 90% of the Fair Value of Assets, the excess gains or losses will be recognized immediately.

ACTUARIAL VALUATION DATA

Active Members
Inactive Members
Summary of Monthly Benefit Payments
Age and Service Distribution

ACTIVE MEMBERS

	Current Valuation
Tier I	37
Tier II	22
Total Active Members	59
Total Payroll	$ 5,040,468

INACTIVE MEMBERS

	Current Valuation
Terminated Members	15
Retired Members	54
Disabled Members	10
Other Beneficiaries	26
Total Inactive Members	105

SUMMARY OF MONTHLY BENEFIT PAYMENTS

	Current Valuation
Retired Members	$ 273,468
Disabled Members	38,398
Other Beneficiaries	90,711
Total Inactive Members	$ 402,577

AGE AND SERVICE DISTRIBUTION

1/1/2023 Age and Service Distribution - Tier 1|Tier 2 Active Members												
	Service	Under 1	1 to 4	5 to 9	10 to 14	15 to 19	20 to 24	25 to 29	30 to 34	35 to 39	40 & up	Total
Age												
Under 25		0|2	0|2									0|4
25 to 29		0|1	0|1	0|1								0|3
30 to 34			0|5	0|5	0|1							0|11
35 to 39			0|1	0|1	2|2	2|0						4|4
40 to 44					1|0	3|0	3|0					7|0
45 to 49					1|0	5|0	4|0					10|0
50 to 54						3|0	4|0	3|0				10|0
55 to 59								4|0	1|0			5|0
60 to 64								1|0				1|0
65 to 69												
70 & up												
Total		0|3	0|9	0|7	4|3	13|0	11|0	8|0	1|0			37|22

ACTUARIAL FUNDING POLICIES

Actuarial Cost Method
Financing Unfunded Actuarial Accrued Liability
Actuarial Value of Assets

ACTUARIAL COST METHOD

The Actuarial Cost Method allocates the projected obligations of the Plan over the working lifetimes of the Plan Members.

In accordance with the Pension Fund's Funding Policy, the Actuarial Cost Method for the Recommended Contribution basis is Entry Age Normal (Level Percent of Pay). The Entry Age Normal Cost Method is a method under which the Actuarial Present Value of the projected benefits of each individual included in an Actuarial Valuation is allocated on a level basis over the earnings or service of the individual between entry age and assumed exit age. The portion of this Actuarial Present Value allocated to a valuation year is called Normal Cost. The portion of the Actuarial Present Value not provided at an Actuarial Valuation Date by the Actuarial Present Value of future Normal Costs is called the Actuarial Accrued Liability.

The Entry Age Normal method attempts to create a level cost pattern. In contrast to other Actuarial Cost Methods which inherently lead to uneven or less predictable cost patterns, the Entry Age Normal method is generally understood to be less risky in terms of contribution stability from year to year.

The Conference of Consulting Actuaries Public Plans Community produced a "white paper" detailing Funding Policy model practices for public sector pension plans. Under the Level Cost Actuarial Methodology ("LCAM"), one of the principal elements to a Funding Policy is the Actuarial Cost Method. When deciding which Actuarial Cost Method to use, several objectives may be considered, such as the following:

- Each Member's benefit should be funded under a reasonable allocation method by the expected retirement date
- Pay-related benefit costs should reflect anticipated pay at retirement
- The expected cost of each year of service (i.e. Normal Cost) for each active Member should be reasonably related to the expected cost of that Member's benefit
- The Member's Normal Cost should emerge as a level percent of Member compensation
- No gains or losses should occur if all assumptions are met.

Following these criteria, the use of the Entry Age Normal Cost Method (Level Percent of Pay) is a model practice.

FINANCING UNFUNDED ACTUARIAL ACCRUED LIABILITY

The Unfunded Actuarial Accrued Liability may be amortized over a period either in level dollar amounts or as a level percentage of projected payroll.

When amortizing the Unfunded Actuarial Accrued Liability as a level percentage of payroll, additional risk is incurred since the amortization payments in the early years of the payment period may not be large enough to cover the interest accrued on the existing Unfunded Liability. As a result, the Unfunded Liability may increase initially, before the amortization payments grow large enough to cover all interest accruals. Generally speaking, the Plan Sponsor will be required to contribute a larger total contribution amount over the course of the funding period under a level percentage of payroll basis as compared to a level dollar payroll schedule.

The Government Finance Officers Association notes that best practices in public pension finance include utilizing amortization periods that do not exceed 20 years. Longer amortization periods elevate the risk of failing to reduce any Unfunded Liability. For example, when the amortization payment in full only covers interest on the Unfunded Liability, but does not reduce the existing Unfunded Liability, the required contribution will increase in future years.

A second principal element under the Level Cost Actuarial Methodology described above is to establish an Amortization Policy that determines the length of time and the structure of the increase or decrease in contributions required to systematically fund the Unfunded Actuarial Accrued Liability. When deciding on the Amortization Policy, several objectives may be considered, such as the following:

- Variations in the source of liability changes (i.e. gains or losses, Plan changes, assumption changes) should be funded over periods consistent with an appropriate balance between the policy objectives of demographic matching and volatility management
- The cost changes in Unfunded Actuarial Accrued Liability should emerge as a level percentage of Member compensation

The LCAM model practices for the Amortization Policy include the following:

- Layered fixed period amortization by source
- Level percent of pay amortization
- An amortization period ranging from 15-20 years for experience gains or losses
- An amortization period of 15-25 years for assumption changes

In accordance with the Pension Fund's Funding Policy for the Recommended Contribution, the Unfunded Actuarial Accrued Liability is amortized by level percent of payroll contributions to a 100% funding target over the remaining 18 years. See the *Actuarial Methods – Recommended Contribution* section of this report for more detail.

We believe that the amortization period is appropriate for the purpose of this valuation.

Actuarial Value of Assets

The Pension Fund is an ongoing plan. The Employer wishes to smooth the effect of volatility in the Fair Value of Assets on the annual contribution. Therefore, the Actuarial Value of Assets is equal to the Fair Value of Assets with unanticipated gains/losses recognized over a five-year period.

The Asset Valuation Method is intended to create an Actuarial Value of Assets that remains reasonable in relation to the Fair Value of Assets over time. The method produces results that can fall either above or below the Fair Value of Assets. The period of recognition is short.

It is intended that the period of recognition is short enough to keep the Actuarial Value of Assets within a decent range of the Fair Value of Assets. In the event that the Actuarial Value of Assets exceeds or falls below a 10% corridor of the Fair Value of Assets, the additional gain or loss will be recognized immediately.

ACTUARIAL ASSUMPTIONS

NATURE OF ACTUARIAL CALCULATIONS

The results documented in this report are estimates based on data that may be imperfect and on assumptions about future events. Certain Plan Provisions may be approximated or deemed immaterial, and, therefore, are not valued. Assumptions may be made about demographic data or other factors. Reasonable efforts were made in this valuation to ensure that significant items in the context of the Actuarial Accrued Liability or costs are treated appropriately, and not excluded or included inappropriately.

Actual future experience will differ from the assumptions used in the calculations. As these differences arise, the expense for accounting purposes will be adjusted in future valuations to reflect such actual experience.

A range of results different from those presented in this report could be considered reasonable. The numbers are not rounded, but this is for convenience only and should not imply precision which is not inherent in actuarial calculations.

SELECTION OF ACTUARIAL ASSUMPTIONS

Actuaries and other service providers provide guidance to their clients in the selection of assumptions used in the Actuarial Valuation based on their industry-specific training and experience. The Actuaries' expertise is used in the determination of demographic assumptions as it relates to future expectations of Plan demographic activity, such as mortality, termination, and retirement rates. The selection of economic assumptions, such as Expected Rate of Return on Investments or the assumed inflation rate, is more subjective. Investment advisors and other services providers utilize their expertise and knowledge of capital markets to model future expectations. Some assumptions may have an influence on other assumptions. The role of the Actuary in the selection of the economic assumptions is to review available market information including historical economic information and forward-looking capital market projections from investment professionals and to assess whether or not sufficient backup exists to deem the assumption reasonable. The selection of economic assumptions is the responsibility of the client. For example, the inflation rate (an economic assumption) may directly correlate to the active member salary increase assumption (a demographic assumption). Once all demographic and economic assumptions have been determined, the Actuary will create various sets of assumptions which take into account the proposed assumptions individually and in the aggregate. The client will then make the final decision of which assumption set to use.

ACTUARIAL ASSUMPTIONS IN THE VALUATION PROCESS

The contributions and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described in the *Actuarial Funding Policies* section of this report.

The principal areas of financial risk which require assumptions about future experience are:

- Expected Rate of Return on Investments
- Patterns of Pay Increases for Members
- Rates of Mortality Among Active and Inactive Members
- Rates of Termination Among Active Members
- Rates of Disability Among Active Members
- Age Patterns of Actual Retirements

Actual experience of the Pension Fund will not coincide exactly with assumed experience. Each valuation provides a complete recalculation of assumed future experience and takes into account all past differences between assumed and actual experience. The result is a continual series of adjustments to the computed Recommended Contribution.

Details behind the selection of the actuarial assumptions can be found in the Actuarial Assumption Summary document provided to the client upon request. The client has reviewed and approved the assumptions as a reasonable expectation of the future anticipated experience under the Plan.

Assessment of Risk Exposures

From time to time it becomes appropriate to modify one or more of the assumptions, to reflect experience trends (but not random year-to-year fluctuations). In addition, Actuarial Standards of Practice require that the Actuary minimally perform a qualitative assessment of key financial and demographic risks as part of the risk assessment process with each annual Actuarial Valuation. The risk assessments we perform include, but are not limited to, the following:

- Periodic demographic experience studies every 3 to 5 years to confirm the ongoing appropriateness of actuarial assumptions
- Highlight the impact of demographic experience over the past year, as well as other sources of change and volatility in the *Actuarial Recommended Contribution – Reconciliation* section of this report
- Detail year-over-year changes in contribution levels, assets, liabilities, and Funded Status in the *Recommended Contribution* and *Funded Status* sections in the *Management Summary* section of this report
- Review any material changes in the demographic data as summarized in the *Actuarial Valuation Data* section of this report
- Provide and discuss the Actuarial Assumption Summary document highlighting the rationale for each key assumption chosen by the client
- Identify potential Cash Flow Risk by highlighting expected benefit payments over the next 5-year and 10-year periods in the *Asset Growth* section in the *Management Summary* section of this report
- Describe the impact of any assumption, method, or policy change in the *Management Summary* section of this report
- Utilize supplemental information, such as the GASB Discount Rate sensitivity disclosures to understand, for example, what impact an alternative Expected Rate of Return on Investments assumption might have on the estimation of Actuarial Accrued Liability and Funded Status
- Utilize supplemental information, such as the GASB solvency test, to better understand the Cash Flow Risk and long-term sustainability of the Plan

Limitations of Risk Analysis

Since future experience may never be precisely as assumed, the process of selecting funding methods and actuarial assumptions may inherently create risk and volatility of results. A more detailed evaluation of the above risk exposures is beyond the scope and nature of the annual Actuarial Valuation process. For example, scenario tests, sensitivity tests, stress tests, and/or stochastic modeling for multi-year projections to assess the impact of alternative assumptions and methods, or modeling future experience different from the assumptions in these results, are not included in this Actuarial Valuation.

The Rock Island Firefighters' Pension Fund and/or the City of Rock Island, Illinois should contact the Actuary if they desire a more detailed assessment of any of these forward-looking risk exposures.

ASSESSMENT AND USE OF ACTUARIAL MODELS

Actuarial Valuations rely upon the use of actuarial modeling software to predict the occurrence of future events, which include specific demographic and financial potential outcomes. Actuarial assumptions are established to provide a guideline to use for such modeling.

- The model used in this Actuarial Valuation is intended to determine the Recommended Contribution, under the selected Funding Policy. The actuarial assumptions used were developed with this goal in mind.
- There are no known material limitations or inconsistencies among the actuarial assumptions or methods.
- The output from the model is reasonable based on the individual actuarial assumptions and based on the actuarial assumptions in the aggregate.
- The actuarial software used to calculate plan liabilities has been purchased from an outside vendor. We have performed thorough testing of the software, including review of sample participants, to ensure the intended purpose of the model, the operation of the model, sensitivities and dependencies, and strengths and limitations of the model are sufficient for this purpose.
- Demographic data and financial information have been provided by client professionals, financial advisors, and/or auditors, who are known to be experts in their respective fields. We rely on the fact that the information provided by these experts has been given for the intended purpose of this Actuarial Valuation.
- Where applicable, certain actuarial assumptions and Funding Policy may be required as prescribed by law. In such instances, we have followed legal guidance to ensure conformity.
- The Expected Rate of Return on Investments assumption has been chosen using input from several sources; including, but not limited to: client professionals, financial advisors, auditors, and other capital market outlooks. We have relied on the information provided, in the aggregate, to settle on the selected Expected Rate of Return on Investments assumption.

As stated in the *Limitations of Risk Analysis* section, future experience may never be precisely as assumed. As a result, the funding methods and actuarial assumptions used in the model may create volatility in the results when compared year after year. A more detailed evaluation of this volatility is beyond the scope and nature of the annual Actuarial Valuation process. In such cases, additional scenario tests, sensitivity tests, stress tests, and/or stochastic modeling for multi-year projections to assess the impact of alternative assumptions and methods, or modeling future experience different from the assumptions in these results, may be performed to determine a range of reasonable results.

ACTUARIAL ASSUMPTIONS UTILIZED

Expected Rate of Return on Investments 7.00% Net of Administrative Expense

CPI-U 2.25%

Total Payroll Increases 3.50%

Individual Pay Increases* 3.75% - 7.25%

Individual pay increases include a long-term average increase for inflation, average annual increases for promotions, and any additional increases for a step program. Sample rates are as follows:

Service	Rate	Service	Rate
0	7.25%	8	3.75%
1	7.25%	9	3.75%
2	7.25%	10	3.75%
3	7.25%	15	3.75%
4	7.25%	20	3.75%
5	3.75%	25	3.75%
6	3.75%	30	3.75%
7	3.75%	35	3.75%

*Individual pay increases for active Members hired at age 40 or older are assumed annual increases at the ultimate rate reduced by 50 basis points, without adjustments in early service years.

Retirement Rates

100% of the L&A Assumption Study for Firefighters 2020 Cap Age 65. Sample rates are as follows:

Age	Rate	Age	Rate
50	7.00%	58	17.15%
51	7.00%	59	17.15%
52	7.00%	60	20.00%
53	7.00%	61	20.00%
54	7.00%	62	20.00%
55	17.15%	63	25.00%
56	17.15%	64	25.00%
57	17.15%	65	100.00%

Termination Rates

100% of the L&A Assumption Study for Firefighters 2020. Sample rates are as follows:

Age	Rate	Age	Rate
25	7.02%	40	1.25%
30	4.07%	45	0.41%
35	2.41%	50	0.00%

Disability Rates

100% of the L&A Assumption Study for Firefighters 2020. Sample rates are as follows:

Age	Rate	Age	Rate
25	0.07%	40	0.54%
30	0.09%	45	0.75%
35	0.27%	50	0.97%

75% of active Members who become disabled are assumed to be in the Line of Duty.

Mortality Rates

Active Mortality follows the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study. Mortality improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

50% of active Member deaths are assumed to be in the Line of Duty.

Retiree Mortality follows the L&A Assumption Study for Firefighters 2020. These rates are experience weighted with the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study improved to 2017 using MP-2019 Improvement Rates. These rates are then improved fully generationally using MP-2019 Improvement Rates.

Disabled Mortality follows the L&A Assumption Study for Firefighters 2020. These rates are experience weighted with the Sex Distinct Raw Rates as developed in the PubS-2010 Study for disabled participants improved to 2017 using MP-2019 Improvement Rates. These rates are then improved fully generationally using MP-2019 Improvement Rates.

Spouse Mortality follows the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study for contingent survivors. For all rates not provided there (ages 45 and younger) the PubG-2010 Study for general employees was used. Mortality improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

Marital Assumptions

Active Members: 80% of active Members are assumed to be married. Female spouses are assumed to be 3 years younger than male spouses.

Retiree and Disabled Members: Actual spousal data was utilized for retiree and disabled Members.

LOW-DEFAULT-RISK OBLIGATION MEASURE

Low-Default-Risk Obligation Measure – Purpose
Low-Default-Risk Obligation Measure
Low-Default-Risk Obligation Measure vs Actuarial Liability

LOW-DEFAULT-RISK OBLIGATION MEASURE - PURPOSE

The Pension Committee of the Actuarial Standards Board adopted changes to Actuarial Standards of Practice No. 4 (“ASOP 4”). ASOP 4 is titled “Measuring Pension Obligations and Determining Pension Plan Costs or Contributions”. The changes were adopted by the Actuarial Standards Board in December 2021 and are effective for reporting and Measurement Dates on or after February 15, 2023.

One change is the requirement for all Funding Actuarial Valuations to include a Low-Default-Risk Obligation Measure (“LDROM”). In its simplest form, the LDROM is a measure of Actuarial Liability determined using a low-risk Expected Rate of Return on Investments. The LDROM is not intended to replace the Actuarial Liability used to determine the Recommended Contribution amount calculated in this report. The intention is to provide additional information on the Funded Status of the Plan and benefit security.

The Low-Default-Risk Obligation Measure is shown below as of the Measurement Date. The discussion that follows provides more information on the assumptions and methods used to determine the LDROM and some interpretation of the results.

LOW-DEFAULT-RISK OBLIGATION MEASURE

	Current Valuation
Low-Default-Risk Obligation Measure	$ 106,579,291
Fair Value of Assets	24,542,864
Obligation not Covered by Current Assets	$ 82,036,427

The Low-Default-Risk Obligation Measure is Not Intended to Replace the Actuarial Liability Used to Determine the Recommended Contribution.

The Obligation not Covered by Current Assets shown above is for illustration of the Low-Default-Risk Obligation Measure only and is not intended for any other purposes. The amount of Obligation not Covered by Current Assets should not be used for pension funding or financial statement reporting purposes. In addition, the Obligation not Covered by Current Assets amount should not be used for any other assessments related to pension funding, such as assessing Unfunded Liability for the purpose of issuing Pension Obligation Bonds. Discussion of any of these items should be handled separately.

Selection of the Discount Rate

Under Actuarial Standards, a Discount Rate should be selected from a source that develops the rate using low-default-risk fixed income securities. In addition, the fixed income securities should be reasonably consistent with the pattern of expected benefit payments from the Fund.

The Low-Default-Risk Obligation Measure has been valued using the FTSE Pension Discount Curve. The FTSE Pension Discount Curve is determined using rates from corporate bonds that are rated AA (from the FTSE U.S. Broad Investment Grade Bond Index) and yields from the FTSE Russell's Treasury model curve. The result is a set of investment grade zero coupon bond rates with maturities from 6 months to 30 years.

The equivalent single discount rate that would produce the same liability as the FTSE Pension Discount Curve is 5.01%.

There are other indices constructed that are appropriate for this disclosure as well. They could produce Discount Rates that are higher or lower than the LDROM shown here. An increase/decrease in the discount rate of 50 basis points (0.50%) would decrease/increase the LDROM by (6.21%)/6.88%, respectively. In our opinion, the FTSE Pension Discount Curve meets the requirements of the disclosure of the LDROM. The curve is constructed using investment grade corporate bonds. In addition, the rates are updated monthly and the current rates used (as of the Measurement Date of this report) are reflective of current market conditions. Finally, the use of a yield curve as opposed to a single rate allows the flexibility for the LDROM to be determined in a manner consistent with the pattern of expected benefit payments.

The Discount Rate is intended for the current Measurement Date only. In order to stay consistent with the prevailing market conditions, the Discount Rate will be assessed and updated each year at each new Measurement Date.

Selection of the Actuarial Cost Method

The Standard requires the use of an immediate-gain Actuarial Cost Method. We have elected to use the Entry Age Normal cost method for measurement of the LDROM. Entry Age Normal is being applied on a percent of pay basis. The Cost Method is the same method used for the determination of the Recommended Contribution in this report.

Other immediate-gain Actuarial Cost Methods are available and acceptable for use in the determination of the LDROM. Other acceptable methods include benefits-based methods and accrued benefit methods. We selected the Entry Age Normal method due to the fact that benefit liability in this Fund is not typically settled with one-time payments. For example, the Plan does not pay lump sums (except refunds of Member Contributions) and is not anticipated to settle liability through the purchase of annuity contracts. Therefore, the usefulness of a benefits-based method is much more limited in interpretation of this measure as it relates to benefit security.

Interpretation of the LDROM

The Low-Default-Risk Obligation Measure is higher than the liability used for the Recommended Contribution determination by $22,894,001.

Actuarial Liability is determined in different ways based on the purpose of the measurement. The Actuarial Liability for the Recommended Contribution purposes is used to develop a contribution amount that, when combined with other sources of funding (including Member Contributions and expected investment returns), would pay all future expected benefits. The expected investment returns under this scenario are based on the current asset allocation and capital market expectations of the Fund. Assets are invested in a way that involves risk. Actual returns can vary significantly year-to-year above and below expectations. The trade-off is a risk-premium over the long-term and above low-risk market rates.

The LDROM, by contrast, is developed using low-risk returns available in the market. These returns could be obtained theoretically with low-risk of deviation from expectation, and lower expectation (i.e. there is no risk-premium). The LDROM, then, can be thought of as the amount of money that should be set aside today to appropriately fund and prepare for all future benefit payments, if the assets were invested in relatively low volatility assets available in the market today.

The expected decrease in the liability for funding purposes as compared to the LDROM can be thought of as cost savings from investing in riskier assets, with higher long-term return expectations. At the same time, this difference also represents a risk factor for the Pension Fund as the Fund is reliant on receiving the expected return on investments, including a risk premium. Contributions, combined with these investment returns, are required in order to fund future benefit payments.

LOW-DEFAULT-RISK OBLIGATION MEASURE VS ACTUARIAL LIABILITY

	Current Valuation
Low-Default-Risk Obligation Measure	$ 106,579,291
Actuarial Accrued Liability (Entry Age Normal)	83,685,290
Difference	$ 22,894,001

The Low-Default-Risk Obligation Measure is Not Intended to Replace the Actuarial Liability Used to Determine the Recommended Contribution.

SUMMARY OF PRINCIPAL PLAN PROVISIONS

Establishment of the Fund

The Firefighters' Pension Fund is established and administered as prescribed by "Article 4 – Firefighters' Pension Fund – Municipalities 500,000 and Under" of the Illinois Pension Code.

Administration

The Firefighters' Pension Fund is administered by a Board of Trustees whose duties are to manage the Pension Fund, determine applications for pensions, authorize payment of pensions, establish rules, pay expenses, and keep records.

Member Contributions

Members contribute 9.455% of their pensionable salary.

Regular Retirement Pension Benefit

Tier I

Eligibility: Age 50 with at least 20 years of creditable service.

Benefit: 50% of final salary for the first 20 years of service, plus an additional 2.5% of final salary for each year of service beyond 20 years of service, pro-rated monthly, and not to exceed 75% of final salary. "Final salary" is based on the firefighter's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: A firefighter is entitled to receive an initial increase equal to 1/12 of 3% of the original monthly benefit for each full month that has passed since the pension began. The initial increase date will be the later of the first day of the month after the pensioner turns age 55 or the first day of the month after the benefit date anniversary. Subsequent increases of 3% of the current monthly benefit will be granted every January 1st thereafter.

Regular Retirement Pension Benefit - Continued

Tier II

Eligibility: Age 55 with at least 10 years of creditable service.

Benefit: 2.5% of final average salary for each year of service, and not to exceed 75% of final average salary. "Final average salary" is determined by dividing the total pensionable salary during 48 consecutive months of service within the last 60 months of service in which total pensionable salary was the highest, by the number of months of service in that period (or by dividing the total pensionable salary during 96 consecutive months of service within the last 120 months of service in which total pensionable salary was the highest, by the number of months of service in that period, if greater). Annual salary for this purpose will not exceed the salary cap, indexed by the lesser of 3% or the CPI-U for the 12 months ending with the September preceding each November 1st. The salary cap will not decrease.

Annual Increase in Benefit: The initial increase date will be the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

Early Retirement Pension Benefit

Tier I

None.

Tier II

Eligibility: Age 50 with at least 10 years of creditable service.

Benefit: The regular retirement pension benefit reduced by ½ of 1% for each month that the firefighters' age is between 50 and 55.

Annual Increase in Benefit: The initial increase date will be the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

SURVIVING SPOUSE BENEFIT

Tier I

Eligibility: Married to an active firefighter, a disabled pensioner at the time of death, or a retired pensioner (at least 12 months prior to the time of death if married post-retirement).

Active Line of Duty Death Benefit: An eligible surviving spouse is entitled to receive 100% of the firefighter's final pensionable salary attached to rank held on the last day of service.

Non-Duty Death Benefit:

Disabled or Retired Pensioner: An eligible surviving spouse is entitled to receive the greater of the pensioner's benefit at the time of death or 54% of the pensioner's final pensionable salary attached to rank held on the last day of service.

Active Member: An eligible surviving spouse is entitled to receive the greater of the firefighter's eligible benefit at the time of death or 54% of the firefighter's final pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: None.

Tier II

Eligibility: Married to an active firefighter, a disabled pensioner at the time of death, or a retired pensioner (at least 12 months prior to the time of death if married post-retirement).

Active Line of Duty Death Benefit: An eligible surviving spouse is entitled to receive 100% of the firefighter's final pensionable salary attached to rank held on the last day of service.

Non-Duty Death Benefit:

Disabled or Retired Pensioner and Active Member: An eligible surviving spouse is entitled to receive the greater of 66⅔% of the firefighter's earned pension benefit at the time of death or 54% of the firefighter's monthly salary at the time of death.

Annual Increase in Benefit: The initial increase date will be the January 1st after the surviving spouse turns age 60. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

TERMINATION BENEFIT – VESTED

Tier I

Eligibility: Age 60 with at least 10 but less than 20 years of creditable service.

Benefit: An accrual factor times final salary for each year of service. "Accrual factor" is a factor of 1.5% at 10 years of service, increasing ratably up to 2.4% at 19 years of service. "Final salary" is based on the firefighter's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: A firefighter is entitled to receive an initial increase equal to 1/12 of 3% of the original monthly benefit for each full month that has passed since the pension began. The initial increase date will be the first day of the month after the benefit date anniversary. Subsequent increases of 3% of the current monthly benefit will be granted every January 1st thereafter.

Tier II

None.

DISABILITY BENEFIT

Tier I

Eligibility: Duty Disability, Non-Duty Disability with at least 7 years of creditable service, or Occupational Disease Disability with at least 5 years of creditable service.

Benefit: For a duty disability or an occupational disease disability with at least 5 years of creditable service, a firefighter is entitled to receive the greater of 65% of final salary or the regular retirement pension benefit at the time of disability. For a non-duty disability with at least 7 years of creditable service, a firefighter is entitled to receive 50% of their final salary. "Final salary" is based on the firefighter's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: A firefighter is entitled to receive an initial increase equal to 3% of the original monthly benefit for each full year that has passed since the pension began. The initial increase date will be the later of the January 1st after following pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases of 3% of the original monthly benefit will be granted every January 1st thereafter.

Tier II

Eligibility: Duty Disability, Non-Duty Disability with at least 7 years of creditable service, or Occupational Disease Disability with at least 5 years of creditable service.

Benefit: For a duty disability or an occupational disease disability with at least 5 years of creditable service, a firefighter is entitled to receive the greater of 65% of final salary or the regular retirement pension benefit at the time of disability. For a non-duty disability, a firefighter is entitled to receive 50% of their final salary. "Final salary" is based on the firefighter's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit:
The initial increase date will be the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

GLOSSARY OF TERMS

Glossary of Terms

GLOSSARY OF TERMS

Actuarial Accrued Liability – The Actuarial Present Value of future benefits based on Members' service rendered to the Measurement Date using the selected Actuarial Cost Method. It is that portion of the Actuarial Present Value of Plan benefits and expenses allocated to prior years of employment. It is not provided for by future Normal Costs.

Actuarial Cost Method – The method used to allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

Actuarial Value of Assets – The value of the assets used in the determination of the Unfunded Actuarial Accrued Liability. The Actuarial Value of Assets is related to the Fair Value of Assets, with adjustments made to spread unanticipated gains and losses for a given year over a period of several years. Actuarial Value of Assets is generally equally likely to fall above or below the Fair Value of Assets, and generally does not experience as much volatility over time as the Fair Value of Assets.

Asset Valuation Method **–** A valuation method designed to smooth random fluctuations in asset values. The objective underlying the use of an Asset Valuation Method is to provide for the long-term stability of Employer Contributions.

Funding Policy – A set of procedures for a Pension Fund that outlines the "best practices" for funding the pension benefits based on the goals of the Plan Sponsor. A Funding Policy discusses items such as assumptions, Actuarial Cost Method, assets, and other parameters that will best help the Plan Sponsor meet their goal of working in the best interest of the Plan Members.

Fair Value of Assets – The value of the cash, bonds, securities, and other assets held in the pension trust as of the Measurement Date.

Normal Cost – The present value of future benefits earned by Members during the current Fiscal Year. It is that portion of the Actuarial Present Value of benefits and expenses which is allocated to a valuation year by the Actuarial Cost Method.

Unfunded Actuarial Accrued Liability **–** The excess of the Actuarial Accrued Liability over the Actuarial Value of Assets. The Unfunded Actuarial Accrued Liability is amortized over a period either in level dollar amounts or as a level percentage of projected payroll.

Lauterbach & Amen, LLP

CERTIFIED PUBLIC ACCOUNTANTS

Funding Actuarial Valuation
as of January 1, 2023

ROCK ISLAND POLICE PENSION FUND

For the Contribution Year
January 1, 2023 to December 31. 2023

LAUTERBACH & AMEN, LLP

Actuarial Funding Report

Lauterbach & Amen, LLP

CERTIFIED PUBLIC ACCOUNTANTS

ROCK ISLAND POLICE PENSION FUND

Contribution Year Ending: December 31. 2023
Actuarial Valuation Date: January 1, 2023
Data Date: December 31. 2022

Submitted by:

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Todd A. Schroeder
Partner
April 25, 2023

LAUTERBACH & AMEN, LLP

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ACTUARIAL CERTIFICATION

This report documents the results of the Actuarial Valuation for the Rock Island Police Pension Fund. The information was prepared for use by the Rock Island Police Pension Fund and the City of Rock Island, Illinois for determining the Recommended Contribution, under the selected Funding Policy, and the Alternative Contribution for the Contribution Year January 1, 2023 to December 31. 2023. It is not intended or suitable for other purposes. Determinations for purposes other than the Employer's Actuarial Recommended Contribution may be significantly different from the results herein.

The results in this report are based on the demographic data and financial information submitted by the City of Rock Island, Illinois, and may include results from the prior Actuary. We did not prepare the Actuarial Valuations for the years prior to January 1, 2023. Those valuations were prepared by the prior Actuary whose reports have been furnished to us, and our disclosures are based on those reports. An audit of the prior Actuary's results was not performed, but high-level reviews were completed for general reasonableness, as appropriate, based on the purpose of this valuation. The accuracy of the results is dependent on the precision and completeness of the underlying information.

In addition, the results of the Actuarial Valuation involve certain risks and uncertainty as they are based on future assumptions, market conditions, and events that may never materialize as assumed. For this reason, certain assumptions and future results may be materially different than those presented in this report. See the *Management Summary* section of this report for a more detailed discussion of the Defined Benefit Plan Risks, as well as the limitations of this Actuarial Valuation on assessing those risks. We are not aware of any known events subsequent to the Actuarial Valuation Date, which are not reflected in this report but should be valued, that may materially impact the results.

The valuation results summarized in this report involve actuarial calculations that require assumptions about future events. The City of Rock Island, Illinois selected certain assumptions, while others were the result of guidance and/or judgment from the Plan's Actuary or Advisors. We believe that the assumptions used in this valuation are reasonable and appropriate for the purposes for which they have been used. The selected assumptions represent our best estimate of the anticipated long-term experience of the Plan, and meet the guidelines set forth in the Actuarial Standards of Practice.

In preparing the results, our Actuaries used commercially available software (ProVal) developed by Winklevoss Technologies, LLC. This software is widely used for the purpose of performing Actuarial Valuations. Our Actuaries coded the plan provisions, assumptions, methods, and demographic data summarized in this report, and reviewed the liability and cost outputs for reasonableness. We are not aware of any material weaknesses or limitations in the software, and have determined it is appropriate for performing this valuation.

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To the best of our knowledge, all calculations are in accordance with the applicable funding requirements, and the procedures followed and presentation of results conform to generally accepted actuarial principles and practices as prescribed by the Actuarial Standards Board. The undersigned consultants of Lauterbach & Amen, LLP, with actuarial credentials, meet the Qualification Standards of the American Academy of Actuaries to render this Actuarial Certification. There is no relationship between the City of Rock Island, Illinois and Lauterbach & Amen, LLP that impairs our objectivity.

Respectfully Submitted,

LAUTERBACH & AMEN, LLP

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MANAGEMENT SUMMARY

Recommended Contribution
Funded Status
Management Summary – Comments and Analysis
Actuarial Recommended Contribution – Reconciliation

RECOMMENDED CONTRIBUTION

	Prior Valuation*	Current Valuation
Recommended Contribution	$4,701,935	$5,900,753
Expected Payroll	$5,455,037	$5,607,419
Recommended Contribution as a Percent of Expected Payroll	86.19%	105.23%

The Recommended Contribution has Increased by $1,198,818 from the Prior Valuation.

FUNDED STATUS

	Prior Valuation*	Current Valuation
Normal Cost	$1,124,176	$1,115,879
Fair Value of Assets	$45,813,862	$39,818,931
Actuarial Value of Assets	$44,039,651	$43,800,824
Actuarial Accrued Liability	$109,028,623	$111,895,523
Unfunded Actuarial Accrued Liability/(Surplus)	$64,988,972	$68,094,699
Percent Funded		
Actuarial Value of Assets	40.39%	39.14%
Fair Value of Assets	42.02%	35.59%

The Percent Funded has Decreased by 1.25% on an Actuarial Value of Assets Basis.

*The prior valuation was completed by Nyhart.

Management Summary – Comments and Analysis

Contribution Results

The Recommended Contribution is based on the selected Funding Policy and methods that are outlined in the *Actuarial Funding Policies* section of this report.

"Contribution Risk" is defined by the Actuarial Standards of Practice as the potential for actual future contributions to deviate from expected future contributions. For example, when actual contributions are not made in accordance to the Plan's Funding Policy, or when future experience deviates materially from assumed. While it is essential for the Actuary and Plan Sponsor to collaborate on implementing a sound and financially feasible Funding Policy, it is important to note that the Actuary is not required, and is not in the position to, evaluate the ability or willingness of the Plan Sponsor to make the Recommended Contribution under the selected Funding Policy.

As a result, while Contribution Risk may be a significant source of risk for the Plan, this Actuarial Valuation makes no attempt to assess the impact of future contributions falling short of those recommended under the selected Funding Policy. Notwithstanding the above, see the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact on the current Recommended Contribution of any contribution shortfalls or excesses from the prior year.

Defined Benefit Plan Risks

Asset Growth:

Pension funding involves preparing Fund assets to pay for benefits when Members retire. During their working careers, assets grow with contributions and investment earnings; and then, the Pension Fund distributes assets in retirement. Based on the Plan's current mix of Members and Funded Status, the Plan should experience positive asset growth, on average, if the Recommended Contributions are made and expected investment earnings come in. In the current year, the Fund asset growth was negative by approximately $5,994,900.

Asset growth is important in the long-term. Long-term cash flow out of the Pension Fund is primarily benefit payments, and expenses are a smaller portion. The Plan should monitor the impact of expected benefit payments on future asset growth. We assess and project all future benefit payments as part of the determination of liability. The assessment is made on all current Members of the Fund, both active and inactive. For active Members, the assessment includes the probability that Members terminate or retire and begin receiving benefits. In the next 5 years, benefit payments are anticipated to increase 15-20%, or approximately $1,187,300. In the next 10 years, the expected increase in benefit payments is 40-45%, or approximately $2,437,900. The estimated increase in benefit payments is being compared against the benefits paid to inactive Members during the fiscal year, excluding any refunds of Member Contributions.

Furthermore, plans with a large number of inactive Members have an increased "Longevity Risk". Longevity Risk is the possibility that inactive Members may live longer than projected by the Plan's mortality assumption. As shown in the previous paragraph, benefit payments are expected to increase over the next 5-year and 10-year horizons. The projected increases assume that current inactive Members pass away according to the Plan's mortality assumption. To the extent that current inactive Members live longer than expected, the future 5-year and 10-year benefit projections may be larger than the amounts disclosed in the previous paragraph. Higher levels of benefit payments, payable for a longer period of time, may cause a significant strain on the Plan's cash flow, future Recommended Contributions, and may lead to Plan insolvency.

Unfunded Liability:

Unfunded Liability represents the financial shortfall of the Actuarial Value of Assets compared to the Actuarial Accrued Liability. To the extent that Unfunded Liability exists, the Plan is losing potential investment earnings due to the financial shortfall. Contributions towards Unfunded Liability pay for the lost investment earnings, as well as the outstanding unfunded amount. If payments towards Unfunded Liability are not made, the Unfunded Liability will grow.

In the early 1990s, many Pension Funds in Illinois adopted an increasing payment towards Unfunded Liability due to a change in legislation. The initial payment decreased, and future payments are anticipated to increase annually after that. In many situations, payments early on were less than the interest on Unfunded Liability, which means that Unfunded Liability increased even though contributions were made at the recommended level.

The current Recommended Contribution includes a payment towards Unfunded Liability that is approximately $524,500 greater than the interest on Unfunded Liability. All else being equal, and contributions being made, Unfunded Liability is expected to decrease. The Employer and Fund should anticipate improvement in the current Percent Funded in the short-term. The Employer and Fund should understand this impact as we progress forward to manage expectations.

Actuarial Value of Assets:

The Pension Fund smooths investment returns that vary from expectations over a 5-year period. The intention over time is that investment returns for purposes of funding recommendations are a combination of several years. The impact is intended to smooth out the volatility of Recommended Contributions over time, but not necessarily increase or decrease the level of contributions over the long-term.

When investment returns are smoothed, there are always gains or losses on the Fair Value of Assets that are going to be deferred for current funding purposes, and recognized in future years. Currently, the Pension Fund is deferring approximately $3,981,900 in losses on the Fair Value of Assets. These are asset losses that will be recognized in upcoming periods, independent of the future performance of the Fair Value of Assets.

In the current valuation, we have recognized approximately $861,300 in additional asset losses from the past five years in order to keep the Actuarial Value of Assets within 10% of the Fair Value of Assets. See the *Development of the Actuarial Value of Assets* section of this report for more details.

Cash Flow Risk:
Assets, liabilities, and Funded Status are good metrics to monitor over time to assess the progress of the Funding Policy. However, these metrics may provide limited forward-looking insights. Specifically, the maturity of a Pension Fund can pose certain risks that often cannot be assessed with a point-in-time metric such as Percent Funded.

For example, two different Pension Funds could have the same Percent Funded, but have completely different risk profiles. One Fund might mostly cover active Members with little to no Members in pay status, whereas a second Fund might mostly cover inactive Members with a significant level of annual benefit payments. The latter Fund has a greater "Cash Flow Risk", i.e. a more significant chance that negative cash flows could lead to a deteriorating, rather than improving, Percent Funded over time.

It is important to note that, in general, positive net cash flows are good, but also need to be sufficient to cover the growth in the liabilities (i.e. the Normal Cost as well as interest on the Actuarial Accrued Liability). Typically, when cash flows are assumed to be insufficient to cover the growth in liabilities, the Percent Funded will decline, while future Recommended Contributions will increase.

For this Plan, the Fair Value of Assets is less than the Actuarial Accrued Liability for inactive Members. The Fund assets and anticipated investment earnings are not sufficient to cover the benefits payable to the current inactive Members. In addition, there is currently no money set aside for active Member liability. There are two consequences. First, we are limiting the impact of investment earnings on accruing money for the active Members due to utilizing those dollars to pay for the current inactive Members. Second, there is Cash Flow Risk that exists in that a higher portion of the assets is needed to keep up with cash flow out for benefit payments, and a higher relative investment return is required to keep cash flow positive in any given year.

Benefit Payment Risk:
Ideally, plans in a sound financial position will have the ratio of annual benefits payments to the Fair Value of Assets to be less than the Expected Rate of Return on Investments assumption (i.e. 7.00%). Theoretically, in this case it can be considered that investment returns will fully cover the annual benefit payments, and therefore, all Employer and Member Contributions made to the Fund will be used to pay for future benefit accruals and pay down the existing Unfunded Liability. To the extent that the ratio of the annual benefit payments to the Fair Value of Assets increases to above the Expected Rate of Return on Investments assumption, the Plan may experience some additional risks, such as the need to keep assets in more liquid investments, inability to pay down Unfunded Liability, and may lead to Plan insolvency.

As of the Valuation Date, the Rock Island Police Pension Fund has a ratio of benefit payments to the Fair Value of Assets of 15.05%. In this case, there is considerable concern about the long-term sustainability of the Plan. Significant changes to the Funding Policy should be considered in order for the Plan Sponsor to have the ability to pay all future benefit obligations.

Fund Assets

The results in this report are based on the assets held in the Pension Fund. Assets consist of funds held for investment and for benefit payments as of the Actuarial Valuation Date. In addition, assets may be adjusted for other events representing dollars that are reasonably expected to be paid out from the Pension Fund or deposited into the Pension Fund after the Actuarial Valuation Date as well.

The current Fund assets are audited.

The Fund Assets Used in this Report are Audited.

The Actuarial Value of Assets under the Funding Policy is equal to the Fair Value of Assets, with unexpected gains and losses smoothed over 5 years. More detail on the Actuarial Value of Assets can be found in the *Actuarial Funding Policies* section of this report.

Demographic Data

Demographic factors can change from year to year within the Pension Fund. Changes in this category include hiring new Members, Members retiring or becoming disabled, inactive Members passing away, and other changes. Demographic changes can cause an actuarial gain (contribution that is less than expected compared to the prior year) or an actuarial loss (contribution that is greater than expected compared to the prior year).

Demographic gains and losses occur when the assumptions over the one-year period for Member changes do not meet our long-term expectation. For example, if no Members become disabled during the year, we would expect a liability gain. If more Members become disabled than anticipated during the year, we would expect a liability loss. Generally, we expect short-term fluctuations in demographic experience to create gains or losses of 1%-3% of the Actuarial Accrued Liability in any given year, but to balance out in the long-term.

"Demographic Risk" occurs when Plan demographic experience differs significantly from expected. Similar to Longevity Risk discussed previously, additional risk is created when demographic experience differs from the assumed rates of disability, retirement, or termination. Under the chosen assumptions, actuarial gains and/or losses will always occur, as the assumptions will never be exactly realized. However, the magnitude of the gain and/or loss and its influence on the Recommended Contribution largely depends on the size of the Plan.

A key Demographic Risk is mortality improvement differing from expected. While the actuarial assumptions reflect small, continuous improvements in mortality experience and these assumptions are refined upon the completion of each actuarial experience study, the risk arises because there is a possibility of a sudden shift in mortality experience. This report reflects the impact of COVID-19 experience that has been accounted for in the underlying demographic data. This report does not reflect the ongoing impact of COVID-19, which is likely to influence demographic and economic experience, at least in the short-term. We will continue to monitor these developments and their impact on the Plan. Actual future experience will be reflected in each subsequent Actuarial Valuation, as experience emerges.

Based on the number of active Members in the Plan, the Recommended Contribution has a low risk of having a significant increase due to demographic experience. For example, 1 new disabled Member would typically generate a substantial increase to the Actuarial Accrued Liability. However, due to the size of the Plan, there is an appropriate means to absorb demographic losses without causing a significant increase to the Recommended Contribution.

In the current report, the key demographic changes were as follows:

New Hires: There were 9 Members of the Fund who were hired during the year. When a Member is admitted to the Pension Fund, the Employer Contribution will increase to reflect the new Member. The increase in the Recommended Contribution in the current year due to the new Member experience is approximately $54,100.

Retirement: There was 1 Member of the Fund who retired during the year. When a Member retires, the Normal Cost will decrease. Any change in the Actuarial Accrued Liability will be considered when determining the amount to pay towards Unfunded Liability each year. The decrease in the Recommended Contribution in the current year due to the retirement experience is approximately $10,100.

Disability: There was 1 Member of the Fund who became disabled during the year. When a Member becomes disabled, the Fund will often experience a decrease in Normal Cost, but an increase in Unfunded Liability. The increase in the Recommended Contribution in the current year due to the disability experience is approximately $38,900.

Termination: There were 6 Members of the Fund who terminated employment during the year, 2 of whom were hired during the year. The Fund may be obligated to pay a benefit or a refund of Member Contributions to the Members in the future. The decrease in the Recommended Contribution in the current year due to the termination experience is approximately $50,800.

Mortality: There was 1 retiree who passed away during the year, with an eligible surviving spouse. Also, there was 1 surviving spouse who passed away during the year. When a retiree passes away, the Fund liability will decrease as the Pension Fund will no longer make future payments to the retiree. If there is an eligible surviving spouse, the Fund liability will increase to represent the value of the expected payments that will be made to the spouse. When a surviving spouse passes away, the Fund liability will decrease as the Pension Fund will no longer make future payments to the surviving spouse.

As inactive Members age and continue to collect benefits, the Fund liability will also increase. In the current year, there were 106 inactive Members who maintained their benefit collection status throughout the year. The net increase in the Recommended Contribution in the current year due to the mortality experience is approximately $48,400.

Salary Increases: Salary increases were greater than anticipated in the current year. This caused an increase in the Recommended Contribution in the current year of approximately $11,100.

Assumption Changes

In the current valuation, the actuarial assumptions were changed to the rates shown in the *Actuarial Assumptions* section of this report. The changes were made based on a study of Police Officers and Police Pension Funds in Illinois. The assumptions impacted include:

- Retirement Rates
- Termination Rates
- Disability Rates
- Mortality Rates
- Mortality Improvement Rates

Also, we have updated the mortality assumption to include mortality improvements as stated in the MP-2019 table. In addition, the rates are being applied on a fully generational basis. See the *Actuarial Assumptions* section of this report for more details on the specific mortality updates made. Also, we have updated duty disability and duty death assumptions.

In the current valuation, the individual pay increases assumption has been updated based on a review of the Agreement between the City of Rock Island, Illinois and the Illinois Fraternal Order of Police Labor Council Representing Rock Island City F.O.P. Lodge No. 57 for the period January 1, 2021 through December 31, 2023. Also, we have updated the consumer price index and inflation rate assumptions.

The assumption changes stated above were made to better reflect the future anticipated experience of the Fund. See the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of these changes on the current valuation.

Plan Changes

Public Act 102-0811 passed on May 13, 2022 and is effective as of January 1, 2023 for Article 3 Pension Funds. The Act establishes that a surviving spouse of a deceased police retiree may be eligible for a survivor's pension of up to 15 years of benefit payments if (a) the surviving spouse has attained age 62 and (b) if the police officer was married to the surviving spouse after retirement, and for at least 5 years prior to the officer's death. Previously, there was no survivor's pension for spouses married after retirement. In our opinion, under a prudent interpretation of the provisions, we believe the impact to be de minimis. The legal community has suggested some uncertainty about multiple provisions contained in the Act, and the IDOI Public Pension Division has not provided an interpretation. The client has not made an administrative interpretation as to how the provisions of the Act will impact future surviving spouses. Due to the uncertainty around the interpretation and the expected de minimis impact, we have not valued this contingency separately. If a spouse is granted a pension by the Pension Board under this provision, we will value the liability of the benefit granted, and revisit valuing the contingency of the benefit being granted in the future.

Late in 2022, the IDOI Public Pension Division issued an unofficial opinion that Tier II disabled Members are entitled to an initial COLA increase on the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary equal to the lesser of 3% of the original benefit or ½ CPI-U. The prior interpretation from the IDOI Public Pension Division was that Tier II disabled members were entitled to an initial COLA increase on the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary equal to 3% of the original monthly benefit for each full year that has passed since the pension began. In accordance with the new opinion, we have included a change in liability due to a change in the substantive plan, which includes written provisions as well as administrative interpretations. See the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of this change on the current valuation.

Funding Policy Changes

The Funding Policy was changed from the prior valuation. The payments towards Unfunded Liability in the prior valuation were being amortized over a 19-year period as a level percentage of payroll targeting 90% funded. In the current valuation, we have updated the Funding Policy so that the payments towards Unfunded Liability are being amortized over a 18-year period as a level percent of payroll targeting 100% funded. See the *Actuarial Funding Policies* section of this report for more details on the current Funding Policy the *Actuarial Recommended Contribution – Reconciliation* section of this report for the impact of this change on the current valuation.

Output Smoothing

Contributions are determined annually by allocating dollars over a specified period of time. Procedures that are used to allocate contributions over a period of time may include asset smoothing, amortization period, and output smoothing. Each procedure becomes part of the Actuarial Methodology. Output smoothing involves measuring the impact of a specific result on a contribution and recognizing the result. The final contribution should maintain a reasonable relationship to the full Actuarially Determined Contribution.

The current results shown throughout the report reflect the full Actuarially Determined Contribution.

Actuarial Recommended Contribution – Reconciliation

Actuarial Accrued Liability is expected to increase each year for both interest for the year and as active Members earn additional service years towards retirement. Similarly, Actuarial Accrued Liability is expected to decrease when the Fund pays benefits to inactive Members.

Contributions are expected to increase as expected pay increases under the Funding Policy for the Fund.

Other increases or decreases in Actuarial Accrued Liability (key changes noted below) will increase or decrease the amount of Unfunded Liability in the Plan. To the extent that Unfunded Liability increases or decreases unexpectedly, the contribution towards Unfunded Liability will also change unexpectedly.

	Actuarial Liability	Recommended Contribution
Salary Increases Greater than Expected	$ 64,709	$ 11,125
Actuarial Experience	1,148,004	65,858
Assumption Changes	(961,864)	(120,170)
Plan Changes	(54,211)	(15,845)
Funding Policy Changes	-	869,457
Investment Return Less than Expected*	-	139,424
Contributions Less than Expected	-	14,206
Total Increase/(Decrease)	$ 196,638	$ 964,055
Current Valuation	$ 111,895,523	$ 5,900,753

*Impact on the Recommended Contribution due to investment return is on an Actuarial Value of Assets basis.

The Total Increase/(Decrease) shown above is based on several factors for the current year but does not include expected changes. See the *Recommended Contribution* section of this report for the increase in the Recommended Contribution from the prior valuation.

The Actuarial Experience can be attributable to several factors including, but not limited to, demographic changes and benefit payment experience compared to expectation. Key demographic changes were discussed in the *Demographic Data* section of this report.

VALUATION OF FUND ASSETS

FAIR VALUE OF ASSETS

Statement of Assets

	Prior Valuation	Current Valuation
Cash and Cash Equivalents	$ 1,708,011	$ 62,521
US Government and Agency Obligations	10,717,990	-
Corporate Bonds	7,148,599	-
Pooled Investment Accounts	-	39,651,588
Mutual Funds	26,050,888	-
Receivables (Net of Payables)	188,374	104,822
Total Fair Value of Assets	$ 45,813,862	$ 39,818,931

The Total Fair Value of Assets has Decreased by Approximately $5,994,900 from the Prior Valuation.

Statement of Changes in Assets

Total Fair Value of Assets - Prior Valuation	$ 45,813,862
Plus - Employer Contributions	4,440,359
Plus - Member Contributions	549,600
Plus - Return on Investments	(4,921,749)
Less - Benefit Payments and Refunds	(5,991,408)
Less - Other Expenses	(71,733)
Total Fair Value of Assets - Current Valuation	$ 39,818,931

The Rate of Return on Investments on a Fair Value of Assets Basis for the Fund was Approximately (11.02%) Net of Administrative Expense.

The Rate of Return on Investments shown above has been determined as a percent of the average of the prior and current Fair Value of Assets on the Statement of Changes in Assets. The Return on Investments is net of Other Expenses, and has been excluded from the Total Fair Value of Assets at the end of the Fiscal Year for this calculation.

FAIR VALUE OF ASSETS (GAIN)/LOSS

Current Year (Gain)/Loss on Fair Value of Assets

Total Fair Value of Assets - Prior Valuation	$ 45,813,862
Employer and Member Contributions	4,989,959
Benefit Payments and Refunds	(5,991,408)
Expected Return on Investments	3,171,920
Expected Total Fair Value of Assets - Current Valuation	47,984,333
Actual Total Fair Value of Assets - Current Valuation	39,818,931
Current Fair Value of Assets (Gain)/Loss	$ 8,165,402

Expected Return on Investments	$ 3,171,920
Actual Return on Investments (Net of Expenses)	(4,993,482)
Current Fair Value of Assets (Gain)/Loss	$ 8,165,402

The Actual Return on Investments on a Fair Value of Assets Basis was Less than Expected for the Current Year.

The (Gain)/Loss on the current Fair Value of Assets has been determined based on the Expected Rate of Return on Investments as shown in the *Actuarial Assumptions* section of this report.

DEVELOPMENT OF THE ACTUARIAL VALUE OF ASSETS

Total Fair Value of Assets - Current Valuation			$ 39,818,931
Adjustment for Prior (Gains)/Losses			
		Full Amount	Deferral
	FYE 12/31/2022	$ 8,165,402	6,532,322
	FYE 12/31/2021	(1,602,108)	(961,265)
	FYE 12/31/2020	(371,497)	(148,599)
	FYE 12/31/2019	(2,896,489)	(579,298)
	Total Deferred (Gain)/Loss		4,843,160
Initial Actuarial Value of Assets - Current Valuation			$ 44,662,091
Less Contributions for the Current Year and Interest			-
Adjustment for the Corridor			(861,267)
Total Actuarial Value of Assets - Current Valuation			$ 43,800,824

The Actuarial Value of Assets is Equal to the Fair Value of Assets with Unanticipated (Gains)/Losses Recognized Over 5 Years. The Actuarial Value of Assets is 110.00% of the Fair Value of Assets.

In the current valuation, we have recognized $861,267 in additional asset losses from the past five years in order to keep the Actuarial Value of Assets within 10% of the Fair Value of Assets.

ACTUARIAL VALUE OF ASSETS (GAIN)/LOSS

Total Actuarial Value of Assets - Prior Valuation	$ 44,039,651
Plus - Employer Contributions	4,440,359
Plus - Member Contributions	549,600
Plus - Return on Investments	834,355
Less - Benefit Payments and Refund	(5,991,408)
Less - Other Expenses	(71,733)
Total Actuarial Value of Assets - Current Valuation	$ 43,800,824

The Rate of Return on Investments on an Actuarial Value of Assets Basis for the Fund was Approximately 1.75% Net of Administrative Expense.

The Actuarial Value of Assets incorporates portions of gains and losses over multiple years.

HISTORICAL ASSET PERFORMANCE

The chart below shows the historical Rates of Return on Investments for both Fair Value of Assets and Actuarial Value of Assets.

	Fair Value of Assets	Actuarial Value of Assets
FYE 12/31/2022	(11.02%)	1.75%

The historical Rates of Return on Investments shown above were calculated based on the annual Return on Investments, as a percentage of the average value of the assets for the year. The historical Rates of Return on Investments shown above may not reflect the current investment allocation of the Pension Fund.

For purposes of determining the average value of assets for the year, the ending Fair Value of Assets has been adjusted to net out to the portion related to the Return on Investments themselves. All other cash flows are included.

For purposes of determining the annual Return on Investments we have adjusted the figures shown on the preceding pages. The figures shown on the preceding pages are net of Investment Expenses. We have made an additional adjustment to net out Administrative Expenses. Netting out Administrative Expenses allows us to capture returns for the year that can be used to make benefit payments as part of the ongoing actuarial process.

The adjustments we made are for actuarial reporting purposes only. By netting out Administrative Expenses and capturing Return on Investments that are available to pay benefits, it provides us a comparison to the Expected Rate of Return on Investments, but does not provide a figure that would be consistent with the rates of return that are determined by other parties. Therefore, this calculated Return on Investments should not be used to analyze investment performance of the Fund or the performance of the investment professionals.

Expected Rate of Return on Investments Assumption

The Expected Rate of Return on Investments for this valuation is 7.00%. Lauterbach & Amen, LLP does not provide investment advice. We look at a variety of factors when reviewing the Expected Rate of Return on Investments assumption selected by the client. These factors include: historical Rates of Return on Investments, capital market projections performed by the Consolidated Board's investment advisors, the Consolidated Board's investment policy, capital market forward-looking benchmark expected returns by independent investment companies, rates used by comparable pension systems, and other factors identified in the Actuarial Standards of Practice.

Generally speaking, the ideal assumption for Expected Rate of Return on Investments is one that has a 50% chance of being met over the long-term. Recently, we have observed the following factors that impact Expected Rate of Return on Investments:

- Volatility in the market has been high which drags down long-term geometric returns.

- Similar pension systems are looking to reduce future expectations. We generally see about 95% of similar pension systems using an Expected Rate of Return on Investments that is between 6.00% and 7.25%.

- We have reviewed studies conducted by Firms who gather information from multiple investment advisors who provide models and opinions on capital market returns. Those studies help guide us to see if the assumption is expected to have a 50% chance of being met over the long-term. Plans are generally aiming towards 40th to 60th percentile returns, which can help define a range of reasonableness.

- We have reviewed an index of high-quality fixed income rates that takes into consideration the pattern of your benefit payments. The purpose of the review is to provide additional disclosure in Funding Actuarial Valuations for the Low-Default-Risk Obligation Measure. The rates in this measure are low-risk and are being used as an approximate for risk-free rates. Investment funds that incorporate diversified investments which build in more risk would be expected to earn a positive risk premium, over and above the risk-free rates.

If actual returns going forward come in less than expected, the pension system risks deferring contributions to the future that should be made today and creating additional contribution volatility. Below is a chart detailing the impact on the Recommended Contribution by decreasing or increasing the Expected Rate of Return on Investments by 25 basis points:

	0.25% Decrease (6.75%)	**Current Expected Rate of Return on Investments (7.00%)**	**0.25% Increase (7.25%)**
Recommended Contribution	$6,145,233	$5,900,753	$5,665,954

Currently, the client has selected an Expected Rate of Return assumption that falls within a reasonable range. We recommend the client review the Expected Rate of Return on Investments annually to ensure the selected rate remains within a reasonable range as market conditions change year-to-year.

"Investment Risk" is the potential that the actual Return on Investments will be different from what is expected. The selected Expected Rate of Return on Investments assumption is chosen to be a long-term assumption, producing a return that, on average, would produce a stable rate of return over a long-term horizon. Actual investment returns in the short-term may deviate from this long-term assumption due to current market conditions. Furthermore, establishing the Expected Rate of Return on Investments assumption may be dependent on the Illinois State Statutes pertaining to the limitations on types of investments Plan Sponsors may use. If the actual annual rates of return are less than the Expected Rate of Return on Investments, actuarial losses will be produced, thus increasing the Plan's Unfunded Liability and, subsequently, future Recommended Contributions.

"Asset/Liability Mismatch" risk is a similar concept as Investment Risk, as it relates to setting the Expected Rate of Return on Investments assumption compared to the actual Return on Investments achieved. The Interest Rate used to discount future Plan liabilities is set equal to the Expected Rate of Return on Investments. It is expected that the selected Interest Rate be a rate that is reasonably expected to be achieved over the long-term. To the extent that the selected Interest Rate to value Plan liabilities is unreasonable, or significantly different than the actual Return on Investments earned over an extended period of time, additional Interest Rate risk is created. For example, determining Plan liabilities at an Interest Rate higher than what is expected to be achieved through investment returns results in Unfunded Liability that is not a true representation of the Plan's condition and Percent Funded. As a result, the Actuarial Accrued Liability determined is an amount smaller than the liability that would be produced with an Interest Rate more indicative of future Expected Rate of Return on Investments. Therefore, the Recommended Contributions under the established Funding Policy may not be sufficient to appropriately meet the true pension obligations.

RECOMMENDED CONTRIBUTION DETAIL

ACTUARIAL ACCRUED LIABILITY

	Current Valuation
Active Members	$ 21,199,073
Inactive Members	
Terminated Members	2,872,267
Retired Members	74,054,169
Disabled Members	8,107,530
Other Beneficiaries	5,662,484
Total Inactive Members	90,696,450
Total Actuarial Accrued Liability	$ 111,895,523

The Total Actuarial Accrued Liability has Increased from the Prior Valuation (See Management Summary).

FUNDED STATUS

	Current Valuation
Total Actuarial Accrued Liability	$ 111,895,523
Total Actuarial Value of Assets	43,800,824
Unfunded Actuarial Accrued Liability	$ 68,094,699
Total Fair Value of Assets	$ 39,818,931
Percent Funded	
Actuarial Value of Assets	39.14%
Fair Value of Assets	35.59%

The Percent Funded as of the Actuarial Valuation Date is Subject to Volatility on Assets and Liability in the Short-Term.

DEVELOPMENT OF THE EMPLOYER NORMAL COST

	Current Valuation
Total Normal Cost	$ 1,115,879
Estimated Member Contributions	(546,137)
Employer Normal Cost	$ 569,742

At a 100% Funding Level, the Normal Cost Contribution is Still Required.

NORMAL COST AS A PERCENTAGE OF EXPECTED PAYROLL

	Current Valuation
Expected Payroll	$ 5,607,419
Member Normal Cost Rate	9.910%
Employer Normal Cost Rate	9.99%
Total Normal Cost Rate	19.90%

Ideally, the Employer Normal Cost Rate will Remain Stable.

RECOMMENDED CONTRIBUTION BREAKDOWN

	Current Valuation
Employer Normal Cost*	$ 609,624
Amortization of Unfunded Accrued Liability/(Surplus)	5,291,129
Recommended Contribution	$ 5,900,753

The Recommended Contribution has Increased from the Prior Valuation (See Management Summary).

*Employer Normal Cost Contribution includes interest through the end of the Fiscal Year.

Schedule of Amortization – Unfunded Actuarial Accrued Liability

Below is the schedule of remaining amortization balances for the Unfunded Liability.

Unfunded Liability Base	Initial Balance	Date Established	Current Balance	Years Remaining	Payment
Investment (Gain)/Loss	$ 2,147,165	12/31/2022	$ 2,147,165	18	$ 166,840
Actuarial (Gain)/Loss	1,466,750	12/31/2022	1,466,750	18	113,970
Assumption Changes	(961,864)	12/31/2022	(961,864)	18	(74,739)
Plan Changes	(54,211)	12/31/2022	(54,211)	18	(4,212)
Initial Unfunded Liability	$ 64,988,972	12/31/2021	$ 65,496,859	18	$ 5,089,270
Total	$ 67,586,812		$ 68,094,699		$ 5,291,129

The Actuarial (Gain)/Loss can be attributable to several factors including, but not limited to, demographic changes, Employer Contribution timing, Member Contribution experience, benefit payment experience, and salary increase experience compared to expectation.

ACTUARIAL METHODS – RECOMMENDED CONTRIBUTION

Actuarial Valuation Date	January 1, 2023
Data Collection Date	December 31, 2022
Actuarial Cost Method	Entry Age Normal (Level % Pay)
Amortization Method	Level % Pay (Closed)
Amortization Target	100% Funded Over 18 Years
Asset Valuation Method	5-Year Smoothed Fair Value

The above methods constitute a sound Actuarially Determined Contribution under the parameters of Actuarial Standard of Practice.

The contributions and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described. The Actuarial Cost and Amortization Methods allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

The Recommended Contribution amount shown in this report is based on the methods summarized above. The *Actuarial Funding Policies* section of this report includes a more detailed description of the Actuarial Funding Methods being used.

The Actuarial Funding Methods are meant to provide a systematic process for determining contributions on an annual basis. The methods do not impact the expectation of future benefit payments. The methods only impact the way contributions are made towards future benefit payments.

Different Actuarial Funding Methods may achieve funding goals with differing levels of success. Certain methods are more efficient and more stable on an annual basis.

In the current valuation, the Plan Sponsor has elected to use a 10% corridor in the determination of the Actuarial Value of Assets for both the Recommended and Alternative Contributions. In the event that the Actuarial Value of Assets exceeds 110% of the Fair Value of Assets or falls below 90% of the Fair Value of Assets, the excess gains or losses will be recognized immediately.

ALTERNATIVE CONTRIBUTION

Alternative Contribution
Funded Status – Alternative Contribution
Actuarial Methods – Alternative Contribution

ALTERNATIVE CONTRIBUTION

	Current Valuation
Alternative Contribution	$5,050,271
Expected Payroll	$5,607,419
Alternative Contribution as a Percent of Expected Payroll	90.06%

FUNDED STATUS – ALTERNATIVE CONTRIBUTION

	Current Valuation
Normal Cost	$1,103,694
Fair Value of Assets	$39,818,931
Actuarial Value of Assets	$43,800,824
Actuarial Accrued Liability	$112,353,486
Unfunded Actuarial Accrued Liability/(Surplus)	$68,552,662
Percent Funded	
Actuarial Value of Assets	38.98%
Fair Value of Assets	35.44%

ALTERNATIVE CONTRIBUTION

The Alternative Contribution is based on Actuarial Funding Methods and funding parameters outlined in the Illinois State Statutes for pension funding. The resulting contribution is lower than the Recommended Contribution for the current year. The Alternative Contribution amount is not recommended because it represents only a deferral of contributions when compared to the Recommended Contribution method.

Actuarial Funding Methods for pensions are best applied to provide a balance between the long-term goals of a variety of stakeholders:

1. Members – the Members are interested in benefit security and having the funds available to pay benefits when retired

2. Employers – cost control and cost stability over the long-term

3. Taxpayers – paying for the services they are receiving from active Members

The Alternative Contribution methods are not intended to provide a better system in any of the above categories long-term. The parameters are not recommended for a long-term funding strategy.

The funding methods and parameters put into place in the Illinois State Statutes in 2011 were intended to provide short-term budget relief for Employer Contributions. An Employer using the parameters outlined in the Illinois State Statutes for current funding should view the contributions as short-term relief. Our recommendation in this situation is for a Pension Fund and an Employer to work towards a long-term funding strategy that better achieves the long-term funding goals, over a period that does not exceed 3-5 years.

The Securities and Exchange Commission in 2013 used the phrase "Statutory Underfunding" to describe situations where contributions appear to be more manageable in the short-term, but set up future Recommended Contributions that are less likely to be manageable.

ACTUARIAL METHODS – ALTERNATIVE CONTRIBUTION

Actuarial Valuation Date	January 1, 2023
Data Collection Date	December 31, 2022
Actuarial Cost Method	Projected Unit Credit
Amortization Method	Level % Pay (Closed)
Amortization Target	90% Funded Over 18 Years
Asset Valuation Method	5-Year Smoothed Fair Value

The contribution and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described. The Actuarial Cost and Amortization methods allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

The Actuarial Funding Methods are meant to provide a systematic process for determining contributions on an annual basis. The methods do not impact the expectation of future benefit payments. The methods only impact the way contributions are made towards future benefit payments.

Different Actuarial Funding Methods may achieve funding goals with differing levels of success. Certain methods are more efficient and more stable on an annual basis.

The guidelines in the Illinois State Statutes for pension funding are silent on the use of a corridor on the Fair Value of Assets in determination of the Actuarial Value of Assets. In the current valuation, the Plan Sponsor has elected to use a 10% corridor in the determination of the Actuarial Value of Assets for both the Alternative Contribution and the Recommended Contribution. In the event that the Actuarial Value of Assets exceeds 110% of the Fair Value of Assets or falls below 90% of the Fair Value of Assets, the excess gains or losses will be recognized immediately.

ACTUARIAL VALUATION DATA

Active Members
Inactive Members
Summary of Monthly Benefit Payments
Age and Service Distribution

ACTIVE MEMBERS

	Current Valuation
Tier I	33
Tier II	37
Total Active Members	70
Total Payroll	$ 5,510,977

INACTIVE MEMBERS

	Current Valuation
Terminated Members	24
Retired Members	72
Disabled Members	15
Other Beneficiaries	22
Total Inactive Members	133

SUMMARY OF MONTHLY BENEFIT PAYMENTS

	Current Valuation
Retired Members	$ 395,538
Disabled Members	47,244
Other Beneficiaries	60,420
Total Inactive Members	$ 503,202

AGE AND SERVICE DISTRIBUTION

1/1/2023 Age and Service Distribution - Tier 1|Tier 2 Active Members											
	Service	Under 1	1 to 4	5 to 9	10 to 14	15 to 19	20 to 24	25 to 29	30 to 34	35 to 39	40 & up	Total
Age												
Under 25		0|4	0|1									0|5
25 to 29		0|4	0|9									0|13
30 to 34			0|5	0|1	1|3							1|9
35 to 39			0|2	0|3	2|1	5|0						7|6
40 to 44		0|1	0|2		1|0	9|0	2|0					12|3
45 to 49				0|1		1|0	4|0	1|0				6|1
50 to 54							3|0	3|0				6|0
55 to 59					1|0							1|0
60 to 64												
65 to 69												
70 & up												
Total		0|9	0|19	0|5	5|4	15|0	9|0	4|0				33|37

ACTUARIAL FUNDING POLICIES

Actuarial Cost Method
Financing Unfunded Actuarial Accrued Liability
Actuarial Value of Assets

ACTUARIAL COST METHOD

The Actuarial Cost Method allocates the projected obligations of the Plan over the working lifetimes of the Plan Members.

In accordance with the Pension Fund's Funding Policy, the Actuarial Cost Method for the Recommended Contribution basis is Entry Age Normal (Level Percent of Pay). The Entry Age Normal Cost Method is a method under which the Actuarial Present Value of the projected benefits of each individual included in an Actuarial Valuation is allocated on a level basis over the earnings or service of the individual between entry age and assumed exit age. The portion of this Actuarial Present Value allocated to a valuation year is called Normal Cost. The portion of the Actuarial Present Value not provided at an Actuarial Valuation Date by the Actuarial Present Value of future Normal Costs is called the Actuarial Accrued Liability.

The Entry Age Normal method attempts to create a level cost pattern. In contrast to other Actuarial Cost Methods which inherently lead to uneven or less predictable cost patterns, the Entry Age Normal method is generally understood to be less risky in terms of contribution stability from year to year.

The Conference of Consulting Actuaries Public Plans Community produced a "white paper" detailing Funding Policy model practices for public sector pension plans. Under the Level Cost Actuarial Methodology ("LCAM"), one of the principal elements to a Funding Policy is the Actuarial Cost Method. When deciding which Actuarial Cost Method to use, several objectives may be considered, such as the following:

- Each Member's benefit should be funded under a reasonable allocation method by the expected retirement date
- Pay-related benefit costs should reflect anticipated pay at retirement
- The expected cost of each year of service (i.e. Normal Cost) for each active Member should be reasonably related to the expected cost of that Member's benefit
- The Member's Normal Cost should emerge as a level percent of Member compensation
- No gains or losses should occur if all assumptions are met.

Following these criteria, the use of the Entry Age Normal Cost Method (Level Percent of Pay) is a model practice.

FINANCING UNFUNDED ACTUARIAL ACCRUED LIABILITY

The Unfunded Actuarial Accrued Liability may be amortized over a period either in level dollar amounts or as a level percentage of payroll.

When amortizing the Unfunded Actuarial Accrued Liability as a level percentage of payroll, additional risk is incurred since the amortization payments in the early years of the payment period may not be large enough to cover the interest accrued on the existing Unfunded Liability. As a result, the Unfunded Liability may increase initially, before the amortization payments grow large enough to cover all interest accruals. Generally speaking, the Plan Sponsor will be required to contribute a larger total contribution amount over the course of the funding period under a level percentage of payroll basis as compared to a level dollar payroll schedule.

The Government Finance Officers Association notes that best practices in public pension finance include utilizing amortization periods that do not exceed 20 years. Longer amortization periods elevate the risk of failing to reduce any Unfunded Liability. For example, when the amortization payment in full only covers interest on the Unfunded Liability, but does not reduce the existing Unfunded Liability, the required contribution will increase in future years.

A second principal element under the Level Cost Actuarial Methodology described above is to establish an Amortization Policy that determines the length of time and the structure of the increase or decrease in contributions required to systematically fund the Unfunded Actuarial Accrued Liability. When deciding on the Amortization Policy, several objectives may be considered, such as the following:

- Variations in the source of liability changes (i.e. gains or losses, Plan changes, assumption changes) should be funded over periods consistent with an appropriate balance between the policy objectives of demographic matching and volatility management
- The cost changes in Unfunded Actuarial Accrued Liability should emerge as a level percentage of Member compensation

The LCAM model practices for the Amortization Policy include the following:

- Layered fixed period amortization by source
- Level percent of pay amortization
- An amortization period ranging from 15-20 years for experience gains or losses
- An amortization period of 15-25 years for assumption changes

In accordance with the Pension Fund's Funding Policy for the Recommended Contribution, the Unfunded Actuarial Accrued Liability is amortized by level percent of payroll contributions to a 100% funding target over the remaining 18 years. See the *Actuarial Methods – Recommended Contribution* section of this report for more detail.

We believe that the amortization period is appropriate for the purpose of this valuation.

ACTUARIAL VALUE OF ASSETS

The Pension Fund is an ongoing plan. The Employer wishes to smooth the effect of volatility in the Fair Value of Assets on the annual contribution. Therefore, the Actuarial Value of Assets is equal to the Fair Value of Assets with unanticipated gains/losses recognized over a five-year period.

ACTUARIAL FUNDING POLICIES

The Asset Valuation Method is intended to create an Actuarial Value of Assets that remains reasonable in relation to the Fair Value of Assets over time. The method produces results that can fall either above or below the Fair Value of Assets. The period of recognition is short.

It is intended that the period of recognition is short enough to keep the Actuarial Value of Assets within a decent range of the Fair Value of Assets. In the event that the Actuarial Value of Assets exceeds or falls below a 10% corridor of the Fair Value of Assets, the additional gain or loss will be recognized immediately.

ACTUARIAL ASSUMPTIONS

Nature of Actuarial Calculations

The results documented in this report are estimates based on data that may be imperfect and on assumptions about future events. Certain Plan Provisions may be approximated or deemed immaterial, and, therefore, are not valued. Assumptions may be made about demographic data or other factors. Reasonable efforts were made in this valuation to ensure that significant items in the context of the Actuarial Accrued Liability or costs are treated appropriately, and not excluded or included inappropriately.

Actual future experience will differ from the assumptions used in the calculations. As these differences arise, the expense for accounting purposes will be adjusted in future valuations to reflect such actual experience.

A range of results different from those presented in this report could be considered reasonable. The numbers are not rounded, but this is for convenience only and should not imply precision which is not inherent in actuarial calculations.

Selection of Actuarial Assumptions

Actuaries and other service providers provide guidance to their clients in the selection of assumptions used in the Actuarial Valuation based on their industry-specific training and experience. The Actuaries' expertise is used in the determination of demographic assumptions as it relates to future expectations of Plan demographic activity, such as mortality, termination, and retirement rates. The selection of economic assumptions, such as Expected Rate of Return on Investments or the assumed inflation rate, is more subjective. Investment advisors and other services providers utilize their expertise and knowledge of capital markets to model future expectations. Some assumptions may have an influence on other assumptions. The role of the Actuary in the selection of the economic assumptions is to review available market information including historical economic information and forward-looking capital market projections from investment professionals and to assess whether or not sufficient backup exists to deem the assumption reasonable. The selection of economic assumptions is the responsibility of the client. For example, the inflation rate (an economic assumption) may directly correlate to the active member salary increase assumption (a demographic assumption). Once all demographic and economic assumptions have been determined, the Actuary will create various sets of assumptions which take into account the proposed assumptions individually and in the aggregate. The client will then make the final decision of which assumption set to use.

ACTUARIAL ASSUMPTIONS IN THE VALUATION PROCESS

The contributions and benefit values of the Pension Fund are calculated by applying actuarial assumptions to the benefit provisions and demographic data furnished, using the Actuarial Cost Method described in the *Actuarial Funding Policies* section of this report.

The principal areas of financial risk which require assumptions about future experience are:

- Expected Rate of Return on Investments
- Patterns of Pay Increases for Members
- Rates of Mortality Among Active and Inactive Members
- Rates of Termination Among Active Members
- Rates of Disability Among Active Members
- Age Patterns of Actual Retirements

Actual experience of the Pension Fund will not coincide exactly with assumed experience. Each valuation provides a complete recalculation of assumed future experience and takes into account all past differences between assumed and actual experience. The result is a continual series of adjustments to the computed Recommended Contribution.

Details behind the selection of the actuarial assumptions can be found in the Actuarial Assumption Summary document provided to the client upon request. The client has reviewed and approved the assumptions as a reasonable expectation of the future anticipated experience under the Plan.

Assessment of Risk Exposures

From time to time it becomes appropriate to modify one or more of the assumptions, to reflect experience trends (but not random year-to-year fluctuations). In addition, Actuarial Standards of Practice require that the Actuary minimally perform a qualitative assessment of key financial and demographic risks as part of the risk assessment process with each annual Actuarial Valuation. The risk assessments we perform include, but are not limited to, the following:

- Periodic demographic experience studies every 3 to 5 years to confirm the ongoing appropriateness of actuarial assumptions
- Highlight the impact of demographic experience over the past year, as well as other sources of change and volatility in the *Actuarial Recommended Contribution – Reconciliation* section of this report
- Detail year-over-year changes in contribution levels, assets, liabilities, and Funded Status in the *Recommended Contribution* and *Funded Status* sections in the *Management Summary* section of this report
- Review any material changes in the demographic data as summarized in the *Actuarial Valuation Data* section of this report
- Provide and discuss the Actuarial Assumption Summary document highlighting the rationale for each key assumption chosen by the client
- Identify potential Cash Flow Risk by highlighting expected benefit payments over the next 5-year and 10-year periods in the *Asset Growth* section in the *Management Summary* section of this report
- Describe the impact of any assumption, method, or policy change in the *Management Summary* section of this report
- Utilize supplemental information, such as the GASB Discount Rate sensitivity disclosures to understand, for example, what impact an alternative Expected Rate of Return on Investments assumption might have on the estimation of Actuarial Accrued Liability and Funded Status
- Utilize supplemental information, such as the GASB solvency test, to better understand the Cash Flow Risk and long-term sustainability of the Plan

Limitations of Risk Analysis

Since future experience may never be precisely as assumed, the process of selecting funding methods and actuarial assumptions may inherently create risk and volatility of results. A more detailed evaluation of the above risk exposures is beyond the scope and nature of the annual Actuarial Valuation process. For example, scenario tests, sensitivity tests, stress tests, and/or stochastic modeling for multi-year projections to assess the impact of alternative assumptions and methods, or modeling future experience different from the assumptions in these results, are not included in this Actuarial Valuation.

The Rock Island Police Pension Fund and/or the City of Rock Island, Illinois should contact the Actuary if they desire a more detailed assessment of any of these forward-looking risk exposures.

ASSESSMENT AND USE OF ACTUARIAL MODELS

Actuarial Valuations rely upon the use of actuarial modeling software to predict the occurrence of future events, which include specific demographic and financial potential outcomes. Actuarial assumptions are established to provide a guideline to use for such modeling.

- The model used in this Actuarial Valuation is intended to determine the Recommended Contribution, under the selected Funding Policy. The actuarial assumptions used were developed with this goal in mind.
- There are no known material limitations or inconsistencies among the actuarial assumptions or methods.
- The output from the model is reasonable based on the individual actuarial assumptions and based on the actuarial assumptions in the aggregate.
- The actuarial software used to calculate plan liabilities has been purchased from an outside vendor. We have performed thorough testing of the software, including review of sample participants, to ensure the intended purpose of the model, the operation of the model, sensitivities and dependencies, and strengths and limitations of the model are sufficient for this purpose.
- Demographic data and financial information have been provided by client professionals, financial advisors, and/or auditors, who are known to be experts in their respective fields. We rely on the fact that the information provided by these experts has been given for the intended purpose of this Actuarial Valuation.
- Where applicable, certain actuarial assumptions and Funding Policy may be required as prescribed by law. In such instances, we have followed legal guidance to ensure conformity.
- The Expected Rate of Return on Investments assumption has been chosen using input from several sources; including, but not limited to: client professionals, financial advisors, auditors, and other capital market outlooks. We have relied on the information provided, in the aggregate, to settle on the selected Expected Rate of Return on Investments assumption.

As stated in the *Limitations of Risk Analysis* section, future experience may never be precisely as assumed. As a result, the funding methods and actuarial assumptions used in the model may create volatility in the results when compared year after year. A more detailed evaluation of this volatility is beyond the scope and nature of the annual Actuarial Valuation process. In such cases, additional scenario tests, sensitivity tests, stress tests, and/or stochastic modeling for multi-year projections to assess the impact of alternative assumptions and methods, or modeling future experience different from the assumptions in these results, may be performed to determine a range of reasonable results.

ACTUARIAL ASSUMPTIONS UTILIZED

Expected Rate of Return on Investments 7.00% Net of Administrative Expense

CPI-U 2.25%

Total Payroll Increases 3.50%

Individual Pay Increases* 3.75% - 7.25%

Individual pay increases include a long-term average increase for inflation, average annual increases for promotions, and any additional increases for a step program. Sample rates are as follows:

Service	Rate	Service	Rate
0	7.25%	8	3.75%
1	7.25%	9	3.75%
2	7.25%	10	3.75%
3	7.25%	15	3.75%
4	3.75%	20	3.75%
5	3.75%	25	3.75%
6	3.75%	30	3.75%
7	3.75%	35	3.75%

*Individual pay increases for active Members hired at age 40 or older are assumed annual increases at the ultimate rate reduced by 50 basis points, without adjustments in early service years.

Retirement Rates

100% of the L&A Assumption Study for Police 2020 Cap Age 65. Sample rates are as follows:

Age	Rate	Age	Rate
50	11.00%	58	16.25%
51	11.55%	59	16.25%
52	12.13%	60	16.25%
53	12.73%	61	16.25%
54	13.37%	62	18.00%
55	14.04%	63	20.00%
56	14.74%	64	20.00%
57	15.48%	65	100.00%

Termination Rates

100% of the L&A Assumption Study for Police 2020. Sample rates are as follows:

Age	Rate	Age	Rate
25	8.00%	40	2.17%
30	3.40%	45	1.56%
35	2.79%	50	0.46%

Disability Rates

100% of the L&A Assumption Study for Police 2020. Sample rates are as follows:

Age	Rate	Age	Rate
25	0.00%	40	0.38%
30	0.06%	45	0.53%
35	0.18%	50	0.48%

65% of active Members who become disabled are assumed to be in the Line of Duty.

Mortality Rates

Active Mortality follows the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study. Mortality improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

50% of active Member deaths are assumed to be in the Line of Duty.

Retiree Mortality follows the L&A Assumption Study for Police 2020. These rates are experience weighted with the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study improved to 2017 using MP-2019 Improvement Rates. These rates are then improved fully generationally using MP-2019 Improvement Rates.

Disabled Mortality follows the Sex Distinct Raw Rates as developed in the PubS-2010 Study for disabled participants. Mortality improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

Spouse Mortality follows the Sex Distinct Raw Rates as developed in the PubS-2010(A) Study for contingent survivors. For all rates not provided there (ages 45 and younger) the PubG-2010 Study for general employees was used. Mortality improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

Marital Assumptions

Active Members: 80% of active Members are assumed to be married. Female spouses are assumed to be 3 years younger than male spouses.

Retiree and Disabled Members: Actual spousal data was utilized for retiree and disabled Members.

LOW-DEFAULT-RISK OBLIGATION MEASURE

Low-Default-Risk Obligation Measure – Purpose
Low-Default-Risk Obligation Measure
Low-Default-Risk Obligation Measure vs Actuarial Liability

LOW-DEFAULT-RISK OBLIGATION MEASURE - PURPOSE

The Pension Committee of the Actuarial Standards Board adopted changes to Actuarial Standards of Practice No. 4 ("ASOP 4"). ASOP 4 is titled "Measuring Pension Obligations and Determining Pension Plan Costs or Contributions". The changes were adopted by the Actuarial Standards Board in December 2021 and are effective for reporting and Measurement Dates on or after February 15, 2023.

One change is the requirement for all Funding Actuarial Valuations to include a Low-Default-Risk Obligation Measure ("LDROM"). In its simplest form, the LDROM is a measure of Actuarial Liability determined using a low-risk Expected Rate of Return on Investments. The LDROM is not intended to replace the Actuarial Liability used to determine the Recommended Contribution amount calculated in this report. The intention is to provide additional information on the Funded Status of the Plan and benefit security.

The Low-Default-Risk Obligation Measure is shown below as of the Measurement Date. The discussion that follows provides more information on the assumptions and methods used to determine the LDROM and some interpretation of the results.

LOW-DEFAULT-RISK OBLIGATION MEASURE

	Current Valuation
Low-Default-Risk Obligation Measure	$ 145,948,020
Fair Value of Assets	39,818,931
Obligation not Covered by Current Assets	$ 106,129,089

The Low-Default-Risk Obligation Measure is Not Intended to Replace the Actuarial Liability Used to Determine the Recommended Contribution.

The Obligation not Covered by Current Assets shown above is for illustration of the Low-Default-Risk Obligation Measure only and is not intended for any other purposes. The amount of Obligation not Covered by Current Assets should not be used for pension funding or financial statement reporting purposes. In addition, the Obligation not Covered by Current Assets amount should not be used for any other assessments related to pension funding, such as assessing Unfunded Liability for the purpose of issuing Pension Obligation Bonds. Discussion of any of these items should be handled separately.

LOW-DEFAULT-RISK OBLIGATION MEASURE

Selection of the Discount Rate

Under Actuarial Standards, a Discount Rate should be selected from a source that develops the rate using low-default-risk fixed income securities. In addition, the fixed income securities should be reasonably consistent with the pattern of expected benefit payments from the Fund.

The Low-Default-Risk Obligation Measure has been valued using the FTSE Pension Discount Curve. The FTSE Pension Discount Curve is determined using rates from corporate bonds that are rated AA (from the FTSE U.S. Broad Investment Grade Bond Index) and yields from the FTSE Russell's Treasury model curve. The result is a set of investment grade zero coupon bond rates with maturities from 6 months to 30 years.

The equivalent single discount rate that would produce the same liability as the FTSE Pension Discount Curve is 5.02%.

There are other indices constructed that are appropriate for this disclosure as well. They could produce Discount Rates that are higher or lower than the LDROM shown here. An increase/decrease in the discount rate of 50 basis points (0.50%) would decrease/increase the LDROM by (6.87%)/7.69%, respectively. In our opinion, the FTSE Pension Discount Curve meets the requirements of the disclosure of the LDROM. The curve is constructed using investment grade corporate bonds. In addition, the rates are updated monthly and the current rates used (as of the Measurement Date of this report) are reflective of current market conditions. Finally, the use of a yield curve as opposed to a single rate allows the flexibility for the LDROM to be determined in a manner consistent with the pattern of expected benefit payments.

The Discount Rate is intended for the current Measurement Date only. In order to stay consistent with the prevailing market conditions, the Discount Rate will be assessed and updated each year at each new Measurement Date.

Selection of the Actuarial Cost Method

The Standard requires the use of an immediate-gain Actuarial Cost Method. We have elected to use the Entry Age Normal cost method for measurement of the LDROM. Entry Age Normal is being applied on a percent of pay basis. The Cost Method is the same method used for the determination of the Recommended Contribution in this report.

Other immediate-gain Actuarial Cost Methods are available and acceptable for use in the determination of the LDROM. Other acceptable methods include benefits-based methods and accrued benefit methods. We selected the Entry Age Normal method due to the fact that benefit liability in this Fund is not typically settled with one-time payments. For example, the Plan does not pay lump sums (except refunds of Member Contributions) and is not anticipated to settle liability through the purchase of annuity contracts. Therefore, the usefulness of a benefits-based method is much more limited in interpretation of this measure as it relates to benefit security.

Interpretation of the LDROM

The Low-Default-Risk Obligation Measure is higher than the liability used for the Recommended Contribution determination by $34,052,497.

Actuarial Liability is determined in different ways based on the purpose of the measurement. The Actuarial Liability for Recommended Contribution purposes is used to develop a contribution amount that, when combined with other sources of funding (including Member Contributions and expected investment returns), would pay all future expected benefits. The expected investment returns under this scenario are based on the current asset allocation and capital market expectations of the Fund. Assets are invested in a way that involves risk. Actual returns can vary significantly year-to-year above and below expectations. The trade-off is a risk-premium over the long-term and above low-risk market rates.

The LDROM, by contrast, is developed using low-risk returns available in the market. These returns could be obtained theoretically with low-risk of deviation from expectation, and lower expectation (i.e. there is no risk-premium). The LDROM, then, can be thought of as the amount of money that should be set aside today to appropriately fund and prepare for all future benefit payments, if the assets were invested in relatively low volatility assets available in the market today.

The expected decrease in the liability for funding purposes as compared to the LDROM can be thought of as cost savings from investing in riskier assets, with higher long-term return expectations. At the same time, this difference also represents a risk factor for the Pension Fund as the Fund is reliant on receiving the expected return on investments, including a risk premium. Contributions, combined with these investment returns, are required in order to fund future benefit payments.

LOW DEFAULT RISK OBLIGATION MEASURE VS ACTUARIAL LIABILITY

	Current Valuation
Low-Default-Risk Obligation Measure	$ 145,948,020
Actuarial Accrued Liability (Entry Age Normal)	111,895,523
Difference	$ 34,052,497

The Low-Default-Risk Obligation Measure is Not Intended to Replace the Actuarial Liability Used to Determine the Recommended Contribution.

SUMMARY OF PRINCIPAL PLAN PROVISIONS

Establishment of the Fund
Administration
Member Contributions
Regular Retirement Pension Benefit
Early Retirement Pension Benefit
Surviving Spouse Benefit
Termination Benefit – Vested
Disability Benefit
Benefits Not Valued

ESTABLISHMENT OF THE FUND

The Police Pension Fund is established and administered as prescribed by "Article 3 – Police Pension Fund – Municipalities 500,000 and Under" of the Illinois Pension Code.

ADMINISTRATION

The Police Pension Fund is administered by a Board of Trustees whose duties are to manage the Pension Fund, determine applications for pensions, authorize payment of pensions, establish rules, pay expenses, and keep records.

MEMBER CONTRIBUTIONS

Members contribute 9.910% of their pensionable salary.

REGULAR RETIREMENT PENSION BENEFIT

Tier I

Eligibility: Age 50 with at least 20 years of creditable service.

Benefit: 50% of final salary for the first 20 years of service, plus an additional 2.5% of final salary for each year of service beyond 20 years of service, and not to exceed 75% of final salary. "Final salary" is based on the police officer's pensionable salary attached to rank held on the last day of service, unless the pensionable salary was greater at some point within the year prior to the last day of service. If so, the pensionable salary is averaged over the last 12 months.

Annual Increase in Benefit: A police officer is entitled to receive an initial increase equal to 1/12 of 3% of the original monthly benefit for each full month that has passed since the pension began. The initial increase date will be the later of the first day of the month after the pensioner turns age 55 or the first day of the month after the benefit date anniversary. Subsequent increases of 3% of the current monthly benefit will be granted every January 1st thereafter.

REGULAR RETIREMENT PENSION BENEFIT - CONTINUED

Tier II

Eligibility: Age 55 with at least 10 years of creditable service.

Benefit: 2.5% of final average salary for each year of service, and not to exceed 75% of final average salary. "Final average salary" is determined by dividing the total pensionable salary during 48 consecutive months of service within the last 60 months of service in which total pensionable salary was the highest, by the number of months of service in that period (or by dividing the total pensionable salary during 96 consecutive months of service within the last 120 months of service in which total pensionable salary was the highest, by the number of months of service in that period, if greater). Annual salary for this purpose will not exceed the salary cap, indexed by the lesser of 3% or the CPI-U for the 12 months ending with the September preceding each November 1^{st}. The salary cap will not decrease.

Annual Increase in Benefit: The initial increase date will be the later of the January 1^{st} after the pensioner turns age 60 or the January 1^{st} after the benefit date anniversary. Subsequent increases will be granted every January 1^{st} thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1^{st}.

EARLY RETIREMENT PENSION BENEFIT

Tier I

None.

Tier II

Eligibility: Age 50 with at least 10 years of creditable service.

Benefit: The regular retirement pension benefit reduced by ½ of 1% for each month that the police officer's age is between 50 and 55.

Annual Increase in Benefit: The initial increase date will be the later of the January 1^{st} after the pensioner turns age 60 or the January 1^{st} after the benefit date anniversary. Subsequent increases will be granted every January 1^{st} thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1^{st}.

SURVIVING SPOUSE BENEFIT

Tier I

Eligibility: Married to an active police officer with at least 8 years of creditable service, a disabled pensioner at the time of death, or a retired pensioner on the last day of service.

Active Line of Duty Death Benefit: An eligible surviving spouse is entitled to receive 100% of the police officer's final pensionable salary attached to rank held on the last day of service.

Non-Duty Death Benefit:

Disabled or Retired Pensioner: An eligible surviving spouse is entitled to receive the pensioner's benefit at the time of death.

Active Member with 20+ Years of Service: An eligible surviving spouse is entitled to the police officer's eligible benefit at the time of death.

Active Member with 10-20 Years of Service: An eligible surviving spouse is entitled to receive 50% of the police officer's pensionable salary attached to rank held on the last day of service, unless the pensionable salary was greater at some point within the year prior to the last day of service. If so, the pensionable salary is averaged over the last 12 months.

Annual Increase in Benefit: None.

Tier II

Eligibility: Married to an active police officer with at least 8 years of creditable service, a disabled pensioner at the time of death, or a retired pensioner on the last day of service.

Active Line of Duty Death Benefit: An eligible surviving spouse is entitled to receive 100% of the police officer's final pensionable salary attached to rank held on the last day of service.

Non-Duty Death Benefit:

Disabled or Retired Pensioner, Active Member with 20+ Years of Service, and Active Member with 10-20 Years of service: An eligible surviving spouse is entitled to receive the greater of 66⅔% of the police officer's earned pension benefit at the time of death or 54% of the police officer's monthly salary at the time of death.

Annual Increase in Benefit: The initial increase date will be the January 1st after the surviving spouse turns age 60. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

Termination Benefit – Vested

Tier I

Eligibility: Age 60 with at least 8 but less than 20 years of creditable service.

Benefit: 2.5% of final salary for each year of service. "Final salary" is based on the police officer's pensionable salary attached to rank held on the last day of service, unless the pensionable salary was greater at some point within the year prior to the last day of service. If so, the pensionable salary is averaged over the last 12 months.

Annual Increase in Benefit: A police officer is entitled to receive an initial increase equal to 1/12 of 3% of the original monthly benefit for each full month that has passed since the pension began. The initial increase date will be the first day of the month after the benefit date anniversary. Subsequent increases of 3% of the current monthly benefit will be granted every January 1st thereafter.

Tier II

None.

Disability Benefit

Tier I

Eligibility: Duty or Non-Duty Disability or Occupational Disease Disability with at least 5 years of creditable service.

Benefit: For a duty disability or an occupational disease disability with at least 5 years of creditable service, a police officer is entitled to receive the greater of 65% of final salary or the regular retirement pension benefit at the time of disability. For a non-duty disability, a police officer is entitled to receive 50% of their final salary. "Final salary" is based on the police officer's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: A police officer is entitled to receive an initial increase equal to 3% of the original monthly benefit for each full year that has passed since the pension began. The initial increase date will be the later of the January 1st after following pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases of 3% of the original monthly benefit will be granted every January 1st thereafter.

Tier II

Eligibility: Duty or Non-Duty Disability or Occupational Disease Disability with at least 5 years of creditable service.

Benefit: For a duty disability or an occupational disease disability with at least 5 years of creditable service, a police officer is entitled to receive the greater of 65% of final salary or the regular retirement pension benefit at the time of disability. For a non-duty disability, a police officer is entitled to receive 50% of their final salary. "Final salary" is based on the police officer's pensionable salary attached to rank held on the last day of service.

Annual Increase in Benefit: The initial increase date will be the later of the January 1st after the pensioner turns age 60 or the January 1st after the benefit date anniversary. Subsequent increases will be granted every January 1st thereafter. The initial increase and subsequent increases will be the lesser of 3% of the original benefit or ½ of the CPI-U for the 12 months ending with the September preceding each November 1st.

BENEFITS NOT VALUED

Public Act 102-0811 passed on May 13, 2022 and is effective as of January 1, 2023 for Article 3 Pension Funds. The Act establishes that a surviving spouse of a deceased police retiree may be eligible for a survivor's pension of up to 15 years of benefit payments if (a) the surviving spouse has attained age 62 and (b) if the police officer was married to the surviving spouse after retirement, and for at least 5 years prior to the officer's death. Previously, there were no survivor's pension for spouses married after retirement. In our opinion, under a prudent interpretation of the provisions, we believe the impact to be de minimis. The legal community has suggested some uncertainty about multiple provisions contained in the Act, and the IDOI Public Pension Division has not provided an interpretation. The client has not made an administrative interpretation as to how the provisions of the Act will impact future surviving spouses. Due to the uncertainty around the interpretation and the expected de minimis impact, we have not valued this contingency separately. If a spouse is granted a pension by the Pension Board under this provision, we will value the liability of the benefit granted, and revisit valuing the contingency of the benefit being granted in the future.

GLOSSARY OF TERMS

Glossary of Terms

GLOSSARY OF TERMS

Actuarial Accrued Liability – The Actuarial Present Value of future benefits based on Members' service rendered to the Measurement Date using the selected Actuarial Cost Method. It is that portion of the Actuarial Present Value of Plan benefits and expenses allocated to prior years of employment. It is not provided for by future Normal Costs.

Actuarial Cost Method – The method used to allocate the projected obligations of the Plan over the working lifetimes of the Plan Members.

Actuarial Value of Assets – The value of the assets used in the determination of the Unfunded Actuarial Accrued Liability. The Actuarial Value of Assets is related to the Fair Value of Assets, with adjustments made to spread unanticipated gains and losses for a given year over a period of several years. Actuarial Value of Assets is generally equally likely to fall above or below the Fair Value of Assets, and generally does not experience as much volatility over time as the Fair Value of Assets.

Asset Valuation Method **–** A valuation method designed to smooth random fluctuations in asset values. The objective underlying the use of an Asset Valuation Method is to provide for the long-term stability of Employer Contributions.

Funding Policy – A set of procedures for a Pension Fund that outlines the "best practices" for funding the pension benefits based on the goals of the Plan Sponsor. A Funding Policy discusses items such as assumptions, Actuarial Cost Method, assets, and other parameters that will best help the Plan Sponsor meet their goal of working in the best interest of the Plan Members.

Fair Value of Assets – The value of the cash, bonds, securities, and other assets held in the pension trust as of the Measurement Date.

Normal Cost – The present value of future benefits earned by Members during the current Fiscal Year. It is that portion of the Actuarial Present Value of benefits and expenses which is allocated to a valuation year by the Actuarial Cost Method.

Unfunded Actuarial Accrued Liability **–** The excess of the Actuarial Accrued Liability over the Actuarial Value of Assets. The Unfunded Actuarial Accrued Liability is amortized over a period either in level dollar amounts or as a level percentage of projected payroll.

Lauterbach & Amen, LLP

CERTIFIED PUBLIC ACCOUNTANTS

ROCK ISLAND FIREFIGHTERS' PENSION FUND

ACTUARIAL VALUATION
AS OF JANUARY 1, 2023

STATUTORY MINIMUM REQUIRED CONTRIBUTION

FOSTER & FOSTER
ACTUARIES AND CONSULTANTS

FOSTER & FOSTER
ACTUARIES AND CONSULTANTS

August 31, 2023

Rock Island Firefighters' Pension Fund
Re: Actuarial Valuation Report for Statutory Minimum Required Contribution

Dear Board:

We are pleased to present to the Board this report of the annual actuarial valuation of the Rock Island Firefighters' Pension Fund. The funding valuation was performed to determine whether the assets and contributions are sufficient to provide the prescribed benefits and to develop the appropriate funding requirements for the applicable plan year. Use of the results for other purposes may not be applicable and could produce significantly different results.

The valuation has been conducted in accordance with generally accepted actuarial principles and practices, including the applicable Actuarial Standards of Practice as issued by the Actuarial Standards Board, and reflects laws and regulations issued to date pursuant to the provisions of Article 4, Illinois Pension Code, as well as applicable federal laws and regulations. In our opinion, the assumptions used in this valuation, as adopted by the Firefighters' Pension Investment Fund Board of Trustees, represent reasonable expectations of anticipated plan experience. Future actuarial measurements may differ significantly from the current measurements presented in this report for a variety of reasons including: changes in applicable laws, changes in plan provisions, changes in assumptions, or plan experience differing from expectations. Due to the limited scope of the valuation, we did not perform an analysis of the potential range of such future measurements.

The funding percentages and unfunded accrued liability as measured based on the actuarial value of assets will differ from similar measures based on the market value of assets. These measures, as provided, are appropriate for determining the adequacy of future contributions, but may not be appropriate for the purpose of settling a portion or all of its liabilities.

In conducting the valuation, we have relied on personnel information supplied by the local Board, asset information and financial reports prepared by the auditors for the Firefighters' Pension Investment Fund, plan design information as defined in Article 4 of the Illinois Pension Code, and the actuarial assumptions and methods described in the Actuarial Assumptions section of this report. While we cannot verify the accuracy of all this information, the supplied information was reviewed for consistency and reasonableness. As a result of this review, we have no reason to doubt the substantial accuracy of the information and believe that it has produced appropriate results. This information, along with any adjustments or modifications, is summarized in various sections of this report.

In performing the analysis, we used third-party software to model (calculate) the underlying liabilities and costs. These results are reviewed in the aggregate and for individual sample lives. The output from the software is either used directly or input into internally developed models to generate the costs. All internally developed models are reviewed as part of the process. As a result of this review, we believe that the models have produced reasonable results. We do not believe there are any material inconsistencies among assumptions or unreasonable output produced due to the aggregation of assumptions.

The undersigned are familiar with the immediate and long-term aspects of pension valuations and meet the Qualification Standards of the American Academy of Actuaries necessary to render the actuarial opinions contained herein. All of the sections of this report are considered an integral part of the actuarial opinions.

To our knowledge, no associate of Foster & Foster, Inc. working on valuations of the program has any direct financial interest or indirect material interest in the plan sponsor, nor does anyone at Foster & Foster, Inc. act as a member of the Board of Trustees of the Rock Island Firefighters' Pension Fund. Thus, there is no relationship existing that might affect our capacity to prepare and certify this actuarial report.

Respectfully submitted,

By: ______________________________
Jason L. Franken, FSA, EA, MAAA
Foster & Foster, Inc.

By: ______________________________
Heidi E. Andorfer, FSA, EA, MAAA
Foster & Foster, Inc.

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SUMMARY OF REPORT

The regular annual actuarial valuation of the Rock Island Firefighters' Pension Fund, performed as of January 1, 2023, has been completed and the results are presented in this report. The contribution requirements are as follows:

Valuation Date	January 1, 2023
Total Statutory Contribution	5,550,461
Member Contributions (Est.)	(510,532)
Statutory Minimum Required Contribution [1]	5,039,929

[1] This calculation is determined in accordance with Section 4-118 of the Illinois Pension Code. This report should not be relied upon for purposes other than determining the current tax levy required under the Illinois Pension Code. The assumptions have been set based on expectations for all Article 4 funds in the State of Illinois. The actuarial methods are prescribed by the Illinois Pension Code and do not necessarily represent the approach recommended by either the actuary or the Firefighters' Pension Investment Fund.

Section 4-118 of the Illinois Pension Code provides a minimum required contribution, determined under the projected unit cost method, that is sufficient to fund the normal cost of the pension fund, or 17.5% of the salaries and wages to be paid to firefighters for the year involved, whichever is greater, plus an annual amount sufficient to bring the total assets of the pension fund up to 90% of the total actuarial liabilities of the pension fund by the end of the employer's fiscal year 2040.

A municipal or fire protection district employer may consult with its actuary if it desires to provide funding in excess of the minimum required contribution, such as through the entry age normal cost method, by using a shorter amortization period, or by using a funding schedule that amortizes 100% of the pension fund's liabilities.

Providing additional funding in excess of the minimum required contribution would reduce the total employer cost over the life of the amortization period.

CHANGES SINCE PRIOR VALUATION

Plan Changes Since Prior Valuation

There were no plan changes since the prior valuation.

Actuarial Assumption/Method Changes Since Prior Valuation

The actuarial assumptions have been updated from the prior valuation based on an experience study performed for the Firefighters' Pension Investment Fund dated December 1, 2021 and adopted by the Board of Trustees on December 13, 2021.

There were no method changes since the prior valuation.

SUMMARY OF PRINCIPAL VALUATION RESULTS

A. Participant data

Actives	59
Service Retirees	54
Beneficiaries	26
Disability Retirees	10
Terminated Vested Due Future Annuity	1
Terminated with Accumulated Contributions in Fund	14
Total	164
Total Annual Payroll	5,040,468
Annual Rate of Payments to:	
Service Retirees	3,380,145
Beneficiaries	1,088,538
Disability Retirees	468,210
Terminated Vested Due Future Annuity	2,610

B. Assets

Actuarial Value (AVA)	27,294,103
Market Value (MVA)	24,542,864

C. Liabilities

Present Value of Benefits (PVB)	
Actives	
Retirement Benefits	34,972,466
Death Benefits	365,113
Disability Benefits	3,145,476
Terminated Vested Benefits	1,045,172
Service Retirees	42,923,671
Beneficiaries	8,419,282
Disability Retirees	5,537,447
Terminated Vested Due Future Annuity	7,968
Terminated with Accumulated Contributions in Fund	119,161
Total	96,535,756

SUMMARY OF PRINCIPAL VALUATION RESULTS

C. Liabilities (continued)

Accrued Liability (AL)	
Actives	
Retirement Benefits	24,248,505
Death Benefits	224,363
Disability Benefits	1,984,364
Terminated Vested Benefits	664,454
Service Retirees	42,923,671
Beneficiaries	8,419,282
Disability Retirees	5,537,447
Terminated Vested Due Future Annuity	7,968
Terminated with Accumulated Contributions in Fund	119,161
Total	84,129,215
Normal Cost	
Normal Cost (Retirement)	1,185,663
Normal Cost (Death)	20,134
Normal Cost (Disability)	139,040
Normal Cost (Terminated Vested)	62,181
Total	1,407,018
Unfunded Actuarial Accrued Liability (UAAL = AL - AVA)	56,835,112
Funded Ratio (AVA / AL)	32.4%

D. Amortization Payment

Total Accrued Liability	84,129,215
90% Funded Ratio Target	75,716,294
Actuarial Value of Assets	27,294,103
Liabilities Subject to Amortization over 18 Years	48,422,191
Amortization Payment, Beginning of Year	3,746,136

SUMMARY OF PRINCIPAL VALUATION RESULTS

E. Statutory Minimum Required Contribution [1]

Normal Cost, Including Expense Load [2]	1,537,413
Payment Required to Amortize UAAL Over 18 Years [2]	4,013,048
Total Statutory Contribution	5,550,461
Expected Member Contributions [2]	(510,532)
Statutory Minimum Required Contribution [1]	5,039,929

[1] This calculation is determined in accordance with Section 4-118 of the Illinois Pension Code. This report should not be relied upon for purposes other than determining the current tax levy required under the Illinois Pension Code. The assumptions have been set based on expectations for all Article 4 funds in the State of Illinois. The actuarial methods are prescribed by the Illinois Pension Code and do not necessarily represent the approach recommended by either the actuary or the Firefighters' Pension Investment Fund.

Section 4-118 of the Illinois Pension Code provides a minimum required contribution, determined under the projected unit cost method, that is sufficient to fund the normal cost of the pension fund, or 17.5% of the salaries and wages to be paid to firefighters for the year involved, whichever is greater, plus an annual amount sufficient to bring the total assets of the pension fund up to 90% of the total actuarial liabilities of the pension fund by the end of the employer's fiscal year 2040.

A municipal or fire protection district employer may consult with its actuary if it desires to provide funding in excess of the minimum required contribution, such as through the entry age normal cost method, by using a shorter amortization period, or by using a funding schedule that amortizes 100% of the pension fund's liabilities.

Providing additional funding in excess of the minimum required contribution would reduce the total employer cost over the life of the amortization period.

[2] Includes one year of interest.

PROJECTION OF BENEFIT PAYMENTS [1]

Year	Payments for Current Actives	Payments for Current Non-Actives	Total Payments
2023	111,244	4,978,459	5,089,703
2024	300,401	4,881,154	5,181,555
2025	498,823	4,906,146	5,404,969
2026	705,549	4,912,243	5,617,792
2027	921,299	4,907,881	5,829,180
2028	1,143,086	4,892,585	6,035,671
2029	1,360,431	4,865,859	6,226,290
2030	1,593,223	4,827,453	6,420,676
2031	1,833,623	4,777,288	6,610,911
2032	2,064,606	4,715,407	6,780,013
2033	2,289,449	4,642,079	6,931,528
2034	2,511,966	4,557,801	7,069,767
2035	2,734,260	4,487,116	7,221,376
2036	2,961,716	4,384,289	7,346,005
2037	3,182,404	4,272,985	7,455,389
2038	3,396,139	4,153,807	7,549,946
2039	3,631,518	4,027,302	7,658,820
2040	3,864,787	3,893,793	7,758,580
2041	4,084,191	3,753,449	7,837,640
2042	4,311,273	3,606,254	7,917,527
2043	4,538,872	3,452,066	7,990,938
2044	4,767,943	3,290,652	8,058,595
2045	5,033,711	3,124,443	8,158,154
2046	5,286,048	2,948,456	8,234,504
2047	5,538,634	2,765,921	8,304,555
2048	5,811,474	2,578,064	8,389,538
2049	6,065,573	2,386,619	8,452,192
2050	6,284,079	2,193,686	8,477,765
2051	6,506,462	2,001,415	8,507,877
2052	6,701,608	1,811,991	8,513,599
2053	6,895,946	1,627,517	8,523,463
2054	7,061,478	1,450,029	8,511,507
2055	7,192,299	1,281,401	8,473,700
2056	7,330,627	1,123,271	8,453,898
2057	7,433,222	976,969	8,410,191
2058	7,503,381	843,439	8,346,820
2059	7,546,993	723,193	8,270,186
2060	7,563,182	616,257	8,179,439
2061	7,555,880	522,262	8,078,142
2062	7,524,307	440,514	7,964,821

[1] This illustrates the projection of future benefit payments for the population as it exists on the valuation date without consideration for future hires.

ACTUARIAL ASSUMPTIONS AND METHODS

The assumptions shown below were adopted by the Board December 1, 2021 following a 2021 review of plan experience.

Interest Rate	7.125% per year compounded annually, net of investment related expenses.
Mortality Rate	***Active Lives:*** PubS-2010 Employee mortality, unadjusted, with generational improvements with most recent projection scale (currently Scale MP-2021). 20% of active deaths are assumed to be in the line of duty. ***Inactive Lives:*** PubS-2010 Healthy Retiree mortality, adjusted by a factor of 1.081 for male retirees and unadjusted for female retirees, with generational improvements with most recent projection scale (currently Scale MP-2021). ***Beneficiaries:*** PubS-2010 Survivor mortality, unadjusted for male beneficiaries and adjusted by a factor of 1.098 for female beneficiaries, with generational improvements with most recent projection scale (currently Scale MP-2021). ***Disabled Lives:*** PubS-2010 Disabled mortality, adjusted by a factor of 1.178 for male disabled members and unadjusted for female disabled members, with generational improvements with most recent projection scale (currently Scale MP-2021). The mortality assumptions sufficiently accommodate anticipated future mortality improvements.
Retirement Age	See table at the end of this section.
Disability Rate	See table at the end of this section. 80% of the disabilities are assumed to be in the line of duty.
Termination Rate	See table at the end of this section.

Salary Increases — See table below.

Salary Scale	
Service	Rate
0	12.50%
1	10.50%
2	9.50%
3	8.50%
4	7.50%
5	6.50%
6	5.00%
7	4.50%
8+	4.00%

Inflation — 2.25%.

Cost-of-Living Adjustment — Tier 1: 3.00% per year after age 55. Those that retire prior to age 55 receive an increase of 1/12 of 3.00% for each full month since benefit commencement upon reaching age 55.

Tier 2: 1.125% per year after the later of attainment of age 60 or first anniversary of retirement.

Marital Status — 80% of Members are assumed to be married.

Spouse's Age — Males are assumed to be three years older than females.

Funding Method — Projected Unit Credit Cost Method.

Actuarial Asset Method — Investment gains and losses are smoothed over a 5-year period. In the first year, 20% of the gain or loss is recognized. In the second year 40%, in the third year 60%, in the fourth year 80%, and in the fifth year 100% of the gain or loss is recognized. The actuarial investment gain or loss is defined as the actual return on investments minus the actuarial assumed investment return.

Funding Policy Amortization Method — The UAAL is amortized according to a Level Percentage of Payroll method over a period ending in 2040. The initial amortization amount is 90% of the Accrued Liability less the Actuarial Value of Assets.

Payroll Growth — 2.75% per year.

Administrative Expenses — Administrative expenses will be estimated as 2% of the fund's total normal cost.

Decrement Tables

% Terminating During the Year		% Becoming Disabled During the Year		% Retiring During the Year (Tier 1)		% Retiring During the Year (Tier 2)	
Age	Rate	Age	Rate	Age	Rate	Age	Rate
20	10.00%	20	0.010%	50-51	12%	50-54	3%
25	8.00%	25	0.016%	52-53	15%	55	30%
30	4.00%	30	0.068%	54-55	20%	56-59	20%
35	2.50%	35	0.220%	56-59	20%	60-62	25%
40	1.20%	40	0.420%	60-62	25%	63-64	33%
45+	1.00%	45	0.650%	63-64	33%	65-69	50%
		50	0.900%	65-69	50%	70+	100%
		55	1.240%	70+	100%		
		60	1.580%				

GLOSSARY

Total Annual Payroll is the projected annual rate of pay for the fiscal year following the valuation date of all covered members.

Present Value of Benefits is the single sum value on the valuation date of all future benefits to be paid to current Members, Retirees, Beneficiaries, Disability Retirees and Vested Terminations.

Accrued Actuarial Liability is determined according to the plan's actuarial cost method. This amount represents the portion of the anticipated future benefits allocated to years prior to the valuation date.

Normal (Current Year's) Cost is the current year's cost for benefits yet to be funded.

Market Value of Assets is the fair market value of plan assets as of the valuation date. This amount may be adjusted to produce an Actuarial Value of Assets for plan funding purposes.

Actuarial Value of Assets is the asset value used in the valuation to determine contribution requirements. It represents the plan's Market Value of Assets, with adjustments according to the Actuarial Asset Method. These adjustments produce a "smoothed" value that is likely to be less volatile from year to year than the Market Value of Assets.

Unfunded Accrued Liability is the excess of the Accrued Actuarial Liability over the Actuarial Value of Assets.

Statutory Minimum Required Contribution is equal to the Normal Cost plus an amount sufficient to amortize the Unfunded Accrued Liability to achieve a 90% funding target by 2040. The required amount is adjusted for interest to year-end.

Projected Unit Credit Actuarial Cost Method (Level Percent of Compensation) is the method used to determine statutory minimum required contributions under the Plan. The use of this method involves the systematic funding of the Normal Cost (described above) and the Unfunded Accrued (Past Service) Liability. The actuarial accrued liability is the present value of accrued benefits, utilizing projected salary for active Plan Participants.

DISCUSSION OF RISK

Actuarial Standard of Practice No. 51, Assessment and Disclosure of Risk Associated with Measuring Pension Obligations and Determining Pension Plan Contributions, states that the actuary should identify risks that, in the actuary's professional judgment, may reasonably be anticipated to significantly affect the plan's future financial condition.

Throughout this report, actuarial results are determined under various assumption scenarios. These results are based on the premise that all future plan experience will align with the plan's actuarial assumptions; however, there is no guarantee that actual plan experience will align with the plan's assumptions. It is possible that actual plan experience will differ from anticipated experience in an unfavorable manner that will negatively impact the plan's funded position.

Below are examples of ways in which plan experience can deviate from assumptions and the potential impact of that deviation. Typically, this results in an actuarial gain or loss representing the current-year financial impact on the plan's unfunded liability of the experience differing from assumptions; this gain or loss is amortized over a period of time determined by the plan's amortization method. When assumptions are selected that adequately reflect plan experience, gains and losses typically offset one another in the long term, resulting in a relatively low impact on the plan's contribution requirements associated with plan experience. When assumptions are too optimistic, losses can accumulate over time and the plan's amortization payment could potentially grow to an unmanageable level.

- Investment Return: When the rate of return on the Actuarial Value of Assets falls short of the assumption, this produces a loss representing assumed investment earnings that were not realized. Further, it is unlikely that the plan will experience a scenario that matches the assumed return in each year as capital markets can be volatile from year to year. Therefore, contribution amounts can vary in the future.

- Salary Increases: When a plan participant experiences a salary increase that was greater than assumed, this produces a loss representing the cost of an increase in anticipated plan benefits for the participant as compared to the previous year. The total gain or loss associated with salary increases for the plan is the sum of salary gains and losses for all active participants.

- Payroll Growth: The plan's payroll growth assumption causes a predictable annual increase in the plan's amortization payment in order to produce an amortization payment that remains constant as a percentage of payroll if all assumptions are realized. If payroll does not increase according to the plan's payroll growth assumption, the plan's amortization payment can increase significantly as a percentage of payroll even if all assumptions other than the payroll growth assumption are realized.

- Demographic Assumptions: Actuarial results take into account various potential events that could happen to a plan participant, such as retirement, termination, disability, and death. Each of these potential events is assigned a liability based on the likelihood of the event and the financial consequence of the event for the plan. Accordingly, actuarial liabilities reflect a blend of financial consequences associated with various possible outcomes (such as retirement at one of various possible ages). Once the outcome is known (e.g. the participant retires) the liability is adjusted to reflect the known outcome. This adjustment produces a gain or loss depending on whether the outcome was more or less favorable than other outcomes that could have occurred.

- Contribution Risk: This risk results from the potential that actual employer contributions may deviate from actuarially determined contributions. Contribution deficits, particularly large deficits and those that occur repeatedly, increase future contribution requirements and put the plan at risk for not being able to pay plan benefits when due.

Impact of Plan Maturity on Risk

For newer pension plans, most of the participants and associated liabilities are related to active members who have not yet reached retirement age. As pension plans continue in operation and active members reach retirement ages, liabilities begin to shift from being primarily related to active members to being shared amongst active and retired members. Plan maturity is a measure of the extent to which this shift has occurred. It is important to understand that plan maturity can have an impact on risk tolerance and the overall risk characteristics of the plan. For example, plans with a large amount of retired liability do not have as long of a time horizon to recover from losses (such as losses on investments due to lower than expected investment returns) as plans where the majority of the liability is attributable to active members. Similarly, mature plans paying substantial retirement benefits resulting in a small positive or net negative cash flow can be more sensitive to near term investment volatility, particularly if the size of the fund is shrinking, which can result in less assets being available for investment in the market.

To assist with determining the maturity of the plan, we have provided some relevant metrics in the table following titled “Risk Metrics”.

Metrics to Help Assess Risk

Below are descriptions of some metrics that can be used to help assess risk. The table at the end of this section provides these metrics for the fund.

- Support Ratio: The support ratio is determined as the ratio of active to inactive members. This metric speaks to the maturity of the plan, with a low ratio indicating a more mature plan.

- Asset Volatility Ratio: The asset volatility ratio is determined as the ratio of the Market Value of Assets to Total Payroll. It is a measure of the impact of investment volatility on employer contributions which are paid as a percentage of payroll. Although Market Value of Asset growth that exceeds payroll growth may contribute to the financial stability of the plan, the amortization of changes in these higher asset values have a greater impact on contribution volatility as this ratio increases.

- Accrued Liability (AL) Ratio: The accrued liability ratio is the proportion of Total Accrued Liability attributable to inactive members. A higher ratio indicates a more mature plan. Mature plans will see increased risk since losses due to lower than expected investment returns or demographic factors will need to be made up for over a shorter time horizon than would be needed for a less mature plan.

- Funded Ratio: The funded ratio is determined as the ratio of the Actuarial Value of Assets to the Total Accrued Liability. This ratio generally reflects the financial health of the plan but should not be considered in isolation since it is very sensitive to changes in actuarial methods and assumptions.

- Net Cash Flow Ratio: The net cash flow ratio is determined as the ratio of the Net Cash Flow (contributions minus benefit payments and administrative expenses) to the Market Value of Assets. Mature plans paying substantial retirement benefits resulting in small positive or negative cash flows may be more sensitive to near term investment volatility.

It is important to note that the actuary has identified the risks above as the most significant risks based on the characteristics of the plan and the nature of the project, however, it is not an exhaustive list of potential risks that could be considered. Additional advanced modeling, as well as the identification of additional risks may be helpful in some situations.

RISK METRICS

Support Ratio

Total Actives	59
Total Inactives	105
Actives / Inactives	56.2%

Asset Volatility Ratio

Market Value of Assets (MVA)	24,542,864
Total Annual Payroll	5,040,468
MVA / Total Annual Payroll	486.9%

Accrued Liability (AL) Ratio

Inactive Accrued Liability	57,007,529
Total Accrued Liability	84,129,215
Inactive AL / Total AL	67.8%

Funded Ratio

Actuarial Value of Assets (AVA)	27,294,103
Total Accrued Liability	84,129,215
AVA / Total Accrued Liability	32.4%

Net Cash Flow Ratio

Net Cash Flow [1]	(412,976)
Market Value of Assets (MVA)	24,542,864
Ratio	-1.7%

[1] Determined as total contributions minus benefit payments and administrative expenses.

ASSETS

Development of Actuarial Value of Assets

Market Value of Assets as of December 31, 2022	24,542,864
(Gains)/Losses Not Yet Recognized	2,751,239
Actuarial Value of Assets as of December 31, 2022	27,294,103

Development of Investment Gain/Loss

Market Value of Assets as of December 31, 2021	28,567,210
Benefit payments during fiscal year 2022	(4,891,558)
Administrative expense during fiscal year 2022	(59,678)
Total contributions during fiscal year 2022	4,538,260
Contributions Less Benefit Payments & Administrative Expenses	(412,976)
Expected Investment Earnings[1]	1,701,643
Actual Net Investment Earnings	(3,611,370)
Actuarial Investment Gain/(Loss)	(5,313,013)

[1] Expected Investment Earnings = 6.00% x (28,567,210 + 0.5 x -412,976)

Gains/(Losses) Not Yet Recognized

Fiscal Year Ending	Gain/(Loss)	Amounts Not Yet Recognized by Valuation Year 2022	2023	2024	2025
2019	2,428,735	485,747	0	0	0
2020	921,249	368,500	184,250	0	0
2021	1,074,874	644,924	429,950	214,975	0
2022	(5,313,013)	(4,250,410)	(3,187,808)	(2,125,205)	(1,062,603)
Total		(2,751,239)	(2,573,608)	(1,910,230)	(1,062,603)

SUMMARY OF CURRENT PLAN

<u>Article 4 Pension Fund</u>	The Plan is established and administered as prescribed by "Article 4. Firefighters' Pension Fund – Municipalities 500,000 and Under" of the Illinois Pension Code.
<u>Plan Administration</u>	The Plan is a single employer defined benefit pension plan administered by a Board of Trustees comprised of: a.) Two members appointed by the Municipality, b.) Two active Members of the Fire Department elected by the Membership, and c.) One retired Member of the Fire Department elected by the Membership.
<u>Credited Service</u>	Years and fractional parts of years of service (except as noted below) as a sworn Firefighter employed by the Municipality.
<u>Salary</u>	Annual salary, including longevity, attached to firefighter's rank, as established by the municipality appropriation ordinance, excluding overtime pay, bonus pay and holiday pay except for the base 8 hours of the 10 pensionable holidays which is included. For Tier 2 participants, the salary is capped at a rate of $106,800 as of 2011, indexed annually at a rate of CPI-U, but not to exceed 3.00%.
<u>Normal Retirement</u>	
Date	**Tier 1**: Age 50 and 20 years of Credited Service. **Tier 2:** Age 55 and 10 years of Credited Service.
Benefit	**Tier 1**: 50% of annual salary attached to rank on last day of service plus 2.50% of annual salary for each year of service over 20 years, up to a maximum of 75% of salary. The minimum monthly benefit is $1,159.27 per month. **Tier 2**: 2.50% per year of service times the average salary for the 48 consecutive months of service within the last 60 months of service in which the total salary was the highest prior to retirement times the number of years of service, up to a maximum of 75% of average salary. The minimum monthly benefit is $1,159.27 per month.
Form of Benefit	**Tier 1:** For married retirees, an annuity payable for the life of the Member; upon the death of the member, 100% of the Member's benefit payable to the spouse until death. For unmarried retirees, the normal form is a Single Life Annuity. **Tier 2**: Same as above, but with 66 2/3% of benefit continued to spouse.

Early Retirement

Date	**Tier 1**: Age 60 and 10 years of Credited Service. **Tier 2:** Age 50 and 10 years of Credited Service.
Benefit	**Tier 1**: 1.50% plus 0.10% for each year of service in excess of 10 years, times salary x service (complete years). **Tier 2**: Normal Retirement Benefit, reduced 6.00% for each year before age 55, with no minimum benefit.
Form of Benefit	Same as Normal Retirement.

Disability Benefit

Eligibility	Total and permanent as determined by the Board of Trustees. Seven years of service required for non-service connected disability.
Benefit Amount	A maximum of: a.) 65% of salary attached to the rank held by Member on last day of service, and; b.) The monthly retirement pension that the Member is entitled to receive if he or she retired immediately. For non-service connected disabilities, a benefit of 50% of salary attached to rank held by Member on last day of service.

Cost-of-Living Adjustment

Tier 1:

Retirees: An annual increase equal to 3.00% per year after age 55. Those that retire prior to age 55 receive an increase of 1/12 of 3.00% for each full month since benefit commencement upon reaching age 55.

Disabled Retirees: An annual increase equal to 3.00% per year of the original benefit amount beginning at age 60. Those that become disabled prior to age 60 receive an increase of 3.00% of the original benefit amount for each year since benefit commencement upon reaching age 60.

Tier 2: An annual increase each January 1 equal to 3.00% per year or one-half of the annual unadjusted percentage increase in the consumer price index-u for the 12 months ending with the September preceding each November 1, whichever is less, of the original pension after the attainment of age 60 or first anniversary of pension start date whichever is later.

Pre-Retirement Death Benefit

Service Incurred	100% of salary attached to rank held by Member on last day of service.
Non-Service Incurred	A maximum of: a.) 54% of salary attached to the rank held by Member on last day of service, and; b.) The monthly retirement pension earned by the deceased Member at the time of death, regardless of whether death occurs before or after age 50.

Vesting (Termination)

Vesting Service Requirement	10 years.
Non-Vested Benefit	Refund of Member Contributions.
Vested Benefit	Either the termination benefit, payable upon reaching age 60 (55 for Tier 2), provided contributions are not withdrawn, or a refund of member contributions.
Termination Benefit	Based on the monthly salary attached to the Member's rank at separation from service and equals: **Tier 1:** 1.50% plus 0.10% for each year of service in excess of 10 years, times salary x service (based on complete years). **Tier 2:** 2.50% of 4-year final average salary times creditable service.

Contributions

Employee	9.455% of Salary.
Municipality	Remaining amount necessary for payment of Normal (current year's) Cost and amortization of the accrued past service liability.

Memorandum

To: Rock Island City Council

From: Nichole Mata

Subject: Report from the Community and Economic Development Department regarding the discontinuation of the Targeted Area Repair and Rehabilitation Program.

Motion: Motion whether or not to discontinue the Targeted Area Repair and Rehabilitation Program as recommended.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The City continues to evaluate CDBG programs in Rock Island to ensure that the grant funds are being spent efficiently and provide the greatest benefit to residents. In Program Years 2020 and 2021, code enforcement activities paid for through CDBG were conducted in targeted areas within the City, which included Census Tract 236. That Tract was identified in the 2019 Analysis of Impediments to Fair and Affordable Housing as a Racially or Ethnically Concentrated Area of Poverty (RECAP). Two smaller target areas were also included for CDBG funded code enforcement activities. Code enforcement activities were meant to be coupled with housing rehabilitation assistance to improve the housing stock and neighborhoods in the identified target areas. Following a review of this effort, however, it was determined that most residents in the targeted areas were seeking assistance on their own without a code enforcement officer's assistance. As such, it has been determined that there is insufficient justification for the use of CDBG funding for code enforcement activities. Program policies and procedures will be updated to reflect this change. Community Development staff reviewed the policy change and took the change to the Community Development Commission(CDC) to vote on whether or not to discontinue the program at the August 21st meeting. The CDC voted to discontinue the program.

Previous Council Action (if any):

The City Council approved the creation of TARRP as part of the 2020 Annual Action Plan.

Budget Impact:

There will be no impact on the CDBG budget overall. Funds will be reallocated to other rehabilitation programs.

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

NA

Council Goal (if applicable):

NA

Recommendation:

Staff recommends that the Council move to discontinue the Targeted Area Repair and Rehabilitation program.

Submitted by: Nichole Mata

Approved by: Joshua Adams

City of Rock Island
Targeted Area Repair and Rehabilitation Program
Policies and Procedures

Adopted 2019
Amended 2020

INTRODUCTION

This document establishes the policies and procedures that shall be followed for the administration and implementation of the City of Rock Island's Targeted Area Repair and Rehabilitation Program (The Program). The City of Rock Island (City) has designated "target areas" that have been determined to be in a deteriorated or deteriorating condition as defined by Illinois 65 ILCS 5/11-74.4-3. The Program is funded through the City of Rock Island's Housing and Urban Development - Community Development Block Grant (CDBG) annual entitlement funding award.

TARGET AREA REPAIR AND REHABILITATION PROGRAM POLICIES

Authority to use Grant Funds

The Community Development Block Grant (CDBG) program was established by Title I of the Housing and Community Development Act of 1974, Public Law 93-383, as amended, 42 United States Code 5301. Under the CDBG program, the U.S. Department of Housing and Urban Development (HUD) awards grants to State and local governments to aid in the development of viable urban communities. To be eligible for funding, program-funded projects must satisfy one of three HUD national program national objectives required in 24 CFR (Code of Federal Regulations) 570.208:

- To benefit low and moderate income persons which are identified as persons with incomes below 80% of the area median household income; these income limits are determined and established by HUD utilizing Median Family Income estimates for each metropolitan area. Income limits are updated annually;
- Prevention and elimination of slums and blight; and
- Meeting urgent needs.

The Housing and Community Development Act of 1974 (HCDA) and the CDBG regulations permit the use of CDBG funds for repair and rehabilitation activities. Section 105(d) of the HCDA permits the use of CDBG funds for "clearance, demolition, removal, reconstruction, and rehabilitation (including rehabilitation which promotes energy efficiency) of buildings and improvements (including interim assistance, and financing public or private acquisition for reconstruction or rehabilitation, and reconstruction or rehabilitation, of privately owned properties, and including the renovation of closed school buildings)." This provision is codified in the CDBG Entitlement program regulations at 24 CFR 570.202(a)(1) and 24 CFR 570.202(b)(2), which read as follows:

(a)(1) Privately owned buildings and improvements for residential purposes; improvements to a single-family residential property which is also used as a place of business, which are required in order to operate the business, need not be considered to be rehabilitation of a commercial or industrial building, if the improvements also provide general benefit to the residential occupants of the building; and

(b)(2) Labor, materials, and other costs of rehabilitation of properties, including repair directed toward an accumulation of deferred maintenance, replacement of principal fixtures and components of existing structures, installation of security devices, including smoke detectors and dead bolt locks, and renovation through alterations, additions to, or enhancement of existing structures and improvements, abatement of asbestos hazards (and other contaminants) in buildings and improvements that may be undertaken individually or in combination with other programs or resources.

Eligible repair and rehabilitation activities undertaken in the Program shall be completed only in the areas that the city has designated as deteriorated or deteriorating in which such repair and/or rehabilitation, together with public or private improvements or services to be provided, may be expected to arrest the decline of the area.

Rock Island Definition of Deteriorated or Deteriorating

The City of Rock Island City Council passed Resolution No. 09-2019, which designates the "Targeted Areas" and adopts Illinois state statute (65 ILCS 5_11-74.4-3) to define deteriorated/deteriorating (See Appendix B, C and D -G). For the purposes of Code Enforcement in the "Targeted Areas," the city uses the following definitions of deteriorated/deteriorated conditions: dilapidation, obsolescence, deterioration, structures below minimum code, excessive vacancies, lack of ventilation, light or sanitary facilities, and inadequate utilities.

The Purpose of the Targeted Repair and Rehabilitation Program

The City of Rock Island Community and Economic Development Department, Inspection Division administers a city wide Code Enforcement Program, employing 3 Housing and Property Maintenance Inspectors. One Housing and Property Maintenance Inspector (Inspector) is assigned exclusively to the designated Target Areas. The inspector conducts code enforcement inspections on single or multifamily housing units, commercial buildings, and other publicly or privately owned buildings. The building or facility being inspected must be located in a primarily residential area; a minimum of 51% of the residents in the targeted areas must meet the income qualifications where the violations are documented.

The inspector makes an assessment of properties within the target areas using the following City of Rock Island Code of Ordinances as a guide:

- Chapter 4 – Building and Building Regulations
- Chapter 13 Public Works
- Chapter 7 Health
- Appendix A Zoning

Violations are issued based on the condition of the following:

- Structural deficiencies
- Nuisance violations (weeds, grass, trash, and other items)
- Health and life safety issues
- Zoning violations

When the inspector issues violations to a single family owner occupied property, the home owner is provided information regarding possible assistance through the Targeted Area Repair and Rehabilitation Program. It is then up to the homeowner to follow up with the Planning and Redevelopment Division in an effort to pursue assistance in the amelioration of the deteriorated condition as stated in the Notice of Violation.

National Objective and Eligibility

The Program will be administered using the Low-Mod Housing benefit. CDBG funds shall be utilized for housing activities to assist income qualified homeowners with repair and rehabilitation of owner-occupied units. Eligible activities include: general rehabilitation of existing housing structures, including substantial rehabilitation to bring homes up to local codes and standards. The Program will address deferred maintenance. Repair and rehabilitation of the home's principal fixtures and components, including special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners will also be addressed.

All program activities shall meet the National Objectives and the Public Benefit to existing income qualified homeowners. CDBG eligible costs for homeowner rehabilitation include:

- Labor and materials,
- Repair directed toward an accumulation of deferred maintenance,
- Repair or replacement of principal fixtures and components of existing structures,
- Installation of safety devices,
- Renovations through alterations, additions to, or enhancement of existing structures and improvements, and
- Special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners

Single-family structures must be owner-occupied by the primary applicant. Structures containing two units must have one unit occupied by the owner/primary applicant. Rehabilitation services will only take place within the owner-occupied portion of the structure, except for roof replacements.

Applicant Eligibility

Financial assistance shall be provided to income eligible homeowners as defined within the procedure portion of this document. A Recapture Agreement will not be required for repair projects. Recapture Agreements in the amount equal to the cost of rehabilitation will be required for rehabilitation projects. Said agreements shall be recorded at the Rock Island County Recorder's Office for a period of five (5) years to serve as a lien against the property for the duration of the recapture agreement requirements. The terms of the Recapture Agreement require that the home owner remain in the home for a period of five years, once the five (5) year period has been fulfilled, it is the responsibility of the home owner to have the lien released from the property.

The income qualified homeowner will be responsible for repayment of the grant amount if one or more of the following events occur during the recapture period:

- The homeowner sells, conveys, or transfers title of the property for consideration to another person;
- The homeowner rents or leases the property;

- The homeowner forfeits ownership rights to the property due to foreclosure, bankruptcy or any other similar means.

The following events are not recapture events:

- Transfer of title to homeowners' surviving spouse upon death of a joint tenant-owner;
- Transfer of title to a spouse as a result of a divorce;
- A transfer of title by will upon death;
- Refinancing of the property to reduce interest rates; or

In the event that extenuating circumstances occur that are not listed above, the City will evaluate the situation and will, at its discretion, determine if the circumstances are appropriate by the City.

Authorization to Release City Liens

The City of Rock Island Mayor and City Council may authorize the Planning and Redevelopment Division to release any tax lien if the property has been sold and received a tax deed for the property. "See Resolution No. 15-2011."

Other Requirements

1) **Taxes and Fees Owed and City Conflicts** – Any tax assessments, fees, or utility bills owed by the applicant to the City of Rock Island or County of Rock Island must be paid in full prior to the pre-construction meeting. Any unresolved conflicts or pending code enforcements actions from the City of Rock Island must also be resolved before the grant can be approved.

2) **Insurance Coverage** - To be eligible for participation in the Program, all homeowners must have adequate insurance coverage on their home. Adequate insurance coverage is a minimum of $25,000. The insurance must cover the period during which the rehabilitation activities will take place. Applicants will be asked to provide proof of insurance along with other application documents.

3) **Contract Purchase** - Homeowners purchasing the home on contract are eligible to participate in the Program. The purchase contract shall be filed at the Rock Island County Recorder of Deed's office. Contract payments must be up to date and the owner of the contract shall provide notarized authorization allowing the City of Rock Island to place a lien on the home under contract.

4) **Necessity to comply with building codes –** All construction activities shall comply with the building codes and ordinances adopted by the City of Rock Island. All contractors will be required to obtain the required permits from the City prior to beginning any construction project. Please visit www.rigov.org/index.aspx?nid=645 for further detail on the current codes.

The following guidelines will be followed prior to the obligation of HUD – CDBG funding.

Records to be Maintained (570.506)

HUD regulation § 570.506 defines the process and types of records that must be maintained by the city. Records and documentation are maintained in order to demonstrate activity eligibility, national objective compliance, allowability of costs, and cost reasonableness. Appropriate staff will maintain all records required by the Federal regulations specified in 24 CFR 570.506, that are pertinent to the activities to be funded. Such records shall include but are not limited to:

- Records providing a full description of each activity undertaken;
- Records demonstrating that each activity undertaken meets one of the National Objectives of the CDBG program;
- Records required to determine the eligibility of activities;
- Records required to document the acquisition, improvement, use or disposition of real property acquired or improved with CDBG assistance;
- Records documenting compliance with the fair housing and equal opportunity components of the CDBG program;
- Financial records as required by 24 CFR 570.502, and 24 CFR 84.21−28; and
- Other records necessary to document compliance with Subpart K of 24 CFR Part 570.

The CED department shall retain all financial records, supporting documents, statistical records, and all other records pertinent to the HUD CDBG program. The retention period begins on the date of the activities are recorded in IDIS. In the event of litigation, claims, audits, negotiations, or other actions that involve the above cited records that have been initiated before the expiration of the five-year period, then such records shall be retained through the resolution of all issues.

CONFIDENTIALITY

The City of Rock Island and its employees shall maintain the confidentiality of all applicant information. Confidentiality shall apply to the applicant's identity and location of the subject property, as well as personal information supplied by the applicant and received by the City of Rock Island to determine the applicant's eligibility.

Financial Management Systems 85.20 (local governments)

CDBG funded Neighborhood Housing programs execute outside contracts. The City of Rock Island CDBG program records an encumbrance/obligation when contracts are executed, purchase orders issued, etc. The city's CDBG program maintains grant compliance by maintaining supporting documentation for expenditures with invoices, contracts, or purchase orders, etc.

The City of Rock Island has segregated the duties and controls to effectively reduce the opportunity for the perpetration or concealment of errors or irregularities in the normal course of duties.
The City of Rock Island has internal control procedures that support its ability to prepare financial statements that are fairly presented in conformity with generally accepted and appropriate accounting principles and regulatory requirements. The city undergoes an annual single audit, which ensures the accuracy and integrity of data provided through a qualified opinion on the audited annual financial statements and internal controls.

Staff reviews financial information (e.g., drawdowns, unexpended balances) recorded in HUD's financial management systems (e.g., LOCCS, IDIS) to ensure that it matches the official accounting records of the Program for the period covered by the last CPD-required performance report? [24 CFR 570.507; 24 CFR 91.520]

The Community Economic Development, Budget and Grant Manager works directly with the Finance Department to ensure that the information on obligations, expenditures, and program income submitted to HUD reconcile with the program participant's accounting records? [24 CFR 570.504; 24 CFR 570.507; 24 CFR 91.520]

Timesheets

The City of Rock Island internal payroll control procedures support its ability to meet HUD regulatory requirements. All CDBG funded staff position timesheets are reviewed and approved by the supervisor prior to submission for payroll processing.

Community and Economic Development Department employees working on the CDBG programs shall have their salaries and wages supported by periodic monitoring to ensure that CDBG funds have been appropriately allocated and expended for the period covered by the monitoring. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]. Monitoring will be conducted annually prior to the completion of the Consolidated Annual Performance Evaluation Report. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]

Program Income (24 CFR 570.503 and 570.504)

All proceeds collected under the recapture requirements of the Neighborhood Housing Programs shall be considered Program Income. Program Income shall be receipted into IDIS as Program Income and shall be expended on other projects before entitlement funds are obligated to projects.

Procurement, Equipment, and Real Property

The Rock Island's CDBG programs shall follow the City of Rock Island procurement standards or the HUD procurement standards at § 85.36 (whichever is more stringent) when equipment and services are procured. All expenses for supplies and equipment and their use (e.g., uniforms/coveralls, handheld computers, gasoline, vehicle lease payments or use allowances) are eligible expenses for the delivery of Neighborhood Housing Program services.

Labor Standards (24 CFR 570.603)

The City of Rock Island's CDBG program shall adhere to all applicable regulations regarding labor standards. All laborers and mechanics employed by contractors or subcontractors on construction work in excess of $2,000 and financed in whole or in part with CDBG funds must be paid "prevailing wages" that have been determined in accordance with the Davis-Bacon Act as amended (40 U.S.C. 276a–276a-5). The Contract Work Hours and Safety Standards Act (40 U.S.C. 327–333) also applies to such activities. **These labor standards shall apply only to the rehabilitation of residential property if the property contains more that four (4) units.**

Environment Requirements (24 CFR 570.604)

At the start of every Program Year an environmental review of CDBG Service Delivery programs is completed and up loaded in to the HUD HEROS system. Grantees are required to assume responsibility for environmental review, decision making, and other actions that would otherwise apply to HUD under the National Environmental Policy Act of 1969 and other related provisions of law.

Under no circumstance shall CDBG assistance be obligated to the project. This includes but is not limited to, incurring project costs, entering into an agreement, or letting bids until the appropriate environmental review and public notification process has been completed, and it has been determined that no other environmental measures are to be undertaken. Activities not subject to this restriction are those the regulations define as exempt from environmental review. However, before any party involved with the project can incur costs, even for activities that are exempt, the grantee must first make a formal determination that the activity is exempt. (The list of activities that are exempt from environmental review are found in 24 CFR part 58.34 and 58.35(b).)

Historic Preservation (36 CFR Part 800)

It is the responsibility of the city to evaluate properties receiving federal assistance and consult with the State Historic Preservation Office as to whether the property:

- is or could be determined eligible for listing on the National Register of Historic Places;
- is located in a historic district or an area which could be determined eligible as a historic district;
- involves proposed changes that could have an adverse effect to a historic property that has been landmarked.

In the event that the undertaking has been determined to be an adverse effect to a historic property, the city will identify all consulting parties to discuss the project impact and possible mitigation measures. Once the consulting parties agree on the mitigation, a Memorandum of Agreement must be completed with stipulations that provide clear and concise mitigation measures with all parties identified (36 CFR Part 800).

National Flood Insurance Program (24 CFR 570.605)

The City of Rock Island participates in the National Flood Insurance Program and is protected by a United States Army Corps of Engineers flood wall, which has been certified by the National Emergency Management Agency. Areas within the flood wall are prone to ponding, projects undertaken in the ponding areas are required to have flood insurance and provide proof of flood insurance prior to and during the completion of the project. (**Note, there is a statutory prohibition against providing Federal assistance to a person who had previously received Federal flood disaster assistance conditioned on obtaining and maintaining flood insurance and the person failed to obtain and maintain such insurance. (24 CFR 58.6(b))**.

Floodplain Management (24 CFR Part 55)

Potential projects receiving federal assistance that propose new construction or substantial improvements of existing buildings located within the floodplain or special flood hazard areas shall be subject to 24 CFR Part 55.20, the "Eight Step Decision Making Process." Until such time as the Decision Making Process is complete and mitigation measures in place, no federal funding or commitments can be obligated or agreements entered into. **(Note: Executive Order 11988, Floodplain Management, directs agencies "to avoid direct or indirect support of floodplain development wherever there is a practicable alternative" (24 CFR Part 55))**.

Relocation and One-for-One Housing Replacement (24 CFR 570.606)

The City of Rock Island's CDBG Neighborhood Housing Programs comply with the following:

- the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), 24 CFR 570.606(b), and 49 CFR Part 24;
- the requirements of 24 CFR 570.606(c) and 24 CFR Part 42 governing the Residential Anti-displacement and Relocation Assistance Plan (Plan) under Section 104(d) of the HCD Act.

Under the URA and the Plan, the city provides relocation assistance to persons (families, individuals, businesses, non-profit organizations, and farms) that are displaced as a direct result of acquisition, rehabilitation, demolition, or conversion for a CDBG-assisted project. All property occupants must be issued certain notices on a timely basis.
The Plan also requires the one-for-one replacement of any occupied or vacant occupiable low/moderate-income housing that is demolished or converted to another use in connection with a CDBG-assisted project. Finally, the Plan requires the identification of the steps that will be taken to minimize displacement.

Lead-based Paint (24 CFR 570.608)

CDBG-funded activities, such as the acquisition, construction, or rehabilitation of residential structures, may not use lead-based paint. Certain requirements apply to the use of CDBG funds for the rehabilitation of a residential property that was constructed before 1978. At a minimum, grantees are required to:

- notify a purchaser or lessee of the presence of any known lead-based paint and/or lead-based paint hazards;
- paint test surfaces to be disturbed or removed during rehabilitation for the presence of lead-based paint, or presume lead-based paint and notify the occupants of the results within 15 days of when the evaluation report is received or the presumption is made;
- provide each occupied dwelling unit outlined in the preceding section with the EPA-approved lead hazard information pamphlet "Protect Your Family From Lead in Your Home" or an EPA-approved equivalent;
- reduce lead hazards as required by the applicable subparts of Part 35;
- perform clearance testing, including dust testing, before re-occupancy after all but minimal ("de minimis") amounts of paint disturbances. (See in the following section for details). The CDBG regulation at 24 CFR 570.608 states that the following subparts of Part 35 apply to the use of CDBG funds in pre-1978 housing:

  - Disclosure of Known Lead-Based Paint and/or Lead-Based Paint Hazards Upon Sale or Lease of Residential Property,
  - General Lead-Based Paint Requirements and Definitions for All Programs,
  - Rehabilitation,
  - Acquisition, Leasing, Support Services, or Operation, and
  - Methods and Standards for Lead-Based Paint Hazard Evaluation and Hazard Reduction Activities. Part 35, Subpart A, is called the Lead Disclosure Rule; and Part 35, Subparts B through R, are called the Lead Safe Housing Rule.

Certain properties are exempt from the requirements of the Lead Safe Housing Rule. They include:

- Housing built on or after January 1, 1978;
- Zero-bedroom dwellings, including efficiency apartments, single-room occupancy housing, dormitories, or military barracks;
- Housing exclusively for the elderly or people with disabilities, unless a child age 6 or under resides or is expected to reside there;
- Units that have been found to be free of lead-based paint by a certified lead-based paint inspector;
- Units where all lead-based paint has been removed;
- Unoccupied housing that will remain vacant until it is demolished;
- Non-residential portions of mixed-use buildings, except that spaces serving both residential and non-residential uses are covered by the rule;
- Units that are to be rehabilitated without disturbing a painted surface; and
- Units that are subject to emergency repair action needed to safeguard against imminent danger to human life, health or safety, or to protect the property from further structural damage.

For properties that are covered by the Lead Safe Housing Rule, the lead-based paint requirements for rehabilitation is contingent upon the amount of Federal rehabilitation assistance provided. The amount of Federal rehabilitation assistance is the average per unit amount of Federal assistance provided for the hard costs of rehabilitation, excluding lead-based paint hazard evaluation and hazard reduction activities. In calculating this assistance amount, the total amount of Federal assistance to be used (including CDBG and other funds) and the hard costs of rehabilitation (including Federal and non-Federal funds) must be considered. Whenever these two amounts are not the same, the smaller of the two determines the type and level of lead-based paint requirement. For a structure with more than one dwelling unit, the thresholds are applied against the average amount of Federal assistance per unit or the average hard cost of rehabilitation per unit, whichever is lower. The following guide outlines the funding amounts and the level of lead safe work practices (Subpart J: Rehabilitation):

- Up to $4,999 per unit hard costs
    - ✓ Paint testing and repair
    - ✓ Use Lead Safe Work Practices (LSWP)
    - ✓ Clearance
- $5,000 up to $24,999 per unit hard costs
    - ✓ Risk Assessment
    - ✓ Interim Controls
    - ✓ Clearance
- Over $25,000 per unit hard costs
    - ✓ Risk Assessment
    - ✓ Abatement of all identified LBP Hazards (Not all LBP)
    - ✓ Clearance

For federally assisted projects that only requires repair, the de minimis levels for lead safe work practices will apply (24 CFR Part 35.1350 (d)). Safe work practices are not required when maintenance or hazard reduction activities do not disturb painted surfaces that total more than:

- 20 square feet (2 square meters) on exterior surfaces;
- 2 square feet (0.2 square meters) in any one interior room or space; or
- 10 percent of the total surface area on an interior or exterior type of component with a small surface area. Examples include window sills, baseboards, and trim.

The City shall follow 24 CFR 35.1350(d) for minor repairs undertaken as part of the program. Compliance shall be documented using project specifications. Substantial rehabilitation activities undertaken shall follow the lead safe rules as outlined in 24 CFR 35.1350.

Conflict of Interest (24 CFR 570.611)

The Program shall follow the provisions of 24 CFR 84.42 and 570.611, which include (but are not limited to) the following:

- The city maintains a written code or standards of conduct that shall govern the performance of its officers, employees or agents engaged in the award and administration of contracts supported by Federal funds (All employee policies and guidelines can be found at intranet.rigov.org/human-resources/personnel-policies).
- No employee, officer, or agent of the city shall participate in the selection, or in the award, or administration of, a contract supported by Federal funds if a conflict of interest, real or perceived, would be involved.

No covered persons who exercise or have exercised any functions or responsibilities with respect to CDBG-assisted activities, or who are in a position to participate in a decision-making process or gain inside information with regard to such activities, may obtain a financial interest in any contract, or have a financial interest in any contract, subcontract, or agreement with respect to the CDBG-assisted activity, or with respect to the proceeds from the CDBG-assisted activity, either for themselves or those with whom they have business or immediate family ties, during their tenure or for a period of one (1) year thereafter. For purposes of this paragraph, a “covered person” includes any person who is an employee, agent, consultant, officer, or elected or appointed official of the Grantee, the Subrecipient, or any designated public agency.

There are two conflict of interest provisions applicable to activities carried out with CDBG funding. The first, applies to the procurement of goods and services by subrecipients (24 CFR 84.42 and 85.36 and 24 CFR 570.611(a)(1)) The second provisions is located at 24 CFR 570.611(a)(2). These provisions cover situations not covered by parts 84 and 85.

With respect to procurement activities, the subrecipient must maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts. At a minimum, these standards must require that no employee, officer, or agent may participate in the selection, award, or administration of a contract supported by federal funds if a real or perceived conflict would be involved. Such a conflict would arise when any of the following parties have a financial or other interest in the firm selected for an award:

- an employee, officer, or agent of the subrecipient;
- any member of an employee's, officer's, or agent's immediate family;
- an employee's, agent's, or officer's partner; or

- an organization which employs or is about to employ any of the in the preceding section.

It is also required that employees, agents, and officers of subrecipients neither solicit nor accept gratuities, favors, or anything of value from contractors, or parties to sub-agreements. However, subrecipients may set standards for situations in which the financial interest is not substantial or the gift is an unsolicited item of nominal value. Disciplinary actions for any violations of the regulations or policies by employees, agents, or officers of the subrecipient agency will be handled according to the above policies.

With respect to all other CDBG-assisted activities, the general standard is that no employee, agent, or officer of the subrecipient, who exercises decision-making responsibility with respect to CDBG funds and activities, is allowed to obtain a financial interest in or benefit from CDBG activities, or have a financial interest in any contract, subcontract, or agreement regarding those activities or in the proceeds of the activities. Specific provisions include:

- any person who is an employee, agent, consultant, officer, or elected or appointed official of the grantee, a designated public agency, or a subrecipient, and to their immediate family members, and business partner(s).
- during employee tenure and for a period of 1 year after leaving the grantee or subrecipient organization.

Upon written request, exceptions may be granted by HUD on a case-by-case basis, after consideration of the cumulative effect of various factors listed at 24 CFR 570.611(d), and only with: (a) full disclosure of the potential conflict, and (b) a legal opinion of the grantee’s attorney that there would be no violation of state or local laws in granting the exception.

Program Monitoring (24 CFR 570.501(b), 24 CFR 85.40(a) and (e), and 24 CFR 84.51(a))

The Community and Economic Development Department is responsible for ensuring that all CDBG funds under its oversight are used in accordance with all program requirements, and for determining the adequacy of its subrecipients’ performance. Accordingly, the Community Development staff is empowered to make site visits and review program files of the CDBG recipients as necessary to fulfill these responsibilities.

Suspension and Termination (24 CFR 570.503 (b) (6), 24 CFR 85.43 and 44, and 24 CFR 84.62)

When problems arise in the performance of a subrecipient, the grantee is responsible for taking appropriate actions for correcting these deficiencies, including suspending or terminating the CDBG activities being carried out by the subrecipient (24 CFR 570.501(b)).

Consistent with 24 CFR 570.503(b)(6), the written agreement between the grantee and the subrecipient must specify that suspension or termination may occur if the subrecipient materially fails to comply with any term of the CDBG award, and that the agreement may also be terminated for convenience (also see 24 CFR 85.43–85.44 and 84.62).

Unallowable Costs

The City of Rock Island excludes any unallowable costs as itemized in 2 CFR Part 225, Appendix B, including: entertainment, contributions and donation, fines and penalties, general government expenditures, lobbying and political activities from direct or indirect funding through the CDBG Code Enforcement Program.

Lobbying

The City of Rock Island prohibits the use of any federal, state, or locally appropriated funds to be used to compensate any person for influencing, or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

If any federal, state, or locally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the city will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

Political Activity (24 CFR 570.207(a)(3))

Pursuant to the Hatch Act, no Neighborhood Housing program funds will be used for political activities. Personnel employed with CDBG funding will in no way, or to any extent, engage in the conduct of political activities in violation of Chapter 15 of Title V of the U.S.C. The City prohibits the use of CDBG funds to finance the use of facilities or equipment for political purposes or to engage in other partisan political activities, such as sponsoring candidate forums, distributing brochures, voter transportation, or voter registration. However, a facility originally assisted with CDBG funds may be used on an incidental basis to hold meetings, candidate forums, or voter registration, provided that all parties and organizations have access to the facility on an equal basis and are assessed equal rent or use charges, if any.

Non-Discrimination and Actions to Further Fair Housing

Pursuant to 24 CFR 5.105 (a), CDBG grantees and sub-grantees must also comply with various fair housing and civil rights laws, including but not limited to the Fair Housing Act, Title VI of the Civil Rights Act of 1964, and Section 504 of the Rehabilitation Act of 1973. Grantees and-sub-grantees must assure that all CDBG-funded activities, including Code Enforcement and Neighborhood Housing Program activities, do not discriminate on the basis of race, color, religion, sex, disability, familial status, or national origin.

Title VI of the Civil Rights Act of 1964 (Public Law 88-352 implemented in 24 CFR Part 1): This law states that no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

Women and Minority Business Enterprises – Refer to 570.506(g), 85.36(e), and 84.44, affirmative steps documentation.

Section 109 of Title I of the Housing and Community Development Act of 1974 prohibits CDBG grantees and sub-grantees from conduct that will cause discrimination on the ground of race, color, national origin, religion, or sex, in the participation in any program or activity funded in whole or in part with Federal financial assistance.

Executive Order 11063, as amended by Executive Order 12259 (implemented in 24 CFR Part 107): This order and its implementing regulations require HUD to take all actions necessary to prevent discrimination because of race, color, religion, sex, or national origin in the use, occupancy, sale, leasing, rental, or other disposition of residential property assisted with Federal loans, advances, grants, or contributions.

Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq.): This law provides that any grant under Section 104 shall be made only if the grantee certifies to the satisfaction of the Secretary of HUD that the grantee will, among other things, affirmatively further fair housing.

Section 109 of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq., particularly 42 U.S.C. 6101 et. seq., and 29 U.S.C. 794): This law mandates that no person on the grounds of race, color, national origin, sex, or religion shall be excluded from participation, denied the benefits of, or otherwise be subject to discrimination under any activity funded in whole or part with CDBG funds.

Section 3 of the Housing and Community Development Act of 1968 (12 U.S.C. 1701u): This section implemented at 24 CFR Part 135 requires that, to the greatest extent feasible, a subrecipient must:

- Ensure that opportunities for training and employment arising in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to low-and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to low-and very low-income residents within the service area of the project or the neighborhood in which the project is located, and to low-and very low-income participants in other HUD programs.
- Award contracts for work undertaken in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to business concerns that provide economic opportunities for low-and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to business concerns which provide economic opportunities to low-and very low-income residents within the service area or the neighborhood in which the project is located and to low-and very low-income participants in other HUD programs.

Section 504 of the Rehabilitation Act of 1973, as amended (implemented at 24 CFR Part 135): This section specifies that no otherwise qualified individual shall solely by reason of his or her handicap be excluded from participation (including employment), denied program benefits, or subjected to discrimination under any program or activity receiving Federal assistance. Part 8 requires that recipients ensure that their programs are accessible to and usable by persons with disabilities. Part 8 also prohibits recipients from employment discrimination based upon disability.

The Americans with Disabilities Act (ADA) of 1990: This law prohibits discrimination on the basis of disability in employment by state and local governments and in places of public accommodation and commercial facilities. The ADA also requires that facilities that are newly constructed or altered, by, on behalf of, or for use of a public entity, be designed and constructed in a manner that makes the facility readily accessible to and usable by persons with disabilities. The Act defines the range of conditions that qualify as disabilities and the reasonable accommodations that must be made to assure equality of opportunity, full participation, independent living, and economic self-sufficiency for persons with disabilities.
The Age Discrimination Act of 1975, as amended: This law provides that no person shall be excluded from participation, denied program benefits, or subjected to discrimination on the basis of age under any program or activity receiving Federal assistance.
Executive Order 11246 (as amended by Executive Orders 11375 and 12086) — Equal Opportunity Under HUD Contracts and HUD-assisted Construction Contracts: This order requires that grantees and subrecipients and their contractors and subcontractors agree not to discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.

The Architectural Barriers Act of 1968: The Architectural Barriers Act (ABA) of 1968 (ABA) (42 U.S.C. 4151-4157) requires that certain buildings financed with Federal funds must be designed, constructed, or altered in accordance with standards that ensure accessibility for persons with physical disabilities. The ABA covers any building or facility financed in whole or in part with Federal funds, except privately owned residential structures. Covered buildings and facilities designed, constructed, or altered with CDBG funds are subject to the ABA and must comply with the Uniform Federal Accessibility Standards.

Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (Public Law 90-234): The Fair Housing Act prohibits discrimination in housing practices on the basis of race, color, religion, sex, and national origin. The Fair Housing Act was amended in 1988 to provide protections from discrimination in any aspect of the sale or rental of housing for families with children and persons with disabilities. The Fair Housing Act also establishes requirements for the design and construction of new rental or for-sale multi-family housing to ensure a minimum level of accessibility for persons with disabilities.

Limited English Proficiency
Recipients of CDBG funds should take reasonable steps to ensure meaningful access to their programs and activities to limited English proficient individuals. As an aid to recipients, the Department published Final Guidance to Federal Financial Assistance Recipients: Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (LEP Guidance) in the Federal Register at 72 FR 2732. For assistance regarding LEP obligations, go to www.justice.gov/crt/lep/guidance/HUD_guidance_Jan07.pdf. For more information on LEP, please visit www.hud.gov/offices/fheo/promotingfh/lep.cfm .

Affirmatively Further Fair Housing
CDBG recipients and subrecipients must affirmatively further fair housing in their use of such funds. Grantees may offer services to affirmatively further fair housing through the Program. Such costs, examples of which follow, are not eligible code enforcement expenses but could be eligible as public services or program administrative costs. Both of these categories have caps on the overall activity expenses.

- Providing mobility counseling or referrals to housing counseling agencies for persons temporarily or permanently displaced by code enforcement actions;

- Training staff on fair housing requirements;
- Providing outreach to marginalized populations
- Establishing partnerships with Fair Housing Organizations, state and local fair housing agencies, and community-based organizations representing protected classes under the Fair Housing Act.

GENERAL PROGRAM POLICIES

Fraud

If, at any time, an act of fraud is perpetrated by the applicant, the applicant's eligibility to receive and/or obtain rehabilitation assistance under the terms of the Program shall be terminated. If the act of fraud is not perpetrated and/or discovered until after grant closing or the Letter of Commitment has been issued for the program, the financial commitment shall be terminated and the City shall require funds, which have already been expended to be repaid in full.

Scope of Services

Eligible applicants may apply for Program assistance one time in a three year cycle, additional assistance will be contingent upon funding availability. The maximum forgivable grant amount of up to $4,999 per occurrence. However, in special circumstances where the scope of work is extensive and critical to the sustainability of the property, an increase may be authorized up to $15,000. The Program will be administered year-round.

Program Eligibility

To be considered eligible to participate in the Program, the residence must be owner occupied, the property must be in compliance with all city codes, property taxes must be current, and the applicant must meet all of the following requirements:

Residency and Ownership

Property ownership shall be confirmed through title search, tax records, and insurance policy information.

Household Income

To be considered eligible for TARRP assistance, the applicant must meet the HUD income of limit of 80% or lower of the Area median income. The city shall calculate and verify income qualifications using the Adjusted Gross Income as provided on the IRS 1040. Adjusted Gross Income is defined as individuals age 18 and older reporting to the Internal Revenue Service on Form 1040.

Other income includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit).

In order to determine applicant eligibility, the applicant shall submit the most current 1040 federal tax return and two months of income verification (pay stubs, social security statements etc.).

Definition of Household

A Household shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the home will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member's income tax form**.**

Rehabilitation Approval Requirements

To be approved for the Program, the Construction Officer shall determine that the subject structure is structurally sound and that the proposed work shall extend the subject structure's usable life.

Once approved for the program, applications will be prioritized in order of the following criteria:

- **Health and Safety** - Hazardous conditions or conditions potentially threatening to the health or safety of the occupants and in some cases, adjacent residents. These conditions will receive the highest priority and must be addressed before any other projects may commence.
- **Code Violations** - Conditions in violation of the ICC building codes and ordinances adopted by the City of Rock Island will receive the next highest priority.
- All other applications shall be placed on the Program waiting list. The applicant will be contacted when they are next on the list, unless a priority for assistance has been established based on other conditions as defined in this Policy.

CONTRACTOR REQUIREMENTS

Contractor Qualifications

- All contractor's wishing to participate in the City of Rock Island Neighborhood Housing Rehabilitation Programs, must meet "Contractor Selection/Qualification Guidelines for Housing Rehabilitation Programs" Available from the Construction Officer. These requirements will be updated as necessary to meet HUD regulations and city requirements.
- **General** - The contractor shall furnish to the City of Rock Island the business name, name of current owner of the business, owner's current business address, current business phone number, social security number or Federal tax identification number, and a completed W-9.
- **Insurance** - The contractor shall provide proof of current insurance. Minimum insurance requirements are as follows:

✓ Comprehensive General Liability and Property Damage.

✓ **General Liability**: $300,000 for injuries, including accidental death to any one person, and subject to the same limits for each person, in any one accident;

  ✓ **Property Damage**: $100,000 per incident, for damage to property in any one accident; aggregate limit of $300,000; or Combined Single Limit (CSL) of $500,000.

- ✓ **Workers Compensation and Employer's Liability**: $100,000 per person. This requirement may be waived if the business owner will be the only person working on the project and meets the requirements for Comprehensive General Liability Insurance.

- **Licensing and Bonding** - Contractors undertaking rehabilitation work that requires permitting shall provide proof of current City of Rock Island contractor's license, and any other licenses required for the type of work proposed (roofer, plumber, electrician, general contractor, etc.). Contractors will not be required to have a current City of Rock Island Contractors License in order to bid a job, but will be required to obtain the license prior to the commencement of construction activities on the project. Contractors must also have a $10,000 bond on file with the City's Inspection Division. They must also have appropriate lead hazard training, certification, and insurance if required by HUD for interim controls.
- **Federal System Award Management** - Contractors and subcontractors must be registered on the Federal System Award Management website (www.sam.gov) in order to ensure proper reporting to the Illinois Department of Labor Debarred Contractors List. Any contractor who is debarred or excluded may not be awarded any contracts. Additionally, if any contractor has consistently exhibited poor workmanship, unethical behavior, or refuses to comply with the requests of the City, or if the contractor falsifies information, files for bankruptcy or if the City has reason to doubt his/her solvency, has insufficient insurance, fails to honor warranty work or has failed to complete or pursue diligently this or any other rehabilitation projects, the contractor shall be barred from any further rehabilitation work associated with the Neighborhood Housing Programs. The contractor shall also at the City's discretion, be removed from any current project under contract. In that event, any and all financial obligations of the City to the contractor shall be at an end as of the date of termination. The local Contractor Debarment shall be in effect for two (2) years, after which time, the contractor may reapply to be eligible to perform work.
- **Business and Personal References** - It shall be the Construction Officer's responsibility to obtain and check business and personal references.

Contractor Responsibilities

Contractors who accept projects through the Program shall be expected to adhere to the following conditions:

- **Contract and Warranty -** The City of Rock Island shall provide the Contractor and homeowner with a written contract specifying the work to be completed and payment to be rendered. The contract shall be a two-party agreement between the homeowner and contractor. It shall include a minimum one-year warranty for labor and appropriate warranties for materials and parts. The contractor shall not be authorized to begin the project until the Construction Officer on behalf of the City of Rock Island, and homeowner have executed the contract and any additional grant documents required by the City.
- **Project Start Date -** The contractor will not start the project until submitting all required documents, obtaining all required licenses and permits, and receiving an Authorization to Proceed executed by the City and homeowner(s). Payment for required building permits and licenses shall be the responsibility of the contractor, unless otherwise stated in the contract. No deposits or down payments will be permitted.
- **General Responsibilities -** The contractor will be expected to complete the agreed-upon work in a professional manner and in a timely fashion according to a schedule agreed upon by the

homeowner and the contractor. The contractor will provide all labor, materials, equipment and other supplies necessary to complete the project. The work shall be completed, without damage to the homeowner's property, according to contract specifications and in compliance with applicable ICC and city codes. The site shall be left in a clean and orderly condition when work is not taking place. The contractor shall be responsible for repairs of damage to surrounding work areas that were caused by the contractor or subcontractor.

- **Project Change Orders** – If the contractor, in the course of making repairs, identifies conditions that could not be seen during the inspection process, the contractor shall immediately inform the Construction Officer to schedule an onsite meeting to inspect and determine additional scopes of work to be included in a change order. Additional work conducted without written authorization of the Construction Officer will not be eligible for payment.
- **Final Inspection Approval/Complaint Resolution** - The contractor shall notify the Construction Officer when the project is completed to schedule an inspection. The contractor and Construction Officer will meet on site to complete an inspection. In the event that the work does not meet code, the contractor shall make all required improvements to pass the final inspection. The contractor will be required to make any additional repairs necessary within fifteen (15) days from the date of notification. Upon approval of the work by the Construction Officer the contractor may submit invoices for payment. Additionally, if the work passes inspection, but is found by the homeowner to be defective prior to release of payment to the contractor, the Construction Officer shall re-inspect the work. If the re-inspection reveals defective or deficient work, the Construction Officer will inform the contractor, who will correct the problems within fifteen (15) days from the date of notification. If the contractor fails to make the required corrections, the city may withhold payment.
- **Final Waiver of Lien and Sworn Statement -** Prior to receiving payment, the contractor will provide the City of Rock Island with an executed Final Waiver of Lien and Sworn Statement. No payments shall be released prior to receiving a Final Waiver of Lien and Sworn Statement.
- **Payments** - The contractor agrees to submit invoices to the Construction Officer for the work completed upon approval of final inspection. The invoice should be made out to the "City of Rock Island". Submitted contractor payments shall be issued within thirty days of approval.

The above terms and conditions shall be conveyed to the contractor by the Construction Officer.

Refusal/Termination of Contractor Services and/or Removal from City's Licensed Contractor Listing

The City of Rock Island shall terminate the contractor's services for any reason on non-performance, at any time during the course of the project. Any portion of the project has been completed at the time of the termination of services will be inspected by the Construction Officer to determine what portion of the contract has been fulfilled. Payment to the contractor shall receive a pro-rated portion of the contractually agreed upon amount, based on the inspection.

The City shall have the right to veto the use of a particular contractor and/or to remove a contractor's name from the Approved Contractor List provided to program participants. Cause for removal includes, but is not limited to the following:

- ✓ Failure to supply required business information.
- ✓ Failure to maintain the required level of insurance coverage.
- ✓ Failure to obtain the required licenses and permits.
- ✓ Evidence of unfair, illegal or discriminatory employment practices.

- ✓ Excessive un-cleanliness or damage to a homeowners' property or adjacent property or public right–of-way during the course of a project.
- ✓ Failure to complete projects in a timely fashion.
- ✓ Failure to complete a project in accordance with specifications.
- ✓ Evidence of work not in compliance with ICC or city codes.
- ✓ Failure to correct uncompleted or inadequately completed work within a reasonable time.
- ✓ Rude or inappropriate behavior to a homeowner, adjacent property owner, or City employee.

TERMINATION OF CONTRACT/GRANT DUE TO APPLICANT CONDUCT

The City of Rock Island may terminate an application or declare a grant to be ineligible for Program services for the following reasons:

Obstruction of Assigned Personnel: If an applicant/property owner fails to provide access to the subject property or in any manner obstructs the staff member assigned to:

- ✓ Prepare the work write-up.
- ✓ Prepare the estimated cost of housing rehabilitation report.
- ✓ Inspect, review and obtain any necessary documents or materials.

The applicant/property owner shall be informed of the termination from the Program in writing. If the applicant has been approved and work is in progress when the property owner fails to provide access to the subject property or in any manner obstructs either the staff assigned to supervise the construction or the contractors hired to do the construction, the property owner shall be informed of the issue in writing and be given ten (10) days to remedy the issue. If the property owner fails to comply, the application will be terminated and the file will be administratively closed.

PROGRAM OUTREACH

The Housing & Grant Program Officer, Construction Officer, and the Code Enforcement Property Maintenance Inspector assigned to the targeted areas shall be responsible for continuously promoting the program in the following ways.

- ✓ Press releases to the print and broadcast media.
- ✓ Notification posting on the City of Rock Island website (www.rigov.org)
- ✓ Direct mailings to individuals that applied or expressed interest in applying for programs in the previous year but were not approved due to lack of funds or other reasons.
- ✓ Mailing letters to individuals that have requested in writing to be notified when the programs and/or applications are available to the public.
- ✓ Other outreach efforts deemed beneficial by the Housing & Grant Program Officer.
- ✓ Referrals from the Code Enforcement Officer assigned to the target areas.

TARGETED AREA REPAIR and REHABILITATION PROGRAM PROCEDURES

Application Process (Managed by Housing & Loan Program Officer)

Rock Island residents seeking assistance through the Program will complete the Program application and provide the required documentation to the Housing and Loan Program Officer. An application will be mailed to the property address with instructions on how to complete the application. The application shall be returned to the Housing and Loan Program Officer within 14 days or receipt. In the event that the applicant requires assistance completing the application, the applicant can schedule an appointment with the Housing & Loan Program Officer. If the applicant chooses the latter, they will be instructed to bring in supporting documents as outlined in the application packet to assist with determining eligibility.

The application will be reviewed for completeness and the applicant will be income verified at the time of application in order to determine Program eligibility. Adjusted Gross Income as outlined in IRS form 1040 will be used to determine total household income. Applicant must fall below 80% of the established HUD AMI guidelines for the current year.

Upon review of completed application and submission supporting documentation, the Housing & Loan Program Officer will verify that the applicant does not have weed/grass and nuisance code violations, residency and homeownership ownership requirements, homeowner insurance and income verification will also be completed.

Property ownership will be confirmed through the title search using Laredo (Rock Island County Recorder's Office electronic records database). If a copy of the title is not available in Laredo, the applicant will be required to furnish a copy. The Housing & Loan Program Officer will ensure that all persons listed on the property's title are listed as an applicant. At this time Laredo will also be used to identify any liens or judgments on the property.

An assessment search will be performed via www.rockislandcounty.org to verify that all Property Taxes have been paid to date. Any delinquent taxes will need to be paid in order to move forward with the application.

Property Inspection and Bid Process (Construction Officer)

Upon determination of eligibility, an inspection of the residence in question will be completed by the Construction Officer to document and photograph existing conditions, re-assess any code violations issued by the Code Enforcement Inspector, and identify deficiencies in order to prepare the scope of work specifications using Housing Developer Pro software. When possible, trade specific work will be grouped together to facilitate the project. If the home is determined to be in a state if disrepair that rehabilitation will not extend the life of the home by at least five years, the application will be denied.

A Lead Safe Housing Applicability Form (see Attachment Six) will also be completed at the time of inspection to determine whether any specifications may require the use of interim controls related to potential lead-hazards or related to the presumption of lead (per 24 CFR § 35.120(a)) where the rehabilitation item will disturb a painted surface(s) in excess of the *de minimis levels* as identified in 24 CFR § 35.1350 (*note: these levels are applied to each individual specification by room, space, or surface, and are not cumulative to the project as a whole*). The Worksheet may also determine if a home is exempt from all requirements of the Lead Safe Housing Rule, 24 CFR § 35 or if any partial waivers may be granted.

If the Construction Officer determines that any item on the work specification will require the use of interim controls, the City will send the applicant a Notice of Presumption within 15 calendar days of the presumption of lead as required by 24 CFR § 35.125(a)(2). If a Risk Assessment is performed, the Construction Officer will provide a copy of their findings by way of Notice of Evaluation within 15 days of report competition. If the Applicability Form determines the property is exempt, this step 9 as well as step 10 will not apply.

If the Construction Officer has presumed lead is present or has determined lead hazards are present by way of a Risk Assessment, the use of standard treatments or interim controls (24 CFR § 35.1335; 24 CFR § 35.1330, respectively), safe work practices, and occupant protection will be specified in the Scope of Work.

The file will then be forwarded to the GIS Specialist to complete the environmental review process, this process may take in excess of thirty (30) days to complete (refer to the Environmental Review Policies and Procedures).

Upon completion of the Environmental Review, the Construction Officer will prepare an invitation to bid, indicating pertinent pre-bid site inspection information accompanied by a copy of the Scope of Work.

The Construction Officer will provide a copy of the Scope of Work to all approved bidding contractors through the procedures described in Attachment Two pursuant to 2 CFR 200.320 along with the bid due date and schedules bid opening time.

Once bids are received and opened, a bid tabulation will be performed to insure accuracy. Bids with miscalculations will be deemed disqualified. A determination of whether the bid exceeds 20% of the in-house estimate will also be performed. Bids received in excess of 20% of the in-house estimate will also be disqualified.

The contract will be awarded based on the lowest or most responsive/responsible bid and the bid does not exceed 20% of the in-house estimate. The Construction Officer will determine that the contractor has all the appropriate licenses including lead certifications, if applicable, on file to perform the work specified and is registered in DUNs and SAMs and that the contractor is not debarred.

If the bid exceeds the maximum loan amount the applicant can request a re-bid, if the subsequent bids received exceed the maximum loan amount the applicant is responsible for paying the excess amount. If the applicant is able to cover this excess, they must pay the amount due by the date of the scheduled Pre-Construction meeting and the money will be held for distribution upon the project completion. If the applicant is unable to cover this excess, the project will not move forward.

The Pre-Construction Process (Construction Officer & Housing and Loan Program Officer)

The Housing and Loan Program Officer will prepare a Pre-Construction Meeting Approval form. The form will be signed by the Planning & Redevelopment Administrator, Construction Officer, and Housing & Loan Program Officer.

The Housing & Loan Program Officer will then contact the applicant to schedule a Pre-Construction Meeting (lasting approximately one hour). The applicant, Construction Officer, Housing & Loan Program Officer, and the contractor will be present at the Pre-Construction Meeting.

The Housing and Loan Program Officer will compile the appropriate documents to be reviewed and signed by the applicant and contractor at the pre-construction meeting. The following is a list of the documents required for construction to begin:

- ✓ Commitment Letter
- ✓ Lead-Based Paint Disclosure/Applicability (Additional Lead forms if applicable)
- ✓ Rehabilitation Program Agreement (along with Exhibits)
- ✓ Exhibit A – Recapture Agreement
- ✓ Exhibit B – Promissory Note
- ✓ Exhibit C – Rehabilitation Contract
- ✓ Notice to Proceed (3 copies - Contractor, Applicant, City) the Construction Officer if responsible for delivering the original Notice to Proceed to the Contractor.
- ✓ Payment Waiver Form
- ✓ Error & Omissions Form

After Pre-Construction Meeting, staff is responsible for providing two copies of each of the above documents in the packet (one for applicant, one for City files)

When the contractor indicates that the work has been completed and the homeowner is in agreement, the Construction Officer will perform a final inspection of the work. The appropriate city inspector or the Construction Officer will inspect any items for which a city permit was required. If the work included standard treatments or interim controls, a Post Construction Safe Work Practices Certification will be required from the Contractor.

The Construction Officer, who is also a certified risk assessor, will at the time of inspection, perform Clearance testing in accordance with 24 CFR § 35.1340(b). This will include a visual examination and collection of dust samples from the interior window sill and trough, if present, and floor within the contained worksite area pursuant to 24 CFR § 35.1340(g)(1), as well as a dust samples at a distance of five feet outside of the worksite area. If a clearance examination is being performed on the exterior surfaces, samples shall be collected from any painted surfaces and soil samples collected pursuant to 40 CFR 745.227(d)(8).

The Construction Officer will submit the collected samples for analysis to a laboratory recognized by EPA pursuant to section 405(b) of Toxic Substances Control Act and 24 CFR 35.1315.

If the submitted samples pass the dust-lead standards and soil-lead hazards thresholds outlined in § 35.1320(b)(2), the Construction Officer will proceed with the preparation of a Clearance Report pursuant to 24 CFR 35.1340(c). If the samples fail, the Contractor will be required to re-clean the worksite areas tested for re-sampling until clearance is achieved.

The Clearance Report must contain the following information:

- ✓ The date(s) of the clearance examination;

- ✓ The name, address, and signature of each person performing the clearance examination, including certification number;
- ✓ The results of the visual assessment for the presence of deteriorated paint and visible dust, debris, residue or paint chips;
- ✓ The results of the analysis of dust samples, in µg/sq.ft., by location of sample; and
- ✓ The name and address of each laboratory that conducted the analysis of the dust samples, including the identification number for each such laboratory recognized by EPA under section 405(b) of the Toxic Substances Control Act (15 U.S.C. 2685(b)).

A copy of the Clearance Report will be maintained within the project file.

The Construction Officer will send a Notice of Lead Hazard Reduction with a copy of the clearance results to the applicant within 15 calendar days of completion of the Report.

Upon approval from the Construction Officer, the homeowner and the contractor will sign a Contractor's Request for Payment, which authorizes the City to process the payment. In addition to Contractor's Request for Payment, the contractor must also provide a final lien waiver, sworn statement, signed warranty and invoice. A request for payment to the contractor will be reviewed by the Housing & Loan Program Officer and submitted internally to process payment.

In order to ensure the assistance provided will continue to benefit the low-to-moderate (LMI) homeowner and that a newly rehabilitated home is not immediate sold, a Recapture Agreement in the amount equal to the cost of rehabilitation will be recorded at the Rock Island County Recorder's Office (using www.erecordingpartners.com) for a period of five (5) years to serve as a lien. If a Change Order is required to complete the project, the Change Order will need to be attached to the Recapture Agreement at the time of recording. Once confirmation is confirmed via email, a print out recorded copy attached in email will then be placed within the file. Repayment of the grant will be forgiven once the five (5) year period has been fulfilled as long as the home has continued to be occupied by the LMI homeowner.

Once all steps above are completed and the appropriate documents are received, then the project is complete.

The Budget and Grant Manager will audit all project files at the end of the Program Year to ensure that they are complete and have all of the required documentation and are in compliance with HUD regulations. All files will be held for five years in secure filing cabinets.

GENERAL PROGRAM GUIDANCE

Expenses

Eligibility of expenses for the CDBG program are itemized in the most recent version of "Guide to National Objectives and Eligible Activities for CDBG Entitlement Communities" as published by HUD. A summary of the eligible costs are as follows:

Rehabilitation (hard costs) — Rehabilitation hard costs are actual costs to accomplish rehabilitation. Costs of labor, materials, supplies and other expenses required for completion of the rehabilitation of property, including repair or replacement of principal fixtures and components of existing structures (e.g., items eligible for repair within the program, individually or combined). This will be the total amount of the Contractor's invoice and the amount noted on the Recapture Agreement.

Service Delivery (soft costs) — Staff costs and related expenses required for outreach efforts for marketing the program, rehabilitation counseling, screening potential applicant households and structures, energy auditing, preparing work specifications, loan underwriting and processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities who are participating or seeking to participate in rehabilitation.

The following are examples of soft costs:
Financing fees, credit reports, title binders and insurance, recording fees, transaction taxes, impact fees, legal and accounting, appraisals, architectural and engineering fees.
Administrative costs.
Environmental review costs.
Lead Safe Housing Rule Compliance expenses. This includes any costs that are associated with complying with the Lead Rule that are not normally incurred as part of rehabilitation if the Rule did not apply. This can include:
Evaluation costs (risk assessments, visual assessments or inspections)
Laboratory and analysis fees
Lead sample testing supplies
Occupant protection, including relocation, storage or protection of belongings
Waste handling attributable to lead-based paint hazard reduction.
Specialized cleaning designed to remove LBP dust. The contractor can provide an estimate of the incremental costs associated with LBP hazard reduction.
Clearance activities, including visual assessments, dust wipes, and reports.

LMH Housing Documentation Required

In addition to the main documentation required for each project/activity, the following records must be maintained for projects/activities that are using LMH as a national objective:

A written agreement with each landlord or developer receiving CDBG assistance. The agreement must specify:

Total number of dwelling units in each multi-unit structure, and

The number of those units which will be occupied by LMI households after assistance.

Total cost of the activity, including both CDBG and non-CDBG funds

The household size, ethnicity and income eligibility for each of the LMI households occupying assisted units

Accomplishments for LMH activities include the number of owner occupied units rehabilitated, including the number of these units occupied by the elderly.

Household Income Documentation Required

The following definitions shall be used in the determination of a household's total AGI:
Adjusted Gross Income shall be defined as adjusted gross income reported to the Internal Revenue Service on Internal Revenue Service (IRS) Form 1040 as follows:

Wages, salaries, tips, etc.
Taxable interest.
Dividends.
Taxable refunds, credits or offsets of State and local income taxes. There are some exceptions - refer to Form 1040 instructions.
Alimony (or separate maintenance payments) received.
Business income (or loss).
Capital gain (or loss).
Other gains (or losses) (i.e., assets used in a trade or business that were exchanged or sold).
Taxable amount of individual retirement account (IRA) distributions. (Includes simplified employee pension [SEP] and savings incentive match plan for employees [SIMPLE] IRA.)
Taxable amount of pension and annuity payments.
Rental real estate, royalties, partnerships, S corporations, trusts, etc.
Farm income (or loss).
Unemployment compensation payments.
Taxable amount of Social Security benefits.
Other income. (Includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; Alaska Permanent fund dividends; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit).

2) **Total Adjusted Gross Income for the household** shall be defined as the sum of the total adjusted gross income of each household member aged 18 or over. A household member shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the home will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member's income tax form.

Record Retention Period

Under the uniform administrative requirements of the CDBG regulations, grantees and sub recipients are required to retain CDBG records for a period of not less than four years. For sub recipients, the record retention period begins from the date of the submission of the CAPER in which the specific activity was reported on for the final time rather from the date of submission of the final expenditure report for the award.

Access to Records

HUD and the Comptroller General of the United States, or their authorized representatives, have the right to access grantee and sub recipient program records. This right is not limited to the retention period. Requirements regarding public access to records include:

CDBG grantees are required to provide citizens with reasonable access to records regarding the past use of CDBG funds, consistent with applicable state and local laws regarding privacy and confidentiality; and

The Consolidated Plan regulations require that grantees provide citizens, public agencies and other interested parties with reasonable and timely access to information and records relating to the jurisdiction's Consolidated Plan and the use of assistance under the programs covered by the Consolidated Plan.

CDBG Allocation Process

Please refer to the City's main CDBG Policies and Procedures manual to find details on how the City's overall application and allocation process works. The TNHSP submits two applications for Entitlement funds, one for staffing and support costs and another application for programmatic costs (hard costs and soft costs). City Council normally finalizes the allocation recommendations for the upcoming program year (April 1st-March 31st) in September of each year yet final Entitlement allocation amounts from HUD are announced in the Spring/Summer each year (after the program year has already started). Reporting Requirements

Consolidated Plan

The Planning and Redevelopment Administrator is responsible for making sure that the Consolidated Plan is completed accurately and timely. The Consolidated Plan is prepared by the City of Rock Island every five years and describes needs, resources, priorities and proposed activities to be undertaken with respect to CDBG funds. An approved Consolidated Plan is one which has been approved by HUD.

TNHSP staff is responsible for making sure that their proposed activities for the next five years are included in this analysis in order to continue being funded.

Annual Action Plan

The Planning and Redevelopment Administrator is responsible for making sure that the Annual Action Plan is completed accurately and timely. This document serves as an annual application to HUD for funding and must reflect the proposed activities that are in the Consolidated Plan. If a new activity is being proposed for funding, the Consolidated Plan must be amended. Further information on what triggers a Consolidated Plan amendment can be found in the City's main CDBG Policies and Procedures manual. Information on TNHSP staff and support costs are detailed in the Annual Action Plan as well as the expected accomplishments for each activity. A majority of the information used for the Annual Action Plan will be used from the applications submitted for funding for that program year. It is the TNHSP staff responsibility to make sure that the proposed accomplishments are adjusted with the final allocation amounts. Also, it is the TNHSP staff responsibility to track accomplishments on a 5-year basis to ensure that the Consolidated Plan goals are being met. If they are not, then a possible amendment to the Consolidated Plan may need to be made.

Drawdown Requests

Drawdown requests should be made on a routine basis from TNHSP staff to Planning and Redevelopment Administrator. The information that should be included in these drawdown requests include:

Funds budgeted for project;

Funds received in drawdowns to date;

Funds obligated in most recent period and to date;

Funds expended in most recent period and to date;

Cash on hand (including program income identified as such); and

Previous drawdowns requested but not yet received. Quarterly Progress Reports
Quarterly accomplishment reports are due to the Planning and Redevelopment Administrator from sub recipients by the following dates: April 15, July 15, October 15, and January 15. These progress reports should contain the following information: track actual project accomplishments, obligations, and spending patterns against planned operations and accomplishments.

Policies and Procedures update

TNHSP staff will review the TNHSP policies and procedures on an annual basis.

Sources for information

Websites to reference for further questions on Federal Policies and/or recommended procedures:

www.hud.gov
www.hudexchange.info
http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/hudclips
www.ecfr.gov
Entitlement CDBG Specific Manuals (all can be found through search resources on hudexchange.info):
Basically CDBG
Guide to National Objectives and Eligible Activities for CDBG Entitlement Communities
IDIS for CDBG Entitlement Communities
CDBG Crosscutting Issues Toolkit
Managing CDBG: Guidebook for CDBG Grantees on Sub recipient Oversight
Playing by the Rules: A Handbook for CDBG Sub recipients on Administrative Systems
Applicable CFR's
CPD Notices

Access Databases

Project File Tracking Databases
Location: S:\CED\Planning\Rehab\LOAN PROGRAM OFFICER\Post Oct 2018\Program Databases

Attachment Two

CONTRACTOR SELECTION/QUALIFICATION GUIDELINES FOR TARGETED NEIGHBORHOOD HOUSING STIMULATION PROGRAMS OF THE PLANNING AND REDEVELOPMENT DIVISION COMMUNITY AND ECONOMIC DEVELOPMENT DEPARTMENT OF THE CITY OF ROCK ISLAND, ILLINOIS.

I. DEFINITIONS

Contractor - A term used to apply to both general contractors and subcontractors.

Contractor Guidelines - A shortened term used to refer to "Contractor Selection/Qualification Guidelines for Targeted Neighborhood Housing Stimulation Programs by the Planning and Redevelopment Division, Community and Economic Development Department, City of Rock Island, Illinois."

General Contractor - A contractor, who contracts to undertake an entire rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, using subcontractors and supervising them as needed while taking full responsibility for the job.

Subcontractor - A contractor who contracts to undertake one or more phases of a rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, with the City or some other contractor acting as general contractor.

City - The City of Rock Island, Illinois; specifically, with reference to the Targeted Neighborhood Housing Stimulation Programs, is represented by the staff of the Planning and Redevelopment Division of the Community and Economic Development Department. References to the City in these guidelines refer to that staff, its policies and programs as approved by the City Council.

Housing & Loan Program Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who processes applications for rehabilitation assistance, including the financial portion.

Construction Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who prepares work write-ups manages bids and contract documents, and supervises construction.

Community and Economic Development Director – the supervisor of the Community and Economic Development Department.

II. CONTRACTOR QUALIFICATIONS

Prospective contractors must complete a Contractor Information Form. B. References and credit will be checked.

Contractors must have satisfactory client references.

Judgments against a contractor must be released prior to program participation.

Contractors must be registered with Duns & Bradstreet (www.dnb.com) and System of Award Management (www.sam.gov). There is no registration fee, for both of these federal requirement registrations.

Registration with the City of Rock Island as well Liability insurance coverage is required and a certificate of insurance must be provided.

$100,000 each occurrence/$300,000 aggregate bodily injury, including death.
$100,000 property damage.
Lead Certifications and Licenses when applicable to the Scope of Work.

No contractor will be added to the City's list of qualified contractors until requirements of II-A, B, and C are met.

Prospective contractors must be bonded and licensed as required by the City Inspection Division and Community and Economic Development Department.

Contractors must complete work in a manner which meets City Rehabilitation Specifications and all adopted City codes.

Quality work is required.

Timely completion of work is required.

Contractors are required to furnish a current address and phone number where they may be contacted.

Change of address and/or phone number must be reported immediately.

At any time that contractor's address and phone number are incorrect and the Planning and Redevelopment Division cannot contact the contractor, that contractor will be dropped from the list(s) of contractors until the required information is furnished to the Planning and Redevelopment Division.

It is the contractor's responsibility to notify the Construction Officer and the homeowner of any delays or absences from the job once a Proceed Order has been issued.

Working hours shall be from 8:00 a.m. to 5:00 p.m., Monday through Friday unless other arrangements are approved by the homeowner and the Construction Officer.

III. CONTRACTOR DISQUALIFICATION

Failure by a contractor to meet the above qualifying criteria shall result in disqualification from further participation in the program.

Additional reasons which may be grounds for contractor disqualification:

Repeated and substantiated homeowner complaints.

Failure to honor the warranty as specified in the contract with the homeowner, or failure of the contractor to supply homeowner with warranties on products with warranties exceeding one year.

Failure of a contractor to resolve warranty issues will result in withholding work write-ups and bidding until issues are resolved or disqualification has taken place.

Repeated credit problems.

Unprofessional or un-businesslike contract negotiation or implementation.

Discovery of fraud, life-threatening irresponsibility, or other immediate incapacity.

Repeated failure to bid with or without notification to the City.

Prior to execution of the contract, the homeowner may request rejection of a contractor for good and substantiated reasons. The City will approve or deny this request.

IV. NOTICE OF DISQUALIFICATION

Contractors considered for disqualification shall be notified of such pending disqualification in writing. The notice shall include at a minimum:

Reason for pending disqualification.

Maximum time frame for abating disqualifying item(s).

Mailing of Pending Disqualification Notice –The "Pending Disqualification Notice" shall be mailed or hand-delivered to the latest known address or whereabouts of the contractor. No further notice shall be required.

Disqualification Notice.

All contractors not abiding with those items listed in IV-A, in the specified time, will be disqualified.

The "Disqualification Notice" shall be delivered in the same manner as IV-B.

Immediate Disqualification -The City retains the right to disqualify any contractor immediately when fraud, life-threatening irresponsibility or other immediate incapacity is evident. The City also retains the right to disqualify immediately a contractor who cannot be contacted (see II.G.} or one who has repeatedly failed to bid (see III.B.6).

No "Pending Disqualification Notice" is required.

A "Disqualification Notice" shall be delivered in the same manner as IV-B.

Reinstatement of Contractor -Reinstatement of any contractor after disqualification will be at the sole discretion of the city.

V. CONTRACTOR SELECTION

Projects with a Construction Officer Cost estimate not exceeding $15,000 may be bid by subcontractors participating in the program who have meet the Contractor requirements stated in Section II with the City serving as the general contractor.

Projects with a Construction Officer cost estimate exceeding $15,000 shall be bid by general contractors who have meet the Contractor requirements stated in Section II; the City shall not serve as a general contractor.

General contractors must furnish for the City a list of the subcontractors they propose to use on that job when they submit the bid. The City will then approve or deny the list.

If the contract awarded to the general contractor requires a change in subcontractor(s), the general contractor must notify the City before the new subcontractor begins work. Proposed subcontractors may be rejected by the City for good and substantiated reasons.

General contractors are required to show that subcontractors meet appropriate licensing and bonding requirements and that they meet liability insurance coverage requirements as stated in II-C.

The City will establish and maintain a list of qualified general contractors and lists of qualified subcontractors by trade.

The list will be maintained in no particular order; however, new contractors shall be added to the bottom of the appropriate list.

The Construction Officer will insure the list is up to date and that renewals to all required registrations and licenses are submitted as they become due.

Every bid will be open to all qualified general contractors and subcontractors in order to insure competitive bidding practices are being met pursuant to 24 CFR 85.36. In addition, to the contractors invited to bid from the qualified contractor's bidding list, the applicant(s) may invite any other contractor of his/her choice so long as they meet the requirements set forth in Section II.

Contractor(s) may obtain work write-ups and qualifications guidelines from City Hall, 1528 Third Avenue, Rock Island, IL.

Bids will be accepted from contractors who are not already on the qualified bidders list (see V-C), only if they furnish a completed and signed Contractor Information form and a certificate of insurance in the proper amounts (see II-C) no later than the submission of the sealed bid. Information and forms are available from City Hall, 1528 3rd Avenue, Rock Island.

Bids shall be submitted in sealed envelopes to the Planning and Redevelopment Office, City Hall, 1528 3rd Avenue, Rock Island, Illinois 61201, no later than the noted time on the date specified on the work write-up.

Late bids will not be accepted.

Bids will be opened at the date and time noted on the bid invitation packet.

After the bid opening, the successful bidder will be allowed to bid on another rehabilitation job, but may only hold a maximum of three projects at one time unless approved by the city.

The contractor may decline to bid one job (pass). This pass will be treated as if the contractor had submitted an unsuccessful bid.

Contractors who repeatedly decline to bid or fail to notify the City of their intent to pass may be dropped from the contractor list at the discretion of the City.

When a contractor is already under contract for or has been awarded the bid for a total of three (3) rehabilitation jobs through City programs, the contractor will not be invited to bid on a new job until no more than one contract remains open unless approved by the City.

If a contractor has been dropped from the City's list(s) of qualified bidders, the City reserves the right to refuse to accept a bid from that contractor even if that contractor would otherwise qualify under V-D.

The policy of the City, in general, shall be to award the contract(s) to the lowest qualified, responsive, responsible bidder(s):

Bidders may be disqualified at the discretion of the City, as having bid too low, if a bid is more than 10% lower than the in-house estimate.

Bidders may also be disqualified for having a bid too high in excess of 20% of the in-house estimate.

Bids submitted with miscalculations will be disqualified.

Any or all bids may be rejected at the discretion of the City for good cause.

Each bidder is required to bid each line item in the work write-up individually (if a general contractor) or each line item of the relevant trade (if a subcontractor).

The City retains the right to delete any line item(s) after bidding is complete.

The City retains the right to negotiate modifications to the contract with the successful bidder in each case.

If the Construction Officer judges a change order to be excessive, the City reserves the right to obtain other bids.

Payments to contractors shall be made in accordance with the provisions in the Rehabilitation Contract.

Lump sum payments will be made after the inspection division's final inspection, approval by the Construction Officer(s) of the completed work, and approval by the Planning and Redevelopment Administrator and the Community and Economic Development Director is completed.

In situations deemed appropriate by the City, progress payments may be approved, however, no more than 75% of the total contract (less the usual 10% retainer) may be paid in progress payments. The final payment will be made only after final inspection and approval and after all punch list items have been completed, inspected, and approved.

There shall be no more than a total of two-progress payments and one final payment on a contract totaling $15,000 or less (total of three payments).

The total number of payments on a contract in excess of $15,000 may be increased by one payment for each $5,000 or portion thereof. (Examples: Maximum of four payments on a contract for $18,000; maximum of five payments on a contract for $24,900.)

Each general contractor shall be required to furnish to the City the Federal Employer Identification Number for the general contractor's firm and for all subcontractor's firms.

Memorandum

To: Rock Island City Council

From: Nichole Mata

Subject: Report from the Community and Economic Development Department regarding the addition of appendices A (General Rehabilitation) and B (Emergency Rehabilitation) to the Community Development Block Grant (CDBG) Program Manual.

Motion: Motion whether or not to approve the addition of appendices A and B to the Community Development Manual.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

In December of 2022, staff completed a comprehensive update of the City's Community Development Block Grant (CDBG) Program Manual with the intention of providing appendices for each individual program. The Community Development Division has completed two program appendices to the Community Development Block Grant Program Manual. Appendices A, General Rehabilitation and B, Emergency Rehabilitation, which were both approved by the Community Development Commission to be sent to the City Council for final approval.

Previous Council Action (if any):

The City Council previously approved a comprehensive update to the CDBG Program Manual in 2022.

Budget Impact:

There will be no impact on the budget.

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

NA

Council Goal (if applicable):

NA

Recommendation:

The Community Development department recommends that the City Council approve appendices A and B to the Community Development Block Grant Program Manual.

Submitted by: Nichole Mata

Approved by: Joshua Adams

General Owner-Occupied Housing Rehabilitation Program

1. **INTRODUCTION.** This appendix establishes the policies and procedures for the City of Rock Island's General Owner-Occupied Housing Rehabilitation Program (hereafter "the Program" or "the General Rehab Program"). It is funded using a Community Development Block Grant annual entitlement award from the US Department of Housing and Urban Development.
2. **CDBG PROGRAM.** The Community Development Block Grant (CDBG) program was established by Title I of the Housing and Community Development Act of 1974, Public Law 93-383, as amended, 42 United States Code 5301. Under the CDBG program, the U.S. Department of Housing and Urban Development (HUD) awards grants to State and local governments to aid in the development of viable urban communities. To be eligible for funding, program-funded projects must satisfy one of three HUD national program national objectives required in 24 CFR (Code of Federal Regulations) 570.208:
    a. To benefit low- and moderate-income persons which are identified as persons with incomes below 80% of the area median household income; these income limits are determined and established by HUD utilizing Median Family Income estimates for each metropolitan area. Income limits are updated annually;
    b. Prevention and elimination of slums and blight; and
    c. Meeting urgent needs.
3. **NATIONAL OBJECTIVE.** The Program will be administered using the Low-Mod Housing benefit. CDBG funds shall be utilized for housing activities to assist income qualified homeowners with repair and rehabilitation of owner-occupied units. Eligible activities include: general rehabilitation of existing housing structures, including substantial rehabilitation to bring homes up to local codes and standards. The Program will address deferred maintenance. Repair and rehabilitation of the home's principal fixtures and components, including special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners will also be addressed. All program activities shall meet the National Objectives and the Public Benefit to existing income qualified homeowners. CDBG eligible costs for homeowner rehabilitation include:
    a. Labor and materials,
    b. Repair directed toward an accumulation of deferred maintenance,
    c. Repair or replacement of principal fixtures and components of existing structures,
    d. Installation of safety devices,
    e. Renovations through alterations, additions to, or enhancement of existing structures and improvements, and
    f. Special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners
4. **ELIGIBLE HOUSING TYPES.** Single-family structures must be owner-occupied by the primary applicant. Structures containing two units must have one unit occupied by the owner/primary applicant. Rehabilitation services will only take place within the owner-occupied portion of the structure, except for roof replacements. Properties with more than two dwelling units shall not be eligible to receive assistance.
5. **AUTHORITY TO UNDERTAKE HOUSING REHABILITATION.** The Housing and Community Development Act of 1974 (HCDA) and the CDBG regulations permit the use of CDBG funds for repair and rehabilitation activities. Section 105(d) of the HCDA permits the use of CDBG funds

for “clearance, demolition, removal, reconstruction, and rehabilitation (including rehabilitation which promotes energy efficiency) of buildings and improvements (including interim assistance, and financing public or private acquisition for reconstruction or rehabilitation, and reconstruction or rehabilitation, of privately-owned properties, and including the renovation of closed school buildings).” This provision is codified in the CDBG Entitlement program regulations at 24 CFR 570.202(a)(1) and 24 CFR 570.202(b)(2), which read as follows:

> (a)(1) Privately owned buildings and improvements for residential purposes; improvements to a single-family residential property which is also used as a place of business, which are required in order to operate the business, need not be considered to be rehabilitation of a commercial or industrial building, if the improvements also provide general benefit to the residential occupants of the building; and
> (b)(2) Labor, materials, and other costs of rehabilitation of properties, including repair directed toward an accumulation of deferred maintenance, replacement of principal fixtures and components of existing structures, installation of security devices, including smoke detectors and dead bolt locks, and renovation through alterations, additions to, or enhancement of existing structures and improvements, abatement of asbestos hazards (and other contaminants) in buildings and improvements that may be undertaken individually or in combination with other programs or resources.

6. **FORGIVABLE LOAN.** Financial assistance shall be provided to income eligible homeowners as a forgivable loan secured through a recapture agreement. Recapture agreements in the amount equal to the cost of rehabilitation will be required for rehabilitation projects. Said agreements shall be recorded at the Rock Island County Recorder’s Office for a period of five (5) years to serve as a lien against the property for the duration of the recapture agreement requirements. The terms of the recapture agreement require that the home owner remain in the home for a period of five years. Once the five (5) year period has been fulfilled, either the homeowner or the City may have the lien released from the property.
7. **RECAPTURE EVENTS.** The income qualified homeowner will be responsible for repayment of the grant amount if one or more of the following events occur during the recapture period:
    a. The homeowner sells, conveys, or transfers title of the property for consideration to another person;
    b. The homeowner rents or leases the property;
    c. The homeowner forfeits ownership rights to the property due to foreclosure, bankruptcy, or any other similar means.
8. **NON-RECAPTURE EVENTS.** The following events are not recapture events:
    a. Transfer of title to homeowners' surviving spouse upon death of a joint tenant-owner;
    b. Transfer of title to a spouse as a result of a divorce;
    c. A transfer of title by will upon death;
    d. Refinancing of the property to reduce interest rates; or
    e. In the event that extenuating circumstances occur that are not listed above, the City will evaluate the situation and will, at its discretion, determine if the circumstances are appropriate by the City.
9. **MAXIMUM ASSISTANCE AVAILABLE.** No project undertaken through the Program shall have a hard cost that exceeds $24,999. The homeowner may, but is not required to, contribute their

own matching funds if a broader scope of work is desired. A household may only receive assistance through the Program once every five (5) years.

10. **APPLICATION REQUIREMENTS.** To be considered eligible to participate in the Program, the residence must be located within the municipal boundary of the City and be owner occupied confirmed as such through title search, tax records, and insurance policy information. The property must also be a legal conforming use according to the City's adopted zoning code, all property taxes must be current, all utility billing with the City must be current, and the applicant must meet all of the following requirements:
    a. Household Income. To be considered eligible for assistance, the applicant must meet the HUD income of limit of 80% or lower of the area median income (AMI). The City shall calculate and verify income qualifications using the Adjusted Gross Income as provided on the IRS 1040. Adjusted Gross Income is defined as individuals age 18 and older reporting to the Internal Revenue Service on Form 1040. Other income includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit). In order to determine applicant eligibility, the applicant shall submit the most current 1040 federal tax return and two months of income verification (pay stubs, social security statements etc.).
    b. Household Definition. A Household shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the residence will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member's income tax form.
11. **APPLICATION PROCESS.** Applicants shall complete an application as provided by the City and include any and all required documentation. In the event that the applicant requires assistance completing the application, the applicant may schedule an appointment with the Housing Officer. The application will be reviewed for completeness and the applicant will be income verified at the time of application in order to determine Program eligibility. Adjusted Gross Income as outlined in IRS form 1040 will be used to determine total household income. Applicant must fall below 80% of the established HUD AMI guidelines for the current year. Upon review of completed application and submission supporting documentation, the Housing & Loan Program Officer will verify that the applicant does not have weed/grass and nuisance code violations, residency and homeownership ownership requirements, homeowner insurance and income verification will also be completed. Property ownership will be confirmed through the title search using Laredo (Rock Island County Recorder's Office electronic records database). If a copy of the title is not available in Laredo, the applicant will be required to furnish a copy. The Housing & Loan Program Officer will ensure that all persons listed on the property's title are listed as an applicant. At this time Laredo will also be used to identify any liens or judgments on the property. An assessment search will be performed via www.rockislandcounty.org to verify that all Property Taxes have been paid to date. Any delinquent taxes will need to be paid in order to move forward with the application.

12. **WAITING LIST.** Following verification of income eligibility, applicants shall be placed on a waiting list on a first come, first serve basis. Income eligibility shall be reverified every six (6) months.
13. **INITIAL INSPECTION.** When an applicant's turn on the waiting list arrives, an initial inspection of the property shall be undertaken by the Construction Officer using a standardized form. The Program is focused on addressing code violations and making housing both safe and sanitary. All applicable building, mechanical, electrical, plumbing, and health codes as adopted by the City are used to determine code violations. Such violations are hereafter ranked by severity as major, moderate, of minor. A copy of the General Rehabilitation Program Inspection Checklist is attached at the
14. **SCOPE OF WORK.** Following the initial inspection, a scope of work shall be prepared by the Construction Officer. Activities in the scope shall be undertaken in order of priority corresponding with the code violations described above.
15. **ENVIRONMENTAL REVIEW.** When a scope of work is completed, the environmental review process shall begin. The environmental review record documents shall be prepared by the Geographic Information Systems (GIS) Specialist under the direction of the Planning & Zoning Manager. The Section 106 review process as required by the National Historic Preservation Act of 1966, as part of the environmental review documents, shall be overseen directly by the Planning & Zoning Manager. If the State Historic Preservation Office (SHPO) determines in the course of the Section 106 review process that the proposed undertaking
16. **CONTRACTOR SELECTION.**
    a. **DEFINITIONS**
        i. Contractor - A term used to apply to both general contractors and subcontractors.
        ii. Contractor Guidelines - A shortened term used to refer to "Contractor Selection/Qualification Guidelines for Targeted Neighborhood Housing Stimulation Programs by the Planning and Redevelopment Division, Community and Economic Development Department, City of Rock Island, Illinois."
        iii. General Contractor - A contractor, who contracts to undertake an entire rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, using subcontractors and supervising them as needed while taking full responsibility for the job.
        iv. Subcontractor - A contractor who contracts to undertake one or more phases of a rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, with the City or some other contractor acting as general contractor.
        v. City - The City of Rock Island, Illinois; specifically, with reference to the Targeted Neighborhood Housing Stimulation Programs, is represented by the staff of the Planning and Redevelopment Division of the Community and Economic Development Department. References to the City in these guidelines refer to that staff, its policies and programs as approved by the City Council.
        vi. Housing & Loan Program Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who processes applications for rehabilitation assistance, including the financial portion.

vii. Construction Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who prepares work write-ups manages bids and contract documents, and supervises construction.
viii. Community and Economic Development Director – the supervisor of the Community and Economic Development Department.

b. **CONTRACTOR QUALIFICATIONS**

i. Prospective contractors must complete a Contractor Information Form. B. References and credit will be checked.
ii. Contractors must have satisfactory client references.
iii. Judgments against a contractor must be released prior to program participation.
iv. Contractors must be registered with Duns & Bradstreet (www.dnb.com) and System of Award Management (www.sam.gov). There is no registration fee, for both of these federal requirement registrations.
v. Registration with the City of Rock Island as well Liability insurance coverage is required and a certificate of insurance must be provided. $100,000 each occurrence/$300,000 aggregate bodily injury, including death. $100,000 property damage.
vi. Lead Certifications and Licenses when applicable to the Scope of Work.
vii. No contractor will be added to the City's list of qualified contractors until requirements of II-A, B, and C are met.
viii. Prospective contractors must be bonded and licensed as required by the City Inspection Division and Community and Economic Development Department.
ix. Contractors must complete work in a manner which meets City Rehabilitation Specifications and all adopted City codes.
x. Quality work is required.
xi. Timely completion of work is required.
xii. Contractors are required to furnish a current address and phone number where they may be contacted.
xiii. Change of address and/or phone number must be reported immediately.
xiv. At any time that contractor's address and phone number are incorrect and the Planning and Redevelopment Division cannot contact the contractor, that contractor will be dropped from the list(s) of contractors until the required information is furnished to the Planning and Redevelopment Division.
xv. It is the contractor's responsibility to notify the Construction Officer and the homeowner of any delays or absences from the job once a Proceed Order has been issued.
xvi. Working hours shall be from 8:00 a.m. to 5:00 p.m., Monday through Friday unless other arrangements are approved by the homeowner and the Construction Officer.

c. **CONTRACTOR DISQUALIFICATION.** Failure by a contractor to meet the above qualifying criteria shall result in disqualification from further participation in the program. Prior to execution of the contract, the homeowner may request rejection of a contractor for good and substantiated reasons. The City will approve or deny this request. Additional reasons which may be grounds for contractor disqualification:

i. Repeated and substantiated homeowner complaints.
ii. Failure to honor the warranty as specified in the contract with the homeowner, or failure of the contractor to supply homeowner with warranties on products with warranties exceeding one year.
iii. Failure of a contractor to resolve warranty issues will result in withholding work write-ups and bidding until issues are resolved or disqualification has taken place.
iv. Repeated credit problems.
v. Unprofessional or un-businesslike contract negotiation or implementation.
vi. Discovery of fraud, life-threatening irresponsibility, or other immediate incapacity.
vii. Repeated failure to bid with or without notification to the City.

d. **NOTICE OF DISQUALIFICATION.** Contractors considered for disqualification shall be notified of such pending disqualification in writing. The notice shall include at a minimum:

i. Reason for pending disqualification.
ii. Maximum time frame for abating disqualifying item(s).
iii. Mailing of Pending Disqualification Notice –The "Pending Disqualification Notice" shall be mailed or hand-delivered to the latest known address or whereabouts of the contractor. No further notice shall be required.

The City retains the right to disqualify any contractor immediately when fraud, life-threatening irresponsibility or other immediate incapacity is evident. The City also retains the right to disqualify immediately a contractor who cannot be or one who has repeatedly failed to bid. No "Pending Disqualification Notice" is required. Reinstatement of any contractor after disqualification will be at the sole discretion of the city.

e. **SUBCONTRACTORS.** General contractors must furnish for the City a list of the subcontractors they propose to use on that job when they submit the bid. The City reserves the tight to deny the use of any subcontractor who has been previously disqualified. If the contract awarded to the general contractor requires a change in subcontractor(s), the general contractor must notify the City before the new subcontractor begins work. Proposed subcontractors may be rejected by the City for good and substantiated reasons. General contractors are required to show that subcontractors meet appropriate licensing and bonding requirements and that they meet liability insurance coverage requirements as stated in II-C.

f. **BIDDING.** All projects shall be put out for competitive bidding for no less than one (1) week and no more than four (4) weeks.

i. Bids shall be posted on the City website and provided to any contractor in any format upon their request. Contractors shall submit bids for consideration in sealed envelopes labeled as instructed in the invitation to bind instructions. Late bids will not be accepted.

ii. All bids will be opened in public at a set time and place. At least two City staff members shall be present at any bid opening. Contractors retain the right to attend all bid openings.
iii. After the bid opening, the successful bidder will be allowed to bid on another rehabilitation job, but may only hold a maximum of three projects at one time unless approved by the city.
iv. The contractor may decline to bid one job (pass). This pass will be treated as if the contractor had submitted an unsuccessful bid.
v. Contractors who repeatedly decline to bid or fail to notify the City of their intent to pass may be dropped from the contractor list at the discretion of the City.
vi. When a contractor is already under contract for or has been awarded the bid for a total of three (3) rehabilitation jobs through City programs, the contractor will not be invited to bid on a new job until no more than one contract remains open unless approved by the City.
vii. If a contractor has been dropped from the City's list(s) of qualified bidders, the City reserves the right to refuse to accept a bid from that contractor even if that contractor would otherwise qualify under V-D.
viii. The policy of the City, in general, shall be to award the contract(s) to the lowest qualified, responsive, responsible bidder(s):
    1. Bidders may be disqualified at the discretion of the City, as having bid too low, if a bid is more than 10% lower than the in-house estimate.
    2. Bidders may also be disqualified for having a bid too high in excess of 20% of the in-house estimate.
    3. Bids submitted with miscalculations will be disqualified.
    4. Any or all bids may be rejected at the discretion of the City for good cause.
ix. Each bidder is required to bid each line item in the work write-up individually (if a general contractor) or each line item of the relevant trade (if a subcontractor).
x. The City retains the right to delete any line item(s) after bidding is complete.
xi. The City retains the right to negotiate modifications to the contract with the successful bidder in each case.

17. **PRE-CONSTRUCTION.** For every project undertaken through the Program, there shall be a pre-construction meeting with the homeowner, contractor, and staff. At this meeting there shall be a review of the contract documents, the scope of work, and the project timeline. Following this, contract documents shall be signed by the respective parties. The Project may thereafter begin.
18. **CONTRACTOR PAYMENT.** When work is complete, a final inspection of the property shall be undertaken using a standardized form. If the work is determined to be satisfactory and complete, the contractor may submit a request for payment using a standardized form provided by the City. The request for payments must include a final lien waiver, sworn statement, signed one (1) year warranty, and invoice. The Housing Officer, Construction Officer, and the homeowner must sign off to approve the request for payment before it can be process. If thirty (30) days elapse from the date the request has been received and the homeowner is unable or unwilling to sign despite reasonable attempts to address their concerns, the City shall retain the right to process the request and issue payment to the contractor.

a. If the Construction Officer judges a change order to be excessive, the City reserves the right to obtain other bids.
b. Payments to contractors shall be made in accordance with the provisions in the Rehabilitation Contract.
c. Lump sum payments will be made after the inspection division's final inspection, approval by the Construction Officer(s) of the completed work, and approval by the Planning and Redevelopment Administrator and the Community and Economic Development Director is completed.
d. In situations deemed appropriate by the City, progress payments may be approved, however, no more than 75% of the total contract (less the usual 10% retainer) may be paid in progress payments. The final payment will be made only after final inspection and approval and after all punch list items have been completed, inspected, and approved.
e. There shall be no more than a total of two-progress payments and one final payment on a contract totaling $15,000 or less (total of three payments).
f. The total number of payments on a contract in excess of $15,000 may be increased by one payment for each $5,000 or portion thereof. (Examples: Maximum of four payments on a contract for $18,000; maximum of five payments on a contract for $24,900.)
g. Each general contractor shall be required to furnish to the City the Federal Employer Identification Number for the general contractor's firm and for all subcontractor's firms.

19. **GENERAL PROGRAM GUIDELINES.**
    a. EXPENSES. Eligibility of expenses for the CDBG program are itemized in the most recent version of "Guide to National Objectives and Eligible Activities for CDBG Entitlement Communities" as published by HUD. A summary of the eligible costs are as follows:
        i. Rehabilitation (hard costs) — Rehabilitation hard costs are actual costs to accomplish rehabilitation. Costs of labor, materials, supplies and other expenses required for completion of the rehabilitation of property, including repair or replacement of principal fixtures and components of existing structures (e.g., items eligible for repair within the program, individually or combined). This will be the total amount of the Contractor's invoice and the amount noted on the Recapture Agreement.
        ii. Service Delivery (soft costs) — Staff costs and related expenses required for outreach efforts for marketing the program, rehabilitation counseling, screening potential applicant households and structures, energy auditing, preparing work specifications, loan underwriting and processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities who are participating or seeking to participate in rehabilitation. The following are examples of soft costs:
            1. Financing fees, credit reports, title binders and insurance, recording fees, transaction taxes, impact fees, legal and accounting, appraisals, architectural and engineering fees.
            2. Administrative costs.
            3. Environmental review costs.

4. Lead Safe Housing Rule Compliance expenses. This includes any costs that are associated with complying with the Lead Rule that are not normally incurred as part of rehabilitation if the Rule did not apply. This can include:
    a. Evaluation costs (risk assessments, visual assessments or inspections)
    b. Laboratory and analysis fees
    c. Lead sample testing supplies
    d. Occupant protection, including relocation, storage or protection of belongings
    e. Waste handling attributable to lead-based paint hazard reduction.
    f. Specialized cleaning designed to remove LBP dust. The contractor can provide an estimate of the incremental costs associated with LBP hazard reduction.
    g. Clearance activities, including visual assessments, dust wipes, and reports.

b. LMH HOUSING DOCUMENTATION REQUIRED. In addition to the main documentation required for each project/activity, the following records must be maintained for projects/activities that are using LMH as a national objective:
    i. A written agreement with each landlord or developer receiving CDBG assistance. The agreement must specify:
        1. Total number of dwelling units in each multi-unit structure, and
        2. The number of those units which will be occupied by LMI households after assistance.
    ii. Total cost of the activity, including both CDBG and non-CDBG funds
    iii. The household size, ethnicity and income eligibility for each of the LMI households occupying assisted units
    iv. Accomplishments for LMH activities include the number of owner-occupied units rehabilitated, including the number of these units occupied by the elderly.

c. HOUSEHOLD INCOME DOCUMENTATION REQUIRED. The following definitions shall be used in the determination of a household's total AGI:
    i. Adjusted Gross Income shall be defined as adjusted gross income reported to the Internal Revenue Service on Internal Revenue Service (IRS) Form 1040 as follows:
        1. Wages, salaries, tips, etc.
        2. Taxable interest.
        3. Dividends.
        4. Taxable refunds, credits or offsets of State and local income taxes. There are some exceptions - refer to Form 1040 instructions.
        5. Alimony (or separate maintenance payments) received.
        6. Business income (or loss).
        7. Capital gain (or loss).

8. Other gains (or losses) (i.e., assets used in a trade or business that were exchanged or sold).
9. Taxable amount of individual retirement account (IRA) distributions. (Includes simplified employee pension [SEP] and savings incentive match plan for employees [SIMPLE] IRA.)
10. Taxable amount of pension and annuity payments.
11. Rental real estate, royalties, partnerships, S corporations, trusts, etc.
12. Farm income (or loss).
13. Unemployment compensation payments.
14. Taxable amount of Social Security benefits.
15. Other income. (Includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; Alaska Permanent fund dividends; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit).

ii. Total Adjusted Gross Income for the household shall be defined as the sum of the total adjusted gross income of each household member aged 18 or over. A household member shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the home will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member's income tax form.

d. RECORD RETENTION PERIOD. Under the uniform administrative requirements of the CDBG regulations, grantees and sub recipients are required to retain CDBG records for a period of not less than four years. For sub recipients, the record retention period begins from the date of the submission of the CAPER in which the specific activity was reported on for the final time rather from the date of submission of the final expenditure report for the award.

e. ACCESS TO RECORDS. HUD and the Comptroller General of the United States, or their authorized representatives, have the right to access grantee and sub recipient program records. This right is not limited to the retention period. Requirements regarding public access to records include:
   i. CDBG grantees are required to provide citizens with reasonable access to records regarding the past use of CDBG funds, consistent with applicable state and local laws regarding privacy and confidentiality; and
   ii. The Consolidated Plan regulations require that grantees provide citizens, public agencies and other interested parties with reasonable and timely access to information and records relating to the jurisdiction's Consolidated Plan and the use of assistance under the programs covered by the Consolidated Plan.

f. CDBG ALLOCATION PROCESS. Refer to the City's main CDBG Program Manual to find details on how the City's overall application and allocation process works. The TNHSP submits two applications for Entitlement funds, one for staffing and support costs and another application for programmatic costs (hard costs and soft costs). City Council normally finalizes the allocation recommendations for the upcoming program year (April 1st-March 31st) in September of each year yet final Entitlement allocation amounts from HUD are announced in the Spring/Summer each year (after the program year has already started).

g. CONSOLIDATED PLAN. The Community Development Manager is responsible for making sure that the Consolidated Plan is completed accurately and timely. The Consolidated Plan is prepared by the City of Rock Island every five years and describes needs, resources, priorities and proposed activities to be undertaken with respect to CDBG funds. An approved Consolidated Plan is one which has been approved by HUD. TNHSP staff is responsible for making sure that their proposed activities for the next five years are included in this analysis in order to continue being funded.

h. ANNUAL ACTION PLAN. The Community Development Manager is responsible for making sure that the Annual Action Plan is completed accurately and timely. This document serves as an annual application to HUD for funding and must reflect the proposed activities that are in the Consolidated Plan. If a new activity is being proposed for funding, the Consolidated Plan must be amended. Further information on what triggers a Consolidated Plan amendment can be found in the City's main CDBG Policies and Procedures manual. Information on TNHSP staff and support costs are detailed in the Annual Action Plan as well as the expected accomplishments for each activity. A majority of the information used for the Annual Action Plan will be used from the applications submitted for funding for that program year. It is the TNHSP staff responsibility to make sure that the proposed accomplishments are adjusted with the final allocation amounts. Also, it is the TNHSP staff responsibility to track accomplishments on a 5-year basis to ensure that the Consolidated Plan goals are being met. If they are not, then a possible amendment to the Consolidated Plan may need to be made.

i. DRAWDOWN REQUESTS. Drawdown requests should be made on a routine basis from TNHSP staff to Planning and Redevelopment Administrator. The information that should be included in these drawdown requests include:
   i. Funds budgeted for project;
   ii. Funds received in drawdowns to date;
   iii. Funds obligated in most recent period and to date;
   iv. Funds expended in most recent period and to date;
   v. Cash on hand (including program income identified as such); and
   vi. Previous drawdowns requested but not yet received.

j. QUARTERLY PROGRESS REPORTS. Quarterly accomplishment reports are due to the Planning and Redevelopment Administrator from sub recipients by the following dates: April 15, July 15, October 15, and January 15. These progress reports should contain the following information: track actual project accomplishments, obligations, and spending patterns against planned operations and accomplishments.

20. **OTHER REGULATIONS.**

a. RECORDS TO BE MAINTAINED (570.506). HUD regulation § 570.506 defines the process and types of records that must be maintained by the city. Records and documentation are maintained in order to demonstrate activity eligibility, national objective compliance, allowability of costs, and cost reasonableness. Appropriate staff will maintain all records required by the Federal regulations specified in 24 CFR 570.506, that are pertinent to the activities to be funded. Such records shall include but are not limited to:

i. Records providing a full description of each activity undertaken;
ii. Records demonstrating that each activity undertaken meets one of the National Objectives of the CDBG program;
iii. Records required to determine the eligibility of activities;
iv. Records required to document the acquisition, improvement, use or disposition of real property acquired or improved with CDBG assistance;
v. Records documenting compliance with the fair housing and equal opportunity components of the CDBG program;
vi. Financial records as required by 24 CFR 570.502, and 24 CFR 84.21−28; and
vii. Other records necessary to document compliance with Subpart K of 24 CFR Part 570.

The CED department shall retain all financial records, supporting documents, statistical records, and all other records pertinent to the HUD CDBG program. The retention period begins on the date of the activities are recorded in IDIS. In the event of litigation, claims, audits, negotiations, or other actions that involve the above cited records that have been initiated before the expiration of the five-year period, then such records shall be retained through the resolution of all issues.

b. CONFIDENTIALITY. The City of Rock Island and its employees shall maintain the confidentiality of all applicant information. Confidentiality shall apply to the applicant's identity and location of the subject property, as well as personal information supplied by the applicant and received by the City of Rock Island to determine the applicant's eligibility.
c. FINANCIAL MANAGEMENT SYSTEMS (85.20). CDBG funded Neighborhood Housing programs execute outside contracts. The City of Rock Island CDBG program records an encumbrance/obligation when contracts are executed, purchase orders issued, etc. The city's CDBG program maintains grant compliance by maintaining supporting documentation for expenditures with invoices, contracts, or purchase orders, etc. The City of Rock Island has segregated the duties and controls to effectively reduce the opportunity for the perpetration or concealment of errors or irregularities in the normal course of duties. The City of Rock Island has internal control procedures that support its ability to prepare financial statements that are fairly presented in conformity with generally accepted and appropriate accounting principles and regulatory requirements. The city undergoes an annual single audit, which ensures the accuracy and integrity of data provided through a qualified opinion on the audited annual financial statements and internal controls. Staff reviews financial information (e.g., drawdowns, unexpended balances) recorded in HUD's financial management systems (e.g., LOCCS, IDIS) to ensure that it matches the official accounting records of the Program for the period covered by the last CPD-required performance report? [24 CFR 570.507; 24 CFR 91.520] The Community Economic Development, Budget and Grant Manager works directly with the Finance Department to ensure that the information on obligations, expenditures, and program income submitted to HUD reconcile with the program participant's accounting records? [24 CFR 570.504; 24 CFR 570.507; 24 CFR 91.520]
d. TIMESHEETS. The City of Rock Island internal payroll control procedures support its ability to meet HUD regulatory requirements. All CDBG funded staff position timesheets are reviewed and approved by the supervisor prior to submission for payroll processing.

Community and Economic Development Department employees working on the CDBG programs shall have their salaries and wages supported by periodic monitoring to ensure that CDBG funds have been appropriately allocated and expended for the period covered by the monitoring. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]. Monitoring will be conducted annually prior to the completion of the Consolidated Annual Performance Evaluation Report. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]

e. PROGRAM INCOME (24 CFR 570.503 AND 570.504). All proceeds collected under the recapture requirements of the Neighborhood Housing Programs shall be considered Program Income. Program Income shall be receipted into IDIS as Program Income and shall be expended on other projects before entitlement funds are obligated to projects.
f. PROCUREMENT, EQUIPMENT, AND REAL PROPERTY. The Rock Island's CDBG programs shall follow the City of Rock Island procurement standards or the HUD procurement standards at § 85.36 (whichever is more stringent) when equipment and services are procured. All expenses for supplies and equipment and their use (e.g., uniforms/coveralls, handheld computers, gasoline, vehicle lease payments or use allowances) are eligible expenses for the delivery of Neighborhood Housing Program services.
g. LABOR STANDARDS (24 CFR 570.603). The City of Rock Island's CDBG program shall adhere to all applicable regulations regarding labor standards. All laborers and mechanics employed by contractors or subcontractors on construction work in excess of $2,000 and financed in whole or in part with CDBG funds must be paid "prevailing wages" that have been determined in accordance with the Davis-Bacon Act as amended (40 U.S.C. 276a–276a-5). The Contract Work Hours and Safety Standards Act (40 U.S.C. 327–333) also applies to such activities. These labor standards shall apply only to the rehabilitation of residential property if the property contains more than four (4) units.
h. ENVIRONMENT REQUIREMENTS (24 CFR 570.604). At the start of every Program Year an environmental review of CDBG Service Delivery programs is completed and up loaded in to the HUD HEROS system. Grantees are required to assume responsibility for environmental review, decision making, and other actions that would otherwise apply to HUD under the National Environmental Policy Act of 1969 and other related provisions of law. Under no circumstance shall CDBG assistance be obligated to the project. This includes but is not limited to, incurring project costs, entering into an agreement, or letting bids until the appropriate environmental review and public notification process has been completed, and it has been determined that no other environmental measures are to be undertaken. Activities not subject to this restriction are those the regulations define as exempt from environmental review. However, before any party involved with the project can incur costs, even for activities that are exempt, the grantee must first make a formal determination that the activity is exempt. (The list of activities that are exempt from environmental review are found in 24 CFR part 58.34 and 58.35(b).)
i. HISTORIC PRESERVATION (36 CFR PART 800). It is the responsibility of the city to evaluate properties receiving federal assistance and consult with the State Historic Preservation Office as to whether the property:
    i. is or could be determined eligible for listing on the National Register of Historic Places;
    ii. is located in a historic district or an area which could be determined eligible as a historic district;

iii. involves proposed changes that could have an adverse effect to a historic property that has been landmarked.

In the event that the undertaking has been determined to be an adverse effect to a historic property, the city will identify all consulting parties to discuss the project impact and possible mitigation measures. Once the consulting parties agree on the mitigation, a Memorandum of Agreement must be completed with stipulations that provide clear and concise mitigation measures with all parties identified (36 CFR Part 800).

j. NATIONAL FLOOD INSURANCE PROGRAM (24 CFR 570.605). The City of Rock Island participates in the National Flood Insurance Program and is protected by a United States Army Corps of Engineers flood wall, which has been certified by the National Emergency Management Agency. Areas within the flood wall are prone to ponding, projects undertaken in the ponding areas are required to have flood insurance and provide proof of flood insurance prior to and during the completion of the project.
    i. Note: There is a statutory prohibition against providing Federal assistance to a person who had previously received Federal flood disaster assistance conditioned on obtaining and maintaining flood insurance and the person failed to obtain and maintain such insurance (24 CFR 58.6(b)).

k. FLOODPLAIN MANAGEMENT (24 CFR PART 55). Potential projects receiving federal assistance that propose new construction or substantial improvements of existing buildings located within the floodplain or special flood hazard areas shall be subject to 24 CFR Part 55.20, the “Eight Step Decision Making Process.” Until such time as the Decision-Making Process is complete and mitigation measures in place, no federal funding or commitments can be obligated or agreements entered into.
    i. Note: Executive Order 11988, Floodplain Management, directs agencies “to avoid direct or indirect support of floodplain development wherever there is a practicable alternative” (24 CFR Part 55).

l. RELOCATION AND ONE-FOR-ONE HOUSING REPLACEMENT (24 CFR 570.606). The City of Rock Island’s CDBG Neighborhood Housing Programs comply with the following:
    i. the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), 24 CFR 570.606(b), and 49 CFR Part 24;
    ii. the requirements of 24 CFR 570.606(c) and 24 CFR Part 42 governing the Residential Anti-displacement and Relocation Assistance Plan (Plan) under Section 104(d) of the HCD Act.

Under the URA and the Plan, the city provides relocation assistance to persons (families, individuals, businesses, non-profit organizations, and farms) that are displaced as a direct result of acquisition, rehabilitation, demolition, or conversion for a CDBG-assisted project. All property occupants must be issued certain notices on a timely basis. The Plan also requires the one-for-one replacement of any occupied or vacant occupiable low/moderate-income housing that is demolished or converted to another use in connection with a CDBG-assisted project. Finally, the Plan requires the identification of the steps that will be taken to minimize displacement.

m. LEAD-BASED PAINT (24 CFR 570.608). CDBG-funded activities, such as the acquisition, construction, or rehabilitation of residential structures, may not use lead-based paint. Certain requirements apply to the use of CDBG funds for the rehabilitation of a residential property that was constructed before 1978. At a minimum, grantees are required to:
    i. notify a purchaser or lessee of the presence of any known lead-based paint and/or lead-based paint hazards;

ii. paint test surfaces to be disturbed or removed during rehabilitation for the presence of lead-based paint, or presume lead-based paint and notify the occupants of the results within 15 days of when the evaluation report is received or the presumption is made;
iii. provide each occupied dwelling unit outlined in the preceding section with the EPA-approved lead hazard information pamphlet “Protect Your Family From Lead in Your Home” or an EPA-approved equivalent;
iv. reduce lead hazards as required by the applicable subparts of Part 35;
v. perform clearance testing, including dust testing, before re-occupancy after all but minimal (“de minimis”) amounts of paint disturbances. (See in the following section for details). The CDBG regulation at 24 CFR 570.608 states that the following subparts of Part 35 apply to the use of CDBG funds in pre-1978 housing:
    1. Disclosure of Known Lead-Based Paint and/or Lead-Based Paint Hazards Upon Sale or Lease of Residential Property,
    2. General Lead-Based Paint Requirements and Definitions for All Programs,
    3. Rehabilitation,
    4. Acquisition, Leasing, Support Services, or Operation, and
    5. Methods and Standards for Lead-Based Paint Hazard Evaluation and Hazard Reduction Activities. Part 35, Subpart A, is called the Lead Disclosure Rule; and Part 35, Subparts B through R, are called the Lead Safe Housing Rule.
vi. Certain properties are exempt from the requirements of the Lead Safe Housing Rule. They include:
    1. Housing built on or after January 1, 1978;
    2. Zero-bedroom dwellings, including efficiency apartments, single-room occupancy housing, dormitories, or military barracks;
    3. Housing exclusively for the elderly or people with disabilities, unless a child age 6 or under resides or is expected to reside there;
    4. Units that have been found to be free of lead-based paint by a certified lead-based paint inspector;
    5. Units where all lead-based paint has been removed;
    6. Unoccupied housing that will remain vacant until it is demolished;
    7. Non-residential portions of mixed-use buildings, except that spaces serving both residential and non-residential uses are covered by the rule;
    8. Units that are to be rehabilitated without disturbing a painted surface; and
    9. Units that are subject to emergency repair action needed to safeguard against imminent danger to human life, health or safety, or to protect the property from further structural damage.
vii. For properties that are covered by the Lead Safe Housing Rule, the lead-based paint requirements for rehabilitation is contingent upon the amount of Federal rehabilitation assistance provided. The amount of Federal rehabilitation assistance is the average per unit amount of Federal assistance provided for the hard costs of rehabilitation, excluding lead-based paint hazard evaluation and hazard reduction activities. In calculating this assistance amount, the total

amount of Federal assistance to be used (including CDBG and other funds) and the hard costs of rehabilitation (including Federal and non-Federal funds) must be considered. Whenever these two amounts are not the same, the smaller of the two determines the type and level of lead-based paint requirement. For a structure with more than one dwelling unit, the thresholds are applied against the average amount of Federal assistance per unit or the average hard cost of rehabilitation per unit, whichever is lower. The following guide outlines the funding amounts and the level of lead safe work practices (Subpart J: Rehabilitation):

1. Up to $4,999 per unit hard costs
    a. Paint testing and repair
    b. Use Lead Safe Work Practices (LSWP)
    c. Clearance
2. $5,000 up to $24,999 per unit hard costs
    a. Risk Assessment
    b. Interim Controls
    c. Clearance
3. Over $25,000 per unit hard costs
    a. Risk Assessment
    b. Abatement of all identified LBP Hazards (Not all LBP)
    c. Clearance

viii. For federally assisted projects that only requires repair, the de minimis levels for lead safe work practices will apply (24 CFR Part 35.1350 (d)). Safe work practices are not required when maintenance or hazard reduction activities do not disturb painted surfaces that total more than:
1. 20 square feet (2 square meters) on exterior surfaces;
2. 2 square feet (0.2 square meters) in any one interior room or space; or
3. 10 percent of the total surface area on an interior or exterior type of component with a small surface area. Examples include window sills, baseboards, and trim.

ix. The City shall follow 24 CFR 35.1350(d) for minor repairs undertaken as part of the program. Compliance shall be documented using project specifications. Substantial rehabilitation activities undertaken shall follow the lead safe rules as outlined in 24 CFR 35.1350.

n. CONFLICT OF INTEREST (24 CFR 570.611). The Program shall follow the provisions of 24 CFR 84.42 and 570.611, which include (but are not limited to) the following:
- The city maintains a written code or standards of conduct that shall govern the performance of its officers, employees or agents engaged in the award and administration of contracts supported by Federal funds (All employee policies and guidelines can be found at intranet.rigov.org/human-resources/personnel-policies).
- No employee, officer, or agent of the city shall participate in the selection, or in the award, or administration of, a contract supported by Federal funds if a conflict of interest, real or perceived, would be involved.

No covered persons who exercise or have exercised any functions or responsibilities with respect to CDBG-assisted activities, or who are in a position to participate in a decision-making process or gain inside information with regard to such activities, may obtain a financial interest in any contract, or have a financial interest in any contract, subcontract, or agreement with respect to the CDBG-assisted activity, or with respect to the proceeds from the CDBG-assisted activity, either for themselves or those with whom they have business or immediate family ties, during their tenure or for a period of one (1) year thereafter. For purposes of this paragraph, a “covered person” includes any person who is an employee, agent, consultant, officer, or elected or appointed official of the Grantee, the Subrecipient, or any designated public agency. There are two conflict of interest provisions applicable to activities carried out with CDBG funding. The first, applies to the procurement of goods and services by subrecipients (24 CFR 84.42 and 85.36 and 24 CFR 570.611(a)(1)) The second provisions is located at 24 CFR 570.611(a)(2). These provisions cover situations not covered by parts 84 and 85. With respect to procurement activities, the subrecipient must maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts. At a minimum, these standards must require that no employee, officer, or agent may participate in the selection, award, or administration of a contract supported by federal funds if a real or perceived conflict would be involved. Such a conflict would arise when any of the following parties have a financial or other interest in the firm selected for an award:

- An employee, officer, or agent of the subrecipient;
- Any member of an employee's, officer's, or agent's immediate family;
- An employee's, agent's, or officer's partner; or
- An organization which employs or is about to employ any of the in the preceding section.

It is also required that employees, agents, and officers of subrecipients neither solicit nor accept gratuities, favors, or anything of value from contractors, or parties to sub-agreements. However, subrecipients may set standards for situations in which the financial interest is not substantial or the gift is an unsolicited item of nominal value. Disciplinary actions for any violations of the regulations or policies by employees, agents, or officers of the subrecipient agency will be handled according to the above policies. With respect to all other CDBG-assisted activities, the general standard is that no employee, agent, or officer of the subrecipient, who exercises decision-making responsibility with respect to CDBG funds and activities, is allowed to obtain a financial interest in or benefit from CDBG activities, or have a financial interest in any contract, subcontract, or agreement regarding those activities or in the proceeds of the activities. Specific provisions include:

- Any person who is an employee, agent, consultant, officer, or elected or appointed official of the grantee, a designated public agency, or a subrecipient, and to their immediate family members, and business partner(s).
- During employee tenure and for a period of 1 year after leaving the grantee or subrecipient organization.

Upon written request, exceptions may be granted by HUD on a case-by-case basis, after consideration of the cumulative effect of various factors listed at 24 CFR 570.611(d), and only with: (a) full disclosure of the potential conflict, and (b) a legal opinion of the grantee’s attorney that there would be no violation of state or local laws in granting the exception.

o. PROGRAM MONITORING (24 CFR 570.501(B), 24 CFR 85.40(A) AND (E), AND 24 CFR 84.51(A)). The Community and Economic Development Department is responsible for ensuring that all CDBG funds under its oversight are used in accordance with all program requirements, and for determining the adequacy of its subrecipients' performance. Accordingly, the Community Development staff is empowered to make site visits and review program files of the CDBG recipients as necessary to fulfill these responsibilities.

p. SUSPENSION AND TERMINATION (24 CFR 570.503 (B) (6), 24 CFR 85.43 AND 44, AND 24 CFR 84.62). When problems arise in the performance of a subrecipient, the grantee is responsible for taking appropriate actions for correcting these deficiencies, including suspending or terminating the CDBG activities being carried out by the subrecipient (24 CFR 570.501(b)). Consistent with 24 CFR 570.503(b)(6), the written agreement between the grantee and the subrecipient must specify that suspension or termination may occur if the subrecipient materially fails to comply with any term of the CDBG award, and that the agreement may also be terminated for convenience (also see 24 CFR 85.43–85.44 and 84.62).
q. UNALLOWABLE COSTS. The City of Rock Island excludes any unallowable costs as itemized in 2 CFR Part 225, Appendix B, including: entertainment, contributions and donation, fines and penalties, general government expenditures, lobbying and political activities from direct or indirect funding through the CDBG Code Enforcement Program.
r. LOBBYING. The City of Rock Island prohibits the use of any federal, state, or locally appropriated funds to be used to compensate any person for influencing, or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement. If any federal, state, or locally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the city will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
s. POLITICAL ACTIVITY (24 CFR 570.207(A)(3)). Pursuant to the Hatch Act, no Neighborhood Housing program funds will be used for political activities. Personnel employed with CDBG funding will in no way, or to any extent, engage in the conduct of political activities in violation of Chapter 15 of Title V of the U.S.C. The City prohibits the use of CDBG funds to finance the use of facilities or equipment for political purposes or to engage in other partisan political activities, such as sponsoring candidate forums, distributing brochures, voter transportation, or voter registration. However, a facility originally assisted with CDBG funds may be used on an incidental basis to hold meetings, candidate forums, or voter registration, provided that all parties and organizations have access to the facility on an equal basis and are assessed equal rent or use charges, if any.
t. NON-DISCRIMINATION AND ACTIONS TO FURTHER FAIR HOUSING. Pursuant to 24 CFR 5.105 (a), CDBG grantees and sub-grantees must also comply with various fair housing and civil rights laws, including but not limited to the Fair Housing Act, Title VI of the Civil Rights Act of 1964, and Section 504 of the Rehabilitation Act of 1973. Grantees and-sub-grantees must assure that all CDBG-funded activities, including Code Enforcement and Neighborhood Housing Program activities, do not discriminate on the basis of race, color, religion, sex, disability, familial status, or national origin.
    i. Title Vi of the Civil Rights Act of 1964 (Public Law 88-352 Implemented in 24 CFR Part 1). This law states that no person shall, on the grounds of race, color, or

national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

ii. Women and Minority Business Enterprises – Refer to 570.506(g), 85.36(e), and 84.44, affirmative steps documentation.

iii. Section 109 of Title I of the Housing and Community Development Act of 1974 prohibits CDBG grantees and sub-grantees from conduct that will cause discrimination on the ground of race, color, national origin, religion, or sex, in the participation in any program or activity funded in whole or in part with Federal financial assistance.

iv. Executive Order 11063, as amended by Executive Order 12259 (implemented in 24 CFR Part 107): This order and its implementing regulations require HUD to take all actions necessary to prevent discrimination because of race, color, religion, sex, or national origin in the use, occupancy, sale, leasing, rental, or other disposition of residential property assisted with Federal loans, advances, grants, or contributions.

v. Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq.): This law provides that any grant under Section 104 shall be made only if the grantee certifies to the satisfaction of the Secretary of HUD that the grantee will, among other things, affirmatively further fair housing.

vi. Section 109 of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq., particularly 42 U.S.C. 6101 et. seq., and 29 U.S.C. 794): This law mandates that no person on the grounds of race, color, national origin, sex, or religion shall be excluded from participation, denied the benefits of, or otherwise be subject to discrimination under any activity funded in whole or part with CDBG funds.

vii. Section 3 of the Housing and Community Development Act of 1968 (12 U.S.C. 1701u): This section implemented at 24 CFR Part 135 requires that, to the greatest extent feasible, a subrecipient must:

    1. Ensure that opportunities for training and employment arising in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to low-and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to low-and very low-income residents within the service area of the project or the neighborhood in which the project is located, and to low- and very low-income participants in other HUD programs.
    2. Award contracts for work undertaken in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to business concerns that provide economic opportunities for low- and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to business concerns which provide economic opportunities to low-and very low-income residents within the service

area or the neighborhood in which the project is located and to low-and very low-income participants in other HUD programs.

viii. Section 504 of the Rehabilitation Act of 1973, as amended (implemented at 24 CFR Part 135): This section specifies that no otherwise qualified individual shall solely by reason of his or her handicap be excluded from participation (including employment), denied program benefits, or subjected to discrimination under any program or activity receiving Federal assistance. Part 8 requires that recipients ensure that their programs are accessible to and usable by persons with disabilities. Part 8 also prohibits recipients from employment discrimination based upon disability.

ix. The Americans with Disabilities Act (ADA) of 1990: This law prohibits discrimination on the basis of disability in employment by state and local governments and in places of public accommodation and commercial facilities. The ADA also requires that facilities that are newly constructed or altered, by, on behalf of, or for use of a public entity, be designed and constructed in a manner that makes the facility readily accessible to and usable by persons with disabilities. The Act defines the range of conditions that qualify as disabilities and the reasonable accommodations that must be made to assure equality of opportunity, full participation, independent living, and economic self-sufficiency for persons with disabilities.

x. The Age Discrimination Act of 1975, as amended: This law provides that no person shall be excluded from participation, denied program benefits, or subjected to discrimination on the basis of age under any program or activity receiving Federal assistance.

xi. Executive Order 11246 (as amended by Executive Orders 11375 and 12086) — Equal Opportunity Under HUD Contracts and HUD-assisted Construction Contracts: This order requires that grantees and subrecipients and their contractors and subcontractors agree not to discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.

xii. The Architectural Barriers Act of 1968: The Architectural Barriers Act (ABA) of 1968 (ABA) (42 U.S.C. 4151-4157) requires that certain buildings financed with Federal funds must be designed, constructed, or altered in accordance with standards that ensure accessibility for persons with physical disabilities. The ABA covers any building or facility financed in whole or in part with Federal funds, except privately owned residential structures. Covered buildings and facilities designed, constructed, or altered with CDBG funds are subject to the ABA and must comply with the Uniform Federal Accessibility Standards.

xiii. Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (Public Law 90-234): The Fair Housing Act prohibits discrimination in housing practices on the basis of race, color, religion, sex, and national origin. The Fair Housing Act was amended in 1988 to provide protections from discrimination in any aspect of the sale or rental of housing for families with children and persons with disabilities. The Fair Housing Act also establishes requirements for the design and construction of new rental or for-sale multi-family housing to ensure a minimum level of accessibility for persons with disabilities.

xiv. Limited English Proficiency. Recipients of CDBG funds should take reasonable steps to ensure meaningful access to their programs and activities to limited

English proficient individuals. As an aid to recipients, the Department published Final Guidance to Federal Financial Assistance Recipients: Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (LEP Guidance) in the Federal Register at 72 FR 2732.
For assistance regarding LEP obligations, go to:
www.justice.gov/crt/lep/guidance/HUD_guidance_Jan07.pdf
For more information on LEP, please visit:
www.hud.gov/offices/fheo/promotingfh/lep.cfm

xv. Affirmatively Further Fair Housing. CDBG recipients and subrecipients must affirmatively further fair housing in their use of such funds. Grantees may offer services to affirmatively further fair housing through the Program. Such costs, examples of which follow, are not eligible code enforcement expenses but could be eligible as public services or program administrative costs. Both of these categories have caps on the overall activity expenses.
    1. Providing mobility counseling or referrals to housing counseling agencies for persons temporarily or permanently displaced by code enforcement actions;
    2. Training staff on fair housing requirements;
    3. Providing outreach to marginalized populations
    4. Establishing partnerships with Fair Housing Organizations, state and local fair housing agencies, and community-based organizations representing protected classes under the Fair Housing Act.

Emergency Owner-Occupied Housing Rehabilitation Program

1. **INTRODUCTION.** This appendix establishes the policies and procedures for the City of Rock Island's Emergency Owner-Occupied Housing Rehabilitation Program (hereafter "the Program" or "the Emergency Rehab Program"). It is funded using a Community Development Block Grant annual entitlement award from the US Department of Housing and Urban Development.
2. **CDBG PROGRAM.** The Community Development Block Grant (CDBG) program was established by Title I of the Housing and Community Development Act of 1974, Public Law 93-383, as amended, 42 United States Code 5301. Under the CDBG program, the U.S. Department of Housing and Urban Development (HUD) awards grants to State and local governments to aid in the development of viable urban communities. To be eligible for funding, program-funded projects must satisfy one of three HUD national program national objectives required in 24 CFR (Code of Federal Regulations) 570.208:
    a. To benefit low- and moderate-income persons which are identified as persons with incomes below 80% of the area median household income; these income limits are determined and established by HUD utilizing Median Family Income estimates for each metropolitan area. Income limits are updated annually;
    b. Prevention and elimination of slums and blight; and
    c. Meeting urgent needs.
3. **NATIONAL OBJECTIVE.** The Program will be administered using the Low-Mod Housing benefit. CDBG funds shall be utilized for housing activities to assist income qualified homeowners with repair and rehabilitation of owner-occupied units. Eligible activities include: general rehabilitation of existing housing structures, including substantial rehabilitation to bring homes up to local codes and standards. The Program will address deferred maintenance. Repair and rehabilitation of the home's principal fixtures and components, including special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners will also be addressed. All program activities shall meet the National Objectives and the Public Benefit to existing income qualified homeowners. CDBG eligible costs for homeowner rehabilitation include:
    a. Labor and materials,
    b. Repair directed toward an accumulation of deferred maintenance,
    c. Repair or replacement of principal fixtures and components of existing structures,
    d. Installation of safety devices,
    e. Renovations through alterations, additions to, or enhancement of existing structures and improvements, and
    f. Special purpose rehabilitation items that affect quality of life and threaten the health and safety of the homeowners
4. **ELIGIBLE HOUSING TYPES.** Single-family structures must be owner-occupied by the primary applicant. Structures containing two units must have one unit occupied by the owner/primary applicant. Rehabilitation services will only take place within the owner-occupied portion of the structure, except for roof replacements. Properties with more than two dwelling units shall not be eligible to receive assistance.
5. **AUTHORITY TO UNDERTAKE HOUSING REHABILITATION.** The Housing and Community Development Act of 1974 (HCDA) and the CDBG regulations permit the use of CDBG funds for repair and rehabilitation activities. Section 105(d) of the HCDA permits the use of CDBG funds

for “clearance, demolition, removal, reconstruction, and rehabilitation (including rehabilitation which promotes energy efficiency) of buildings and improvements (including interim assistance, and financing public or private acquisition for reconstruction or rehabilitation, and reconstruction or rehabilitation, of privately-owned properties, and including the renovation of closed school buildings).” This provision is codified in the CDBG Entitlement program regulations at 24 CFR 570.202(a)(1) and 24 CFR 570.202(b)(2), which read as follows:

> (a)(1) Privately owned buildings and improvements for residential purposes; improvements to a single-family residential property which is also used as a place of business, which are required in order to operate the business, need not be considered to be rehabilitation of a commercial or industrial building, if the improvements also provide general benefit to the residential occupants of the building; and
> (b)(2) Labor, materials, and other costs of rehabilitation of properties, including repair directed toward an accumulation of deferred maintenance, replacement of principal fixtures and components of existing structures, installation of security devices, including smoke detectors and dead bolt locks, and renovation through alterations, additions to, or enhancement of existing structures and improvements, abatement of asbestos hazards (and other contaminants) in buildings and improvements that may be undertaken individually or in combination with other programs or resources.

6. **FORGIVABLE LOAN.** Financial assistance shall be provided to income eligible homeowners as a forgivable loan secured through a recapture agreement. Recapture agreements in the amount equal to the cost of rehabilitation will be required for rehabilitation projects. Said agreements shall be recorded at the Rock Island County Recorder’s Office for a period of five (5) years to serve as a lien against the property for the duration of the recapture agreement requirements. The terms of the recapture agreement require that the home owner remain in the home for a period of five years. Once the five (5) year period has been fulfilled, either the homeowner or the City may have the lien released from the property.
7. **RECAPTURE EVENTS.** The income qualified homeowner will be responsible for repayment of the grant amount if one or more of the following events occur during the recapture period:
    a. The homeowner sells, conveys, or transfers title of the property for consideration to another person;
    b. The homeowner rents or leases the property;
    c. The homeowner forfeits ownership rights to the property due to foreclosure, bankruptcy, or any other similar means.
8. **NON-RECAPTURE EVENTS.** The following events are not recapture events:
    a. Transfer of title to homeowners' surviving spouse upon death of a joint tenant-owner;
    b. Transfer of title to a spouse as a result of a divorce;
    c. A transfer of title by will upon death;
    d. Refinancing of the property to reduce interest rates; or
    e. In the event that extenuating circumstances occur that are not listed above, the City will evaluate the situation and will, at its discretion, determine if the circumstances are appropriate by the City.
9. **MAXIMUM ASSISTANCE AVAILABLE.** No project undertaken through the Program shall have a hard cost that exceeds $24,999 with the exception of projects involving lead-poisoned children as described below for which there shall be no funding limit. The homeowner may, but is not

required to, contribute their own matching funds if a broader scope of work is desired. There is no limit on the number of times a homeowner may receive assistance through the Program.

10. **APPLICATION REQUIREMENTS.** To be considered eligible to participate in the Program, the residence must be located within the municipal boundary of the City and be owner occupied confirmed as such through title search, tax records, and insurance policy information. The property must also be a legal conforming use according to the City's adopted zoning code, all property taxes must be current, all utility billing with the City must be current, and the applicant must meet all of the following requirements:
    a. Household Income. To be considered eligible for assistance, the applicant must meet the HUD income of limit of 80% or lower of the area median income (AMI). The City shall calculate and verify income qualifications using the Adjusted Gross Income as provided on the IRS 1040. Adjusted Gross Income is defined as individuals age 18 and older reporting to the Internal Revenue Service on Form 1040. Other income includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit). In order to determine applicant eligibility, the applicant shall submit the most current 1040 federal tax return and two months of income verification (pay stubs, social security statements etc.).
    b. Household Definition. A Household shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the residence will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member's income tax form.
11. **APPLICATION PROCESS.** Applicants shall complete an application as provided by the City and include any and all required documentation. In the event that the applicant requires assistance completing the application, the applicant may schedule an appointment with the Housing Officer. The application will be reviewed for completeness and the applicant will be income verified at the time of application in order to determine Program eligibility. Adjusted Gross Income as outlined in IRS form 1040 will be used to determine total household income. Applicant must fall below 80% of the established HUD AMI guidelines for the current year. Upon review of completed application and submission supporting documentation, the Housing & Loan Program Officer will verify that the applicant does not have weed/grass and nuisance code violations, residency and homeownership ownership requirements, homeowner insurance and income verification will also be completed. Property ownership will be confirmed through the title search using Laredo (Rock Island County Recorder's Office electronic records database). If a copy of the title is not available in Laredo, the applicant will be required to furnish a copy. The Housing & Loan Program Officer will ensure that all persons listed on the property's title are listed as an applicant. At this time Laredo will also be used to identify any liens or judgments on the property. An assessment search will be performed via www.rockislandcounty.org to verify that all Property Taxes have been paid to date. Any delinquent taxes will need to be paid in order to move forward with the application.

12. **WAITING LIST.** Following verification of income eligibility, applicants shall not be placed on a waiting list and will instead be assisted as quickly as possible.
13. **INITIAL INSPECTION.** Once an applicant's eligibility has been determined, an initial inspection of the property shall be undertaken by the Construction Officer using a standardized form. The Program is focused on addressing a narrow category of emergency situations that require immediate action. These are limited to the following.
    a. Furnace failure occurring between November and April confirmed in writing by a third-party licensed contractor retained by the homeowner.
    b. Hot water heater failure confirmed in writing by a third-party licensed contractor retained by the homeowner.
    c. Water service failure confirmed in writing by the Public Works Department.
    d. Sewer lateral failure confirmed in writing by the Public Works Department.
    e. Roof failure so severe that mechanical and electrical systems are substantially threatened by water infiltration as confirmed by the Construction Officer in consultation with the Building Official.
    f. Barriers to accessibility so severe that a disabled homeowner is unable to reasonably enter or exist the house or utilize its primary facilities as confirmed by the Construction Officer in consultation with the Building Official.
    g. Lead poisoning in children under the age of six (6) confirmed through a blood test ordered by a qualified medical professional.
14. **SCOPE OF WORK.** Following the initial inspection, a scope of work shall be prepared by the Construction Officer. Activities in the scope shall include only what is necessary to address the emergency situations described above. In the case of a lead-poisoned child, the scope of work shall be those actions required to undertake full abatement of lead-based paint hazards.
15. **ENVIRONMENTAL REVIEW.** When a scope of work is completed, the environmental review process shall begin. The environmental review record documents shall be prepared by the Geographic Information Systems (GIS) Specialist under the direction of the Planning & Zoning Manager. The Section 106 review process as required by the National Historic Preservation Act of 1966, as part of the environmental review documents, shall be overseen directly by the Planning & Zoning Manager. If the State Historic Preservation Office (SHPO) determines in the course of the Section 106 review process that the proposed undertaking
16. **CONTRACTOR SELECTION.**
    a. **DEFINITIONS**
        i. Contractor - A term used to apply to both general contractors and subcontractors.
        ii. Contractor Guidelines - A shortened term used to refer to "Contractor Selection/Qualification Guidelines for Targeted Neighborhood Housing Stimulation Programs by the Planning and Redevelopment Division, Community and Economic Development Department, City of Rock Island, Illinois."
        iii. General Contractor - A contractor, who contracts to undertake an entire rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, using subcontractors and supervising them as needed while taking full responsibility for the job.

iv. Subcontractor - A contractor who contracts to undertake one or more phases of a rehabilitation job under the City's Targeted Neighborhood Housing Stimulation Programs, with the City or some other contractor acting as general contractor.
v. City - The City of Rock Island, Illinois; specifically, with reference to the Targeted Neighborhood Housing Stimulation Programs, is represented by the staff of the Planning and Redevelopment Division of the Community and Economic Development Department. References to the City in these guidelines refer to that staff, its policies and programs as approved by the City Council.
vi. Housing & Loan Program Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who processes applications for rehabilitation assistance, including the financial portion.
vii. Construction Officer - A member of the staff of the Planning and Redevelopment Division, Community and Economic Development Department of the City, who prepares work write-ups manages bids and contract documents, and supervises construction.
viii. Community and Economic Development Director – the supervisor of the Community and Economic Development Department.

b. **CONTRACTOR QUALIFICATIONS**

i. Prospective contractors must complete a Contractor Information Form. B. References and credit will be checked.
ii. Contractors must have satisfactory client references.
iii. Judgments against a contractor must be released prior to program participation.
iv. Contractors must be registered with Duns & Bradstreet (www.dnb.com) and System of Award Management (www.sam.gov). There is no registration fee, for both of these federal requirement registrations.
v. Registration with the City of Rock Island as well Liability insurance coverage is required and a certificate of insurance must be provided. $100,000 each occurrence/$300,000 aggregate bodily injury, including death. $100,000 property damage.
vi. Lead Certifications and Licenses when applicable to the Scope of Work.
vii. No contractor will be added to the City's list of qualified contractors until requirements of II-A, B, and C are met.
viii. Prospective contractors must be bonded and licensed as required by the City Inspection Division and Community and Economic Development Department.
ix. Contractors must complete work in a manner which meets City Rehabilitation Specifications and all adopted City codes.
x. Quality work is required.
xi. Timely completion of work is required.
xii. Contractors are required to furnish a current address and phone number where they may be contacted.
xiii. Change of address and/or phone number must be reported immediately.
xiv. At any time that contractor's address and phone number are incorrect and the Planning and Redevelopment Division cannot contact the contractor, that

contractor will be dropped from the list(s) of contractors until the required information is furnished to the Planning and Redevelopment Division.

xv. It is the contractor's responsibility to notify the Construction Officer and the homeowner of any delays or absences from the job once a Proceed Order has been issued.

xvi. Working hours shall be from 8:00 a.m. to 5:00 p.m., Monday through Friday unless other arrangements are approved by the homeowner and the Construction Officer.

c. **CONTRACTOR DISQUALIFICATION.** Failure by a contractor to meet the above qualifying criteria shall result in disqualification from further participation in the program. Prior to execution of the contract, the homeowner may request rejection of a contractor for good and substantiated reasons. The City will approve or deny this request. Additional reasons which may be grounds for contractor disqualification:

   i. Repeated and substantiated homeowner complaints.
   ii. Failure to honor the warranty as specified in the contract with the homeowner, or failure of the contractor to supply homeowner with warranties on products with warranties exceeding one year.
   iii. Failure of a contractor to resolve warranty issues will result in withholding work write-ups and bidding until issues are resolved or disqualification has taken place.
   iv. Repeated credit problems.
   v. Unprofessional or un-businesslike contract negotiation or implementation.
   vi. Discovery of fraud, life-threatening irresponsibility, or other immediate incapacity.
   vii. Repeated failure to bid with or without notification to the City.

d. **NOTICE OF DISQUALIFICATION.** Contractors considered for disqualification shall be notified of such pending disqualification in writing. The notice shall include at a minimum:

   i. Reason for pending disqualification.
   ii. Maximum time frame for abating disqualifying item(s).
   iii. Mailing of Pending Disqualification Notice –The "Pending Disqualification Notice" shall be mailed or hand-delivered to the latest known address or whereabouts of the contractor. No further notice shall be required.

   The City retains the right to disqualify any contractor immediately when fraud, life-threatening irresponsibility or other immediate incapacity is evident. The City also retains the right to disqualify immediately a contractor who cannot be or one who has repeatedly failed to bid. No "Pending Disqualification Notice" is required. Reinstatement of any contractor after disqualification will be at the sole discretion of the city.

e. **SUBCONTRACTORS.** General contractors must furnish for the City a list of the subcontractors they propose to use on that job when they submit the bid. The City reserves the tight to deny the use of any subcontractor who has been previously disqualified. If the contract awarded to the general contractor requires a change in subcontractor(s), the general contractor must notify the City before the new subcontractor begins work. Proposed subcontractors may be rejected by the City for good and substantiated reasons. General contractors are required to show that subcontractors meet appropriate licensing and bonding requirements and that they meet liability insurance coverage requirements as stated in II-C.

f. **BIDDING.** All projects shall be put out for competitive bidding for no less than one (1) week and no more than four (4) weeks.
    i. Bids shall be posted on the City website and provided to any contractor in any format upon their request. Contractors shall submit bids for consideration in sealed envelopes labeled as instructed in the invitation to bind instructions. Late bids will not be accepted.
    ii. All bids will be opened in public at a set time and place. At least two City staff members shall be present at any bid opening. Contractors retain the right to attend all bid openings.
    iii. After the bid opening, the successful bidder will be allowed to bid on another rehabilitation job, but may only hold a maximum of three projects at one time unless approved by the city.
    iv. The contractor may decline to bid one job (pass). This pass will be treated as if the contractor had submitted an unsuccessful bid.
    v. Contractors who repeatedly decline to bid or fail to notify the City of their intent to pass may be dropped from the contractor list at the discretion of the City.
    vi. When a contractor is already under contract for or has been awarded the bid for a total of three (3) rehabilitation jobs through City programs, the contractor will not be invited to bid on a new job until no more than one contract remains open unless approved by the City.
    vii. If a contractor has been dropped from the City's list(s) of qualified bidders, the City reserves the right to refuse to accept a bid from that contractor even if that contractor would otherwise qualify under V-D.
    viii. The policy of the City, in general, shall be to award the contract(s) to the lowest qualified, responsive, responsible bidder(s):
        1. Bidders may be disqualified at the discretion of the City, as having bid too low, if a bid is more than 10% lower than the in-house estimate.
        2. Bidders may also be disqualified for having a bid too high in excess of 20% of the in-house estimate.
        3. Bids submitted with miscalculations will be disqualified.
        4. Any or all bids may be rejected at the discretion of the City for good cause.
    ix. Each bidder is required to bid each line item in the work write-up individually (if a general contractor) or each line item of the relevant trade (if a subcontractor).
    x. The City retains the right to delete any line item(s) after bidding is complete.
    xi. The City retains the right to negotiate modifications to the contract with the successful bidder in each case.

17. **PRE-CONSTRUCTION.** For every project undertaken through the Program, there shall be a pre-construction meeting with the homeowner, contractor, and staff. At this meeting there shall be a review of the contract documents, the scope of work, and the project timeline. Following this, contract documents shall be signed by the respective parties. The Project may thereafter begin.

18. **CONTRACTOR PAYMENT.** When work is complete, a final inspection of the property shall be undertaken using a standardized form. If the work is determined to be satisfactory and complete, the contractor may submit a request for payment using a standardized form provided by the City. The request for payments must include a final lien waiver, sworn statement, signed one (1) year warranty, and invoice. The Housing Officer, Construction Officer, and the homeowner must sign off to approve the request for payment before it can be process. If thirty (30) days elapse from the date the request has been received and the homeowner is unable or unwilling to sign despite reasonable attempts to address their concerns, the City shall retain the right to process the request and issue payment to the contractor.
    a. If the Construction Officer judges a change order to be excessive, the City reserves the right to obtain other bids.
    b. Payments to contractors shall be made in accordance with the provisions in the Rehabilitation Contract.
    c. Lump sum payments will be made after the inspection division's final inspection, approval by the Construction Officer(s) of the completed work, and approval by the Planning and Redevelopment Administrator and the Community and Economic Development Director is completed.
    d. In situations deemed appropriate by the City, progress payments may be approved, however, no more than 75% of the total contract (less the usual 10% retainer) may be paid in progress payments. The final payment will be made only after final inspection and approval and after all punch list items have been completed, inspected, and approved.
    e. There shall be no more than a total of two-progress payments and one final payment on a contract totaling $15,000 or less (total of three payments).
    f. The total number of payments on a contract in excess of $15,000 may be increased by one payment for each $5,000 or portion thereof. (Examples: Maximum of four payments on a contract for $18,000; maximum of five payments on a contract for $24,900.)
    g. Each general contractor shall be required to furnish to the City the Federal Employer Identification Number for the general contractor's firm and for all subcontractor's firms.
19. **GENERAL PROGRAM GUIDELINES.**
    a. EXPENSES. Eligibility of expenses for the CDBG program are itemized in the most recent version of "Guide to National Objectives and Eligible Activities for CDBG Entitlement Communities" as published by HUD. A summary of the eligible costs are as follows:
        i. Rehabilitation (hard costs) — Rehabilitation hard costs are actual costs to accomplish rehabilitation. Costs of labor, materials, supplies and other expenses required for completion of the rehabilitation of property, including repair or replacement of principal fixtures and components of existing structures (e.g., items eligible for repair within the program, individually or combined). This will be the total amount of the Contractor's invoice and the amount noted on the Recapture Agreement.
        ii. Service Delivery (soft costs) — Staff costs and related expenses required for outreach efforts for marketing the program, rehabilitation counseling, screening potential applicant households and structures, energy auditing, preparing work

specifications, loan underwriting and processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities who are participating or seeking to participate in rehabilitation. The following are examples of soft costs:

1. Financing fees, credit reports, title binders and insurance, recording fees, transaction taxes, impact fees, legal and accounting, appraisals, architectural and engineering fees.
2. Administrative costs.
3. Environmental review costs.
4. Lead Safe Housing Rule Compliance expenses. This includes any costs that are associated with complying with the Lead Rule that are not normally incurred as part of rehabilitation if the Rule did not apply. This can include:
    a. Evaluation costs (risk assessments, visual assessments or inspections)
    b. Laboratory and analysis fees
    c. Lead sample testing supplies
    d. Occupant protection, including relocation, storage or protection of belongings
    e. Waste handling attributable to lead-based paint hazard reduction.
    f. Specialized cleaning designed to remove LBP dust. The contractor can provide an estimate of the incremental costs associated with LBP hazard reduction.
    g. Clearance activities, including visual assessments, dust wipes, and reports.

b. LMH HOUSING DOCUMENTATION REQUIRED. In addition to the main documentation required for each project/activity, the following records must be maintained for projects/activities that are using LMH as a national objective:
    i. A written agreement with each landlord or developer receiving CDBG assistance. The agreement must specify:
        1. Total number of dwelling units in each multi-unit structure, and
        2. The number of those units which will be occupied by LMI households after assistance.
    ii. Total cost of the activity, including both CDBG and non-CDBG funds
    iii. The household size, ethnicity and income eligibility for each of the LMI households occupying assisted units
    iv. Accomplishments for LMH activities include the number of owner-occupied units rehabilitated, including the number of these units occupied by the elderly.

c. HOUSEHOLD INCOME DOCUMENTATION REQUIRED. The following definitions shall be used in the determination of a household’s total AGI:
    i. Adjusted Gross Income shall be defined as adjusted gross income reported to the Internal Revenue Service on Internal Revenue Service (IRS) Form 1040 as follows:

1. Wages, salaries, tips, etc.
2. Taxable interest.
3. Dividends.
4. Taxable refunds, credits or offsets of State and local income taxes. There are some exceptions - refer to Form 1040 instructions.
5. Alimony (or separate maintenance payments) received.
6. Business income (or loss).
7. Capital gain (or loss).
8. Other gains (or losses) (i.e., assets used in a trade or business that were exchanged or sold).
9. Taxable amount of individual retirement account (IRA) distributions. (Includes simplified employee pension [SEP] and savings incentive match plan for employees [SIMPLE] IRA.)
10. Taxable amount of pension and annuity payments.
11. Rental real estate, royalties, partnerships, S corporations, trusts, etc.
12. Farm income (or loss).
13. Unemployment compensation payments.
14. Taxable amount of Social Security benefits.
15. Other income. (Includes: prizes and awards; gambling, lottery or raffle winnings; jury duty fees; Alaska Permanent fund dividends; reimbursements for amounts deducted in previous years; income from the rental of property if not in the business of renting such property; and income from an activity not engaged in for profit).

ii. Total Adjusted Gross Income for the household shall be defined as the sum of the total adjusted gross income of each household member aged 18 or over. A household member shall be defined as any person occupying the housing unit as a permanent resident as of the date of application, regardless of that person's relationship to the homeowner or other members of the household. Persons living outside the home will not be considered to be household members unless they are a college student living in a dormitory but are claimed as dependents on a resident household member’s income tax form.

d. RECORD RETENTION PERIOD. Under the uniform administrative requirements of the CDBG regulations, grantees and sub recipients are required to retain CDBG records for a period of not less than four years. For sub recipients, the record retention period begins from the date of the submission of the CAPER in which the specific activity was reported on for the final time rather from the date of submission of the final expenditure report for the award.

e. ACCESS TO RECORDS. HUD and the Comptroller General of the United States, or their authorized representatives, have the right to access grantee and sub recipient program records. This right is not limited to the retention period. Requirements regarding public access to records include:

i. CDBG grantees are required to provide citizens with reasonable access to records regarding the past use of CDBG funds, consistent with applicable state and local laws regarding privacy and confidentiality; and

ii. The Consolidated Plan regulations require that grantees provide citizens, public agencies and other interested parties with reasonable and timely access to information and records relating to the jurisdiction's Consolidated Plan and the use of assistance under the programs covered by the Consolidated Plan.

f. CDBG ALLOCATION PROCESS. Refer to the City's main CDBG Program Manual to find details on how the City's overall application and allocation process works. The TNHSP submits two applications for Entitlement funds, one for staffing and support costs and another application for programmatic costs (hard costs and soft costs). City Council normally finalizes the allocation recommendations for the upcoming program year (April 1st-March 31st) in September of each year yet final Entitlement allocation amounts from HUD are announced in the Spring/Summer each year (after the program year has already started).

g. CONSOLIDATED PLAN. The Community Development Manager is responsible for making sure that the Consolidated Plan is completed accurately and timely. The Consolidated Plan is prepared by the City of Rock Island every five years and describes needs, resources, priorities and proposed activities to be undertaken with respect to CDBG funds. An approved Consolidated Plan is one which has been approved by HUD. TNHSP staff is responsible for making sure that their proposed activities for the next five years are included in this analysis in order to continue being funded.

h. ANNUAL ACTION PLAN. The Community Development Manager is responsible for making sure that the Annual Action Plan is completed accurately and timely. This document serves as an annual application to HUD for funding and must reflect the proposed activities that are in the Consolidated Plan. If a new activity is being proposed for funding, the Consolidated Plan must be amended. Further information on what triggers a Consolidated Plan amendment can be found in the City's main CDBG Policies and Procedures manual. Information on TNHSP staff and support costs are detailed in the Annual Action Plan as well as the expected accomplishments for each activity. A majority of the information used for the Annual Action Plan will be used from the applications submitted for funding for that program year. It is the TNHSP staff responsibility to make sure that the proposed accomplishments are adjusted with the final allocation amounts. Also, it is the TNHSP staff responsibility to track accomplishments on a 5-year basis to ensure that the Consolidated Plan goals are being met. If they are not, then a possible amendment to the Consolidated Plan may need to be made.

i. DRAWDOWN REQUESTS. Drawdown requests should be made on a routine basis from TNHSP staff to Planning and Redevelopment Administrator. The information that should be included in these drawdown requests include:

   i. Funds budgeted for project;
   ii. Funds received in drawdowns to date;
   iii. Funds obligated in most recent period and to date;
   iv. Funds expended in most recent period and to date;
   v. Cash on hand (including program income identified as such); and
   vi. Previous drawdowns requested but not yet received.

j. QUARTERLY PROGRESS REPORTS. Quarterly accomplishment reports are due to the Planning and Redevelopment Administrator from sub recipients by the following dates: April 15, July 15, October 15, and January 15. These progress reports should contain the following information: track actual project accomplishments, obligations, and spending patterns against planned operations and accomplishments.

20. **OTHER REGULATIONS.**

a. RECORDS TO BE MAINTAINED (570.506). HUD regulation § 570.506 defines the process and types of records that must be maintained by the city. Records and documentation are maintained in order to demonstrate activity eligibility, national objective compliance, allowability of costs, and cost reasonableness. Appropriate staff will maintain all records required by the Federal regulations specified in 24 CFR 570.506, that are pertinent to the activities to be funded. Such records shall include but are not limited to:

i. Records providing a full description of each activity undertaken;
ii. Records demonstrating that each activity undertaken meets one of the National Objectives of the CDBG program;
iii. Records required to determine the eligibility of activities;
iv. Records required to document the acquisition, improvement, use or disposition of real property acquired or improved with CDBG assistance;
v. Records documenting compliance with the fair housing and equal opportunity components of the CDBG program;
vi. Financial records as required by 24 CFR 570.502, and 24 CFR 84.21−28; and
vii. Other records necessary to document compliance with Subpart K of 24 CFR Part 570.

The CED department shall retain all financial records, supporting documents, statistical records, and all other records pertinent to the HUD CDBG program. The retention period begins on the date of the activities are recorded in IDIS. In the event of litigation, claims, audits, negotiations, or other actions that involve the above cited records that have been initiated before the expiration of the five-year period, then such records shall be retained through the resolution of all issues.

b. CONFIDENTIALITY. The City of Rock Island and its employees shall maintain the confidentiality of all applicant information. Confidentiality shall apply to the applicant's identity and location of the subject property, as well as personal information supplied by the applicant and received by the City of Rock Island to determine the applicant's eligibility.

c. FINANCIAL MANAGEMENT SYSTEMS (85.20). CDBG funded Neighborhood Housing programs execute outside contracts. The City of Rock Island CDBG program records an encumbrance/obligation when contracts are executed, purchase orders issued, etc. The city's CDBG program maintains grant compliance by maintaining supporting documentation for expenditures with invoices, contracts, or purchase orders, etc. The City of Rock Island has segregated the duties and controls to effectively reduce the opportunity for the perpetration or concealment of errors or irregularities in the normal course of duties. The City of Rock Island has internal control procedures that support its ability to prepare financial statements that are fairly presented in conformity with generally accepted and appropriate accounting principles and regulatory requirements. The city undergoes an annual single audit, which ensures the accuracy and integrity of data provided through a qualified opinion on the audited annual financial statements and internal controls. Staff reviews financial information (e.g., drawdowns, unexpended balances) recorded in HUD's financial management systems (e.g., LOCCS, IDIS) to ensure that it matches the official accounting records of the Program for the period covered by the last CPD-required performance report? [24 CFR 570.507; 24 CFR 91.520] The Community Economic Development, Budget and Grant Manager works directly with the Finance Department to ensure that the information on obligations, expenditures, and program income submitted to HUD reconcile with the program participant's accounting records? [24 CFR 570.504; 24 CFR 570.507; 24 CFR 91.520]

d. TIMESHEETS. The City of Rock Island internal payroll control procedures support its ability to meet HUD regulatory requirements. All CDBG funded staff position timesheets are reviewed and approved by the supervisor prior to submission for payroll processing. Community and Economic Development Department employees working on the CDBG programs shall have their salaries and wages supported by periodic monitoring to ensure that CDBG funds have been appropriately allocated and expended for the period covered by the monitoring. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]. Monitoring will be conducted annually prior to the completion of the Consolidated Annual Performance Evaluation Report. [24 CFR 570.502; 2 CFR Part 225, Appendix B (2013 edition)]
e. PROGRAM INCOME (24 CFR 570.503 AND 570.504). All proceeds collected under the recapture requirements of the Neighborhood Housing Programs shall be considered Program Income. Program Income shall be receipted into IDIS as Program Income and shall be expended on other projects before entitlement funds are obligated to projects.
f. PROCUREMENT, EQUIPMENT, AND REAL PROPERTY. The Rock Island's CDBG programs shall follow the City of Rock Island procurement standards or the HUD procurement standards at § 85.36 (whichever is more stringent) when equipment and services are procured. All expenses for supplies and equipment and their use (e.g., uniforms/coveralls, handheld computers, gasoline, vehicle lease payments or use allowances) are eligible expenses for the delivery of Neighborhood Housing Program services.
g. LABOR STANDARDS (24 CFR 570.603). The City of Rock Island's CDBG program shall adhere to all applicable regulations regarding labor standards. All laborers and mechanics employed by contractors or subcontractors on construction work in excess of $2,000 and financed in whole or in part with CDBG funds must be paid "prevailing wages" that have been determined in accordance with the Davis-Bacon Act as amended (40 U.S.C. 276a–276a-5). The Contract Work Hours and Safety Standards Act (40 U.S.C. 327–333) also applies to such activities. These labor standards shall apply only to the rehabilitation of residential property if the property contains more than four (4) units.
h. ENVIRONMENT REQUIREMENTS (24 CFR 570.604). At the start of every Program Year an environmental review of CDBG Service Delivery programs is completed and up loaded in to the HUD HEROS system. Grantees are required to assume responsibility for environmental review, decision making, and other actions that would otherwise apply to HUD under the National Environmental Policy Act of 1969 and other related provisions of law. Under no circumstance shall CDBG assistance be obligated to the project. This includes but is not limited to, incurring project costs, entering into an agreement, or letting bids until the appropriate environmental review and public notification process has been completed, and it has been determined that no other environmental measures are to be undertaken. Activities not subject to this restriction are those the regulations define as exempt from environmental review. However,

before any party involved with the project can incur costs, even for activities that are exempt, the grantee must first make a formal determination that the activity is exempt. (The list of activities that are exempt from environmental review are found in 24 CFR part 58.34 and 58.35(b).)

i. HISTORIC PRESERVATION (36 CFR PART 800). It is the responsibility of the city to evaluate properties receiving federal assistance and consult with the State Historic Preservation Office as to whether the property:
   i. is or could be determined eligible for listing on the National Register of Historic Places;
   ii. is located in a historic district or an area which could be determined eligible as a historic district;
   iii. involves proposed changes that could have an adverse effect to a historic property that has been landmarked.

   In the event that the undertaking has been determined to be an adverse effect to a historic property, the city will identify all consulting parties to discuss the project impact and possible mitigation measures. Once the consulting parties agree on the mitigation, a Memorandum of Agreement must be completed with stipulations that provide clear and concise mitigation measures with all parties identified (36 CFR Part 800).

j. NATIONAL FLOOD INSURANCE PROGRAM (24 CFR 570.605). The City of Rock Island participates in the National Flood Insurance Program and is protected by a United States Army Corps of Engineers flood wall, which has been certified by the National Emergency Management Agency. Areas within the flood wall are prone to ponding, projects undertaken in the ponding areas are required to have flood insurance and provide proof of flood insurance prior to and during the completion of the project.
   i. Note: There is a statutory prohibition against providing Federal assistance to a person who had previously received Federal flood disaster assistance conditioned on obtaining and maintaining flood insurance and the person failed to obtain and maintain such insurance (24 CFR 58.6(b)).

k. FLOODPLAIN MANAGEMENT (24 CFR PART 55). Potential projects receiving federal assistance that propose new construction or substantial improvements of existing buildings located within the floodplain or special flood hazard areas shall be subject to 24 CFR Part 55.20, the “Eight Step Decision Making Process.” Until such time as the Decision-Making Process is complete and mitigation measures in place, no federal funding or commitments can be obligated or agreements entered into.
   i. Note: Executive Order 11988, Floodplain Management, directs agencies “to avoid direct or indirect support of floodplain development wherever there is a practicable alternative” (24 CFR Part 55).

l. RELOCATION AND ONE-FOR-ONE HOUSING REPLACEMENT (24 CFR 570.606). The City of Rock Island’s CDBG Neighborhood Housing Programs comply with the following:
   i. the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), 24 CFR 570.606(b), and 49 CFR Part 24;
   ii. the requirements of 24 CFR 570.606(c) and 24 CFR Part 42 governing the Residential Anti-displacement and Relocation Assistance Plan (Plan) under Section 104(d) of the HCD Act.

   Under the URA and the Plan, the city provides relocation assistance to persons (families, individuals, businesses, non-profit organizations, and farms) that are displaced as a direct result of acquisition, rehabilitation, demolition, or conversion for a CDBG-assisted project. All property occupants must be issued certain notices on a timely basis. The

Plan also requires the one-for-one replacement of any occupied or vacant occupiable low/moderate-income housing that is demolished or converted to another use in connection with a CDBG-assisted project. Finally, the Plan requires the identification of the steps that will be taken to minimize displacement.

m. LEAD-BASED PAINT (24 CFR 570.608). CDBG-funded activities, such as the acquisition, construction, or rehabilitation of residential structures, may not use lead-based paint. Certain requirements apply to the use of CDBG funds for the rehabilitation of a residential property that was constructed before 1978. At a minimum, grantees are required to:
   i. notify a purchaser or lessee of the presence of any known lead-based paint and/or lead-based paint hazards;
   ii. paint test surfaces to be disturbed or removed during rehabilitation for the presence of lead-based paint, or presume lead-based paint and notify the occupants of the results within 15 days of when the evaluation report is received or the presumption is made;
   iii. provide each occupied dwelling unit outlined in the preceding section with the EPA-approved lead hazard information pamphlet "Protect Your Family From Lead in Your Home" or an EPA-approved equivalent;
   iv. reduce lead hazards as required by the applicable subparts of Part 35;
   v. perform clearance testing, including dust testing, before re-occupancy after all but minimal ("de minimis") amounts of paint disturbances. (See in the following section for details). The CDBG regulation at 24 CFR 570.608 states that the following subparts of Part 35 apply to the use of CDBG funds in pre-1978 housing:
      1. Disclosure of Known Lead-Based Paint and/or Lead-Based Paint Hazards Upon Sale or Lease of Residential Property,
      2. General Lead-Based Paint Requirements and Definitions for All Programs,
      3. Rehabilitation,
      4. Acquisition, Leasing, Support Services, or Operation, and
      5. Methods and Standards for Lead-Based Paint Hazard Evaluation and Hazard Reduction Activities. Part 35, Subpart A, is called the Lead Disclosure Rule; and Part 35, Subparts B through R, are called the Lead Safe Housing Rule.
   vi. Certain properties are exempt from the requirements of the Lead Safe Housing Rule. They include:
      1. Housing built on or after January 1, 1978;
      2. Zero-bedroom dwellings, including efficiency apartments, single-room occupancy housing, dormitories, or military barracks;
      3. Housing exclusively for the elderly or people with disabilities, unless a child age 6 or under resides or is expected to reside there;
      4. Units that have been found to be free of lead-based paint by a certified lead-based paint inspector;
      5. Units where all lead-based paint has been removed;
      6. Unoccupied housing that will remain vacant until it is demolished;
      7. Non-residential portions of mixed-use buildings, except that spaces serving both residential and non-residential uses are covered by the rule;

8. Units that are to be rehabilitated without disturbing a painted surface; and
9. Units that are subject to emergency repair action needed to safeguard against imminent danger to human life, health or safety, or to protect the property from further structural damage.

vii. For properties that are covered by the Lead Safe Housing Rule, the lead-based paint requirements for rehabilitation is contingent upon the amount of Federal rehabilitation assistance provided. The amount of Federal rehabilitation assistance is the average per unit amount of Federal assistance provided for the hard costs of rehabilitation, excluding lead-based paint hazard evaluation and hazard reduction activities. In calculating this assistance amount, the total amount of Federal assistance to be used (including CDBG and other funds) and the hard costs of rehabilitation (including Federal and non-Federal funds) must be considered. Whenever these two amounts are not the same, the smaller of the two determines the type and level of lead-based paint requirement. For a structure with more than one dwelling unit, the thresholds are applied against the average amount of Federal assistance per unit or the average hard cost of rehabilitation per unit, whichever is lower. The following guide outlines the funding amounts and the level of lead safe work practices (Subpart J: Rehabilitation):

1. Up to $4,999 per unit hard costs
    a. Paint testing and repair
    b. Use Lead Safe Work Practices (LSWP)
    c. Clearance
2. $5,000 up to $24,999 per unit hard costs
    a. Risk Assessment
    b. Interim Controls
    c. Clearance
3. Over $25,000 per unit hard costs
    a. Risk Assessment
    b. Abatement of all identified LBP Hazards (Not all LBP)
    c. Clearance

viii. For federally assisted projects that only requires repair, the de minimis levels for lead safe work practices will apply (24 CFR Part 35.1350 (d)). Safe work practices are not required when maintenance or hazard reduction activities do not disturb painted surfaces that total more than:

1. 20 square feet (2 square meters) on exterior surfaces;
2. 2 square feet (0.2 square meters) in any one interior room or space; or
3. 10 percent of the total surface area on an interior or exterior type of component with a small surface area. Examples include window sills, baseboards, and trim.

ix. The City shall follow 24 CFR 35.1350(d) for minor repairs undertaken as part of the program. Compliance shall be documented using project specifications. Substantial rehabilitation activities undertaken shall follow the lead safe rules as outlined in 24 CFR 35.1350.

n. CONFLICT OF INTEREST (24 CFR 570.611). The Program shall follow the provisions of 24 CFR 84.42 and 570.611, which include (but are not limited to) the following:

- The city maintains a written code or standards of conduct that shall govern the performance of its officers, employees or agents engaged in the award and administration of contracts supported by Federal funds (All employee policies and guidelines can be found at intranet.rigov.org/human-resources/personnel-policies).
- No employee, officer, or agent of the city shall participate in the selection, or in the award, or administration of, a contract supported by Federal funds if a conflict of interest, real or perceived, would be involved.

No covered persons who exercise or have exercised any functions or responsibilities with respect to CDBG-assisted activities, or who are in a position to participate in a decision-making process or gain inside information with regard to such activities, may obtain a financial interest in any contract, or have a financial interest in any contract, subcontract, or agreement with respect to the CDBG-assisted activity, or with respect to the proceeds from the CDBG-assisted activity, either for themselves or those with whom they have business or immediate family ties, during their tenure or for a period of one (1) year thereafter. For purposes of this paragraph, a "covered person" includes any person who is an employee, agent, consultant, officer, or elected or appointed official of the Grantee, the Subrecipient, or any designated public agency. There are two conflict of interest provisions applicable to activities carried out with CDBG funding. The first, applies to the procurement of goods and services by subrecipients (24 CFR 84.42 and 85.36 and 24 CFR 570.611(a)(1)) The second provisions is located at 24 CFR 570.611(a)(2). These provisions cover situations not covered by parts 84 and 85. With respect to procurement activities, the subrecipient must maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts. At a minimum, these standards must require that no employee, officer, or agent may participate in the selection, award, or administration of a contract supported by federal funds if a real or perceived conflict would be involved. Such a conflict would arise when any of the following parties have a financial or other interest in the firm selected for an award:

- An employee, officer, or agent of the subrecipient;
- Any member of an employee's, officer's, or agent's immediate family;
- An employee's, agent's, or officer's partner; or
- An organization which employs or is about to employ any of the in the preceding section.

It is also required that employees, agents, and officers of subrecipients neither solicit nor accept gratuities, favors, or anything of value from contractors, or parties to sub-agreements. However, subrecipients may set standards for situations in which the financial interest is not substantial or the gift is an unsolicited item of nominal value. Disciplinary actions for any violations of the regulations or policies by employees, agents, or officers of the subrecipient agency will be handled according to the above policies. With respect to all other CDBG-assisted activities, the general standard is that no employee, agent, or officer of the subrecipient, who exercises decision-making responsibility with respect to CDBG funds and activities, is allowed to obtain a financial interest in or benefit from CDBG activities, or have a financial interest in any contract, subcontract, or agreement regarding those activities or in the proceeds of the activities. Specific provisions include:

- Any person who is an employee, agent, consultant, officer, or elected or appointed official of the grantee, a designated public agency, or a subrecipient, and to their immediate family members, and business partner(s).
- During employee tenure and for a period of 1 year after leaving the grantee or subrecipient organization.

Upon written request, exceptions may be granted by HUD on a case-by-case basis, after consideration of the cumulative effect of various factors listed at 24 CFR 570.611(d), and only with: (a) full disclosure of the potential conflict, and (b) a legal opinion of the grantee's attorney that there would be no violation of state or local laws in granting the exception.

o. PROGRAM MONITORING (24 CFR 570.501(B), 24 CFR 85.40(A) AND (E), AND 24 CFR 84.51(A)). The Community and Economic Development Department is responsible for ensuring that all CDBG funds under its oversight are used in accordance with all program requirements, and for determining the adequacy of its subrecipients' performance. Accordingly, the Community Development staff is empowered to make site visits and review program files of the CDBG recipients as necessary to fulfill these responsibilities.

p. SUSPENSION AND TERMINATION (24 CFR 570.503 (B) (6), 24 CFR 85.43 AND 44, AND 24 CFR 84.62). When problems arise in the performance of a subrecipient, the grantee is responsible for taking appropriate actions for correcting these deficiencies, including suspending or terminating the CDBG activities being carried out by the subrecipient (24 CFR 570.501(b)). Consistent with 24 CFR 570.503(b)(6), the written agreement between the grantee and the subrecipient must specify that suspension or termination may occur if the subrecipient materially fails to comply with any term of the CDBG award, and that the agreement may also be terminated for convenience (also see 24 CFR 85.43–85.44 and 84.62).

q. UNALLOWABLE COSTS. The City of Rock Island excludes any unallowable costs as itemized in 2 CFR Part 225, Appendix B, including: entertainment, contributions and donation, fines and penalties, general government expenditures, lobbying and political activities from direct or indirect funding through the CDBG Code Enforcement Program.

r. LOBBYING. The City of Rock Island prohibits the use of any federal, state, or locally appropriated funds to be used to compensate any person for influencing, or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement. If any federal, state, or locally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the city will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

s. POLITICAL ACTIVITY (24 CFR 570.207(A)(3)). Pursuant to the Hatch Act, no Neighborhood Housing program funds will be used for political activities. Personnel employed with CDBG funding will in no way, or to any extent, engage in the conduct of political activities in violation of Chapter 15 of Title V of the U.S.C. The City prohibits the use of CDBG funds to finance the use of facilities or equipment for political purposes or to engage in other partisan political activities, such as sponsoring candidate forums, distributing brochures, voter transportation, or voter registration. However, a facility originally assisted with CDBG funds may be used on an incidental basis to hold meetings, candidate forums, or voter registration, provided that all parties and organizations have access to the facility on an equal basis and are assessed equal rent or use charges, if any.

t. NON-DISCRIMINATION AND ACTIONS TO FURTHER FAIR HOUSING. Pursuant to 24 CFR 5.105 (a), CDBG grantees and sub-grantees must also comply with various fair housing and civil rights laws, including but not limited to the Fair Housing Act, Title VI of the Civil Rights Act of 1964, and Section 504 of the Rehabilitation Act of 1973. Grantees and-sub-grantees must assure that all CDBG-funded activities, including Code Enforcement and Neighborhood Housing Program activities, do not discriminate on the basis of race, color, religion, sex, disability, familial status, or national origin.
    i. Title Vi of the Civil Rights Act of 1964 (Public Law 88-352 Implemented in 24 CFR Part 1). This law states that no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.
    ii. Women and Minority Business Enterprises – Refer to 570.506(g), 85.36(e), and 84.44, affirmative steps documentation.
    iii. Section 109 of Title I of the Housing and Community Development Act of 1974 prohibits CDBG grantees and sub-grantees from conduct that will cause discrimination on the ground of race, color, national origin, religion, or sex, in the participation in any program or activity funded in whole or in part with Federal financial assistance.
    iv. Executive Order 11063, as amended by Executive Order 12259 (implemented in 24 CFR Part 107): This order and its implementing regulations require HUD to take all actions necessary to prevent discrimination because of race, color, religion, sex, or national origin in the use, occupancy, sale, leasing, rental, or other disposition of residential property assisted with Federal loans, advances, grants, or contributions.
    v. Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq.): This law provides that any grant under Section 104 shall be made only if the grantee certifies to the satisfaction of the Secretary of HUD that the grantee will, among other things, affirmatively further fair housing.
    vi. Section 109 of Title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et. seq., particularly 42 U.S.C. 6101 et. seq., and 29 U.S.C. 794): This law mandates that no person on the grounds of race, color, national origin, sex, or religion shall be excluded from participation, denied the benefits of, or otherwise be subject to discrimination under any activity funded in whole or part with CDBG funds.
    vii. Section 3 of the Housing and Community Development Act of 1968 (12 U.S.C. 1701u): This section implemented at 24 CFR Part 135 requires that, to the greatest extent feasible, a subrecipient must:
        1. Ensure that opportunities for training and employment arising in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to low-and very low-income persons residing within the metropolitan area in which the CDBG-

funded project is located; where feasible, priority should be given to low-and very low-income residents within the service area of the project or the neighborhood in which the project is located, and to low- and very low-income participants in other HUD programs.

2. Award contracts for work undertaken in connection with a housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction, or other public construction project are given to business concerns that provide economic opportunities for low- and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to business concerns which provide economic opportunities to low-and very low-income residents within the service area or the neighborhood in which the project is located and to low-and very low-income participants in other HUD programs.

viii. Section 504 of the Rehabilitation Act of 1973, as amended (implemented at 24 CFR Part 135): This section specifies that no otherwise qualified individual shall solely by reason of his or her handicap be excluded from participation (including employment), denied program benefits, or subjected to discrimination under any program or activity receiving Federal assistance. Part 8 requires that recipients ensure that their programs are accessible to and usable by persons with disabilities. Part 8 also prohibits recipients from employment discrimination based upon disability.

ix. The Americans with Disabilities Act (ADA) of 1990: This law prohibits discrimination on the basis of disability in employment by state and local governments and in places of public accommodation and commercial facilities. The ADA also requires that facilities that are newly constructed or altered, by, on behalf of, or for use of a public entity, be designed and constructed in a manner that makes the facility readily accessible to and usable by persons with disabilities. The Act defines the range of conditions that qualify as disabilities and the reasonable accommodations that must be made to assure equality of opportunity, full participation, independent living, and economic self-sufficiency for persons with disabilities.

x. The Age Discrimination Act of 1975, as amended: This law provides that no person shall be excluded from participation, denied program benefits, or subjected to discrimination on the basis of age under any program or activity receiving Federal assistance.

xi. Executive Order 11246 (as amended by Executive Orders 11375 and 12086) — Equal Opportunity Under HUD Contracts and HUD-assisted Construction Contracts: This order requires that grantees and subrecipients and their contractors and subcontractors agree not to discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.

xii. The Architectural Barriers Act of 1968: The Architectural Barriers Act (ABA) of 1968 (ABA) (42 U.S.C. 4151-4157) requires that certain buildings financed with Federal funds must be designed, constructed, or altered in accordance with standards that ensure accessibility for persons with physical disabilities. The ABA covers any building or facility financed in whole or in part with Federal funds, except privately owned residential structures. Covered buildings and facilities designed, constructed, or altered with CDBG funds are subject to the ABA and must comply with the Uniform Federal Accessibility Standards.

xiii. Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (Public Law 90-234): The Fair Housing Act prohibits discrimination in housing practices on the basis of race, color, religion, sex, and national origin. The Fair Housing Act was amended in 1988 to provide protections from discrimination in any aspect of the sale or rental of housing for families with children and persons with disabilities. The Fair Housing Act also establishes requirements for the design and construction of new rental or for-sale multi-family housing to ensure a minimum level of accessibility for persons with disabilities.

xiv. Limited English Proficiency. Recipients of CDBG funds should take reasonable steps to ensure meaningful access to their programs and activities to limited English proficient individuals. As an aid to recipients, the Department published Final Guidance to Federal Financial Assistance Recipients: Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (LEP Guidance) in the Federal Register at 72 FR 2732.
For assistance regarding LEP obligations, go to:
www.justice.gov/crt/lep/guidance/HUD_guidance_Jan07.pdf
For more information on LEP, please visit:
www.hud.gov/offices/fheo/promotingfh/lep.cfm

xv. Affirmatively Further Fair Housing. CDBG recipients and subrecipients must affirmatively further fair housing in their use of such funds. Grantees may offer services to affirmatively further fair housing through the Program. Such costs, examples of which follow, are not eligible code enforcement expenses but could be eligible as public services or program administrative costs. Both of these categories have caps on the overall activity expenses.

1. Providing mobility counseling or referrals to housing counseling agencies for persons temporarily or permanently displaced by code enforcement actions;
2. Training staff on fair housing requirements;
3. Providing outreach to marginalized populations
4. Establishing partnerships with Fair Housing Organizations, state and local fair housing agencies, and community-based organizations representing protected classes under the Fair Housing Act.

Memorandum

To: Rock Island City Council

From: Nichole Mata

Subject: Report from the Community & Economic Development Department regarding an amendment to the Community Development Block Grant (CDBG) Program Manual, Section 6: Subrecipient Application Process.

Motion: Motion whether or not to approve the amendment as recommended.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The CED Department's Community Development Division completed a comprehensive update to the CDBG Program Manual at the end of last year which was approved by the Council on December 12, 2022. Staff would like to amend the CDBG Program Manual, Section 6: Subrecipient Application Process. Specifically, the application period is currently set for January 1 to January 31. After careful review of the Annual Action Planning process and timeline, it has been determined that there is a need to start this process earlier. Staff is asking the Council to approve moving the application period so that it is from November 1 to December 31 of each calendar year. A two-month application period early in the planning process allows more time for the subrecipient to apply. The Community Development Commission supports this amendment.

Previous Council Action (if any):

NA

Budget Impact:

NA

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

NA

Council Goal (if applicable):

NA

Recommendation:

Recommendation for the Council to approve the amendment to the CDBG Program Manual.

Submitted by: Nichole Mata

Approved by: Joshua Adams

Community Development Block Grant Program Manual

Community & Economic Development Department
Community Development Division
1528 Third Avenue
Rock Island, IL 61201

Approved by the Rock Island City Council on December 12, 2022

Contents

Section 1: Introduction

The City of Rock Island has been an Entitlement Community in the Community Development Block Grant (CDBG) Program of the US Department of Housing and Urban Development (HUD) since 1975. The CDBG Program distributes federal funds to states, counties, and cities to fulfill the following program goals:

- Provide decent, safe, and sanitary housing;
- Provide a suitable living environment; and
- Expand economic opportunities principally for low and moderate-income persons.

Basic requirements for a local CDBG program are set forth in CDBG Program Regulations 24 CFR 570. Federal requirements allow for extensive flexibility in how a local CDBG program may be managed. In the City of Rock Island, as in other communities, staff develop five-year Consolidated Plans and corresponding Annual Action Plans that establish how CDBG funds shall be used. These documents describe community needs, priorities, and resources as well as objectives and performance measures. They do not on their own, however, describe the overall CDBG Program's policies and procedures.

Federal regulations in 24 CFR 570.501(b) state that "The recipient of HUD funds is responsible for ensuring that CDBG funds are used in accordance with all program requirements. The use of designated public agencies, subrecipients, or contractors does not relieve the recipient of this responsibility." The City's CDBG Program policies and procedures must be fully described in a Program Manual that guides City employees, agencies, contractors, and subrecipients on how to use and account for CDBG funds. This Program Manual is intended to satisfy that Federal requirement.

Note that references herein to the "CDBG Program" refers to the entirety of CDBG-funded programming and activities undertaken by the City. Individual programs and activities under the umbrella of the CDBG Program are explained in appendices to this Program Manual. Examples of these include the General Housing Rehabilitation Program and the Commercial/Industrial Revolving Loan Fund (CIRLF). The City Council may from time to time approve amendments to either the Program Manual itself or the appendices as Federal regulation may require or to better align with changing community needs.

Section 2: Staff and the Community Development Commission

The Community & Economic Development Department (CED) is responsible for administration of CDBG-funded programming including the Housing Rehabilitation Program. The Department is organized into four divisions, each of them headed by a manager reporting to the department director. The Community Development Division is the one primarily responsible for CDBG-funded programming but is assisted by the other divisions in various aspects of the programing.

The Community Development Division consists of three staff members.

- Community Development Manager: This position is responsible for supervision of the other two division staff members and coordinates all division activities. Adherence to policies and procedures as well as financial oversight are primary responsibilities as they pertain to the CDBG program. This position also ensures that overall goals are met.
- Housing Officer: This position is responsible for processing applications from people seeking housing rehabilitation assistance. They determine eligibility, update a waiting list for services, prepare contracts, and maintain case files.
- Construction Officer: This position is responsible for conducting inspections of properties receiving rehabilitation assistance, preparing scopes of work, monitoring the performance of contractors, and conducting final inspections. They are also responsible for lead-based paint hazard risk assessments and associated functions.

Other staff in the CED Department that assist with the CDBG program include:

- Planning & Zoning Manager: This position assists with historic preservation matters, specifically the Section 106 process.
- Geographic Information Systems (GIS) Specialist: This position uses mapping software to assemble the majority of what is included in environmental review documents. They also create site maps for projects when needed.
- Building Official: This position oversees CDBG-funded building demolitions when they occur.

The Community Development Commission, previously known as the Citizen's Advisory Committee, is overseen by the CED Department. This nine-person public body is responsible for holding public hearings required for development of the Annual Action Plan and the five-year Consolidated Plan. It is also responsible for making general recommendations about the use of CDBG funds as well as any similar funds overseen by the CED Department, such as those from the Illinois Housing Development Authority (IHDA). Members serve three-year terms and are eligible for two consecutive terms. Appointments to the Commission are made by the Mayor with the approval of the City Council. Members should have professional experience working in community development including but not limited to housing issues. Members should also be diverse and representative of the entire community.

Section 3: National Objectives

When developing a CDBG-funded project, program, or activity, it is helpful to follow a step-by-step process to ensure compliance with all applicable regulations. The next several sections of this Program Manual discuss those steps. The first step in that process is determining which of HUD's three National Objectives are being met and how. If a proposed undertaking does not meet one of them, it cannot be considered for funding. All CDBG-funded undertakings must meet at least one of HUD's three National Objectives. The three National Objectives are:

1. Provide a benefit to low- to moderate-income persons and/or households;
2. Aid in the prevention or elimination of slums or blight; or
3. Meet an urgent community development need of recent origin that threatens the health or welfare of residents.

The following is a brief discussion of each National Objective including definitions, criteria, and examples.

Criteria for Activities Benefiting Low/Moderate Income Persons

A low- or moderate-income person is defined in the statute as a member of a household having an annual income equal to or less than 80% of the median income as defined by HUD. The three pertinent median income limits for CDBG are as follows:

- Extremely Low Income 30% of median area income
- Very Low Income 50% of median area income
- Low Income 80% of median area income

The median income changes annually, therefore, the household's low- and moderate-income levels may also change. Every applicant must document the income levels of the potential beneficiaries of CDBG funding at the time of application submission to ensure that at least 51% of the beneficiaries' meet HUD guidelines. Due to an "exception criteria" from HUD this is reduced to 45.68% for LMI Area Benefit projects. Income guidelines are updated annually and located on HUD's website to review.

Activities, which benefit low/mod income persons, may be classified as providing:

- Area Benefit
- Limited Clientele Benefit to persons of low/moderate income
- Housing Benefit to persons of low/moderate income
- Job Creation or Retention for persons of low/moderate income

Examples of potentially eligible activities under the Area Benefit category may include activities such as street improvements; water and sewer line upgrades; and neighborhood facility construction. Potentially eligible activities under the Limited Clientele Benefit category may include the construction of a senior center; public services for the homeless; job training or job creation for low/mod income individuals; and meals on wheels for the elderly. Potentially eligible Housing Benefit Activities include the acquisition of property for permanent housing; rehabilitation of permanent housing; conversion of non-residential structures into permanent housing; and eligible activities connected with new housing construction. For an activity of Job Creation, the recipient must document that at least 51 percent of the jobs will be held by, or available to, low- and moderate-income persons. For activities designed for Job Creation or Retention, permanent jobs where at least 51 percent of the jobs, computed on a full-time equivalent basis, involve the employment of low- and moderate-income persons. Section IV contains information on specific guidelines for determining and documenting low- to- moderate income benefit.

To test whether a project will meet the low-and moderate-income benefit National Objective, it is helpful to follow these guidelines:

- To determine a project's eligibility using Area Benefit, refer to the low- and moderate-income area map available on HUD's website (http://egis.hud.gov/cpdmaps/) applicants may also contact the Office of Community Reinvestment staff to request an income survey be conducted of the service area of the project. At least 45.68% of households within the identified service area must meet the low- to- moderate income limits as set by HUD.
- To calculate Limited Clientele Benefit, determine the total household income of each user by household size. At least 51% of users must meet the income limits.
- To calculate Housing Benefit Activities, households meeting the income guidelines must occupy single-family structures. If the structure contains more than one unit, at least 51% of the units must be occupied by households meeting the income limits.

Note: The income of all adults (not necessarily related) living in the household must be combined and compared to the income limit for the number of persons living in the household. Staff from the Office of Community Reinvestment is available to assist applicants in this evaluation.

Criteria for Activities to Prevent or Eliminate Slums or Blight

Activities that address the prevention or elimination of slums or blight may be classified as:

- An Area Basis
- A Spot Basis
- An Urban Renewal Basis

To qualify under the National Objective of slums or blight on an *Area* Basis, the activity must meet all of the following criteria:

- The area must be designated as a slum/blight area by the governing jurisdiction; and must meet the definition of a slum, blighted, deteriorated, or deteriorating area under state or local law.
- A substantial number of deteriorated or deteriorating buildings or public improvements must be located throughout the area.
- Documentation must be maintained by the governing jurisdiction on the boundaries of the area and the conditions, which qualified the area under this objective at the time of designation.
- The activity must address one of the conditions which contributed to the deterioration of the area.

Potentially eligible activities include assistance to commercial or industrial businesses; public facilities and improvements; and code enforcement provided the assistance is designed to address one or more of the specific conditions which qualified the area.

To qualify under the National Objective of prevention or elimination of slums or blight on a *Spot* Basis, the activity must meet all the following criteria:

- The activity must be designed to eliminate specific conditions of blight or physical decay at a specific location not incorporated in a slum or blighted area.
- The activity must be limited to acquisition, clearance, relocation, historic preservation, or building rehabilitation to eliminate specific conditions detrimental to public health and safety.

Potentially eligible activities under the objective to prevent or eliminate slums or blight on a spot basis include the removal of faulty wiring or falling plaster; historic preservation of a public facility; and demolition of a vacant, deteriorated, (non-historic) abandoned building.

To qualify under the National Objective of slums or blight on an *Urban Renewal* Basis, the activity must meet all of the following criteria:

- Located within an urban renewal project area or Neighborhood Development Program (NDP) action area; i.e., an area in which funded activities were authorized under an urban renewal Loan and Grant Agreement or an annual NDP Funding Agreement, pursuant to Title I of the Housing Act of 1949; and
- Necessary to complete the urban renewal plan, as then in effect, including *initial* land redevelopment permitted by the plan.

Despite the restrictions in (b) (1) and (2) of 24 CFR 570.208, any rehabilitation activity which benefits low- and moderate-income persons pursuant to paragraph (a)(3) of that section can be undertaken without regard to the area in which it is located or the extent or nature of rehabilitation assisted.

Criteria for Activities to Meet an Urgent Community Development Need

To qualify an activity under the objective of meeting an Urgent Community Development Need, an activity must be designed to alleviate existing conditions in which the governing jurisdiction certifies all of the following:

- The existing conditions pose a serious and immediate threat to the health, safety or welfare of the community.
- The existing conditions are of recent origin (within the last 18 months)
- The grantee is unable to finance the activity
- Other funding resources are not available.

A condition will generally be considered of recent origin if it developed or became critical within 18 months preceding certification. Activities meeting the urgent needs criteria involve emergency or disaster situations with substantial costs which overextend the ability of a community to pay the costs through local taxation. Examples of activities meeting the Urgent Community Development Need objective include ruptured sewer and water mains; damages resulting from major catastrophes; or emergencies such as floods and earthquakes.

Section 4: Eligible and Ineligible Activities

Once an activity has been classified as meeting a National Objective, the second step is to determine whether CDBG funds may be used for a specific project or activity. Many types of activities qualify for CDBG assistance. This section describes these in detail by type. The proper classification of an activity insures that CDBG funds are used only for eligible activities and may further identify applicable statutes, requirements, and regulations for certain activities.

Activities that are Eligible

HUD has established the listing of eligible activities below as provided in the CDBG regulations. It should be noted that the list occasionally changes and that several activities have specific eligibility requirements. The following are considered eligible activities:

Public Facility/Infrastructure Improvements – Related Activities

- Acquisition of Real Property (land and/or building) - in whole or in part, by purchase, long-term lease, donation or other. §570.201(a)
- Disposition of Real Property (land and/or building) - the payment of costs incidental to the disposition of real property acquired with CDBG funds. §570.201(b)
- Installation, construction, reconstruction, rehabilitation, and installation of public facilities (except government buildings). §570.201(c)
- Clearance and demolition. §570.201(d)
- Interim Assistance for Public Facilities and Improvements - including limited improvement to a deteriorating area as a prelude to permanent improvements and activities to alleviate an emergency condition. §570.201(f)
- Privately-Owned Utilities - including acquisition, reconstruction, rehabilitation, and installation of such. §570.201(l)
- Code Enforcement. §570.202(c)
- Fire Protection Equipment – considered for this purpose to be an integral part of a public facility and thus, purchase of such equipment would be eligible under §570.201(c)
- Construction Equipment – limited to the purchase of construction equipment for use as part of a Solid Waste Disposal facility. §570.201(c)
- Removal of material and architectural barriers that restrict the mobility and accessibility of elderly or severely disabled persons to public facilities. §507.201(c)
- Relocation costs incurred by displacement from CDBG projects. §570.201(i)
- Loss of rental income by owners holding units for persons displaced by CDBG projects. §570.201(j)
- Homeownership assistance, such as down payment assistance or mortgage interest subsidy for homebuyers. §570.201(n)

Rehabilitation and Preservation – Related Activities

- Rehabilitation of properties – including privately-owned homes, publicly-owned housing, publicly or privately-owned commercial or industrial buildings, nonresidential buildings owned by nonprofits, and manufactured housing when it is part of the permanent housing stock. §570.202(a).

- Historic Preservation - the rehabilitation, preservation and restoration of historic properties. Historic properties publicly or privately owned, may include those listed on or eligible for listing on the State or National Registers of Historic Places. §570.202(d).
- Renovation of closed buildings. §570.202(e).
- Lead-based paint testing, evaluation, reduction, and clearance. §570.202(f).
- Improvements to increase the efficient use of energy and or water in a structure. §570.202(b)
- Connection of residential structures to water distribution lines or local sewer collection lines. §570.202(b).
- Rehabilitation Services to include rehabilitation counseling, energy auditing, preparation of work specifications, loan processing, and inspections. §570.202(b)

Public Service Activities. §570.201(e)

- Employment training
- Crime Prevention
- Child Care
- Health and drug abuse and education
- Furnishings and Personal Property – limited to such items necessary for use by a recipient or its subrecipients in the administration of activities assisted with CDBG funds, or when eligible as firefighting equipment, or when such items constitute all or part of a Public Service.
- Operating and maintenance expenses associated with Public Service activities, interim assistance, and office space for program staff employed in carrying out the CDBG program. The use of CDBG funds to pay allocable costs of operating and maintaining a facility used in providing a Public Service even if no other costs of providing such service are assisted with CDBG funds.
- Fair housing counseling and homebuyer pre-purchase counseling
- Energy Conservation

Economic Development Activities. §570.203, §570.204 and §570.201(o)

- Acquisition, construction, rehabilitation, or installation of commercial or industrial buildings or railroad spurs.
- Grants, loans, loan guarantees, interest subsidies to businesses.
- Administrative costs directly related to economic development projects.
- Special activities by Community-Based Development Organizations (CBDOs) that are part of a broader effort to revitalize a neighborhood.
- Assistance to micro-enterprises, such as loans, grants, technical assistance, or supportive services.

Planning and Administration. §570.205 and §570.206

- Preparation of general plans such as the Consolidated Plan.
- Functional plans such as housing plans.
- Neighborhood plans and general historic preservation plans.
- Policy planning, management, and capacity building activities.
- Monitoring
- General program administration
    - General management, office expenses, travel, legal services, and salaries

    - Public information, Fair Housing activities, indirect costs, and preparation of applications for federal funds.
    - Does not include costs to directly deliver a specific project.

Activities that are Ineligible

Despite apparent inclusion within the listing of eligible activities, some activities fall within a category of explicitly ineligible activities. In general, any activities not authorized under the provisions of eligible activities are ineligible for funding.

- Purchase of Equipment - for example, construction, fixtures, motor vehicles, furnishings and other personal property not an integral structural fixture is generally ineligible;
- Operating and Maintenance Expenses – any expense associated with repairing, operating or maintaining public facilities, improvements and services in ineligible. Examples of ineligible operating and maintenance include: the maintenance and repair of publicly owned streets (filling of pot holes in streets, repairing of cracks in sidewalks, replacement of expended street light bulbs), parks (the mowing of recreational areas), water and sewer facilities, neighborhood facilities, senior centers, centers for persons with disabilities;
- Payment of staff salaries, utility costs and similar expenses necessary for the operation of public works and facilities;
- New Housing Construction – the construction of new permanent residential structures or for any program to subsidize or assist such new construction is ineligible except when carried out by an entity pursuant to §570.204(a).
- Income payments – any series of subsistence - type grant payments, such as housing allowances, food, clothing or utilities.

Section 5: Documenting Benefit

Once an activity has been determined eligible, it is necessary to determine how that eligibility will be demonstrated in documentation. The following chart provides examples of how that can be done.

Benefit to Low-and Moderate-Income (LMI) Persons (§570.208(a)): Required Documentation

Activities benefiting low- and moderate-income (LMI) persons: Activities meeting the criteria in this section as applicable, will be considered to benefit low- and moderate-income persons unless there is substantial evidence to the contrary. In assessing any such evidence, the full range of direct effects of the assisted activity will be considered. (The recipient shall appropriately ensure that the activities that meet these criteria do not benefit moderate-income persons to the exclusion of low-income persons.)

As described in Section II, Low/Moderate income benefit activities are classified as Area Benefit; Limited Clientele; Housing Activities; or Job Creation or Retention.

Documenting Area Benefit (§570.208(a)(1))

HUD provides census data for the areas that qualify under the low/moderate income benefit on an area basis. The most current data and the accompanying maps delineating the areas for this application cycle are based on Census data (see Appendix A, C and D). The activity may benefit all the area's residents. It is important that all the boundaries of the service area be clearly defined. This area need not be coterminous with census tracts or other officially recognized boundaries but must include the entire area served by the activity. This National Objective is met if an eligible *non-housing* activity is undertaken and at least 45.68% of the residents in the defined service area who benefit from this activity are LMI.

Examples of eligible Area Benefit projects are:

- New sidewalks in a low/moderate income neighborhood
- A new paved road or water and sewer system in a low/moderate income neighborhood
- A new playground in a low/moderate income neighborhood

To qualify a project as Area Benefit for persons of low- and moderate-income, an applicant/subrecipient must:

- Establish the boundaries of the service area of the proposed community development project. The service area must be primarily residential in character and the activity must meet locally identified needs.
- Document the income of the families in the service area. If the HUD low- and moderate-income area data available does not show the area to be part of a low- and moderate-income block group, and there is reason to believe that the area is a "pocket of poverty," the City can provide technical assistance for conducting a survey of the households in the service area.

Documenting Limited Clientele Benefit (§570.208(a)(2))

Activities in this category benefit a specific group of people, rather than all the residents in a area. These are *non-housing* activities that are eligible because they are designed to benefit a limited number of persons. HUD requires that at least 51 percent of the members of these specific groups be persons of low- to moderate- income. Data on incomes of users is often available from the files of the service agency or sponsoring organization.

Examples of eligible Limited Clientele activities are:

- Construction or rehabilitation of a community center, center for senior citizens, homeless shelter, job training facility, or domestic abuse shelter
- Public services for the homeless or battered spouses, “Meals on Wheels” for the elderly, or food pantries, etc.

To qualify under this category, the activity must meet one of the following tests:

- Benefit a clientele 51 percent of which must meet the HUD definition for low/moderate income persons. Those benefiting from the activity must be documented, by family size and income, to show that at least 51% are LMI;
- The activity has income eligibility requirements limiting the activity to LMI persons only;
- Benefit a clientele generally presumed to be principally low/moderate income persons. The following groups are currently presumed to meet this criterion:
    - Abused children
    - Severely disabled adults (as defined by the Bureau of Census)
    - Elderly persons (62 years of age and over)
    - Illiterate adults
    - Migrant farm workers
    - Battered spouses
    - Persons with HIV/AIDS
    - Homeless persons
- Be of such nature and location that it may be concluded that the beneficiaries will primarily be low- and moderate-income persons.

Documenting Limited Clientele Benefit with User Data

Applicants/Subrecipients who need documentation for limited clientele projects can obtain information about beneficiaries and users with CDBG survey forms. Nonprofit organizations, depending upon the type of activity they conduct, may already have user or intake forms and statistics. For example, health care centers may use intake forms to document family income; day care centers may use admittance applications to obtain data, etc.

The Office of Community Reinvestment will aid in the development of a CDBG survey form for any organization that does not currently have one.

The following activities Do not qualify under the Limited Clientele category:

- Activities that benefit all the residents of an area;
- Activities involving acquisition, construction or rehabilitation of property for housing; or
- Activities that benefit LMI persons through the creation or retention of jobs,
- Except job training and placement and/or other employment support services. §570.208(a)(2)(iv)

LMI Housing Activities (§570.208(a)(3))

CDBG funds are primarily used for the rehabilitation of residential and mixed-use buildings. The original intent of the CDBG program was for housing rehabilitation, there are limitations on the way funds may be used for the construction of new housing. Important questions to ask when determining the eligibility of housing activities are:

- Does the activity benefit LMI households, or remove blight, and
- Is the subrecipient an eligible public or private non-profit organization or a special limited profit corporation?

Note: Only permanent housing is eligible under this subcategory for LMI benefit.

Examples of eligible Housing Activities benefiting low- and moderate-income persons/households are:

- Acquisition of land or existing buildings.
- Rehabilitation of owner-occupied or rental units.
- Conversion of non-residential structures to housing units.
- Newly constructed homes developed by a Community Based Development Organization (CBDO as pursuant to §570.204(a)).
- Purchase assistance for LMI homebuyers.

Note: The income of *all* adults (not necessarily related) living in the household must be combined and compared to the income limit for the number of persons living in the household.

Activities that include acquisition, construction or rehabilitation of housing units may qualify as meeting the National Objective of benefiting LMI households only to the extent that the required number of units is occupied by LMI households upon completion.

The required number of LMI units for Housing Activities cover both owner-occupied and rental units. *At completion*:

- If the unit is a single unit, the occupant must be an LMI household.
- In duplexes, at least one of the units must be occupied by an LMI household.
- When CDBG assistance is provided to buildings with more than two units, at least 51% of the units must be occupied by LMI households.

Documenting LMI Housing Activities

For each activity, the following records must be kept:

- Fair Housing and Equal Opportunity rules apply to the use of CDBG funding therefore occupancy record identifying the occupants' race and ethnicity as well as female-headed households must be maintained on file.
- Documentation of household size and income must be maintained on file. Also include a copy of the HUD income limit for the relevant funding year as income limits typically change from year to year.

LMI Job Creation or Retention Activities (§570.208(a)(4))

- For an activity that creates jobs, the recipient must document that at least 51 percent of the jobs will be held by, or will be available to, low- and moderate-income persons.
- For an activity that retains jobs, the recipient must document that the jobs would actually be lost without the CDBG assistance and that either or both of the following conditions apply with respect to at least 51percent of the jobs at the time the CDBG assistance is provided:
    - The job is known to be held by a low- or moderate-income person; or
    - The job can reasonably be expected to turn over within the following two years; and that steps will be taken to ensure that it will be filled by, or made available to, a low- and moderate-income person upon turnover.

Jobs that are not held or filled by a low- or moderate-income person may be considered available to low- and moderate-income persons for these purposes only if:

- Special skills that can only be acquired with substantial training or work experience or education beyond high school are not a prerequisite to fill such jobs, or the business agrees to hire unqualified persons and provide the needed training; and
- The recipient and the assisted business take actions to ensure that low- and moderate-income persons receive first considerations for filling such jobs.

For purposes of determining whether a job is held by or made available to a low- or moderate-income person, the person may be presumed to be a low- or moderate-income person if:

- He/she resides within a census tract (or block numbering area) that either:
- Meets the requirements of paragraph (A)(4)(c) of this section; or
- Has at least 70 percent of its residents who are low- and moderate-income persons, or
- The assisted business is located within a census tract (or block numbering area) that meets the requirements of paragraph (A)(4)(c) of this section and the job under consideration is to be located within that census tract.

A census tract (or block numbering area) qualifies for the presumptions permitted under paragraphs (A)(4)(b)(i)(*1*) and (ii) of this section if it is either part of a Federally-designated Empowerment Zone or Enterprise Community or meets the following criteria:

- It has a poverty rate of at least 20 percent as determined by the most recently available decennial census information;

- It does not include any portion of a central business district, as this term is used in the most recent Census of Retail Trade, unless the tract has a poverty rate of at least 30 percent as determined by the most recently available decennial census information; and

It evidences pervasive poverty and general distress by meeting at least one of the following standards:

- All block groups in the census tract have poverty rates of at least 20 percent;
- The specific activity being undertaken is in a block group that has a poverty rate of at least 20 percent, or
- Upon the written request of the recipient, HUD determines that the census tract exhibits other objectively determinable signs of general distress such as high incidence of crime, narcotics use, homelessness, abandoned housing, and deteriorated infrastructure or substantial population decline.

Prevention or Elimination of Slums and Blight: Required Documentation

Activities, which eliminate or prevent slums and blight, can be conducted on an Area Basis, a Spot Basis, or in an Urban Renewal Area.

Elimination of Slum or Blight on an Area Basis
Potentially eligible activities with the objective of eliminating slums and blight on an Area Basis include assistance to commercial/industrial properties for exterior or code related improvements, public facilities and improvements, as well as code enforcement, provided the assistance is designed to address one or more of the specific conditions that qualified the area as one of slum and blight.

Elimination of Slums or Blight on a Spot Basis
In order to qualify under the National Objective of elimination of slums and blight on a Spot Basis the activity must meet the following criteria:

- It must be designed to eliminate specific conditions of blight or physical decay on a Spot Basis not located in a slum or blighted area; or
- It must be limited to acquisition, clearance, relocation, historic preservation, or building rehabilitation to eliminate special conditions detrimental to public health and safety. Potentially eligible activities under the objective to eliminate slums or blight on a spot basis include the removal of faulty wiring or falling plaster; historic preservation of a public facility; or demolition of a vacant, deteriorated, (non-historic) abandoned building.

Elimination of Slums or Blight in an Urban Renewal Area
This category is intended to permit the redevelopment of areas in which activities were begun with funds received under Federal Urban Renewal and related programs (replaced by the CDBG Program). In order to qualify under the objective to eliminate slums or blight in an Urban Renewal Area, an activity must be:

- Located within an urban renewal project area; and
- Necessary to complete the urban renewal plan

Urgent Need: Required Documentation

The records to document urgent need should include the following:

- Photographs of the condition
- Building Department statements regarding health and safety hazards
- State and Federal designation of the condition as a disaster

In addition, if a participating unit of general local government within an urban county uses CDBG funds for an urgent need, the county Must be able to document that the participating local government was unable to finance the activity.

This chart provides a quick reference guide on documentation.

National Objective	Requirements	Example of Data Source
Low/Mod Income Benefit	• Map identifying the boundaries of the service area • Income characteristics of the users. Most recent quarterly/annual reports on beneficiaries and users by income.	• Survey of residents' incomes in the service area. • Census and Block Group • Information. • Income surveys of all users of project or service as approved by the City.
Removal of Slum or Blight	• Board resolution designating area under state or local law. • Substantial number of buildings or public improvements must be deteriorated. • Map delineating boundaries of area. Activity addresses one or more of the deteriorated conditions. • Detailed narrative describing programs and comprehensive plans for improvements.	• Pre-rehabilitation inspection reports and a Phase I • Environmental Site Assessment. • Infrastructure conditions report. Detailed survey of building conditions and code violations. • Urban renewal plans.
Urgent Need	• Certification that condition poses serious threat to the health and welfare of the community. • Condition is recent. • Governing jurisdiction is unable to finance the project. • No other resources or funds are available.	• "Turndown letters" indicating no other source of funding is available. • Health Department reports documenting a serious condition. • Evidence that applicants designed activity to address the urgent need.

Section 6: Subrecipient Application Process

Nonprofits and other agencies may request CDBG funding for their activities. In order to apply, they must submit a written request to the CED Department. The request shall be made using a format and/or forms provided by staff. The application period shall be from January 1 to January 31 of each calendar year. Applications received late will not be considered for that calendar year but may be submitted again the next year. The application must include a complete project description and/or scope of work with detailed cost estimates. If there are other sources of funding involved, those need to be clearly identified. A public announcement shall be made when the application period begins. Other City departments shall not be considered subrecipients, but shall submit project proposals and funding requests in the same manner and within the same timeframe as described above.

Staff will evaluate all applications using the following criteria.

1. Consolidated Plan Need/Strategy
   a. Affordable Housing: Preserve existing units of affordable and special needs housing throughout the city and create additional units of such housing in areas where they are currently lacking and near employment centers.
   b. Neighborhood Improvements: Public improvements to parks/infrastructure that directly affect the quality of neighborhoods/communities.
   c. Facility Development: Acquisition, rehabilitation, and/or construction of facilities from which human/social services are provided to area residents.
   d. Homelessness: Increase the availability of emergency-shelter and transitional- housing services and facilities.
2. Leveraging of Other Resources
3. Project Readiness
4. Community Impact/Urgency
5. Urgent need for which no other funding is available. Factors considered include the extent of the project's physical improvements, and it's economic, environmental, and/or community health benefits. Consideration will be given to the number of low- and moderate-income residents that will benefit from the project, in relation to the amount of CDBG funds requested.

Having evaluated the applications, staff will make recommendations to the Community Development Commission regarding which activities should be funded as part of the development process for the Annual Action Plan. The Commission shall in turn make a recommendation to the City Council about the Annual Action Plan which shall include said activities.

The amount of funding awarded will be based on the applicants' project or activity proposal and its ability to assist the City in fulfilling its priorities. The applicant's demonstrated ability to expend funds within the program fiscal year will also be considered. Funding amounts and the release of funds is contingent upon the congressional approval of HUD's annual CDBG budget. Should Congress elect to reduce CDBG funding, the City will be required to modify allocations consistent with these reductions.

Section 7: Subrecipient Agreements

When an activity is approved for funding as described in the previous section, a Subrecipient Agreement shall be required. The agreement shall detail the obligations of both the CED Department and the subrecipient (formally the applicant). The agreement shall remain in effect the entire length of the project or activity. Agreements shall be approved by the City Council. AS stated previously, other City departments shall not be considered subrecipients, but shall enter into such an inter-departmental memorandum of understanding (MOU) with the CED Department for each project or activity receiving CDBG funding. The MOU shall also be approved by the City Council.

The agreement shall include, at a minimum, the following information.

- Scope of Work: The agreement shall include a description of the work to be performed, a schedule for completing the work, and a comprehensive budget.
- Project Management: The agreement shall specify that the CED Department shall manage the entire project from beginning to end on behalf of the subrecipient unless otherwise stated.
- Records and Reports: The agreement shall specify what documents and records must be produced by the subrecipient and provided to the CED Department.
- Program Income: The agreement shall include the program income requirements set forth in §570.504(c).
- Uniform Administrative Requirements: The agreement shall require the subrecipient to comply with applicable uniform administrative requirements as described in §570.502.
- Other program requirements: The agreement shall require the subrecipient to carry out each activity in compliance with all Federal laws and regulations described in subpart K of the regulations, except that:
    - The subrecipient does not assume the City's environmental responsibilities described at §570.604; and
    - The subrecipient does not assume the City's responsibility for initiating the review process under the provisions of 24 CFR part 52.
- Suspension and Termination: The agreement shall specify that, in accordance with 24 CFR 85.43, suspension or termination may occur if the subrecipient materially fails to comply with any term of the award, and that the award may be terminated for convenience in accordance with 24 CFR 85.44.
- Reversion of Assets: The agreement shall specify that upon its expiration the subrecipient shall transfer to the City any CDBG funds on hand at the time of expiration and any accounts receivable attributable to the use of CDBG funds. It shall also include provisions designed to ensure that any real property under the subrecipient's control that was acquired or improved in whole or in part with CDBG funds (including CDBG funds provided to the subrecipient in the form of a loan) is either:
    - Used to meet one of the national objectives in §570.208 (formerly §570.901) until five years after expiration of the agreement, or for such longer period as determined to be appropriate by the city; or
    - Not used in accordance with this section, in which event the subrecipient shall pay the city an amount equal to the current market value of the property less any portion of the value attributable to expenditures of non-CDBG funds for the acquisition of, or improvement to, the property. The payment is program income to the City.

Section 8: Subrecipient Reimbursement Requests

The City provides reimbursement payments to subrecipients based on verification of expenditures. This is done by submission of a request for payment form with all required back-up documentation. The payment request is reviewed for completeness and accuracy by the Housing Officer. It is then submitted to the Community Development Manager for approval. The payment request is then submitted to the Finance Department for processing. Subrecipients must submit requests in a timely manner as described in their Subrecipient Project Agreement. The City, in turn, has thirty days to issue payment.

Each request for payment must have adequate documentation of eligible costs. Documentation may include invoices, payroll records, purchase orders, copies of canceled checks, timesheets reflecting time spent directly on CDBG activities, et cetera. Requests must only be for costs directly related to the approved activity and included in the approved budget attached to the contract. Subrecipients may not use CDBG funds for the following:

- General administrative costs;
- "Overhead" costs; or
- Costs applicable to all subrecipient activities regardless of funding source.

CDBG expenditures as shown in the City's accounting system are reviewed every two weeks by the Community Development Manager who initiates the paperwork for a drawdown of funds through the Integrated Disbursement and Information System (IDIS) reporting system based on actual expenses. The Community Development Manager submits the request for funds and the Finance Department approves the drawdown.

Section 9: Subrecipient Performance Monitoring

The procedures established in this manual are intended to ensure program compliance with the requirements of the 24 CFR 570 and all other applicable laws and regulations. Staff will monitor subrecipient activities for compliance with program requirements in a variety of ways.

First, many activities will be carried out under the direct supervision of the Community Development Manager that will assist subrecipients in defining project scope, soliciting contractor bids, hiring contractors, and supervising project completion. The city will ensure timely project implementation through the use of management tools, including a critical (timed) path for implementation, and the use of quarterly performance reports from the subrecipient. These reports detail recent progress, target completion dates for various phases of the project and, if applicable, the clients served. Staff will conduct periodic site visits to monitor progress. Should a project fall behind schedule, the City will provide technical assistance to the subrecipient to bring the project into compliance with established schedules and deadlines.

Second, Staff will utilize “Desk Monitoring” to assess the quality of program performance over the duration of the contract. Desk monitoring of subrecipients shall concentrate on program, financial, and regulatory performance of the subrecipient, including capital improvement projects. Primary monitoring objectives are to make sure that subrecipients comply with all regulations governing administrative, financial, and programmatic operations. In conducting monitoring and performance reviews, staff will primarily rely on information obtained from the subrecipient's performance reports, records, audits, allowed costs, review of financial reports, eligibility and number of beneficiaries served, compliance with federal regulations and City program requirements. Staff may also consider relevant information pertaining to a subrecipient's performance gained from other sources, including litigation, citizen comments, and other information provided by or concerning the subrecipient. As a guide to complete desk monitoring the City staff will use HUD’s CPD Monitoring Handbook 6509.2 as a guide for review, the handbook is located at: https://www.hudexchange.info/resource/290/hud-community-planning-and-developmentmonitoring-handbook-65092-rev6/

A subrecipient’s failure to perform under the terms of the contract with the City and/or maintain records in the prescribed manner may result in a finding that the subrecipient has failed to meet the applicable requirement to which the contract pertains. If staff finds that a subrecipient has failed to comply with program and/or contract requirements or has failed to meet a performance criterion, staff will take the following steps:

1. Issue a letter of warning advising the recipient of the deficiency and putting the subrecipient on notice that additional action will be taken if the deficiency is not corrected or is repeated;
2. Recommend, or request the subrecipient to submit, proposals for corrective actions, including the correction or removal of the causes of the deficiency.

City staff will offer technical assistance to subrecipients when monitoring indicates less than complete compliance with CDBG regulations or contract requirements. Such assistance may include, but is not limited to, providing applicable copies of Office of Management and Budget (OMB) circulars, in particular OMB’s Uniform Grant Guidance or the latest edition of Playing by the Rules guidance for CDBG projects located at https://www.hudexchange.info/resource/687/playing-by-the-rules-a-handbook-for-cdbgsubrecipients-on-administrative-systems/.

If the subrecipient fails to undertake appropriate corrective or remedial actions which resolve the deficiency to the satisfaction of the Community Development Manager, the Staff may take one or more of the following actions. Such actions shall be designed to prevent a continuation of the performance deficiency; mitigate, to the extent possible, the adverse effects or consequences of the deficiency; and prevent a recurrence of the deficiency. Prior to a reduction, withdrawal, or adjustment of a grant or other appropriate action, taken pursuant to (1), (2), or (3) below, the subrecipient shall be notified of such proposed action and given an opportunity within a prescribed time period for an informal consultation. These actions may include but are not limited to the following:

1. Advise the subrecipient in writing that additional assurances are required;
2. Advise the subrecipient to suspend disbursement of funds for the deficient activity;
3. Advise the subrecipient to reimburse the City program account in any amounts improperly expended.

The City of Rock I shall have the same rights as the Secretary of HUD as to other remedies for noncompliance per §570.912 and §570.913.

Section 10: Records to Be Maintained

The City shall establish and maintain sufficient records to enable HUD to determine whether the City has met all of the requirements for activities administered by the City under the CDBG program. At a minimum, the following records will be maintained:

1. Records providing a full description of each activity assisted (or being assisted) with CDBG funds, including its location (if the activity has a geographical locus), the amount of CDBG funds budgeted, obligated and expended for the activity, and the provision in subpart C under which it is eligible.
2. Records demonstrating that each activity undertaken meets one of the criteria set forth in §570.208. (Criteria for National Objectives) The city of Rock Island may substitute evidence that the assisted person is homeless. Such records shall include the following information:
    a. For each activity determined to benefit low- and moderate-income persons, the income limits applied and the point in time when the benefit was determined.
    b. For each activity determined to benefit low- and moderate-income persons based on the area served by the activity as per HUD Notice CPD 05-06:
        i. The boundaries of the service area;
        ii. The income characteristics of families and unrelated individuals in the service area; and
        iii. If the percent of low- and moderate-income persons in the service area is less than 51 percent, data showing that the area qualifies under the exception criteria set forth at §570.208(a)(1)(ii).
    c. For each activity determined to benefit low- and moderate-income persons because the activity involves a facility or service designed for use by a limited clientele consisting exclusively or predominantly of low- and moderate-income persons:
        i. Documentation establishing that the facility or service is designed for the particular needs of or used exclusively by senior citizens, adults meeting the Bureau of the Census' Current Population Reports definition of "severely disabled," persons living with AIDS, battered spouses, abused children, the homeless, illiterate adults, or migrant farm workers, for which the regulations provide a presumption concerning the extent to which low- and moderate-income persons benefit; or
        ii. Documentation describing how the nature and, if applicable, the location of the facility or service establishes that it is used predominantly by low- and moderate-income persons; or
        iii. Data showing the size and annual income of the family of each person receiving the benefit.
3. Records that demonstrate that The City of Rock Island has made the determinations required as a condition of eligibility of certain activities, as prescribed in §570.201(f)-interim assistance, §570.201(i)(2) - emergency, §570.201(p) – technical assistance, §570.201(q) – higher education institutions, §570.202(b)(3) – rehabilitation loans, §570.206(f) - Submission of applications for federal programs, §570.209 – economic development, §570.210 – prohibition employee relocation, and §570.309 – restriction on location.
4. Records which demonstrate compliance with §570.505 - regarding any change of use of real property acquired or improved with CDBG assistance.

5. Records that demonstrate compliance with the citizen participation requirements prescribed in 24 CFR part 91, subpart B, for entitlement recipients.
6. Records that demonstrate compliance with the requirements in §570.606 regarding acquisition, displacement, relocation, and replacement housing.
7. Financial records, in accordance with the applicable requirements listed in §570.502 - uniform administrative requirements - including source documentation for entities not subject to parts 84 and 85 of the regulation. The city of Rock Island shall maintain evidence to support how the CDBG funds provided to such entities are expended. Such documentation must include, to the extent applicable, invoices, schedules containing comparisons of budgeted amounts and actual expenditures, construction progress schedules signed by appropriate parties (e.g., general contractor and/or a project architect), and/or other documentation appropriate to the nature of the activity.
8. Records required to be maintained in accordance with other applicable laws and regulations set forth in subpart K of this part.
9. Fair housing and equal opportunity records containing:
    a. Documentation of the analysis of impediments and the actions The City of Rock Island has carried out with its housing and community development and other resources to remedy or ameliorate any impediments to fair housing choice in The City of Rock Island.
    b. Data on the extent to which each racial and ethnic group and single-headed households (by gender of household head) have applied for, participated in, or benefited from, any program or activity funded in whole or in part with CDBG funds. Such information shall be used only as a basis for further investigation as to compliance with nondiscrimination requirements. No recipient is required to attain or maintain any statistical measure by race, ethnicity, or gender in covered programs.
    c. Data on employment in each of The City of Rock Island operating units funded in whole or in part with CDBG funds, with such data maintained in the categories prescribed on the Equal Employment Opportunity Commission's EEO–4 form; and documentation of any actions undertaken to assure equal employment opportunities to all persons regardless of race, color, national origin, sex or handicap in operating units funded in whole or in part under this part.
    d. Data indicating the race and ethnicity of households (and gender of single heads of households) displaced because of CDBG funded activities, together with the address and census tract of the housing units to which each displaced household relocated. Such information shall be used only as a basis for further investigation as to compliance with nondiscrimination requirements. No recipient is required to attain or maintain any particular statistical measure by race, ethnicity, or gender in covered programs.
    e. Documentation of actions undertaken to meet the requirements of §570.607(b) which implements section 3 of the Housing Development Act of 1968, as amended (12 U.S.C. 1701U) relative to the hiring and training of low- and moderate-income persons and the use of local businesses.
    f. Data indicating the racial/ethnic character of each business entity receiving a contract or subcontract of $25,000 or more paid, or to be paid, with CDBG funds, data indicating which of those entities are women's business enterprises as defined in Executive Order 12138, the amount of the contract or subcontract, and documentation of recipient's affirmative steps to assure that minority business and women's business enterprises have an equal opportunity to obtain or compete for contracts and subcontracts as sources of supplies, equipment, construction and services. Such affirmative steps may

include, but are not limited to, technical assistance open to all businesses but designed to enhance opportunities for these enterprises and special outreach efforts to inform them of contract opportunities. Such steps shall not include preferring any business in the award of any contract or subcontract solely or in part on the basis of race or gender.

Section 11: Environmental Review

The CDBG Program requires that the environmental effects of each activity carried out with CDBG funds be assessed in accordance with the provisions of HUD's regulations covering the National Environmental Policy Act of 1969 (NEPA) and the related authorities listed in HUD's implementing regulations at 24 CFR Parts 50 and 58. The assessment is conducted considering other federal laws covering areas such as noise, air quality, historic properties, flood plains, wetlands, water quality, solid waste disposal, manmade hazards, farmlands protection, wild and scenic rivers, coastal areas, and endangered species.

The 1994 Amendments to the CDBG Program statute significantly changed the environmental review responsibilities of CDBG Program participants. It requires that units of general local government such as cities and counties assume the responsibility for the environmental review, including the publication of the environmental review process, and then later request that the funds be released by HUD. For subrecipients, the City as the unit of general local government will continue to be responsible for the environmental review.

CDBG-funded projects cannot begin until the environmental review process has been completed. The only tasks that may be undertaken prior to completing the environmental review assessment are administrative activities, feasibility and engineering studies, outreach, and planning activities. These have no effect on the human and physical environments and are exempted under Section 58.34 of 24 CFR Part 58.

The environmental review process should be initiated as soon as the proposed activities are determined. Environmental review expenses are considered as administrative costs under the CDBG Program. Private citizens and organizations can object to the release of funds for CDBG projects on certain procedural grounds relating to the environmental review. Therefore, it is important that all procedural requirements be met.

Section 12: Lead-Based Paint

Lead poisoning is one of the most common health hazards to humans. There is a lot of lead in the urban environment. Although anyone can be lead-poisoned, children and women of childbearing age are at the greatest risk. Childhood lead poisoning is considered a major health problem because of its extremely damaging and irreversible effects. This can include brain damage, liver and kidney disorders, behavioral problems, blindness, permanent learning disabilities, and even death.

Lead poisoning is commonly the result of exposure to lead-based paint hazards. CDBG-funded activities cannot use lead-based paint and must account for any pre-existing lead-based paint hazards at a project site. Such hazards commonly include deteriorated lead-based paint on friction surfaces, impact surfaces, and accessible (chewable) surfaces as well as contaminated dust and soil. Lead-based paint that is intact and in good condition is usually not considered a hazard.

Determining if lead-based paint hazards exist and where they are located requires a licensed professional. In the case of the City, the Construction Officer is required to be so licensed and uses the following two methods to determine if lead-based paint hazards exist at a project site.

1. Scoring the Building Based on Risk Factors: Use the Lead Toxicity Risk Assessment developed by EPA as a guide to help you detect potential lead problems. This is not scientific, but less costly, and can help establish the likelihood of lead problems. A copy of the Lead Toxicity Risk Assessment may be obtained from EPA.
2. Testing: There are two testing methods that produce accurate lead readings:
    - **a.** XRF-X-Ray Fluorescence Detector: This is the newest testing technology, which is also available in portable form. The XRF portable is capable of measuring between 30-50 samples in three hours and provide immediate results.
    - **b.** Laboratory Testing: Samples are collected from the property and sent to a laboratory. This is a more time-consuming approach.

Housing rehabilitation is a common CDBG-funded activity and certain requirements apply when a residential property being rehabilitated was constructed before 1978. At a minimum, the City and/or contractors are required to:

1. Notify a purchaser or lessee of the presence of any known lead-based paint and/or lead-based paint hazards;
2. Paint test surfaces to be disturbed or removed during rehabilitation for the presence of lead-based paint, or presume lead-based paint and notify the occupants of the results within 15 days of when the evaluation report is received or the presumption is made;
3. Provide each occupied dwelling unit discussed in the preceding section with the EPA-approved lead hazard information pamphlet *Protect Your Family from Lead in Your Home* or EPA-approved equivalent;
4. Reduce lead hazards as required by the applicable subparts of Part 35; and
5. Perform clearance testing, including dust testing, before re-occupancy after all but minimal ("de minimis") amounts of paint disturbances. (See in the following section for details.)

The CDBG regulation at 24 CFR 570.608 states that the following subparts of Part 35 apply to the use of CDBG funds in pre-1978 housing:

- A - (Disclosure of Known Lead-Based Paint and/or Lead-Based Paint Hazards upon Sale or Lease of Residential Property),
- B - (General Lead-Based Paint Requirements and Definitions for All Programs)
- J - (Rehabilitation),
- K - (Acquisition, Leasing, Support Services, or Operation), and
- R - (Methods and Standards for Lead-Based Paint Hazard Evaluation and Hazard Reduction Activities).

Part 35, Subpart A, is called the Lead Disclosure Rule; and Part 35, Subparts B, J, K, and R, are called the Lead Safe Housing Rule. Certain properties are exempt from the requirements of the Lead Safe Housing Rule. They include:

1. Housing built on or after January 1, 1978;
2. Zero-bedroom dwellings, including efficiency apartments, single-room occupancy housing, dormitories, or military barracks;
3. Housing exclusively for the elderly or people with disabilities, unless a child under age 6 resides or is expected to reside there;
4. Units that have been found to be free of lead-based paint by a certified lead-based paint inspector;
5. Units where all lead-based paint has been removed;
6. Unoccupied housing that will remain vacant until it is demolished;
7. Non-residential portions of mixed-use buildings, except that spaces serving both residential and non-residential uses are covered by the rule;
8. Units that are to be rehabilitated without disturbing a painted surface; and
9. Units that are subject to emergency repair action needed to safeguard against imminent danger to human life, health or safety or to protect the property from further structural damage.

For properties that are covered by the Lead Safe Housing Rule, the lead-based paint requirements for rehabilitation depend on the amount of Federal rehabilitation assistance provided. The amount of Federal rehabilitation assistance is the average per unit amount of Federal funds for the hard costs of rehabilitation, excluding lead-based paint hazard evaluation and hazard reduction activities. In calculating this assistance amount, one must consider both the total amount of Federal assistance to be used (including CDBG and other funds) and the hard costs of rehabilitation (including Federal and non-Federal funds). Whenever these two amounts are not the same, the smaller of the two determines the type and level of lead-based paint requirement. For a structure with more than one dwelling unit, the thresholds are applied against the average amount of Federal assistance per unit or the average hard cost of rehabilitation per unit, whichever is lower.

The following is a general overview of the requirements based on dollar thresholds per year per assisted housing unit:

- Up to and including $5,000: Notice, provision of pamphlet, paint testing of surfaces to be disturbed or presumption of lead-based paint, safe work practices as part of rehabilitation (except for minimal amounts of paint disturbances), repair any paint that is disturbed, and clearance after the work and before re-occupancy.

- $5,001–$25,000: Notice, provision of pamphlet, paint testing or presumption, risk assessment to identify lead-based paint hazards, interim control or standard treatment of lead-based paint hazards, and clearance.
- Over $25,000: Notice, provision of pamphlet, paint testing or presumption, risk assessment, abatement of lead-based paint hazards, ongoing lead-based paint maintenance, and clearance.

Lead Safe work practices and clearance testing are not required when maintenance or hazard reduction activities do not disturb painted surfaces or do not exceed the following thresholds:

1. 20 square feet on exterior surfaces;
2. 2 square feet in any one interior room or space, or 10 percent of the total surface area on an interior or exterior component type with a small surface area (e.g., window sills, baseboards, and trim).

This is referred to as the *de minimus* rule and can be found at §35.1350(d) Safe work practices. When work is done under this rule, appropriate documentation shall be retained to demonstrate compliance.

The *Protect Your Family from Lead in Your Home* pamphlet can be downloaded in English and Spanish from www.hud.gov/offices/lead or www.epa.gov/lead, and single paper copies can be obtained from the National Lead Information Clearinghouse at 1-800-424-LEAD. Persons with hearing or speech impediments may access this telephone number via TTY by calling the tollfree Federal Information Relay Service at 1-800-877-8339.

The information in the preceding section is intended to provide basic information on lead-based paint requirements. The applicable portions of 24 CFR Part 35 must be reviewed when undertaking an activity subject to these requirements.

Section 13: Procurement

The purpose of a procurement policy is to ensure that sound business judgement is utilized in all procurement transactions. That supplies, equipment, construction and services are obtained efficiently and economically, and in compliance with applicable federal and state law, and executive orders, and to ensure that all procurement transactions are conducted in a manner that provides full and open competition. These procedures will ensure that all solicitations incorporate clear and accurate descriptions of the technical requirements for the goods or services being procured. 30 ILCS 500 and Section of the Illinois Code must be followed on all applicable purchases. All other appropriate sections of the Illinois Code shall also apply.

The City shall maintain compliance with the federal requirements at 2 CFR, Sections 200.318-200.327. These requirements apply to the procurement of all supplies, equipment, and construction and services that include any federal program funding. 2 CFR references are noted in this section. All other appropriate sections of Illinois Code and local code shall also apply. When federal requirements conflict with local or state requirements, the federal requirement, or most restrictive requirement will be followed. The following federal requirements are included in this Program Manual for ease of reference.

§200.318 General Procurement Standards:

(a) The Non-Federal entity must have and use documented procurement procedures, consistent with State, local, and tribal laws and regulations and the standards of this section, for the acquisition of property or services required under a Federal award or subaward. The non-Federal entity's documented procurement procedures must conform to the procurement standards identified in §200.317 through 200.327.
(b) Non-Federal entities must maintain oversight to ensure that contractors perform in accordance with the terms, conditions, and specifications of their contracts or purchase orders.
(c)(1) The Non-Federal entity must maintain written standards of conduct covering conflicts of interest and governing the actions of its employees engaged in the selection, award and administration of contracts. No employee, officer, or agent may participate in the selection, award, or administration of a contract supported by a Federal award if he or she has a real or apparent conflict of interest. Such a conflict of interest would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract. The officers, employees, and agents of the non-Federal entity may neither solicit nor accept gratuities, favors, or anything of monetary value from contractors or parties to subcontracts. However, non-Federal entities may set standards for situations in which the financial interest is not substantial, or the gift is an unsolicited item of nominal value. The standards of conduct must provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the non-Federal entity.
(2) If the non-Federal entity has a parent, affiliate, or subsidiary organization that is not a State, local government, or Indian tribe, the non-Federal entity must also maintain written standards of conduct covering organizational conflicts of interest. Organizational conflicts of interest mean that because of relationships with a parent company, affiliate, or subsidiary organization, the non-Federal entity is unable or appears to be unable to be impartial in conducting a procurement action involving a related organization.

(d) The Non-Federal entity's procedures must avoid acquisition of unnecessary or duplicative items. Consideration should be given to consolidating or breaking out procurements to obtain a more economical purchase. Where appropriate, an analysis will be made of lease versus purchase alternatives, and any other appropriate analysis to determine the most economical approach.
(e)To foster greater economy and efficiency, and in accordance with efforts to promote cost-effective use of shared services across the Federal Government, the non-Federal entity is encouraged to enter into state and local intergovernmental agreements or inter-entity agreements where appropriate for procurement or use of common or shared goods and services. Competition requirements will be met with documented procurement actions using strategic sourcing, shared services, and other similar procurement arrangements.
(f)The Non-Federal entity is encouraged to use Federal excess and surplus property in lieu of purchasing new equipment and property whenever such use is feasible and reduces project costs.
(g) The Non-Federal entity is encouraged to use value engineering clauses in contracts for construction projects of sufficient size to offer reasonable opportunities for cost reductions. Value engineering is a systematic and creative analysis of each contract item or task to ensure that its essential function is provided at the overall lower cost. (h)The Non-Federal entity must award contracts only to responsible contractors possessing the ability to perform successfully under the terms and conditions of a proposed procurement. Consideration will be given to such matters as contractor integrity, compliance with public policy, record of past performance, and financial and technical resources. See also §200.214.
(i) The Non-Federal entity must maintain records sufficient to detail the history of procurement. These records will include, but are not necessarily limited to, the following: Rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price.
(j)(1) The Non-Federal entity may use a time-and-materials type contract only after a determination that no other contract is suitable and if the contract includes a ceiling price that the contractor exceeds at its own risk. Time- and-materials type contract means a contract whose cost to a non-Federal entity is the sum of:
(i)The actual cost of materials; and
(ii)Direct labor hours charged at fixed hourly rates that reflect wages, general and administrative expenses, and profit.
(2) Since this formula generates an open-ended contract price, a time-and-materials contract provides no positive profit incentive to the contractor for cost control or labor efficiency. Therefore, each contract must set a ceiling price that the contractor exceeds at its own risk. Further, the non-Federal entity awarding such a contract must assert a high degree of oversight in order to obtain reasonable assurance that the contractor is using efficient methods and effective cost controls.
(k) The Non-Federal entity alone must be responsible, in accordance with good administrative practice and sound business judgment, for the settlement of all contractual and administrative issues arising out of procurements. These issues include, but are not limited to, source evaluation, protests, disputes, and claims. These standards do not relieve the non-Federal entity of any contractual responsibilities under its contracts. The Federal awarding agency will not substitute its judgment for that of the non-Federal entity unless the matter is primarily a Federal concern. Violations of law will be referred to the local, state, or Federal authority having proper jurisdiction. [85 FR 49543, Aug. 13, 2020, as amended at 86 FR 10440, Feb. 22, 2021]
(h) The Non-Federal entity's procedures must avoid acquisition of unnecessary or duplicative items. Consideration should be given to consolidating or breaking out procurements to obtain a more economical purchase. Where appropriate, an analysis will be made of lease versus purchase alternatives, and any other appropriate analysis to determine the most economical approach.

(i) To foster greater economy and efficiency, and in accordance with efforts to promote cost-effective use of shared services across the Federal Government, the non-Federal entity is encouraged to enter into state and local intergovernmental agreements or inter-entity agreements where appropriate for procurement or use of common or shared goods and services. Competition requirements will be met with documented procurement actions using strategic sourcing, shared services, and other similar procurement arrangements.
(j) The Non-Federal entity is encouraged to use Federal excess and surplus property in lieu of purchasing new equipment and property whenever such use is feasible and reduces project costs.
(k) The Non-Federal entity is encouraged to use value engineering clauses in contracts for construction projects of sufficient size to offer reasonable opportunities for cost reductions. Value engineering is a systematic and creative analysis of each contract item or task to ensure that its essential function is provided at the overall lower cost. (h)The Non-Federal entity must award contracts only to responsible contractors possessing the ability to perform successfully under the terms and conditions of a proposed procurement. Consideration will be given to such matters as contractor integrity, compliance with public policy, record of past performance, and financial and technical resources. See also §200.214.
(l)The Non-Federal entity must maintain records sufficient to detail the history of procurement. These records will include, but are not necessarily limited to, the following: Rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price.
(m) (1) The Non-Federal entity may use a time-and-materials type contract only after a determination that no other contract is suitable and if the contract includes a ceiling price that the contractor exceeds at its own risk. Time- and-materials type contract means a contract whose cost to a non-Federal entity is the sum of:
(iii) The actual cost of materials; and
(iv) Direct labor hours charged at fixed hourly rates that reflect wages, general and administrative expenses, and profit.
(2) Since this formula generates an open-ended contract price, a time-and-materials contract provides no positive profit incentive to the contractor for cost control or labor efficiency. Therefore, each contract must set a ceiling price that the contractor exceeds at its own risk. Further, the non-Federal entity awarding such a contract must assert a high degree of oversight in order to obtain reasonable assurance that the contractor is using efficient methods and effective cost controls.
(n) The Non-Federal entity alone must be responsible, in accordance with good administrative practice and sound business judgment, for the settlement of all contractual and administrative issues arising out of procurements. These issues include, but are not limited to, source evaluation, protests, disputes, and claims. These standards do not relieve the non-Federal entity of any contractual responsibilities under its contracts. The Federal awarding agency will not substitute its judgment for that of the non-Federal entity unless the matter is primarily a Federal concern. Violations of law will be referred to the local, state, or Federal authority having proper jurisdiction. [85 FR 49543, Aug. 13, 2020, as amended at 86 FR 10440, Feb. 22, 2021]

§200.322 Domestic Preferences for Procurements:

(a)As appropriate and to the extent consistent with law, the non-Federal entity should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the

United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all subawards including all contracts and purchase orders for work or products under this award.
(b)For purposes of this section:
(1) “Produced in the United States” means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
(2) “Manufactured products” means items and construction materials composed in whole or in part of non- ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.

§200.323 Procurement of Recovered Materials:

A non-Federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds $10,000 or the value of the quantity acquired during the preceding fiscal year exceeded $10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

§200.324 Contract Cost and Price:

(a) The Non-Federal entity must perform a cost or price analysis in connection with every procurement action in excess of the Simplified Acquisition Threshold including contract modifications. The method and degree of analysis is dependent on the facts surrounding the particular procurement situation, but as a starting point, the non-Federal entity must make independent estimates before receiving bids or proposals.
(b) The Non-Federal entity must negotiate profit as a separate element of the price for each contract in which there is no price competition and, in all cases, where cost analysis is performed. To establish a fair and reasonable profit, consideration must be given to the complexity of the work to be performed, the risk borne by the contractor, the contractor's investment, the amount of subcontracting, the quality of its record of past performance, and industry profit rates in the surrounding geographical area for similar work.
(c)Costs or prices based on estimated costs for contracts under the Federal award are allowable only to the extent that costs incurred, or cost estimates included in negotiated prices would be allowable for the non-Federal entity under subpart E of this part. The non-Federal entity may reference its own cost principles that comply with the Federal cost principles.
(d)The cost plus a percentage of cost and percentage of construction cost methods of contracting must not be used.

§200.325 Federal Awarding Agency or Pass-Through Entity [HSEMD] Review:

(a) The Non-Federal entity must make available, upon request of the Federal awarding agency or pass-through entity, technical specifications on proposed procurements where the Federal awarding agency or pass-through entity believes such review is needed to ensure that the item or service specified is the one being proposed for acquisition. This review generally will take place prior to the time the specification is incorporated into a solicitation document. However, if the non-Federal entity desires to have the review accomplished after a solicitation has been developed, the Federal awarding agency or pass-through entity may still review the specifications, with such review usually limited to the technical aspects of the proposed purchase.

(b) The Non-Federal entity must make available upon request, for the Federal awarding agency or pass-through entity pre-procurement review, procurement documents, such as requests for proposals or invitations for bids, or independent cost estimates, when:

(1) The Non-Federal entity's procurement procedures or operation fails to comply with the procurement standards in this part;

(2) The procurement is expected to exceed the Simplified Acquisition Threshold and is to be awarded without competition or only one bid or offer is received in response to a solicitation;

(3) The procurement, which is expected to exceed the Simplified Acquisition Threshold, specifies a “brand name” product;

(4) The proposed contract is more than the Simplified Acquisition Threshold and is to be awarded to other than the apparent low bidder under a sealed bid procurement; or

(5) A proposed contract modification changes the scope of a contract or increases the contract amount by more than the Simplified Acquisition Threshold.

(c) The Non-Federal entity is exempt from the pre-procurement review in paragraph (b) of this section if the Federal awarding agency or pass-through entity determines that its procurement systems comply with the standards of this part.

(1) The Non-Federal entity may request that its procurement system be reviewed by the Federal awarding agency or pass-through entity to determine whether its system meets these standards in order for its system to be certified. Generally, these reviews must occur where there is continuous high-dollar funding, and third-party contracts are awarded on a regular basis;

(2) The Non-Federal entity may self-certify its procurement system. Such self-certification must not limit the Federal awarding agency's right to survey the system. Under a self-certification procedure, the Federal awarding agency may rely on written assurances from the non-Federal entity that it is complying with these standards. The non-Federal entity must cite specific policies, procedures, regulations, or standards as being in compliance with these requirements and have its system available for review.

§200.326 Bonding Requirements:

For construction or facility improvement contracts or subcontracts exceeding the Simplified Acquisition Threshold, the Federal awarding agency or pass-through entity may accept the bonding policy and requirements of the non-Federal entity provided that the Federal awarding agency or pass-through entity has made a determination that the Federal interest is adequately protected. If such a determination has not been made, the minimum requirements must be as follows:

(a) A bid guarantee from each bidder equivalent to five percent of the bid price. The “bid guarantee” must consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of the bid, execute such contractual documents as may be required within the time specified.

(b) A performance bond on the part of the contractor for 100 percent of the contract price. A “performance bond” is one executed in connection with a contract to secure fulfillment of all the contractor's requirements under such contract.

(c) A payment bond on the part of the contractor for 100 percent of the contract price. A “payment bond” is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

Section 14: Program Income

The receipt and expenditure of program income as defined in §570.500(a) shall be recorded as part of the financial transactions of the City of Rock Island CDBG grant program. Program income received before grant closeout may be retained by The City of Rock Island if the income is treated as additional CDBG funds subject to all applicable requirements governing the use of CDBG funds.

Section 15: Uniform Administrative Requirements

The City shall follow the Office of Management and Budget (OMB) Uniform Grant Guidelines which are located at 2CFR200 and can be found at http://www.ecfr.gov/. Additionally, the City will ensure that all subrecipients comply with the Uniform Grant Guidelines.

Section 16: Labor Standards

Participants in The City of Rock Island's CDBG program are responsible for full compliance with labor standards regulations at all contracting levels, including the general contractor, subcontractors, and any other lower level subcontractor. Construction work that is financed in whole or in part with CDBG funds must adhere to federal labor standards. Labor Standard requirements include the Davis-Bacon Act, Copeland "Anti-Kickback Act" and Contract Work Hours and Safety Standards Act.

These requirements are commonly known as the "Davis-Bacon and Related Acts", abbreviated as "DBRA". These Acts require that:

- Contractors with a history of non-compliance cannot participate in federally-assisted
- projects.
- Workers must be paid weekly wages set by the Department of Labor and be paid overtime at time-and-a half for hours worked beyond a forty (40) hour work week.
- No laborer or mechanic may be forced by their employer to work in conditions that are unsanitary, hazardous, or dangerous to the worker's health.
- The City and the subrecipients must monitor compliance through contractor reports and on-site interviews with workers.

Down Payment Assistance (without construction or rehabilitation involved) or rehabilitation of owner-occupied homes do not trigger Labor Standards requirements.

The following activities trigger Federal Labor Standards:

- CDBG-funded construction or rehabilitation of properties with eight (8) or more total residential units.
- Other construction projects using over $2,000 in CDBG funds. This could include public improvements to neighborhoods or housing projects, such as streets or sidewalks.
- Clearance of land or site improvements with CDBG funds which will ultimately result in eight (8) or more units (or homes) being built on the project site or which will be used for public improvements such as a park or parking lot.

"Construction" is broadly defined and includes the "Hard Costs" of rehabilitation, repairs, painting, decoration and the installation of equipment when installation costs are more than incidental. It does not include soft costs, such as design or engineering costs.

The following do not trigger Federal Labor Standards:

- Owners, supervisors and managers of the construction company
- Bona-fide self-employed contractors
- Government (state, local) employees involved with the project
- Utilities work (performed by utility companies)
- Construction work that is determined to not be part of a CDBG assisted project or building

Laws and Regulations that apply:

Davis-Bacon Act (DBA):
Pay workers the prevailing wage. All laborers and mechanics employed by contractors or subcontractors who work on a federally funded or assisted construction project where the contract is in excess of $2,000 must be paid the "prevailing wage" for workers performing similar construction in the market area and they must be paid at least weekly. This wage rate is set periodically by the Department of Labor (DOL) and the CDBG Coordinator will request a wage determination from HUD prior to requesting bids or proposals from contractors. Published wage rates for CDBG projects must be posted on-site for review by employees' and the general public.

1. Exceptions to Wage Rate: Workers classified as Apprentices and Trainees may be compensated at a rate that is less than the Davis-Bacon rate:
    a. Apprentices – These are formal trainees that are registered in an apprenticeship program that has been approved by the DOL or by a state apprenticeship agency that has been recognized by the DOL. (A new apprentice is approved for probationary employment for 90 days and then registered.
    b. Trainee – These are registered participants in an employment training program that has been approved by the DOL. There is no provision for state agency approval. Probationary employment is an option that may be include in the program.

Contract Work Hours and Safety Standards Act (CWHSSA):
Applies to both federal contracts and indirect federally assisted contracts except where the assistance is solely in the nature of a loan guarantee or insurance. CWHSSA violations carry a liquidated damage penalty ($10 a day per violation). Intentional violations of CWHSSA are considered a federal criminal misdemeanor.

1. Work Hours – Contractors must pay one and a half times the base hourly rate for each hour more than 40 that any employee works in a seven (7) day period.
2. Safety Standards – DOL has written standards that define what is unsanitary, hazardous, or dangerous. Laborers and mechanics may not be required to work in these conditions.

Copeland Act (Anti-Kickback Act):

1. No "Kick-Backs" to employers. All laborers and mechanics must be paid without "strings" attached to their paychecks and they must be paid at least weekly.
2. Take only Eligible Payroll Deductions. They must be paid at least the prevailing wage rate and cannot be required to have deductions taken from their check other than those that are permitted by DOL regulations.
3. Contract Language. All contracts for construction, reconstruction or repair (regardless of value) must contain the following prohibition: "No contractor or subcontractor shall induce, by any means, any person employed in such publicly-funded construction, reconstruction, or repair to give up any part of the compensation to which he/she is otherwise entitled by authorized payroll deductions."
4. Submit Payroll Evidence. Weekly payroll and compliance statements are required to be submitted to the City of Rock Island's CDBG Housing Officer.

Fair Labor Standards Act (FLSA):

Contains federal minimum wage rate and overtime (O/T) requirements. These requirements generally apply to any labor performed and may be pre-empted by other federal standards such as the DBRA prevailing wage requirements and CWHSSA O/T provisions. Only the DOL has the authority to administer and enforce FLSA. HUD will refer to the DOL any possible FLSA violations that are found on HUD projects.

Section 17: Section 3

The purpose of Section 3 of the Housing and Urban Development Act of 1968 is to ensure that when employment or contracting opportunities are generated because a federally funded or assisted project or activity necessitates the employment of additional persons or the awarding of contracts for work, preference must be given to low- and very low-income persons, particularly those who are recipients of government assistance for housing and/or to business concerns residing in the community where the project is located. It is important to note that Section 3 requirements apply to an entire project or activity regardless if it's fully or partially funded with CDBG.

Section 3 requirements apply to a variety of HUD housing and community development assistance programs, including CDBG. Specifically, for entitled state and local governments that receive a combined total of $200,000 or more in Community Planning and Development assistance, the Section 3 regulations require that contractors who receive contract work funded with CBDG in excess of $100,000 are encouraged to provide, to the greatest extent feasible, training, employment, and contracting opportunities generated by the expenditure of CDBG funds to low- and very low- income persons, and business concerns owned by low- and very low- income persons, or which employ very low- income persons.

Section 3 is triggered when the normal completion of construction and rehabilitation project creates the need for new employment, contracting, or training opportunities. All new employment opportunities including laborers, mechanics, administrative support and professional services qualify as Section 3 jobs. The City will assist each contracting agency with the preparation of a Section 3 plan that must be included in each contract for construction services that exceeds $100,000. If the expenditure of CDBG funding does not result in new employment, contracting, or training opportunities Section 3 requirements have not been triggered. As a condition of funding, subrecipients must submit reports (as applicable) with their Progress Reports on newly hired employees to the City for reporting to HUD.

Subrecipients responsibilities with regard to Section 3:

1. Implement procedures to notify Section 3 residents and business concerns about training, employment, and contracting opportunities generated by CDBG projects or activities;
2. Notify potential contractors and subcontractors working on Section 3 projects of their responsibilities;
3. Incorporate the Section 3 Clause into all covered solicitations and contract [see 24 CFR Part 135.38 below];
4. Facilitate the training and employment of Section 3 residents and the award of contracts to Section 3 business concerns;
5. Refrain from entering into contracts with contracts that are in violation of Section 3 regulations;
6. Document actions taken to comply with Section 3; and
7. Submit Section 3 reports.

All section 3 covered contracts shall include the following clause (referred to as the section 3 clause):

1. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated

by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

2. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
3. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
4. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
5. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
6. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
7. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

Definitions of Section 3 Residents and Business Concerns are as follows:

Section 3 residents are:

- Residents of Public and Indian Housing; or
- Individuals that reside in the City and whose income does not exceed the local HUD income limits set forth for low- or very low-income households.

Section 3 Business Concerns are one of the following:

- Businesses that are 51% or more owned by Section 3 residents;
- Businesses whose permanent, full-time employees include persons, at least 30% of whom are currently Section 3 residents, or within three (3) years of the date of first employment with the firm were Section 3 residents; or
- Businesses that provide evidence of a commitment to subcontract in excess of 25% of the dollar amount of all subcontracts to be awarded to businesses that meet the qualifications described above.

Section 18: MBE/WBE

It is national policy for federal programs to award a fair share of contracts to minority and woman-owned business firms. Subrecipients that are awarding contracts for construction and services to be supported with City CDBG funds shall take appropriate affirmative action to support minority and women-owned businesses (MBE and WBE businesses) and are encouraged to procure goods and services from labor surplus areas. At the time of contract execution, contractors shall complete and include with their contract the MBE/WBE participation forms that are a part of all CDBG bid documents and shall submit with the contract the required information on the solicitation of MBE/WBE firms in the proposed project or service.

Steps to affirmatively promote efforts to utilize MBE/WBE businesses should include:

- Placing qualified small and minority businesses and women's businesses enterprises on bid solicitation lists;
- Contacting these enterprises whenever they are potential sources for the services needing to be procured;
- Dividing the work to be performed, when economically feasible, into smaller tasks or quantities to permit maximum participation by these MBE/WBE and small firms;
- Establishing delivery schedules, where possible, which encourage the participation of these firms;
- Using the services and assistance of the Small Business Administration (SBA) and the Department of Commerce and Economic Opportunity to promote MBE/WBE participation; and
- Requiring prime contractors, if subcontracts are to be awarded, to affirmatively promote the solicitation and utilization of these firms by utilizing the above listed steps.

Contractors and their subcontractors will be required to demonstrate a good faith effort to promote the utilization of MBE/WBE firms for all CDBG-funded projects and services as a condition of the award of CDBG funds.

Section 19: Equal Employment Opportunity

CDBG requires that subrecipients comply with the regulations discussed below governing employment and contracting opportunities.

- Equal Employment Opportunity, Executive Order 11246, as amended: Prohibits discrimination against any employee or applicant for employment because of race, color, religion, sex, or national origin. Provisions to effectuate this prohibition must be included in all construction contracts exceeding $10,000. Implementing regulations may be found at 41 CFR Part 60.
- Employers must not discriminate.
- Employers must remove physical and administrative barriers to employment.
- Employers must make reasonable accommodations for individuals with known disabilities (e.g., job restructuring, providing readers of sign interpreters, making facilities accessible).

Section 20: Civil Rights and Section 504

The CDBG regulations also require adherence to the following regulations governing the accessibility of federal-assisted buildings, facilities, and programs.

- Americans with Disabilities Act (42 U.S.C. 12131; 47 U.S.C. 155, 201, 218, and 225): Provides comprehensive civil rights to individuals with disabilities in the areas of employment, public accommodations, state and local government services, and telecommunications. The Act, referred to as the ADA, also states that discrimination includes the failure to design and construct facilities (built for first occupancy after January 26, 1993) that are accessible to and usable by persons with disabilities. The ADA also requires the removal of architectural and communication barriers that are structural in nature in existing facilities. Removal must be readily achievable, easily accomplishable, and carried out without much difficulty or expense. Fair Housing Act: Multi-family dwellings must also meet the design and construction requirements at 24 CFR 100.205, which implement the Fair Housing Act (42 U.S.C. 3601-19).

- Section 504: Section 504 or the Rehabilitation Act of 1973 prohibits discrimination in federally assisted programs based on handicap. Section 504 imposes requirements to ensure that "Qualified individuals with handicaps" have access to programs and activities that receive Federal funds. Under Section 504, recipients and subrecipients are defined more broadly than under the CDBG program. Section 504 recipients and subrecipients include any entity that receives Federal funding.
- For any recipient or subrecipient principally involved in housing or social services, all of the activities of the agency, not just those directly receiving federal assistance, are covered under Section 504.
- Contractors and vendors are subject to Section 504 requirements only in the work they do on behalf of a recipient or subrecipient. The ultimate beneficiary of the Federal assistance is not subject to Section 504 requirements.
- Under Section 504, recipients and subrecipient are not required to take actions that create undue financial and administrative burdens or alter the fundamental nature of the program.

The Architectural Barriers Act of 1968 (42 USC 4151-4157):
This Act requires certain Federal and Federally-funded buildings and other facilities to be designed, constructed or altered in accordance with standards that ensure accessibility to, and use by, physically challenged people.

Removal of Physical Barriers:

- For new construction of multi-family projects, 5 percent of the units in the project (but not less than one unit) must be accessible to individuals with mobility impairments, and an additional 2 percent of the units (but not less than one unit) must be accessible to individuals with sensory impairments.
- The Section 504 definition of substantial rehabilitation multi-family projects includes construction in a project with 15 or more units for which the rehabilitation costs will be 75 percent or more of the replacement cost. In such developments, 5 percent of the units in the project (but not less than one unit) must be accessible to individuals with mobility impairments,

and an additional 2 percent (but not less than one unit) must be accessible to individuals with sensory impairments.

- When rehabilitation less extensive than substantial rehabilitation is undertaken, alterations must, to the maximum extent feasible, make the unit accessible to and usable by individuals with disabilities, until 5 percent of the units are accessible to people with mobility impairments. Alterations to common spaces must make the project accessible to the maximum extent feasible.
- Accessible units must be, to the maximum extent feasible, distributed throughout projects and sites, and must be available in a sufficient range of sizes and amenities so as not to limit choice.
- Owners and managers of projects with accessible units must adopt suitable means to assure that information regarding the availability of accessible units reaches eligible individuals with disabilities. They also must take reasonable non-discriminatory steps to maximize use of such units by eligible individuals.
- When an accessible unit becomes vacant, before offering the unit to a non-Severely disabled individual, the owner/manager should offer the unit; first, to a current occupant of the project requiring the accessibility feature; and second, to an eligible qualified applicant on the waiting list requiring the accessibility features. The usual standards for ensuring compliance with Section 504 are the Uniform Federal Accessibility Standards (UFAS), although deviations are permitted in specific circumstances.

Provide Program Accessibility:

- Individuals with disabilities must be able to find out about, apply for, and participate in federally assisted programs or activities.
- Special communication systems may be needed for outreach and ongoing communication (e.g., Telecommunications Devices for the Deaf (TDD), materials on tape or in Braille, accessible locations for activities and meetings).
- Policies and procedures must be non-discriminatory (e.g., housing providers may not ask people with disabilities questions not asked of all applicants, screen individuals with disabilities differently or assess an individual’s ability to live independently).

Section 21: Uniform Relocation Act

The City of Rock Island will strive to avoid activities that result in the displacement of area residents and businesses. Where displacement is unavoidable, all Federal requirements regarding displacement will be met. This section focuses on the procedures involved in obtaining property and/or permanent and temporary easements. All of the applicable procedures are set forth by the Federal Uniform Relocation and Real Property Acquisition Policies Act (URA). The objectives of the URA are:

- To ensure that owners of real property to be acquired for Community Development Block Grant (CDBG)-assisted projects are treated fairly and consistently,
- Encourage and expedite acquisition by agreements with property owners,
- To ensure that persons displaced from their homes or places of business as a result of CDBG-assisted activities are treated consistently and equitably and do not suffer disproportionate injury as the result of a project designed for the benefit of the public as a whole.

The URA applies to all federally assisted activities that involve the acquisition of real property, easements, or the displacement of persons, including displacement caused by rehabilitation and demolition activities. If CDBG assistance is used in any part of a project, the URA governs the acquisition of real property and any resulting displacement, even if local funds were used to pay the acquisition costs. Private persons, corporations or businesses that acquire property or displace persons for a CDBG-assisted project are subject to the URA.

Under the URA, all persons displaced as a direct result of acquisition, rehabilitation, or demolition, for a CDBG-assisted project, are entitled to relocation payments and other assistance under the URA. All acquisitions made in order to support a CDBG activity are subject to the URA. Acquisition that takes place on or after the date of submission of a CDBG application to fund an activity on that property is subject to URA, unless the Grantee shows that the acquisition was unrelated to the proposed CDBG activity. Acquisition that takes place before the date of submission of the application will be subjected to the URA if the Office of Community Reinvestment determines that the intent of the acquisition was to support a subsequent CDBG activity.

The URA provisions apply to all types of long-term acquisition of property, including when acquiring full fee title, fee title subject to retention of a life estate or a life use, long-term leases (including leases with options for extensions) of 50 years or more, and to permanent and temporary easements necessary for the project. However, the Grantee may apply these regulations to any less-than-full acquisition.

The relocation assistance provisions are applicable to homeowners, tenants, businesses and non-profits that must move because of an acquisition. Such homeowners and tenants are considered displaced persons. If the project involves displacement of the owner or tenant, please contact the Office of Community Reinvestment before proceeding with the acquisition process.

Section 22: Real Property Acquisition/Easement/Compensation

Below are the steps required acquire real property:

1. Determine what Properties will be acquired: The subrecipient, with its Engineer or Attorney, should review every proposed activity to determine property acquisition needs and identify the particular properties to be obtained. Activities such as street widening, water and sewer improvements, or sidewalk construction do not have an obvious property acquisition requirement, but there is often a need to acquire easements or rights-of-way.
2. Determine Ownership of Properties to be acquired: The subrecipient must provide proof of ownership for the easement, land or building by conducting a title search of properties to be acquired for the project. This can be accomplished through a title
3. search. If the owner has acquired the property through a means other than a warranty deed, then the subrecipient must obtain either an attorney title opinion letter, or purchase title insurance through a title surety company. Permanent easement ownership may be determined by a search of records and accompanying attorney opinion letter stating the owner of record. In the case of public improvement activities, be sure to verify that the property to be improved is in the public domain. Sometimes rights-of-way are privately owned.
4. Establish a File for Each Property or Easement to be acquired: The subrecipient must establish and maintain a file for each property or easement to be acquired and include copies of all acquisition documents. Files must be retained for a period of specified in the subrecipients funding agreement.
5. Notify Owner of Interest in Acquiring the Real Property or Easement: As soon as feasible, the subrecipient shall notify the owner in writing of the subrecipient's interest in acquiring the real property or easement and the basic protections provided to the owner by law. In this initial notice to the property owner any applicable Housing and Urban Development (HUD) relocation notice should be delivered to the property owner or sent by certified or registered first-class mail with return receipt requested. Copies of certified mail return receipts or hand-delivered receipts must be placed in the subrecipients file.
6. Determine Value and Obtain Appraisal(s) and/or Market Estimates for Each Property or Easement: Before the initiation of negotiations, the value of the real property or easement should be determined by the subrecipient thru a market estimate using all available data or resources.
7. Establish and Offer Just Compensation: Upon completion of Step 5, the Grantee shall make a written offer to the owner to acquire the property for the full amount believed to be just compensation. The offer should identify the following:
    a. A statement of the amount offered as just compensation,
    b. A description and location identification of the real property and the interest in the real property to be acquired (e.g., fee simple, easement, etc., and
    c. An identification of the buildings, structures, and other improvements (including removable building equipment and trade fixtures) which are included as part of the offer of just compensation. Where appropriate, the statement shall identify any other separately held ownership interest in the property, e.g., a tenant-owned improvement, and indicate that such an interest is not covered by this offer.
8. Complete Acquisition or Decide not to Acquire: Subrecipient or the subrecipient's attorney shall make all reasonable efforts to contact the owner or the owner's representative to discuss the offer to purchase the property, including the basis for the offer of just compensation, and

explain the acquisition policies and procedures, including the payment of incidental expenses. The owner shall be given a reasonable opportunity to consider the offer and present material that the owner believes is relevant to determining the value of the property and/or to suggest modifications in the proposed terms and conditions of the purchase. The time given can vary significantly depending on the circumstances, but property owners must be given a reasonable amount of time to consider the offer.

Voluntary Sale:
On occasion a subrecipient will be fortunate enough to find a suitable piece of property in which the property owner is interested in selling. This situation is known as a Voluntary Sale. An example of this situation would be a property that is already listed by the property owner for sale through a broker or self-sale. For this situation to be applicable the property owner must seriously want to sell the property.

Below are the steps for completing a voluntary sale transaction:

1. Determine Ownership of Property/Easement to be acquired: The subrecipient must always provide proof of ownership for the acquisition of easements, land or buildings, even when using the voluntary sale process. This is accomplished by conducting a title search to determine ownership of properties to be acquired. If the owner has acquired the property through a means other than a warranty deed, then the Grantee must obtain either an attorney title opinion letter, or purchase title insurance through a title surety company.
2. Establish a File for the Property: The subrecipient must establish and maintain a file for each property/easement to be acquired and include copies of all acquisition documents. Records must be retained for the period of time stated in the subrecipients funding agreement.
3. Inform the owner in writing of their interest in the property: Subrecipient must state the following in its offer:
    1. The subrecipient and the City will not use its power of eminent domain to acquire the property if negotiations fail.
    2. The subrecipient must also inform the owner of the value of the property based upon the available information.
    3. The offer must be made by certified mail.
4. Complete Acquisition: If the owner finds the offer to be acceptable, a purchase option or sales contract must be signed.

Relocation
In the event the subrecipient is acquiring a property that will require individuals to be temporarily or permanently relocated, the subrecipient must follow additional rules and regulations stated in the URA. If relocation procedures are required, the subrecipient should contact the City and staff will assist the subrecipient in meeting all the applicable regulations for the Uniform Relocation Act (URA). All of the URA rules and procedures are documented in the HUD 1378 Handbook.

Memorandum

To: Rock Island City Council

From: Miles Brainard, Director

Subject: Report from the Community and Economic Development Department regarding the creation of a redevelopment agreement with Project NOW, Inc. for the purpose of providing renovation assistance to the Star Cres Building.

Motion: Motion whether or not to approve $250,000 in ARPA funds for Project NOW, Inc. to support the renovation of the Star Cres Building; and authorize staff to negotiate a redevelopment agreement for Council consideration.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

Recently, there has been discussion about Project Now's pending purchase of the Star Cres Building at 1830 2nd Avenue. The organization's intention is to consolidate most of its operations in that building. The building it operates out of now is not large enough and would be challenging to renovate. The City Council has previously voiced its interest in combating the problem of homelessness. Much of the work that Project Now does is centered on that issue. Following feedback from the Council, staff propose that the $250,000 in ARPA funding that was allocated to address homelessness be used for renovation assistance. A redevelopment agreement can be created stipulating that funds provided by the City are to be used for various building improvements that would extend the life of the building, increase its long-term value, and generally improve the downtown. All of that would be in addition to making it better able to meet Project Now's needs. Like other agreements of this kind, it would work on a reimbursement basis so that qualified expenses could be documented and confirmed prior to payout. A project deadline would be established requiring that Project Now completes the renovation within a set period of time. The agreement could also include a clawback provision ensuring that any violation of the terms, selling the building before a certain period of occupancy ended for example, would obligate repayment to the City.

Previous Council Action (if any):

The City Council has allocated $250,000 in ARPA funding to generally assist with combatting the problem of homelessness in the community.

Budget Impact:

The $250,000 in ARPA funding described above would be expended.

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

NA

Council Goal (if applicable):

NA

Recommendation:

The Community & Economic Development Department recommends that the City Council support Project Now's renovation of the Star Cres Building at 1830 2nd Avenue using $250,000 in ARPA funding and direct staff to negotiate a redevelopment agreement.

Submitted by: Miles Brainard, Director

Approved by: Joshua Adams

Memorandum

To: Rock Island City Council

From: Mike Bartels, Director

Subject: Report from the Traffic Engineering Committee regarding a request to install residential parking (Type B) on the south side of 9th Avenue from 11th Street to the east of 1120 9th Avenue. (First Reading)

Motion: Motion whether or not to consider, suspend the rules, and pass the ordinance.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The Traffic Engineering Committee received a neighborhood petition to install residential parking (Type B) on the south side of 9th Avenue from 11th Street to the east of 1120 9th Avenue.

Previous Council Action (if any):

Budget Impact:

The cost to the City is minimal.

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

A neighborhood petition was submitted.

Residential Parking Type B Area—The resident or residents of a dwelling unit which abuts or is adjacent to a designated residential parking only area may obtain from the Finance Department of the City, by payment of a five dollar ($5.00) fee, a parking permit for each owned, leased, rented or assigned vehicle. This permit will allow parking in any otherwise legal parking space within the designated area. These permits shall not be transferable to other vehicles.

Each resident issued with a parking permit will also receive, without additional fee, two (2) visitor parking permits which may be displayed only upon vehicles not registered to residents of the dwelling and may be transferred between vehicles. Visitor parking permits may be displayed only on vehicles used by persons not at the home of the resident to whom the permit was issued.

In the event that a resident does not own any vehicles, two (2) visitor permits may be obtained by payment of a five dollar ($5.00) fee.

Council Goal (if applicable):

Recommendation:
The Traffic Engineering Committee recommends that the City Council approve the installation of residential parking (Type B) on the south side of 9th Avenue from 11 Street to the east of 1120 9th Avenue and consider the ordinance.

Submitted by: Michelle Martin, Manager

Approved by: Joshua Adams

A SPECIAL ORDINANCE
ESTABLISHING A TYPE B RESIDENTIAL PARKING ONLY AREA
IN THE CITY OF ROCK ISLAND, ILLINOIS

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROCK ISLAND, ILLINOIS:

Section One. That the parking area on the south side of 9th Avenue from 11th Street to the east of 1120 9th Avenue in the City of Rock Island, Illinois, be and is hereby determined to be a Type B residential permit parking only area pursuant to the provisions of Chapter 9, Article II, Division 5, Sections 9-97.1 through 9-100 of the Code of Ordinances of the City of Rock Island, Illinois.

Section Two. All ordinances and parts of ordinances in conflict herewith are hereby repealed insofar as they do so conflict.

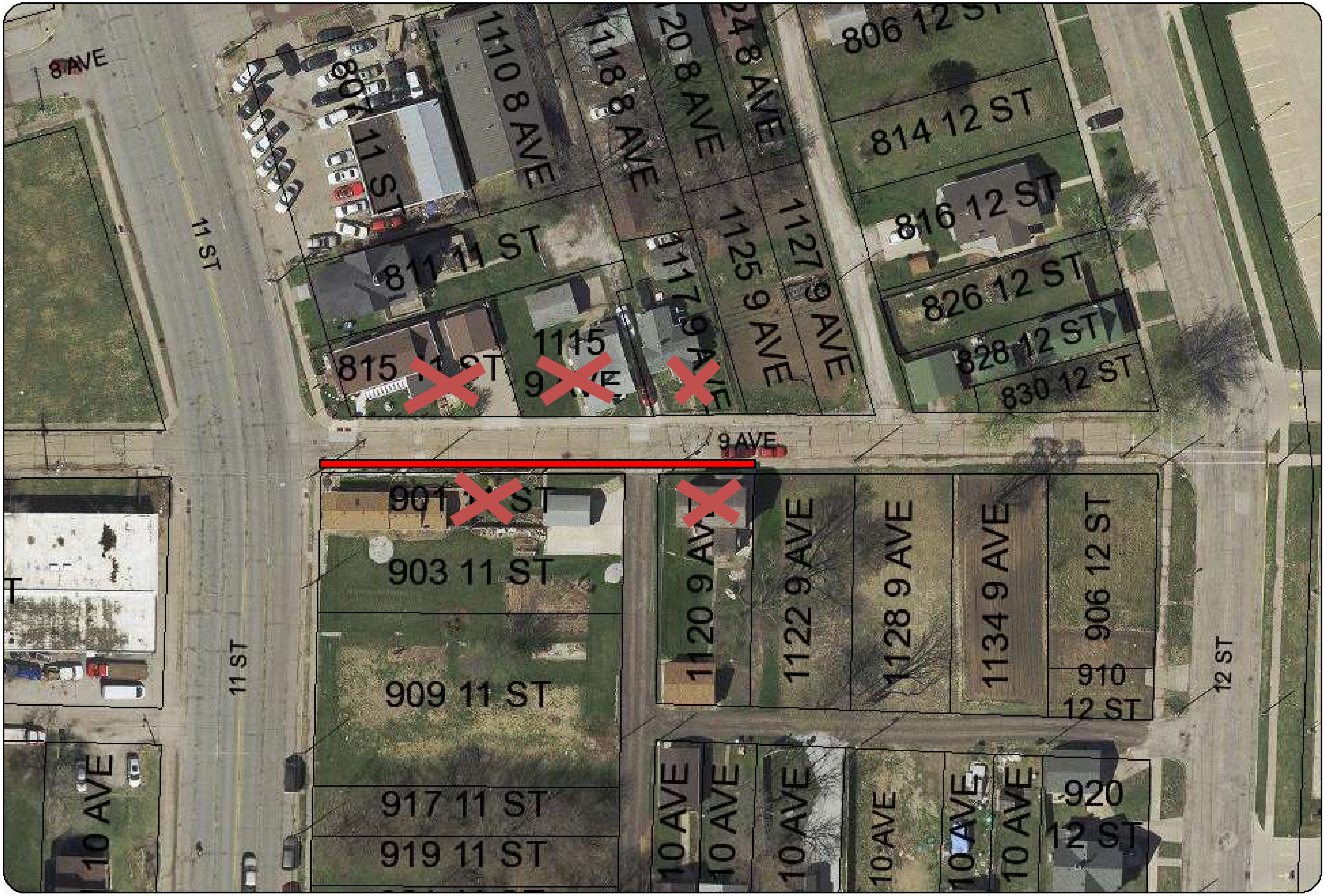
Section Three. This ordinance shall be in full force and effect 10 days from its passage and approval, as required by law.

____
MAYOR OF THE CITY OF ROCK ISLAND

PASSED:

APPROVED:

ATTEST: ______________________________________
CITY CLERK



0 10 20 40 60 Feet

N

ArcGIS Web Map

Date: 9/22/2023

ROCK ISLAND ILLINOIS

Disclaimer: This map is not a substitute for an actual field survey or online investigation. The accuracy of the map is limited to the quality of the records from which it was assembled. The City of Rock Island makes no warranty concerning this information.

People living on 9th ave. between
11th st. & 12th st in Rock Island, IL
request permit parking. Our street
is smaller than other streets, so we
have limited parking.

Eric Babers - 1120 9th Ave
Doug Beech 1115 9TH AVE
Dan Gibbs 901 11th st
[illegible] 1117 9th Ave Rock Island
Ma Isabel Guadalajara 9?5 11 St

If additional information is needed
contact DAN Gibbs at 309-786-5061

Memorandum

To: Rock Island City Council

From: Colleen Skolrood, Executive Assistant

Subject: Report from the Mayor's Office regarding reappointments to the Ethics Commission, Housing Authority, and Police Community Relations Commission.

Motion: Motion or not whether to approve the reappointments as recommended.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

Ethics Commission -The term is for three years, expiring July 2026.

Reappointment Daniel Lee - Mr. Lee has served on the Ethics Commission since 2004 and would like to continue to serve.

Reappointment of Berlinda Tyler-Jamison - Ms. Tyler-Jamison has served on the Ethics Commission since 2004 and would like to continue to serve.

Housing Authority - The term is for five years, expiring October 2028.

Reappointment of Dytanya Robinson - Ms. Robinson has served on the Housing Authority since 2019 and would like to continue to serve.

Reappointment of Robb McCoy - Mr. McCoy has served on the Housing Authority since 2018 and would like to continue to serve.

Police Community Relations Commission - The term is for three years, expiring September 2026.

Reappointment of Cody Eliff - Mr. Eliff has served on the Police Community Relations Commission since December 2021 and would like to continue to serve.

Rock Island County Waste Management Agency - The term is for four years, expiring October 2027.

Reappointment of Randy Hurt - Mr. Hurt has served on the Rock Island County Waste Management Agency since 2020 and would like to continue to serve.

Previous Council Action (if any):

Budget Impact:

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

Council Goal (if applicable):

Recommendation:

Recommend the reappointment as recommended.

Submitted by: Colleen Skolrood, Executive Assistant

Approved by: Joshua Adams

Memorandum

To: Rock Island City Council

From: Bob Graff, Fire Chief

Subject: Report from the Fire Department regarding a request from the Rock Island Firefighters Association, IAFF Local 25, regarding a request to utilize the intersection of 30th Street and 18th Avenue for their annual Muscular Dystrophy Association "Fill the Boot" fundraising campaign from Friday, October 13 through Sunday, October 15, 2023.

Motion: Motion whether or not to approve the request as recommended.

RC Roll Call vote is needed.

Date: October 9, 2023

Introduction and Background Information:

The Rock Island Firefighters Association, IAFF Local 26, is seeking permission to conduct a fundraising campaign for the Muscular Dystrophy Association (MDA). This fundraising would consist of collecting donations as part of the annual "Fill the Boot" campaign at the intersection of 30th Street and 18th Avenue on the following dates and times:

Friday, October 13, 2023 - 3:00 pm to 6:00 pm
Saturday, October 14, 2023 - 10:00 am to 1:00 pm
Sunday, October 15, 2023 - 10 am to 1:00 pm

All of the necessary safety precautions will be put in place by MDA staff, and the MDA carries an insurance policy on all active and retired firefighters who are volunteering on their behalf. Enclosed is a copy of their Certificate of Liability Insurance.

Previous Council Action (if any):

The Council has previously approved this event.

Budget Impact:

N/A

Additional Information as applicable (i.e. provide alternative options, community or staff input, staffing impact; resident impact; etc.):

N/A

Council Goal (if applicable):

N/A

Recommendation:

City Council approved IAFF Local 26's request to collect donations as part of their annual "Fill the Boot" campaign at the intersection of 30th Street and 18th Avenue on the dates and during the times listed above.

Submitted by: Isabel Pena, Office Assistant III

Approved by: Joshua Adams

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 9/05/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER USI Insurance Services LLC 333 Westchester Ave, Suite 102 White Plains, NY 10604	CONTACT NAME: Amelia Jimenez PHONE (A/C, No, Ext): 516 419-4056 FAX (A/C, No): 610 537-4552 E-MAIL ADDRESS: amelia.jimenez@usi.com
INSURED Muscular Dystrophy Association, Inc. 1016 W Jackson Blvd #1073 Chicago, IL 60607	

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Philadelphia Indemnity Insurance Co.	18058
INSURER B : Technology Insurance Company, Inc.	42376
INSURER C :	
INSURER D :	
INSURER E :	
INSURER F :	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY			PHPK2536100	04/01/2023	04/01/2024	EACH OCCURRENCE	$1,000,000
	CLAIMS-MADE X OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	$1,000,000
	X BI/PD Ded:15000						MED EXP (Any one person)	$20,000
							PERSONAL & ADV INJURY	$1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	$2,000,000
	POLICY PRO-JECT LOC						PRODUCTS - COMP/OP AGG	$2,000,000
	OTHER:							$
A	AUTOMOBILE LIABILITY			PHPK2536100	04/01/2023	04/01/2024	COMBINED SINGLE LIMIT (Ea accident)	$1,000,000
	ANY AUTO						BODILY INJURY (Per person)	$
	OWNED AUTOS ONLY; SCHEDULED AUTOS						BODILY INJURY (Per accident)	$
	X HIRED AUTOS ONLY; X NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident)	$
								$
	UMBRELLA LIAB; OCCUR						EACH OCCURRENCE	$
	EXCESS LIAB; CLAIMS-MADE						AGGREGATE	$
	DED RETENTION $							$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			TWC4252716	04/01/2023	04/01/2024	X PER STATUTE; OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? Y/N: N	N / A					E.L. EACH ACCIDENT	$1,000,000
	(Mandatory in NH)						E.L. DISEASE - EA EMPLOYEE	$1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: Rock Island Fire Fill the Boot 2023

The General Liability policy includes an automatic Additional Insured endorsement that provides Additional Insured status to the City of Rock Island only when there is a written contract that requires such status, and only with regard to work performed by or on behalf of the named insured.

CERTIFICATE HOLDER	CANCELLATION
City of Rock Island 1528 3rd Ave. Rock Island, IL 61201	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Ulle Scott

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Memorandum
Office of the City Clerk

To: Todd Thompson, City Manager
Subject: Street Closing/Sound Amp. – Moses Robinson
Date: October 3, 2023

Attached are street closing and sound amplification applications from First Ward Alderperson Moses Robinson, requesting to close off 9^{h} Street between 7th and 6th Avenues on Tuesday, October 31, 2023 from 4:00 p.m. to 7:00 p.m. for a Trunk or Treat event.

Sound amplification will be used, with the maximum distance of sound thrown expected to be 50 yards. Food will not be sold.

RECOMMENDATION:

It is recommended that Council approve the requests for the street closing and sound amplification.

Submitted by: Josh Adams, Deputy City Clerk

Approved by: Todd Thompson, City Manager

CITY OF ROCK ISLAND

PERMIT APPLICATION

STREET CLOSING REQUEST

APPLICANT INFORMATION

CONTACT NAME	ADDRESS	CITY	STATE	ZIP CODE
Moses Robinson	924 6th street	Rock Island	IL	61201
TELEPHONE NO.				

ALTERNATE NAME	ADDRESS	CITY	STATE	ZIP CODE
TELEPHONE NO.				

Street area to be blocked off: (attach map if possible) 9th St/Ave

between 7th St/Ave and 6th St/Ave

Day(s)	Date(s)	Start Time	End Time
1	Oct 31st	4pm	7pm

TYPE OF EVENT – PURPOSE (Block party, etc.) Trunk or Treat Event

Will AMPLIFIED sound be used? YES ✔ NO_________

If YES – a Sound Amplification Permit is required. Applications are available from the City Clerk. Fee for Sound Amplification Permit is $25.00 per day. Sound Amplification after 6:00 pm and on Sundays requires approval by the City Council.

Will FOOD be sold? YES_________ NO ✔

If YES – the City Health Inspector will be notified by the City Clerk and will contact you.

If event is open to the public, a Certificate of Insurance naming the City of Rock Island as additional insured is required.

SIGNATURES of persons affected by the street closing MUST be submitted on attached petition indicating their approval or disapproval of the street closing. (If using Sound Amplification, neighbors' approval must also be obtained and can be provided on same form.)

This request requires City Council approval and must be received by the City Clerk at least two weeks before the City Council Meeting prior to your event. The City Council meets each Monday of the month excluding the last Monday of each month and holidays. In July and August, the City Council meets on the second and fourth Mondays of the month.

If approved, barricades will be delivered the Friday prior to your weekend event. If event is during the week, barricades will be delivered one working day prior to the event date. You will be responsible for setting up and taking down the barricades, and returning them to the corners where they were delivered so they may be picked up on the Monday following the weekend event/day following week day event.

Moses Robinson	Oct 3rd, 2023
Signature of Applicant	**Date of Application**

DO NOT WRITE BELOW THIS LINE…TO BE COMPLETED BY THE CITY CLERK'S OFFICE

APPROVALS

Public Works	Police	City Council	Insurance

City Clerk Approval Date	License Number	License Printed Date

License Delivery Date

Return completed application and additional documents to:
City Clerk's Office, 1528 3rd Avenue, Rock Island, IL. 61201
(309) 732-2010

STREET CLOSING NEIGHBORHOOD APPROVAL PETITION

We, the undersigned, approve of the requested street closing for 9th ST/AVE

between 7 Ave ST/AVE and 6th Ave ST/AVE

from 4pm to 7pm on 1 day Oct 31st 2023

Start Time End Time Day (s) / Date (s)

We understand that a Trunk or Treat Event is to be held on the aforementioned date. We have also been notified of any Sound Amplification in conjunction with this event.

NAME	ADDRESS	APPROVE STREET CLOSING	APPROVE SOUND AMPLIFICATION

CITY OF ROCK ISLAND

ROCK ISLAND
ILLINOIS

LICENSE APPLICATION

SOUND AMPLIFICATION

FEE: $25/ Per Day

APPLICANT INFORMATION

BUSINESS/ORGANIZATION NAME	ADDRESS	CITY	STATE	ZIP CODE
TELEPHONE NO.				

APPLICANT'S NAME	ADDRESS	CITY	STATE	ZIP CODE
Moses Robinson	924 6th St	Rock Islan	IL	61201
TELEPHONE NO. (309) 314-1606				

DATE (S) OF ACTIVITY	TIME OF ACTIVITY (TO - FROM)
OCT 31ST	4PM - 7PM
TYPE OF EVENT	WHERE EVENT WILL BE HELD
Trunk or Treat	9th St betwen 7th Av & 6th Ave

Estimate distance sound will be thrown: 50fT

Is the proposed location within 300 feet of the property line of any church, hospital, school or courthouse?

Yes X No__________

SIGNATURES of persons in the range of the Sound Amplification MUST be submitted on attached petition indicating their approval or disapproval of the use of Sound Amplification.

Sound Amplification after 6:00 pm and/or on Sundays requires approval of City Council and must be received by the City Clerk at least two weeks before the City Council Meeting prior to your event. The City Council meets the second and fourth Mondays of the month except when the fourth Monday is a legal holiday; then the City Council will meet on the third Monday of the month.

Signature of Applicant

10/3/23
Date of Application

DO NOT WRITE BELOW THIS LINE...TO BE COMPLETED BY THE CITY CLERK'S OFFICE

City Council Approval Date	City Clerk Approval Date	License Fee

License Fee Receipt Number	License Number	License Printed Date

License Delivery Date

Return Completed Application to:
City Clerk's Office, 1528 3rd Avenue, Rock Island, IL. 61201
(309) 732-2010

SOUND AMPLIFICATION NEIGHBORHOOD APPROVAL PETITION

We, the undersigned, approve/disapprove of TRUNK OR TREAT 's request for use of Sound Amplification during an event to be held at 9TH ST
Location

from 4:00PM to 7:00 PM on (1) OCT 31ST 2023
Start Time End Time Day (s) / Date (s)

No effected house holds Along 9TH St.

NAME	ADDRESS	APPROVE SOUND AMPLIFICATION? YES	NO